



Doncaster Council

Would you please note that a Group Meeting will be held at 1.00 p.m. in the Civic Office.

Agenda

To all Members of the

COUNCIL

Notice is given that a Meeting of the Council is to be held as follows:

Venue: Council Chamber, Civic Office Floor 2, Civic Office, Waterdale, Doncaster, DN1 3BU

Date: Monday, 5th March, 2018

Time: 2.00 pm

BROADCASTING NOTICE

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Jo Miller
Chief Executive

Issued on: Friday, 23 February 2018

Governance Officer for this meeting

David Taylor
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Doncaster Metropolitan Borough Council
www.doncaster.gov.uk

ITEMS

1. Apologies for Absence.
2. To consider the extent, if any, to which the public and press are to be excluded from the meeting.
3. Declarations of Interest, if any.
4. Minutes of the Council Meeting held on 25th January, 2018. 1 - 16
5. To receive any announcements from the Chair of Council, the Mayor, Members of the Cabinet or the Head of Paid Service.
6. Questions from the public in accordance with Council Procedure Rule 13:-

- (a) Question from Mr. D. Wright, on behalf of 'South Yorkshire Save Our NHS', 15 Chantry Close, Cantley, Doncaster, DN4 6RX, to the Mayor of Doncaster, Ros Jones:-

"My question refers to Item 5 on the agenda of the Doncaster CCG Governing Board meeting 15 February 2018, which is the minutes of the January Board meeting, paragraph 7 on pages 17/18 of the agenda pack.

This is a report by Ernst Young, which said 'The cost of delivering health and social care services is increasing and our gap will be £139.5 million by 20/21 if we do nothing'. Page 18 says that the CCG's 'total expected saving of the £139.5 million was approximately £30 million' (by 2021).

We believe that it is not possible to have a functional 'joined up' health and social care service with these proposed unattainable financial restraints.

Can you tell me what the Council's share of these 'required savings' will be and will the Mayor now change her stance to actively opposing them?"

- (b) Question from Mr. A. Ntiege, 2 South Street, Doncaster, DN4 5FH, to the Mayor of Doncaster, Ros Jones:-

"With regards to draft BAME HNA, what specific measures are being taken by the Mayor and the Council to ensure accountability in the implementation of the recommendations from the Assessment and what are the steps taken by the Council to resolve the unemployment issues forced by BAME residents?"

- (c) Question from Mr. T. Brown, 4 West Grove, Wheatley Hills, Doncaster, DN2 5NB, to the Mayor of Doncaster, Ros Jones:-

“Dr Suckling states “the best predictor of good health is having a good job”.

16 years on from the Professor Gus John report – Tired of Fighting, DMBC is still virtually mono cultural!

The FOI response sent to me (3.11.17) suggests that BME candidates across DMBC are still over 2 times less likely to be appointed after shortlisting than white candidates who are shortlisted.

Can you honestly explain why such racial disparities continue to exist in 2018 and articulate what actions DMBC can implement (based on best practice) to ensure that the dream of fairness within DMBC/Doncaster becomes a reality?”

A. Items where the Public and Press may not be excluded.

For Decision

- | | | |
|-----|--|-----------|
| 7. | Revenue Budget 2018/19 to 2020/21. | 17 - 106 |
| 8. | Capital Programme 2018/19 to 2021/22. | 107 - 142 |
| 9. | Housing Revenue Account Budget 2018/19. | 143 - 168 |
| 10. | The Treasury Management Strategy 2018/19 - 2021/22. | 169 - 200 |
| 11. | Council Tax Setting and Statutory Resolutions 2018/19. | 201 - 218 |
| 12. | Corporate Plan 2018-19. | 219 - 236 |
| 13. | Appointment of Local Returning Officer for Barnsley, Doncaster, Rotherham and Sheffield Combined Authority Election. | 237 - 242 |
| 14. | Questions by Elected Members in accordance with Council Procedure Rules 15.2 and 15.3:- | |

- (i) Questions on Notice to the Executive:-

None received for this meeting.

- (ii) Questions without Notice to the Executive and the Chairs of the Audit Committee, Elections and Democratic Structures Committee, Health and Wellbeing Board and Overview and Scrutiny Management Committee.

For Information

15. To receive the minutes of the following Joint Authorities.

243 - 302

- A. Sheffield City Region Combined Authority held on 29th January, 2018.
- B. Sheffield City Region Local Enterprise Partnership Board held on 18th December, 2017.
- C. South Yorkshire Fire and Rescue Authority held on 22nd January, 2018.
- D. Sheffield City Region Combined Authority/South Yorkshire Pensions Authority Joint Local Pension Board held on 18th January, 2018.
- E. South Yorkshire Pensions Authority held on 18th January, 2018.
- F. South Yorkshire Police and Crime Panel held on 15th December, 2017 and 2nd February, 2018.

Agenda Item 4

DONCASTER METROPOLITAN BOROUGH COUNCIL

COUNCIL

THURSDAY, 25TH JANUARY, 2018

A MEETING of the COUNCIL was held in the COUNCIL CHAMBER, CIVIC OFFICE on THURSDAY, 25TH JANUARY, 2018, at 2.00 pm.

PRESENT:

Chair - Councillor George Derx
Vice-Chair - Councillor Majid Khan
Mayor - Ros Jones
Deputy Mayor - Glyn Jones

Councillors Nick Allen, Duncan Anderson, Lani-Mae Ball, Iris Beech, Joe Blackham, Rachael Blake, Nigel Cannings, Phil Cole, John Cooke, Mick Cooper, Jane Cox, Steve Cox, Linda Curran, Susan Durant, Neil Gethin, John Gilliver, Martin Greenhalgh, Pat Haith, Charlie Hogarth, Mark Houlbrook, David Hughes, Kenneth Keegan, Jane Kidd, Chris McGuinness, Sue McGuinness, John McHale, Bill Mordue, John Mounsey, David Nevett, Jane Nightingale, Ian Pearson, Andy Pickering, Andrea Robinson, Kevin Rodgers, Dave Shaw, Derek Smith, Austen White, Sue Wilkinson, Jonathan Wood and Paul Wray.

APOLOGIES:

Apologies for absence were received from Councillors Nigel Ball, Bev Chapman, Nuala Fennelly, Sean Gibbons, John Healy, Rachel Hodson, Eva Hughes, Richard A Jones, Nikki McDonald, Cynthia Ransome and Tina Reid.

56 Declarations of Interest, if any

There were no declarations of interest made at the meeting.

57 Minutes of the Council Meeting held on 21st December, 2017

RESOLVED that the minutes of the Council Meeting held on Thursday, 21st December, 2017, a copy of which had been circulated to each Member, be approved as a correct record and signed by the Chair.

58 To receive any announcements from the Chair of Council, the Mayor, Members of the Cabinet or the Head of Paid Service

The Mayor of Doncaster, Ros Jones, made the following announcements:-

“Colleagues, I would like to provide a brief update on Devolution.

In December, Doncaster residents voted overwhelmingly in favour of pursuing a wider Yorkshire devolution agreement, something that is also supported in this Chamber and by local businesses. That is now our settled position.

I have always said that Doncaster would consider interim solutions for the Sheffield City Region which would see Devolution in Yorkshire start to develop. However, we are also clear that Doncaster must be part of discussions for the development of a wider Yorkshire deal. There must also be a mechanism for both Doncaster and Barnsley to become members of the wider Yorkshire Devolution agreement when it comes into existence.

Before Christmas, the Secretary of State wrote to each of the South Yorkshire Council Leaders with his proposals for a way forward. I am pleased that in his letter, the Secretary of State acknowledged that some or indeed all of the South Yorkshire Councils should be free to join a wider Yorkshire Devolution agreement.

I have responded to that letter, as have the other Council Leaders.

The four South Yorkshire Council Leaders have all indicated publicly that there is some merit in considering a two-year term of office for a Sheffield City Region Mayor. This could bring the Sheffield City Region in to line with proposals for development of a wider Yorkshire Devolution deal, with a one term South Yorkshire Mayor either appointed or more likely, elected, from May 2018 until 2020, when a Yorkshire Mayor would be elected.

Unfortunately, the Government has not yet replied to the responses of Council Leaders. That is of course very disappointing, given that the Secretary of State asked for an urgent response to his letter before Christmas.

However, we are pressing the Government to engage with local Councils in South Yorkshire, and indeed those across Yorkshire, to progress these issues with some urgency.

I was also pleased to attend a meeting of Yorkshire Leaders earlier this month where the 17 Yorkshire Councils present confirmed their commitment to Yorkshire Devolution. Colleagues may have noted that since then, the Leader of Wakefield has indicated his support for wider Yorkshire Devolution. This now means that every Council in Yorkshire except for Sheffield and Rotherham, is supportive of developing a wider Yorkshire Devolution deal. That is a strong basis for moving forward and I will continue to keep colleagues and residents updated.

I would also like to make an announcement on the Children's Trust.

I am sure colleagues would like to join me in congratulating our Children's Services staff at both the Children's Trust and the Council, in achieving a 'Good' Ofsted rating this week.

Everyone in this Chamber knows the history of Children's Services in Doncaster. Services were not robust and effective, and our children were being let down. That is no longer the case.

Most importantly, this inspection and the four monitoring visits which took place in 2017, found that children in Doncaster were safe. No child was found to be left at risk of significant harm. Inspectors found that children who needed help and protection received good quality support in a timely and responsive way to keep them safe.

We must of course not rest on our laurels. It is now more important than ever that we continue to strive for our young people, both maintaining our standards and continuing to improve the quality of our services.

Turning the situation around has not happened overnight. It has taken a great deal of hard work from many people, so it is also important to recognise and celebrate that achievement.

My thanks go out to everyone who has played their part in improving these vital services for children in Doncaster.”

The Chief Executive, Jo Miller, made the following announcement:-

“I referred at the last meeting to the departure of Simon Wiles, who retired from the Council last week, and is now officially off the payroll. However, I would like to inform Members that his successor, Debbie Hogg, has been appointed as the new Director of Corporate Resources and I would like to thank all Members involved in the process of this appointment. Ms Hogg joins us from Kirklees Council and will take up her post at the beginning of March.”

59 Presentation on the Police and Crime Plan, Precept and Neighbourhood Policing by the Police and Crime Commissioner and Chief Constable

The Chair welcomed to the meeting, the Police and Crime Commissioner for South Yorkshire, Dr Alan Billings, and the Chief Constable of South Yorkshire Police, Stephen Watson, who were in attendance to provide information on the Police and Crime Plan, Precept and Neighbourhood Policing.

Members had been provided with a copy of this document in order to provide information as to what South Yorkshire Police aimed to achieve and address, setting out its priorities for a five year period from 2017-2021.

Dr Billings informed Council that in the preparation of the Plan, he had met with individuals and groups from every demographic across the region in order to find out what people wanted and how the Police could work to make South Yorkshire a safer place to live. It was noted that the over-riding messages were all remarkably similar, regardless of age, gender and ethnicity and the priorities that emerged as a result were as follows:-

- Protecting vulnerable people
- Tackling crime and anti-social behaviour
- Treating people fairly

In addition to these priorities, Members were also informed of other issues within the plan including the implementation of a new IT system in place by March 2018, that would look to correct the problems and issues currently felt with the 101 number. It was hoped this would ensure that 101 calls were dealt with in a timely and efficient way, and would also look to explore other options for reporting non-emergency crime.

Members were informed of the Precept for 2018/19, and it was noted that the Government had given permission for all precepts for a Band D property to be raised by £12 per annum. As the Government grant had remained at the same level, this rise was necessary in order to meet the costs of growth and inflation in order to keep communities safe.

Finally, Dr Billings spoke about Neighbourhood Policing and reported to Members that there were plans in place to bring this back into force, building up a successful model that had regrettably been eroded.

Following Dr Billings, Chief Constable Stephen Watson addressed Council, providing further information on how the Police would address the priorities and issues raised by the Police and Crime Commissioner. The Chief Constable also took the opportunity to introduce Doncaster's new Chief Superintendent, Shaun Morley, following the imminent retirement of Chief Superintendent Tim Innes.

Following the presentation, the Chair afforded to all Members of Council the opportunity to ask questions of Dr Alan Billings and Chief Constable Watson in relation to the issues raised and any concerns that they may have in relation to the Police. Dr Billings and Chief Constable Watson endeavoured to answer all questions at the meeting.

A number of Members in the Chamber took this opportunity to address the Police and Crime Commissioner, and a number of issues were raised including the following:-

- Anti-Social Behaviour within communities
- Problems reporting crime and the issues regarding the 101 number
- The importance of Neighbourhood Policing
- The lack of Police presence on the streets
- How Councillors and local residents could help the Police
- Organised crime in relation to drugs and violence
- Selective licensing
- Speeding within Doncaster's communities

All issues raised were given a thorough response or explanation. Members were also advised to contact their respective local Inspector where they had any further issues or concerns as ongoing communication would support good relationships moving forward.

The Chair concluded the item on the agenda by extending his thanks on behalf of Council to Dr Billings, Chief Constable Watson and other members of South Yorkshire Police who were in attendance, and welcomed Chief Superintendent Morley to Doncaster.

RESOLVED that Council note the contents of the Police and Crime Plan and the accompanying presentation from the Police and Crime Commissioner and the Chief Constable of South Yorkshire Police.

In accordance with the Council's Petition Scheme, to receive the presentation of a Petition entitled 'Keep Our NHS Public - Doncaster and Bassetlaw'

The Council considered a Petition entitled 'Keep our NHS Public – Doncaster and Bassetlaw', received from Mr Doug Wright, the Petitioner Organiser, requesting the Council to not approve the Sustainability and Transformation Plans for South Yorkshire and Bassetlaw, which had been submitted in accordance with the Council's Petition Scheme.

Prior to debating the Petition, the Chair of Council, Councillor George Derx, outlined the procedure which would be followed. The Petition Organiser would be given 5 minutes to present the Petition and as the Petition was below the 10,000 signature threshold, there would be no discussion or debate involving Elected Members. Upon conclusion of the presentation, the Petition would be referred to the appropriate Executive Member.

Mr. Doug Wright, in presenting the Petition, addressed the Council as follows:-

"This petition is in support of keeping the NHS Public for Doncaster and Bassetlaw. It is in reference to the Sustainability and Transformation Plan which is now known as the Accountable Care Organisation and which will result in reductions of £139.5m by 2020 in relation to Doncaster and Bassetlaw's Health and Social Care Budget. We believe this reduction in funding will lead to the reduction of services, Hospital closures and increases in early death rates. The new accountable care system is the main instrument for the privatisation of the NHS. The Accountable Care Systems need to be opposed as they are being introduced without adequate consultation and no meaningful public involvement or Parliamentary scrutiny. It is being imposed where NHS care and care services are seriously underfunded. It is being implemented beyond any legal framework and creating problems with governance and legal accountability.

The problems will affect Doncaster's residents. There is one example in Doncaster's CCG. Last year saw the introduction of new treatment guidelines in Doncaster with some operations and procedures that provide unlimited or temporary benefit to patients no longer routinely available such as cataract surgery, hip replacements and gall bladder surgery. These decisions we were told, are made by the clinicians but they are not. They are made by Government and clinicians have to make tough choices as a result of their limited funds.

Last Thursday at the Doncaster CCG meeting, we were told that because of the £139.5m cuts to budgets that had to be found by 2021, the CCG itself has to find £30m by 2021. That's a lot of money. This affects DRI who will have to find £4.5m and RDASH who will have to find a reduction of £500k in their budgets. This gets serious. How can they do that. How can they make these cuts.

There will also be a further reduction of £1.5m to be found in Public Health budgets and from 2019; there will be no funding from Central Government.

I will say that the £571m Health and Social Care gap that has to be found by South Yorkshire and Bassetlaw will lead to the demise of the NHS. What will be lost in the NHS will be difficult to reinstate. There will be talk of under resourced chaos in the NHS in 2021 in my Town.

All our elected representatives from all Political Parties will have watched the finest institution ever created to be broken up, contract by contract. I ask you consider this carefully and refer this to Council or public meeting for further consideration.”

The Chair of Council formally accepted the Petition on behalf of the Council and confirmed that the Petition would be referred to the appropriate Executive Member, Councillor Nigel Ball, Cabinet Member for Public Health, Leisure and Culture, for consideration. As Councillor Ball was not in attendance at the meeting, the Chair of Council afforded Cabinet Member Councillor Rachael Blake, the opportunity to comment on the issues raised within the Petition as follow:-

“I’d like to thank Mr Wright for presenting this petition to Council.

The NHS is our most treasured public service and I know this particular issue is one that Mr Wright is very passionate about, as am I. We all value the essential work undertaken by the NHS and the care provided by its staff. It is therefore crucial that the NHS is properly funded and able to continue providing vital public services to our residents.

The Cabinet has previously been invited to support the Sustainability and Transformation Plan covering Doncaster, but chose not to do so and instead noted its development.

We do have concerns about the implementation of Sustainability and Transformation Plans which at the present time, do not make clear the implications for current care services.

I also note this week, news that the Secretary of State is likely to pause the creation of Accountable Care Organisations until a national consultation can be held on the issue. We are therefore seeking to be kept informed of developments and will of course also formally respond to Mr Wright, in relation to this Petition.”

61 Questions from the public in accordance with Council Procedure Rule 13

There were no questions from the public received for this meeting.

62 Proposals for Localised Council Tax Support for 2018/19 (the Local Council Tax Reduction Scheme)

The Council considered a report, presented by Councillor Jane Nightingale, Cabinet Member for Customer and Corporate Services, which sought approval of the proposals for the Localised Council Tax Support for 2018/19.

It was reported that from 1st April, 2013 Council Tax Benefit was replaced by Local Council Tax Support (LCTS). Under LCTS, billing authorities had to decide for working age claims, who was eligible for a reduction in their Council Tax and what level of support they should receive. Support for people of pensionable age and other aspects of the scheme were prescribed by regulations and the Council had no discretion in relation to these matters. Pensioners would continue to receive the same level of support as they would have received under the former Council Tax Benefit system.

Members were informed that approximately 25,940 households currently received a reduction in their Council Tax from Doncaster Council's Local Support Scheme; of these 11,340 were of pension age and 14,600 were of working age on a low income.

It was noted that the Authority was required to consider whether to revise or replace its scheme on an annual basis. The main revisions to the scheme being proposed from the 1st April, 2018 were those the Authority legally had to make under the Prescribed Requirements Regulations which were laid before Parliament on 21st December, 2017 that came into force on the 12th January, 2018. The only other changes proposed were to uprate some of the rates and allowances used to work out support for claims from those of working age. These were uprated in line with the Government's rates that applied to Housing Benefit, and the Authority's local scheme had stipulated that it would apply this uprating on an annual basis since it was introduced in 2013.

In conclusion, the proposed LCTS scheme for 2018/19, continued to provide 100% support to the poorest households in receipt of 'passported' benefits such as Income Support which remained a priority for Doncaster Council. It was noted that this Authority was one of only 37 Councils out of 326 that continued to provide maximum support to those on the lowest income levels.

RESOLVED that

- (1) the protection for Pensioners as a result of the annual uprating of allowances, premiums and non-dependant deductions which are prescribed by the Government and the associated change in expenditure which is funded locally, be noted;
- (2) the Authority will uprate relevant allowances and premiums for those of working age in line with the annual uprating set by the Government and used in the current scheme, and in other forms of support including Housing Benefit; the Government has decided to freeze certain allowances for 4 years from April 2016;
- (3) it be noted that any increase in Council Tax liability for the Council, its major preceptors and local parish preceptors, will increase the cost of Local Council Tax Support; the assumptions used in the cost of the scheme for 2018/19 are an increase of 3.99% for Doncaster's Council Tax;
- (4) the Equality analysis and Due Regard Statement attached at Appendix 1 to the report, be considered as part of the decision making; and
- (5) the revised scheme which is linked at Appendix 2 and has been amended to take into account The Council Tax Reduction Schemes (Amendment) (England) Regulations 2017 which include amendments to the Council Tax Reduction Schemes (Prescribed Requirements) (England) Regulations 2012, be approved; these amendments in the main are to ensure consistency with changes to social security legislation and also increase certain figures which are used in calculating whether a person is entitled to a reduction and the amount of that reduction. These increases relate to pensioner claims and most of the rates used in the calculation of working age claims have been frozen in line with the rates for Housing Benefit. The Regulations were laid before Parliament on 21st December, 2017 and

come into force on the 12th January, 2018 for application in the Council's revised local scheme from the 1st April, 2018.

63 Proposed New Council Tax Exemption for Care Leavers

The Council considered a report, presented by Councillor Jane Nightingale, Cabinet Member for Customer and Corporate Services, which sought approval to provide 100% exemption from the payment of Council Tax from 1st April, 2018 to care leavers who had left the care of Doncaster Council, were resident and otherwise liable to pay Council Tax in Doncaster for a property they occupied as their sole or main residence and were under 25 years of age. Consideration was also given to the proposal that where a care leaver who met the above criteria who was jointly and severally liable for Council Tax with a person or persons who were not a care leaver, the exemption would still apply to the full Council Tax charge for the property. This would ensure the care leaver was not otherwise pursued for collection of any Council Tax unpaid by the other liable person(s).

It was reported that information provided in May 2017 by Doncaster Children's Services Trust (DCST), outlined that there were 255 DMBC care leavers living in Doncaster; 66 of these care leavers were liable for Council Tax, and under these proposals, would see their liability reduced to zero from 1st April, 2018. The proposed scheme would support these care leavers until they reached the age of 25 and future young people leaving the care of the Council, and taking up independent residence in Doncaster.

Members were informed that a report published by The Children's Society in 2015, highlighted the vulnerability of care leavers to financial pressures, in particular the difficulties encountered when entering independent accommodation for the first time. The report suggested that care leavers were a particularly vulnerable group for Council Tax debt.

Members noted that approximately 66 care leavers currently liable for Council Tax would be eligible for 100% exemption under these proposals and that based on 2017/18 Council Tax charges for a Band A property, the estimated annual cost of these proposals was £55k which would be met by the Council Tax Collection Fund. It was anticipated that the cost may be offset by a reduction in emergency payments made by Doncaster Children's Services Trust to care leavers in crisis as well as further reducing the dependency of these young people on other services.

Members were also informed that Council Tax legislation did not provide a specific discount or reduction for care leavers. However, under Section 13A of the Local Government Finance Act 1992, the Council had the power to reduce liability for Council Tax in relation to individual cases or class(es) of cases where national discounts and exemptions could not be applied.

RESOLVED that

- (1) the Care Leavers Council Tax Exemption Scheme at Appendix 1 to the report, be approved to take effect from 1st April, 2018;
- (2) backdated applications be allowed to the start of the financial year in which the application is made (but no earlier than 1st April, 2018); and

- (3) the Council Tax exemption will not apply to empty properties as this could act as a disincentive for care leavers to give the appropriate notice to landlords and increase the number of abandoned properties.

64 Director of Public Health Annual Report 2017

Dr Rupert Suckling was in attendance at the meeting to present his Annual Report to Council, which he outlined was his third Annual Report since he had been in post and was an independent report on the health of people of Doncaster. Members were informed that it was not designed to be a performance report of the public health function nor a performance report of the NHS; it was an overview of the progress made against the health and wellbeing of the residents of the Borough.

Dr Suckling referred to his first Annual Report when he spoke of the 20, 30, 40, 10 approach and now was returning to this approach to re-emphasise its importance. This referred to health being influenced by 20% clinical care, 30% health behaviours, 40% social and economic factors and 10% the built environment, and was looking within his Annual Report of the steps that could be taken to improve health and intervene in health problems.

It was reported that this year, there would be greater focus on the role of the Council and its partners in improving and protecting the health of the people of Doncaster. In each of the blocks, Members noted that there would be an update as to what was happening and some examples of real life stories.

The Overall Picture

It was reported that health was measured by life expectancy and whilst the average life expectancy of people in Doncaster wasn't falling, it was remaining stable, which bucked the trend of the rest of the country where the average life expectancy was falling due to austerity. Members noted however that in terms of healthy life expectancy, whilst people were living longer, once people reached the age of 60+, many people were living with a health condition.

Children

Dr Suckling reported that a lot of time and money had been invested in supporting women to stop smoking during pregnancy, in order to ensure that children were given the best start in life. Doncaster had seen one of the biggest declines in smoking in pregnancy across the country, which was very pleasing. Additionally, the Early Help Strategy and the improvement of physical education and activity within the curriculum was further working towards improving health outcomes. Funding had been received from Sport England which would promote healthy lifestyles. However, there was now the need to put the plans into action and successfully deliver the strategies.

Good Economic Growth

Members were informed that great importance had been placed on economic regeneration and a lot of growth within the economy in Doncaster had been witnessed. However, this needed balancing alongside greater social regeneration and working with communities to ensure holistic health and social care was achieved in all areas.

Preventable Disability

In terms of preventable disability, there were a number of strategies in place with regard to this and a lot of emphasis on tobacco and substance misuse in order to ensure that healthier lives were achieved, and preventable mortality addressed. In addition to this, licensing powers were being explored to determine whether they could be used to address health inequalities in terms of preventable illness and disability.

Unfairness and Inequality

Dr Suckling reported that in the past, there had been a large focus on BME Inequalities and work had been done to address these, and now, moving forward there was increased focus on gender equality. Additionally, there would be a focus on children throughout the coming year, with the desire to address adverse childhood experiences that impacted on living healthy lives.

Members noted that all the points raised within the Annual Report would help to improve the health of the residents of Doncaster.

Further to Dr Suckling's presentation of his Annual Report, the Chair afforded Members of Council the opportunity to ask any questions with regard to this.

A number of questions ensued with the following points raised, all of which Dr Suckling responded to at the meeting:-

- Childhood obesity
- Physical Disabilities and Preventable Disabilities
- Sickness in the workplace
- Air Pollution
- Tobacco misuse and smoking cessation

In response to a number of queries regarding the lack of statistical information contained within the report, although the report was not intended to be a performance report, Dr Suckling commented that in the future, he may give consideration to the provision of an additional report providing statistical information.

RESOLVED that Council note and publish the Director of Public Health's Annual Report.

65 Annual Pay Policy Statement 2018/19

The Council considered the Pay Policy Statement for 2018/19, which was presented by Councillor Jane Nightingale, Cabinet Member for Customer and Corporate Services. The Pay Policy Statement set out the Council's policy for paying staff, in particular its senior staff, namely Chief Officers, and detailed the relationship between the highest, lowest and average paid employees in the organisation.

It was noted that the Pay Policy Statement was a public document and was therefore published on the Council's website each year; in doing so demonstrating a willingness to increase accountability, transparency and fairness in the setting of Officers' pay. The Statement also contained information as to where more detailed salary information was published as part of the Council's Annual Statement of Accounts.

Councillor Nightingale informed Council that it was proposed that the same format be used this year as in the past, and Members noted the following key points:-

- There had been a positive reduction in the ratios this year between the highest and lowest paid staff within the Council;
- The current ratio between the highest paid salary (£161k, the Chief Executive) and lowest employee salary (£16.8k) had reduced again from 9.68:1 last year, to 9.54:1 this year; and
- The ratio between the highest employee salary and average employee salary had also reduced slightly from 6.39:1 to 6.35:1.

Council noted that the changes as detailed above, continued to show a positive trend. The continuing reduction demonstrated the Council's commitment to reduce the pay difference and increase low pay. It was anticipated that the policy would not need to be amended during the period it covered (April 2018 to March 2019). However, if circumstances dictated that a change of policy was considered to be appropriate during the year then any amendments would be included in the following year's statement presented to Full Council.

Following presentation of the report, the Chair afforded Members the opportunity to comment on the report.

Members welcomed the report as it demonstrated the Council's commitment to equality and reducing wage disparity across its employees.

RESOLVED that the Pay Policy Statement for 2018/19 be approved.

66 Overview and Scrutiny Update and Progress Report June to December, 2017

The Chair of the Overview and Scrutiny Management Committee (OSMC), Councillor Kevin Rodgers, presented a report which provided a summary of the work undertaken by the OSMC and its four Standing Panels in the period of June to December 2017, and also any future issues to be considered.

The Chair conveyed his thanks to all Members, the Executive, Officers, partners and stakeholders, who had taken the time over the past 6 months to attend meetings and engage in the Overview and Scrutiny process.

Councillor Rodgers reported that there continued to be a real effort to focus on performance monitoring and review work in order to ensure a greater impact on future policy development and the improvement of services. The Regeneration and Housing and Community and Environment Overview and Scrutiny Panels had undertaken more detailed task and finish work on a small number of important issues and the Health and Adult Social Care Overview and Scrutiny Panel was continuing to undertake the Council's statutory health scrutiny role. The Children and Young People Overview and Scrutiny Panel had focused on a range of key issues; one of these being the Borough's examination results.

Councillor Rodgers reported that importantly, the OSMC had concluded its consideration and responded to the Mayor's draft budget which helped to ensure a thorough and robust consultation process was undertaken in advance of its formal consideration by Council. Outside of formal meetings, the Overview and Scrutiny Chairs and Vice-Chairs continued to meet regularly with the Mayor and respective Cabinet Members to ensure they were kept up to date with developments in Portfolio areas and could effectively plan and manage Scrutiny work plan issues.

Councillor Rodgers commented that in addition to work undertaken, Scrutiny was also looking forward to 2018, and Council was informed that the OSMC had identified the following major issues that it felt needed focus, as detailed below:-

- The Corporate Plan refresh;
- Education and Skills;
- Monitoring of Doncaster's Strategic Health and Social Care Plans (Sustainability and Transformation Plan, Place Plan, Adults Health and Wellbeing Transformation) through regular updates of plans and performance information;
- Public Health Protection Responsibilities;
- Crime and Disorder – undertaken by the Communities and Environment Panel looking at their performance and priorities; and
- Housing Needs Analysis

Members noted that an evaluation of Overview and Scrutiny was currently being undertaken with evidence gathered through research, discussions and a visit to another Local Authority scrutiny meeting. The outcome of this would be reported back to a future meeting of the OSMC, followed by a presentation to Full Council.

Councillor Rodgers concluded by thanking all Overview and Scrutiny Members, Executive Members, Partners, Stakeholders, Officers and members of the public, who continued to contribute to the Overview and Scrutiny process.

RESOLVED that the work of Overview and Scrutiny Management Committee and its four Standing Panels for the period June to December, 2017, be noted.

67 Proposed Diary of Meetings - 2018/20

The Council considered a report which outlined the draft diary of meetings for the 2018/19 and 2019/20 Municipal Years. The draft diary, attached at Appendix A to the report, this time encompassed two Municipal Years which covered the period from May, 2018 to May, 2020.

It was noted that the move to the four year term of office for Councillors had provided an opportunity to forward plan the Council's Diary of Meetings for a longer period of time, allowing for the better scheduling of decisions, meetings and events throughout the Council. Additionally, it was anticipated that it would prove helpful to Members in organising their schedules over a longer period when balancing their Council commitments with other working or caring responsibilities.

Members noted that the final version of the diary would be agreed at the Annual Meeting of Council in May, 2018 and any amendments between consideration of the draft diary and this meeting would be incorporated.

RESOLVED that

- (1) the proposed Diary of Meetings for the 2018/19 and 2019/20 Municipal Years, as attached at Appendix A to the report, be noted; and
- (2) the final version of the Diary of Meetings be presented to the Annual Meeting of Council in May, 2018, for final approval.

68 To consider the following Motion, written notice of which has been given by Councillor Phil Cole and Seconded by Councillor Andrea Robinson, in accordance with Council Procedure Rule 16.1

In accordance with Council Procedure Rule 16.1, a Motion was submitted by Councillor Phil Cole and Seconded by Councillor Andrea Robinson:-

“This Council believes that the £335 million of additional funding announced in the 2017 Autumn Budget to meet winter pressures has been announced too late to be used effectively.

This Council is also concerned that the pledged increase in funding for the rest of the Parliament falls well below the amount the NHS needs. The £6.3 billion announced for the rest of this Parliament would mean the NHS budget would have grown by just 1.2% between 2009/10 and 2020/21.

This Council is further concerned that:-

- The promise of extra money to end the NHS pay cap is conditional on productivity and agreed changes to terms and conditions.
- There was no extra funding for mental health.
- There was no extra money for social care.

We believe the consequences of this Budget will be to add to the burden of Local Government, Police and other services; lead to a further loss of staff from our NHS and a growing shortfall in the real funding levels required by a modern NHS.”

The Chair afforded all Members in the Chamber, the opportunity to speak on the Motion.

A vote was taken on the Motion proposed by Councillor Phil Cole, which was declared as follows:-

For – 37

Against – 0

Abstain – 0

On being put to the meeting, the Motion was declared CARRIED.

RESOLVED that this Council believes that the £335 million of additional funding announced in the 2017 Autumn Budget to meet winter pressures has been announced too late to be used effectively.

This Council is also concerned that the pledged increase in funding for the rest of the Parliament falls well below the amount the NHS needs. The £6.3 billion announced for the rest of this Parliament would mean the NHS budget would have grown by just 1.2% between 2009/10 and 2020/21.

This Council is further concerned that:-

- The promise of extra money to end the NHS pay cap is conditional on productivity and agreed changes to terms and conditions.
- There was no extra funding for mental health.
- There was no extra money for social care.

We believe the consequences of this Budget will be to add to the burden of Local Government, Police and other services, lead to a further loss of staff from our NHS and a growing shortfall in the real funding levels required by a modern NHS.

69 Questions by Elected Members in accordance with Council Procedure Rules 15.2 and 15.3

A. Questions on Notice

No questions on Notice from Elected Members, had been received for this meeting.

B. Questions Without Notice

In accordance with Council Procedure Rule 15.2, the Chair of Council sought questions from Elected Members during question time.

A. Questions to the Mayor of Doncaster, Ros Jones

There were no questions put to the Mayor of Doncaster, Ros Jones.

B. Questions to the Deputy Mayor, Councillor Glyn Jones, Cabinet Member for Adult Social Care and Equalities

There were no questions put to the Deputy Mayor, Councillor Glyn Jones, Cabinet Member for Adult Social Care and Equalities.

C. Questions to Councillor Nigel Ball, Cabinet Member for Public Health, Leisure and Culture

In the absence of Councillor Nigel Ball, Cabinet Member for Public Health, Leisure and Culture, the Chair of Council informed Members that any questions for Councillor Ball should be submitted in writing to the Executive Office after the meeting and a response would be provided accordingly.

D. Questions to Councillor Joe Blackham, Cabinet Member for Highways, Street Scene and Trading Services

There were no questions put to Councillor Joe Blackham, Cabinet Member for Highways, Street Scene and Trading Services.

E. Questions to Councillor Rachael Blake, Cabinet Member for Adult Social Care

There were no questions put to Councillor Rachael Blake, Cabinet Member for Adult Social Care.

F. Questions to Councillor Nuala Fennelly, Cabinet Member for Children, Young People and Schools

In the absence of Councillor Nuala Fennelly, Cabinet Member for Children, Young People and Schools, the Chair of Council informed Members that any questions for Councillor Fennelly should be submitted in writing to the Executive Office after the meeting and a response would be provided accordingly.

G. Questions to Councillor Chris McGuinness, Cabinet Member for Communities, Voluntary Sector and the Environment

There were no questions put to Councillor Chris McGuinness, Cabinet Member for Communities, Voluntary Sector and the Environment.

H. Questions to Councillor Bill Mordue, Cabinet Member for Business, Skills and Economic Development

There were no questions put to Councillor Bill Mordue, Cabinet Member for Business, Skills and Economic Development.

I. Questions to Councillor Jane Nightingale, Cabinet Member for Customer and Corporate Services

There were no questions put to Councillor Jane Nightingale, Cabinet Member for Customer and Corporate Services.

J. Questions to Councillor Austen White, Chair of the Audit Committee

There were no questions put to Councillor Austen White, Chair of the Audit Committee.

K. Questions to Councillor Phil Cole, Chair of the Elections and Democratic Structures Committee

There were no questions put to Councillor Phil Cole, Chair of the Elections and Democratic Structures Committee.

L. Questions to Councillor Rachael Blake, Chair of the Health and Wellbeing Board

There were no questions put to Councillor Rachael Blake, Chair of the Health and Wellbeing Board.

M. Questions to Councillor Kevin Rodgers, Chair of the Overview and Scrutiny Management Committee

There were no questions put to Councillor Kevin Rodgers, Chair of the Overview and Scrutiny Management Committee.

70 To receive the minutes of the following Joint Authorities

RESOLVED that the Minutes of the following Joint Authorities, be noted:-

- Sheffield City Region Combined Authority held on 11th December, 2017.
- Sheffield City Region Local Enterprise Partnership Board held on 9th November, 2017.
- South Yorkshire Fire and Rescue Authority held on 27th November, 2017.
- South Yorkshire Pensions Authority held on 30th November, 2017.

CHAIR: _____

DATE: _____



Doncaster Council

Report

Date: 5th March, 2018

**To the Chair and Members of the
COUNCIL**

REVENUE BUDGET 2018/19 – 2020/21

EXECUTIVE SUMMARY

1. This report details the Mayor's revenue budget proposals for 2018/19 to 2020/21. Our budget plan links to the Doncaster Growing Together Plan, which is also informed by the State of the Borough Assessment. The Doncaster Growing Together Plan aims to continue to grow the local economy and place and to develop public services in a way that ensures all of Doncaster's people and communities benefit. It is a partnership strategy, requiring collaboration from across the whole of the Council and from a wide range of public services, voluntary and community organisations and the business community. It targets partners' attention and resources on the issues that matter most to Doncaster's present and its future.
2. The Council continues to face the significant challenge of setting a balanced budget with reducing funding and increasing costs, whilst continuing to invest in the borough and protecting the most vulnerable in our communities. The Council is estimating having to find £17.7m of savings in 2018/19 and £44.4m for the period 2018/19 to 2020/21. This increases the overall annual savings required from 2017/18 to £66.0m by 2021.
3. Whilst the financial circumstances that we face are not of our making, it is our responsibility to ensure we propose a robust, clear and balanced budget and that we face up to and deliver changes to our services to the best of our abilities in these very challenging circumstances. Although the reductions are lower than previous years the savings are more challenging to achieve, whilst continuing to deliver high quality services. We are committed to being a council that promotes growth and prosperity for its residents. We must ensure that services are targeted and make a difference to those people who need them most, making the most of technology, re-designing our services so they are fit for the future and working in partnership with our local communities, voluntary, charity and faith sectors to deliver services together as detailed in our Doncaster Growing Together Plan.
4. The £44.4m budget gap arises due to expected government grant reductions of over £10m a year from 2018/19, by 2020/21. On top of the grant reductions, the Council is facing significant expenditure pressures, estimated at £14.9m in 2018/19 and increasing to over £34m by 2020/21. This includes pay and price inflation; with £3m set aside for Adults, Health & Wellbeing in 2018/19 for Adult Social Care contracts including the impact of the Government National Living Wage. Further details on the budget gap are provided in Appendices A and E. The service specific budget pressures amount to £5.6m in 2018/19

and are detailed in Appendix B; this includes providing £2.2m for Adults, Health & Wellbeing pressures in 2018/19.

Explanation of the Budget Gap

	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m	Total 2018/19 - 2020/21 £m	Total Incl. 2017/18 £m
Estimated Reduction Government Grants	11.4	2.5	2.1	5.7	10.3	21.7
Grant Exit Strategies	-0.9	-1.7	-0.7	-0.2	-2.6	-3.5
Pay Inflation	2.4	3.5	4.1	-2.5	5.1	7.5
Price Inflation	5.3	5.5	5.1	5.0	15.6	20.9
Service and Other Budget Pressures	5.4	5.9	4.5	3.6	14.0	19.4
Funding Gap	23.6	15.7	15.1	11.6	42.4	66.0
Use of One-off Reserves	-2.0	2.0	-0.8	0.8	2.0	0.0
Adjusted Funding Gap	21.6	17.7	14.3	12.4	44.4	66.0

5. This report summarises the draft saving proposals to close the remaining £44.4m gap for 2018/19 – 2020/21; these are detailed in paragraphs 21 to 27 and Appendix C. This includes the Adults, Health and Wellbeing Transformation Programme, which focuses on helping people to look after their own health and wellbeing but making sure that high quality health and social care is available if they need it; paragraph 23 provides further details.
6. Although the Council Tax system is disproportionate across the country, it is not something we can resolve overnight. The budget includes an increase in Council Tax overall of 3.99% in 2018/19, which will generate £3.95m and is required in order to meet the budget gap and deliver a budget in line with the financial strategy. This will increase the council tax to £1,287.20 per annum Band D (£858.13 Band A) which means an additional £49.39 for Band D council tax per annum or 95p per week (£32.93 for Band A per annum or 63p per week). This includes a 1.99% Council Tax increase and a further 2% increase through the Government’s Social Care “precept”. This will contribute towards the pressures for Adults, Health & Wellbeing including price inflation, pay inflation, investment in the care ladder and growth in the number of clients from projected changes in the population. The Council has chosen not to implement the maximum core Council Tax increase allowed of 2.99%.
7. Doncaster continues to have one of the lowest Council Tax rates in comparison to other Metropolitan Districts and Unitary Authorities (the 9th lowest in 2017/18) as shown in Appendix F. Given the Council has not implemented the maximum core Council Tax increase allowed, it is likely that Doncaster will have lower comparative Council tax rates in the future.
8. The analysis, at Appendix I, shows the current position regarding earmarked reserves. The balance on the uncommitted General Fund reserve for 2018/19 is estimated at £7.8m; this will reduce further to £7.0m in 2019/20.

EXEMPT REPORT

9. Not applicable.

RECOMMENDATIONS

10. That Council approves the 2018/19 to 2020/21 Revenue Budget as set out in this report. This includes: -
- a. the revenue budget proposals for 2018/19 detailed at Appendix B (pressures £5.615m) and Appendix C (savings £17.702m);
 - b. Council Tax increasing by 3.99% to £1,287.20 for a Band D property (£858.13 for a Band A) for 2018/19. This includes: -
 - i. 1.99% Council tax increase equates to an increase of £24.63 for Band D per annum, £0.47 per week (£16.42 for Band A per annum, £0.31 per week);
 - ii. 2.00% Government's Social Care "precept" equates to an increase of £24.76 for Band D per annum, £0.47 per week (£16.50 for Band A per annum, £0.32 per week).
 - c. the 2018/19 Grants to 3rd Sector Organisations outlined in paragraph 30 and Appendix G;
 - d. the fees and charges proposed for 2018/19 at Appendix H;
 - e. the Medium Term Financial Forecast including all proposals in this report as set out in Appendix E;
 - f. a gross revenue expenditure budget of £477.6m and a net revenue expenditure budget of £214.8m, as detailed in Appendix E.

WHAT DOES THIS MEAN FOR THE CITIZENS OF DONCASTER

11. The Council will continue to care for and protect the most vulnerable in society but it is inevitable that as the Council becomes a leaner organisation that citizens will see services delivered in new and different ways.

BACKGROUND

Financial Strategy

12. The financial strategy and 2018/19 budget is informed by the State of the Borough Assessment and the Doncaster Growing Together (DGT) Prospectus: -
- a. **Doncaster Learning** – ensuring we prepare our young people for fulfilling lives, with bold reforms that will broaden their horizons and ambitions, bringing our education system and business community together to give our young people more exposure and opportunities to flourish. The key budget proposals in relation to this area are LO-CYP management restructure and the previous year's ESG reduction, which has created a pressure in 2018/19.
 - b. **Doncaster Working** – developing the economy through continued inward investment, targeting higher skilled jobs and more emphasis on supporting existing businesses to grow. Ensuring local people can connect to opportunities is a key aim here. A key budget proposal in relation to this area is the additional income from business rate growth; this is based on more developments in the borough and increased inward investment.
 - c. **Doncaster Caring** – supporting our most vulnerable residents, whether children, adults, disabled people, families, older people. The emphasis here is on joining up social and health care and support and on shifting our focus to prevention and support that enables people to enjoy life with their families and communities. The key budget proposals in

relation to this area are the Adults Transformation programme budget proposals and the Children's Trust saving.

- d. **Doncaster Living** – developing Doncaster as an attractive and secure place to live, including meeting housing needs, including for vulnerable people, developing town centres and the arts culture and leisure offer and using physical activity, including cycling to improve population health and well-being. A key budget proposal for this area is the Highways and Streetscene proposal for 2019/20.
- e. The Doncaster Growing Together programme includes 5 principles for action:
 - i. We will deliver value – this covers all areas of the financial strategy, including the following specific aims in particular: -
 - reducing the number of buildings and concentrating our services in buildings that are cost-effective and are as multi-use as possible, e.g. Assets savings.
 - being as productive as possible which may involve redesigning some services, pushing for more commercial value for money processes and encouraging modern forms of service delivery. Our people are our greatest resource and we have to ensure that they are supported to deliver the best possible services for local people, e.g. Admin and business support (Adults Transformation Programme).
 - being a modern digital authority both internally and externally, with all services on-line. Providing a modern, high quality and efficient integrated front office with resulting improved service delivery through redesigned business processes, improved technology, mobile working and higher skilled staff. Utilising the resulting business intelligence to become a more intelligent and proactive organisation focused on assisting Doncaster as a place and its people to thrive, e.g. Customer Journey (Adults Transformation).
 - focused on reducing high cost services and ensuring we provide affordable services, e.g. Children's Trust saving.
 - ensuring that our services fully recover their costs where possible and that there are no subsidised services without a clear decision to do so.
 - ii. Keep it simple – ensuring our financial strategy and budget plan is understood by all and focused on what we can do, not what we can't do.
 - iii. Be ambitious – we want to help modernise and shape our services to encourage a culture that increases productivity, flexibility and ability to adapt to change as part of every-day business. We need to change the way we work to deliver services in a motivating and effective way, at the same time improving services for the public, e.g. Streetscene Streetlamp initiative phase 2.
 - iv. Do it together – we will work better with our partners, the voluntary sector, communities and businesses to commission and procure local services that make a real difference. We want well-managed services where our residents are at the heart of what we do. We are committed to delivering services in-house if they deliver best value, e.g. joining up commissioning and creating a people department.
 - v. Expect contribution – in all four policy areas, the strategy and programme emphasise the need for contribution from Doncaster citizens, who need to play a key role in the next stage of journey. We will help our communities and our residents to get the services that they really need and are right for them. That means the Council wants to know what works best for individuals and wants to help residents find the services that best suit their needs. We want to enable people and communities to help themselves and improve self-sufficiency, e.g. Adults Transformation Programme.

13. The Council will contribute significantly to the Doncaster Growing Together programme but equally will need to be ready for the future demands placed upon it. A Connected Council theme will identify the internal improvements required to ensure this happens, guided by five principles for action contained within Doncaster Growing Together.
14. The financial strategy should also be read in conjunction with other Council strategy documents including Capital Programme, HRA budget, Treasury Management Strategy and ICT Strategy.

Medium-term Financial Forecast (MTFF)

15. The MTFF includes all the budget changes that are outside of the Council's control, as detailed in paragraphs 3 and 4; this has identified that the Council needs to make significant savings of £17.7m in 2018/19. Further details are provided in Appendices A and E, which shows details of the MTFF for 2017/18 to 2020/21.
16. In addition to the government funding reductions, the Council is also facing significant budget pressures. These include rising pay costs and increasing pension contributions, estimated at £3.5m in 2018/19 and £7.5m a year by 2021. The additional costs of contract inflation estimated at £5.5m in 2018/19 and £20.9m a year by 2021; this mainly provides £3m for the Adult Social Care contracts including the impact of the National Living Wage. It also provides the funding necessary to meet growth in the services and other service pressures. This includes providing £2.2m in 2018/19 for Adult Social Care to meet the increasing costs of supported living placements, more direct payments and the impact of an ageing population across all services.
17. The Council is currently forecasting a £3.8m overspend for the 2017/18 financial year; which needs to be managed and reduced to begin the 2018/19 financial year on a sustainable basis. We have included the service pressures for 2018/19, this allocates funding for on-going overspends identified in the 2017/18 quarter 3 budget monitoring, along with any growth identified. We are also putting aside circa. £2.0m for each subsequent year. A summary of the pressures for 2018/19 to 2020/21, is provided below with further detail in Appendix B: -

Pressures	2018/19 £m	2019/20 £m	2020/21 £m	Total 2018/19 to 2020/21 £m
Adults Transformation Programme:				
Demographics	0.405	0.441	0.460	1.306
Direct Payments	0.309	0.461	0.303	1.073
Supported Living	1.183	1.150	0.436	2.769
Transitions	0.265	0.265	0.265	0.795
Additional Aiming High / Short Breaks	0.118	0.059		0.177
Adjustment to previous years savings	0.466			0.466
Assets and Property	0.100			0.100
Bereavement	0.045	0.011		0.056
Data Protection Lead Officers	0.172			0.172
Energy	0.073			0.073
Incentive Scheme for Apprentices	0.040			0.040
Inclusive Growth	0.360			0.360
LO-CYP: Education Services Grant	0.500			0.500
Medical Examiners		0.175		0.175
Metroclean	0.055			0.055
North Bridge depot	0.120			0.120
Passenger Transport	0.169	-0.159		0.010
Planning	0.100		-0.100	0.000

Pressures	2018/19 £m	2019/20 £m	2020/21 £m	Total 2018/19 to 2020/21 £m
Public Building Maintenance (PBM)	0.100			0.100
Remove Early Help savings duplicated	0.200			0.200
Schools Catering	0.200			0.200
Strategic Housing	0.035			0.035
Treasury Management	0.600			0.600
Other Service Pressures – future years allocation		2.148	2.100	4.248
Total	5.615	4.551	3.464	13.630

18. The Pensions Actuary has reported a considerable improvement in the financial position of the pension fund deficit; they estimate that Doncaster's position has changed from a deficit to a slight surplus. This is mainly due to a rise in UK equities and foreign equities and a fall in the pound. An estimated reduction in the general fund pension deficit budget has been included in the MTF for 2020/21, when the next tri-annual valuation in 2020 will take effect. The amount included takes into account the protection that Doncaster has put in place.
19. The MTF details the funding reductions and pressures up to 2020/21; this clearly demonstrates the importance of increasing income by raising the Council Tax, Social Care "precept" and other income from fees and charges. As government grants significantly reduce and the Council becomes more reliant on the income it generates, it must take every opportunity over the next 3 years to raise its income base through the individual rates and overall volume, i.e. the number of council tax and business rates properties.
20. The next Government Spending Review will take place in 2020; assumptions are included for 2020/21 and the government grant reductions are based on the current estimated trajectory.

Saving Proposals

21. The process of identifying and delivering savings is robust but flexible so that options can be identified and plans can be amended as and when required. This strategy will enable the Council to become a more efficient, leaner organisation with a greater understanding of the costs of delivering services and a sound financial base position.
22. The following saving proposals have been discussed over several months with both members and managers, further details are provided in Appendix C: -

Savings	2018/19 £m	2019/20 £m	2020/21 £m	Total 2018/19 to 2020/21 £m
Adults Transformation Programme:				
Admin and business support	-0.342			-0.342
Community Safety	-0.020	-0.172		-0.192
Customer Journey	-0.200	-0.715	-0.161	-1.076
Day Opportunities	-0.328	-0.336	-0.145	-0.809
Home Care	-0.588	-0.490	-0.386	-1.464
Housing related support services	-0.719	-0.719		-1.438
Leisure Trust		-0.250		-0.250
Residential Care - Older People	-0.862	-0.611	-0.502	-1.975
Residential Care - Working Age	-0.400	-1.537	-1.274	-3.211
Stronger Communities			-0.200	-0.200

Savings	2018/19 £m	2019/20 £m	2020/21 £m	Total 2018/19 to 2020/21 £m
Supported Living	-0.900	-0.443	-0.418	-1.761
Children's Trust (VFM)	-2.000	-2.000	-0.500	-4.500
Business Rates Income	-2.095	-0.035	-1.072	-3.202
Commissioning	-0.100			-0.100
Council Tax Increase	-1.992	-2.086	-2.189	-6.267
Council Tax Base Growth	-1.941	-0.887	-0.980	-3.808
Council Tax Collection Fund	-0.328	0.658	0.087	0.417
Council-wide - Reduce Senior Management		-0.100		-0.100
Fees & Charges	-0.302	-0.350	-0.350	-1.002
Government's Social Care "precept"	-2.003	-2.097	-2.199	-6.299
Metropolitan Debt Levy	-0.078	0.051	0.039	0.012
Parish Councils	-0.064	-0.094	-0.094	-0.252
Pension	-0.180	-0.100	-0.100	-0.380
Procurement	-0.150	-0.183	-0.260	-0.593
Audit Fee	-0.030			-0.030
Corporate Services		-0.200	-0.200	-0.400
Revenues & Benefits	-0.118			-0.118
LO-CYP: Functional Review	-0.183	-0.350	-0.267	-0.800
Assets	-0.423	-0.493	-0.200	-1.116
Highways (general fund)	-0.500		-0.500	-1.000
Highways and Streetscene		-0.500		-0.500
Independent Travel Training Scheme			-0.025	-0.025
Planning and Building Control	-0.100			-0.100
Regulation & Enforcement	-0.200			-0.200
South Yorkshire Passenger Transport Executive (SYPTe)	-0.356	-0.279	-0.240	-0.875
Waste Contract	-0.200		-0.300	-0.500
Total Savings	-17.702	-14.318	-12.436	-44.456

23. As detailed in paragraph 5, the Adults Health and Wellbeing Transformation Programme is committed to helping people to use their strengths and those of local communities to keep their independence for as long as possible, which is ultimately the right thing to do for most individuals. If more people are supported to live in their own homes, there will be less need for long term or traditional care, which in turn is expected to contribute significantly to the delivery of £4.3m savings in 2018/19 and £12.7m by 2020/21. The programme also focuses on making sure that high quality health and social care is available if they need it. There will be investment in services and additional funding provided for growth, of £2.2m in 2018/19 and £5.9m by 2020/21. The Council also welcomes the additional one-off Adult Social Care grant in 2018/19 of £0.9m, which provides essential funding towards the pressures facing the service including Residential Care (Older People) and Homecare; below are further details.

24. The savings required in this area are significant and as such, their delivery is key to the achievement of the Council's MTF. The main savings initiatives are: -

- a. Residential Care (Older People) – The number of people in long-term care will continue to reduce as a result of helping more people over the age of 65 to continue to live in their own homes. Taking into account the additional funding for increased demand due to an ageing population, the 2018/19 saving equates to a further net reduction of 36 places.

Due to the phasing of the reduction, £0.4m one-off Adult Social Care grant will be used in 2018/19 and the full-year effect of the changes will not be felt until 2019/20.

- b. Housing related support service – The Council and its partners are reviewing (discretionary) housing related support services, with a view to the transition of current service users to more appropriate forms of support. This is planned to save £0.7m in each year 2018/19 and 2019/20.
 - c. Supported Living – The ambition is to help people in supported living to live more independently as part of the community and therefore need less traditional social care, including fewer care hours. Existing service users will also be encouraged to move to individual budgets (Direct Payment, Individual Service Fund or other option) to support choice and control. This is planned to reduce costs by £0.9m in 2018/19 and £1.8m by 2020/21. There will also be significant investment in supported living to facilitate the increase in demand due to the shift from long-term residential care.
 - d. Homecare – The savings are expected to be achieved through new ways of working and the introduction of initiatives to support people to keep their independence for longer, including an increased focus on rehabilitation and re-ablement. People will be encouraged to source their own support through better information, advice and guidance and to make best use of assistive technology solutions. This will reduce the demand for care hours, providing savings for customers as well as the Council. Overall savings equate to £0.59m in 2018/19 and £1.46m by 2020/21. The 2018/19 saving is equivalent to 64 clients (approximately 39,600 hours). Due to the time it will take to change homecare, £0.5m in one-off Adult Social Care grant will be used in 2018/19 and the full-year effect of the saving will be delivered in 2019/20.
 - e. A summary of the spending plans for the Improved Better Care Fund (iBCF) and Better Care Fund (BCF) are provided at paragraphs 10 and 22 of the Medium-term Financial Forecast (Appendix E) respectively. There remains significant pressure on the iBCF one-off funding in 2018/19 and the critical need to deliver the savings in preparation for 2019/20, when the one-off funding will reduce considerably.
25. The proposals include a £0.5m pressure for LO-CYP in relation to the Education Services Grant (ESG) and £0.8m savings from the Functional Review in 2018/19 to 2020/21. Further details are provided below: -
- £0.5m pressure – In 2017/18 the ESG reduced by £1.76m in total, £1.36m of this relating to LO-CYP services. School improvement service remodelling achieved savings of £0.55m, leaving £0.81m to be delivered; this was partly offset in 2017/18 by transitional ESG received for April to August 2017 of £0.52m. Achieving this saving on an on-going basis remains a challenge due to the level of future savings required and the amount of savings already delivered in 2017/18 through the management and early help restructures. Therefore £0.5m has been included in the budget proposals as a pressure.
 - Functional Review savings – In total the LO-CYP's management review delivered general fund staff savings of £0.89m, in addition to Early Help restructure savings of £0.78m and school improvement service remodelling savings of £0.55m for 2017/18. These savings totalled £2.2m and reduced the overall general fund staffing budgets for LO-CYP from £7.1m to £4.9m, an overall reduction of 31%. LO-CYP's functional review is anticipated to deliver £500k savings by 2020/21, including transitional staffing arrangements through 2018/19 and 2019/20, ultimately reducing the general fund staffing budget to £4.3m by the end of 2020/21 (which is a further reduction of 12%). £300k of the saving profiled for 2019/20 is expected to be found through further saving to be identified following this review and through directorate process efficiencies.
26. The Council has negotiated a set of savings with the Children's Trust. These are aimed at bringing the cost that the Council pays for the Children's Trust more into line with benchmarked costs over a 3-year period. The Council is budgeting for savings of £2m in

each of 2018/19 and 2019/20 and a further £0.5m in 2020/21. The Children's Trust is setting itself the same overall £4.5m target but on a more ambitious timescale starting with £3.1m in 2018/19. This is with the intention of the Children's Trust being able to save enough to set aside some reserves, which it needs to do, as its reserves are under £0.1m. The Council will still be funding the costs of the pay award in the Children's Trust, inflation on the costs of children looked after, additional funding for the increasing numbers of children in the care system and on-going investment in a number of specific projects aimed at improving the care of children but at reduced costs. Council officers have seen the detail of the changes and savings that the Children's Trust plans to implement and these are summarised in Appendix C.

27. Fees and charges increases are expected to generate £0.30m in 2018/19 (and £0.35m in future years); this is based on the principle that charges are raised by RPI (3.9% in September 2017).
28. Where specific grants cease or reduce, the assumption is that the activities associated with the specific grant will cease and the Council will no longer incur expenditure in these areas, i.e. there will be exit strategies for all grant reductions. Appendix D provides further details.

Post Reductions

29. A review of the 2018/19 to 2020/21 budget proposals has been undertaken, which has identified 153 full time equivalent (f.t.e.) potential post reductions within the council (this includes 17.5 f.t.e. post reductions due to grant exit strategies). This is an estimate calculated on the budget proposals and includes 63 f.t.e. for 2018/19, 68 f.t.e. for 2019/20 and 22 f.t.e. for 2020/21. Appendix C provides the post reductions by individual budget proposals. To achieve the savings, the Council will initially look to delete vacant posts, then seek volunteers, then redeployment with compulsory redundancy being the last resort.

Grants to Third Sector Organisations

30. The 2018/19 grants proposed to third sector organisations (£0.7m) are detailed in Appendix G. The Council's strategy is to move more clearly commission and contract service activity, which provides greater certainty and continuity of provision of service for both the service provider (voluntary sector) and service users. The change in the overall value of grants provided to third sector organisations does not deliver any savings.

Detailed Budgets

31. The 2018/19 detailed budgets are provided at Appendix M by Directorate, this provides the breakdown of the budget plan by Head of Service.

Monitoring & Challenge

32. The Council's budget in any one financial year is allocated to budget holders and each budget holder is notified of their budget at the start of the financial year. Within the performance and development review process for people managers there is a core target to effectively manage and monitor budgets, highlighting any pressures or potential underspends in a timely way.
33. Budget holders are able to review the latest financial monitoring information, including projections, directly from the on-line financial system in an easy to use format.
34. The financial management teams are structured to support budget holders, deal with queries and proactively monitor key budget areas. The quarterly Finance & Performance report presents the Council's revenue projection, prepared by managers working in conjunction with finance teams. Directors monitor the Council revenue projection at a quarterly meeting, where any further actions that need to take place to ensure effective management of the budget targets are agreed.

35. Five Assurance Boards (Living, Working, Caring, Learning and Connected Council) assure the progress and performance of the Council's major programmes, including those within the Doncaster Growing Together portfolio and the Council's corporate programmes. The performance of the programmes, including the delivery of any associated savings, is reported in the quarterly challenge with Directors and is summarised in the quarterly Finance and Performance report that goes to Cabinet and O.S.M.C.

Robustness of the Budget

36. Under the Local Government Act 2003, the Chief Financial Officer is required to give assurance on the robustness of estimates in the forthcoming budget.

37. The Chief Financial Officer recognises that local government finance settlements arising from Comprehensive Spending Reviews of 2010, 2013 and 2015 were extremely challenging and there are significant issues for the Council in delivering the budget proposals for 2018/19. The key objective of the MTFF is to provide the Council with a robust financial plan to ensure financial sustainability. The unprecedented set of cuts and pressures required managers to deliver savings of £109m over the 3 years 2014/15 to 2016/17 and a further £65m over the period 2017/18 to 2020/21.

38. In this context, the budget proposals that are set out in this document are recommended to the Council and are supported by the most up-to-date robust estimates of income and expenditure. The evaluation of the Chief Financial Officer is based on the following key factors: -

- a. the budget proposals have been developed by those responsible for service delivery, taking account of the implications of implementing the changes. Targets are clear and there is commitment and ownership from managers to deliver. Each budget manager is required to deliver within the budget target. This will provide additional assurance, rigor and comfort for the Council in carrying out its responsibilities. These proposals will provide a balanced budget for 2018/19 as long as the risks (Appendices J & K) can be managed;
 - b. we have reviewed those service areas that are overspending in 2017/18 and aim to provide adequate funding to ensure that areas of overspend are managed within the budget target for 2018/19;
 - c. a review of the quarter 3 projected outturn figures has been undertaken to further challenge the budget proposals and ensure they are based on the most up to date information available. This has resulted in some updates shown in Appendices B & C. Any further pressures identified will need to be managed during 2018/19 as part of the revenue monitoring process; and
 - d. the development of financial skills amongst Council managers is critical to the success of delivering the spending targets set in this budget.
39. Taking this into account, the Chief Financial Officer believes the 2018/19 estimates used for the Mayor's budget proposals can be delivered.

Reserves

40. Appendix I shows the summary of earmarked reserves. The uncommitted general fund reserves are expected to reduce to £7.8m by 2018/19; this takes into account the quarter 3 projected overspend of £3.8m, potential additional investment required in the Children's Trust £0.3m and one-off expenditure requirements, e.g. £0.3m for the Tour de Yorkshire. It is also expected that £0.8m will be utilised in 2019/20 to fund the estimated additional cost of the recent Pay Offer (subject to consultation) thereby reducing the reserves to £7.0m. This one-off saving in 2019/20 will be replaced with on-going reductions in the pension deficit budget from 2020/21 onwards.

41. The 153 post reductions, detailed at paragraph 29, could cost circa. £1.5m (based on 30% Voluntary Early Retirement/Voluntary Redundancy post reductions). The estimated balance, as at 31st March 2018, of the VER/VR earmarked reserve is £1.8m.
42. A risk assessment of the Council's level of reserves is carried out each financial year, when setting the budget and updating the financial plan. It is updated regularly during the financial year as part of the formal financial management reporting process. The risk assessment is based on the following key factors: -
- a. a review of known provisions and contingent liabilities;
 - b. the likelihood of overspend for either revenue or capital;
 - c. the likelihood of any additional income that would be credited to reserves;
 - d. the robustness of the Council's revenue budget proposals;
 - e. the adequacy of funding for the Capital Programme; and
 - f. any potential significant expenditure items for which explicit funding has not yet been identified.
43. Appendix J provides the risk assessment of the Council's level of reserves, which estimates the value of the risks at £6.9m leaving £0.1m headroom over the level of un-committed ongoing general fund reserves available of £7.0m (adjusted for the 2019/20 planned use of reserves). The un-committed reserves are not excessive for a Council of our size, which spends £478m a year; £7.0m would only run the Council for 5 days. Further work will be undertaken with an aim to increase the level of uncommitted General Fund reserves from 2018/19 and ensure the reserves remain at a reasonable level to effectively manage all future risks and liabilities. Careful consideration should continue to be given before funding any unexpected costs from reserves and where possible un-used funds should be transferred to the uncommitted reserves thereby increasing the balance available. The Council needs to hold sizeable reserves whilst delivering large budget savings in year, e.g. Adults transformation savings of over £12m from 2018/19 to 2020/21.
44. The Local Government Act 2003 requires the Chief Financial Officer to give assurance on the purpose and adequacy of the reserves of the Authority. The Chief Financial Officer has concluded that the level of the uncommitted General Fund reserve balance is adequate to meet known commitments and contingencies based on the information currently available and included in this report.

Council Tax Capping & Referenda

45. Authorities are required to seek approval of their electorate in a referendum if any proposed Council Tax increase exceeds the principles set by Parliament. The Government increased the Council Tax Referendum Cap for 2018/19 as part of the provisional Financial Settlement in mid-December 2017 from 5.0% to 6.0% for those authorities that have decided to implement up to the maximum 3.0% adult social care "precept".
46. Full details of the calculation will be presented as part of the Council Tax setting report to Council in March 2018.

OPTIONS CONSIDERED

47. A menu of options for the savings have been produced and considered over the preceding months.

REASONS FOR RECOMMENDED OPTION

48. The budget proposals seek the appropriate balance in meeting the savings whilst still delivering on the key priorities; protecting front-line services where possible in particular children's social care services.

IMPACT ON THE COUNCIL'S KEY OUTCOMES

49. These are detailed in the table below: -

	Outcomes	Implications
	<p>Doncaster Working: Our vision is for more people to be able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future;</p> <ul style="list-style-type: none"> • Better access to good fulfilling work • Doncaster businesses are supported to flourish • Inward Investment 	<p>Council budget therefore impacts on all outcomes</p>
	<p>Doncaster Living: Our vision is for Doncaster's people to live in a borough that is vibrant and full of opportunity, where people enjoy spending time;</p> <ul style="list-style-type: none"> • The town centres are the beating heart of Doncaster • More people can live in a good quality, affordable home • Healthy and Vibrant Communities through Physical Activity and Sport • Everyone takes responsibility for keeping Doncaster Clean • Building on our cultural, artistic and sporting heritage 	
	<p>Doncaster Learning: Our vision is for learning that prepares all children, young people and adults for a life that is fulfilling;</p> <ul style="list-style-type: none"> • Every child has life-changing learning experiences within and beyond school • Many more great teachers work in Doncaster Schools that are good or better • Learning in Doncaster prepares young people for the world of work 	
	<p>Doncaster Caring: Our vision is for a borough that cares together for its most vulnerable residents;</p> <ul style="list-style-type: none"> • Children have the best start in life • Vulnerable families and individuals have support from someone they trust • Older people can live well and independently in their own homes 	
	<p>Connected Council:</p> <ul style="list-style-type: none"> • A modern, efficient and flexible workforce • Modern, accessible customer interactions • Operating within our resources and delivering value for money • A co-ordinated, whole person, whole life focus on the needs and aspirations of residents • Building community resilience and self-reliance by connecting community assets and strengths • Working with our partners and residents to provide effective leadership and governance 	

RISKS AND ASSUMPTIONS

50. The additional savings proposals outlined in this report are underpinned by the Council's comprehensive risk management strategy that helps to minimise risk. The Council's Strategic Risk Register is reviewed and updated on a quarterly basis and each service has formally documented its key risks and the actions taken to mitigate those risks in service plans. Paragraph 40 details the risk assessment of the level of reserves. Key risks in relation to the 2018/19 budget include: -
- a. 2017/18 projected overspend position of £3.8m. This includes the projected overspend for the Doncaster Children's Services Trust; key pressures include Out of Authority placements, 18+ accommodation and CIC transition accommodation.
 - b. Potential shortfall on the delivery of the savings, which are increasingly more challenging to deliver. In particular, the Adults Transformation Programme which will deliver significant service changes and savings. There is significant pressure on the iBCF allocation in 2018/19 and ongoing as detailed in paragraph 24. In addition, risks surrounding the interrelationship between Council funding and spend on Adult Social Care, taking into account the iBCF 2015 settlement allocation. The iBCF will need careful managing, including reducing on-going cost pressures and delivering alternative proposals to contain costs within the available funding.
 - c. Risks around the Medium-term Financial Forecast budget assumptions, including: -
 - i. An estimate has been included for price inflation; there is a risk that the actual costs could be greater given rising inflation (CPI 3.0% for January 2018). Price inflation is applied as determined in the individual contracts; details on the price inflation applied to specific areas of contract expenditure are provided in the MTFE Appendix E paragraphs 29 to 32. All other non-pay budgets assume a cash freeze.
 - ii. There are a number of one-off grants decreasing or ending over the next 3 years, which require expenditure to reduce or cease completely retrospectively, i.e. iBCF one-off funding.
 - d. Future budget pressures, e.g. Apprentices – any proposals to increase Apprentice pay to the national minimum wage could have a significant impact, Highways – additional funding may be required post 2021/22 for highways, estimated at circa £0.35m.
 - e. The 2020/21 budget is outside the current spending review period; the 2020 Government Spending Review will determine the funding available. There is a risk that the actual funding available may differ to the estimated figures included.

LEGAL IMPLICATIONS [Officer Initials: SF Date: 16.01.18]

51. The Council must set a balanced budget ensuring that resources are sufficient to meet its proposed spending plans. The Chief Financial Officer is required to advise the Council of the adequacy of its reserves and the robustness of estimates used in preparing its spending plans.
52. The Local Government Finance Act 1992 places a duty on Local Authorities to set an amount of Council Tax on or before 10th March, in the financial year preceding that for which it is set.
53. The Localism Act 2011 introduced a new Chapter into the Local Government Finance Act 1992, which makes provision for Council tax referendums to be held if an authority increases its Council tax by an amount exceeding principles determined by the Secretary of State.
54. By Regulation, the Government allow Councils to raise Council Tax by a maximum amount. Any further increases would require a local referendum to be held on the increase. In December 2017, the Government confirmed that this year's limit is 5.99% (3% on adult

social care and 2.99% on all other expenditure). The Council Tax levels proposed by Doncaster fall below that level and if approved by Council may be implemented without the need for a referendum.

55. The Council will need to be satisfied that the budget set will ensure the Authority is able to discharge its statutory duties.
56. Under the general principles of public law, the Council must also act fairly when making budgetary changes or changes to services which potentially involve the reduction or removal of a previously enjoyed benefit. Acting fairly includes consulting fairly with those affected, conscientiously taking into account the results of the consultation and, where appropriate, having due regard to equality impact.
57. Some of the proposals outlined within the budget will affect service users and other individuals, particularly those with protected characteristics within the meaning of the Equality Act 2010. In appropriate cases, the budget may only be implemented by further decision making by either Cabinet or other duly authorised decision taker. That decision will need to be taken in full consideration of the Council's duties under the Equalities Act 2010 after full consideration of an appropriate due regard statement.

FINANCIAL IMPLICATIONS [Officer Initials: RI Date: 15.01.18]

58. These are contained within the body of the report.

HUMAN RESOURCES IMPLICATIONS [Officer Initials: KM Date: 19.01.18]

59. The implications of some of the proposals contained within the 2018/19 to 2020/21 Budget are that a number of post reductions will be required to deliver the required efficiencies. Timely and meaningful consultation must take place with affected staff and trade union representatives with appropriate formal notifications. Every effort is made to manage post reductions through vacancies and volunteers and to redeploy at-risk employees into alternative employment, ensuring appropriate support and development mechanisms are made available. However, in some instances when all other avenues have been exhausted, there may be the need to make compulsory redundancies following the statutory procedure.

TECHNOLOGY IMPLICATIONS [Officer Initials: PW Date: 19.01.18]

60. Technology is as ever an evolving key essential enabler to support the delivery of all services and the key strategic budget themes outlined in this report. Robust and effective ICT governance arrangements will continue to be needed to ensure the delivery of the key priorities. A four-year technology plan (2017-21) aligned with the Council's 4 year Medium Term Financial Forecast has been developed to ensure the resources, expertise and capacity within services is available. This will be monitored and continuously reviewed via the Council's ICT Governance Board.
61. There will also of course remain the on-going maintenance and support of all current technology as well as upgrades, responses to changes in legislation and continuous service changes and transformation. This includes the further dimension of on-line services, which allow customers to self-serve 24/7 and require constant monitoring, support, continuous development and improvement.
62. The Council's technical infrastructure providing desktop, internet and system connectivity, landline and mobile phone services to all buildings will also need to be maintained and changed or developed as required. This includes St Leger Homes, Doncaster Children's Services Trust and many schools. Increased partnership working including integration with health will also mean significant technical developments.

63. Finally, just as significant is ensuring all staff have the required digital skills to successfully embrace all the new and more efficient ways of working required. Therefore, close working between all services and the ICT and Digital Teams will be essential.

HEALTH IMPLICATIONS [Officer Initials: RS Date: 25.01.18]

64. The choices the council makes in both raising and allocating revenue budgets will impact on the health of the population. In general 20% of what contributes to health is due to clinical care, 30% due to behavioural factors, 40% due to socio-economic factors and 10% due to the built environment.

65. The State of the Borough assessment and Doncaster Growing Together plan are both informed by health outcomes and use health outcomes to monitor impact. The impact on a set of health outcomes are also incorporated in the council's corporate plan.

66. Within the financial resources available, this paper sets out clearly the broad areas of revenue investment in both universal and targeted services and how within a reduced financial envelope there are plans to maintain and even improve the quality of local services. The investments in Doncaster Learning will support children to be ready for school, whilst the investments in Doncaster Caring and Living should support reducing social isolation, increasing physical activity and improving mental health. However, investment alone in commissioned or provided services may be insufficient to change wider societal habits and conditions that contribute to these challenges. Where ever possible commissioners and providers of services should seek to maximise social value consider long term social, environmental and economic sustainability and resilience.

67. With a sustained long-term reduction in funding, there is likely to be implication on potentially increasing health inequalities. This needs to be considered during the implementation phase so that inequalities and health inequalities are addressed, and monitored.

68. Specific proposals on community safety and leisure services bring clarity on financial sustainability and these will need to be balanced against service outcomes and population outcomes. The lack of national guidance on the future of the public health grant from April 2020 places a risk on future public health activity.

69. Where further cabinet reports are required report authors should consider the need for formal health impact assessments or early involvement of the public health team to minimise unintended impacts on health. Health impacts should also be addressed in the due regard statements that are developed alongside these further reports.

EQUALITY IMPLICATIONS

70. The Council must consider and have due regard to the three aims of the general equality duty when developing and implementing the council's Medium Term Financial Forecast. The Council will ensure it makes fair and informed financial decisions, demonstrating its commitment to improving outcomes for the most vulnerable groups of people living in Doncaster. The weight given to the general duty will depend on how that area of work affects discrimination, equality of opportunity and good relations. For example, decisions on social care or community safety are likely to be more relevant and have greater impact on equality than those on waste disposal. The Council uses a simple due regard process to ensure due regard is considered and to support a transparent, effective process that is accountable to users and residents. Amongst others, the "due regard" will be informed by: -

- a. **Establishing the key equality issues across Doncaster (Equality Analysis)** – Our Equality, Diversity and Inclusion Framework 2018-2021 has been developed alongside our financial planning process and includes a significant analysis of equality information to identify the key equality issues across Doncaster. We have used the equality

information to inform our planning and saving prioritisation process. Our budget proposals seek to limit the impact of budget cuts on the most vulnerable;

- b. **Consultation** – The Mayor launched her draft budget proposals on 21st December 2017. Paragraph 72 provides further details on the consultation;
- c. **Prioritisation and Planning** – Our key strategic budget themes specifically prioritise the needs of the most disadvantaged in our communities.

71. Each saving proposal has been reviewed with regard to our Public Sector Equality Duty (PSED) obligations. Appendix L shows the budget proposals that may have an equality impact and therefore require a due regard assessment prior to implementation; this will be monitored and updated during 2018/19.

CONSULTATION

72. Directors and Cabinet have considered the budget proposals at several meetings between May 2017 and February 2018. Key dates in the budget timetable leading up to Council approving the budget on the 5th March 2018 are detailed below: -

- Government's Autumn Budget – 22nd November, 2017
- Labour Budget Group – 27th November, 2017
- Council – 4 year budget plan – 21st December, 2017
- Overview & Scrutiny Management Committee (OSMC) consultation – 18th January 2018
- Union consultation – 10th January 2018
- Council – LCTS report – 25th January, 2018
- Cabinet – Council reports – 20th February, 2018. The Chair of the Overview & Scrutiny presented the points from the Overview and Scrutiny response at the Cabinet meeting. The Deputy Mayor thanked the Chair for his comments and noted that in the Mayor's response, she had noted all the points put forward and shared Overview and Scrutiny's concerns.
- Council – 5th March, 2018

73. This report has significant implications in terms of the following:-

Procurement	X	Crime & Disorder	X
Human Resources	X	Human Rights & Equalities	X
Buildings, Land & Occupiers	X	Environment & Sustainability	X
I.C.T.	X	Capital Programme	X

BACKGROUND PAPERS

- Council Report – Revenue Budget & Council Tax 2017/18, 2nd March 2017.
<http://doncaster.moderngov.co.uk/ieListDocuments.aspx?CId=130&MId=2450&Ver=4>
- Council Report – 2017/18 budget updates, 13th July 2017.
<http://doncaster.moderngov.co.uk/ieListDocuments.aspx?CId=130&MId=2566&Ver=4>
- Council Report – Mayor's Draft Budget Proposals 2018/19 – 2020/21, 21st December 2017.
<http://doncaster.moderngov.co.uk/ieListDocuments.aspx?CId=130&MId=2568>

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Explanation of Budget Gap 2017/18 to 2020/21

	17/18 £m	18/19 £m	19/20 £m	20/21 £m	17/18 to 20/21 £m
Baseline Income:					
<ul style="list-style-type: none"> Retained Business Rates 2% increase in the multiplier £1.0m/£1.0m/£1.0m/£1.0m Top Up increases per 4-year offer £1.0m/£0.4m/£1.2m and £0.5m for 2020/21 which is outside the offer period Revenue Support Grant (RSG) reductions per 4-year offer £11.9m/£8.1m/£8.1m and £20.0m for 2020/21 which is outside the offer period Increase in Retained Business Rates in 2020/21 to replace RSG £11.9m and Public Health Grant £23.2m 	-9.9	-6.7	-5.8	16.7	-5.7
Specific Grant Reduction:					
<ul style="list-style-type: none"> Housing Benefit & Council Tax Support Admin Subsidy £0.1m/£0.1m/£0.2m/£0.1m Education Services Grant £1.8m in 2017/18 New Homes Bonus £0.1m/£0.5m/£0.4m/£0.9m Reduction in Public Health Grant £23.2m in 2020/21 as replaced by Retained Business Rates 	-2.0	-0.6	-0.6	-24.2	-27.4
Specific Grant Increase:					
<ul style="list-style-type: none"> Extended Rights to Free Transport £0.1m in 2017/18 Improved Better Care Fund (iBCF) £1.3m/£5.9m/£5.0m and £2.0m for 2020/21 which is outside the offer period S31 grant - Business Rate Inflation Compensation - Top Up £0.5m in 2018/19 School Improvement & Brokerage Grant £0.1m in 2018/19 	1.4	6.5	5.0	2.0	14.9
Customer & Client Receipts					
<ul style="list-style-type: none"> Medical Examiners fees 	0.0	0.0	0.2	0.0	0.2
Staffing Budget Pressure:					
<ul style="list-style-type: none"> Pay Inflation – estimate based on recent LG circular £0.7m/£2.1m/£2.4m/£1.6m Increments £1.4m/£0.2m/£1.2m/£0.2m Employers Pension - £0.0m/£1.0m/£0.4m/(£4.3m) Auto Enrolment from 01.10.17 £0.2m in 2017/18 and £0.2m in 2018/19 	-2.4	-3.5	-4.1	2.5	-7.5
Prices Changes:					
<ul style="list-style-type: none"> Adult Social Care Contracts £2.0m/£3.0m/£3.0m/£3.0m Other inflation £3.3m/£2.5m/£2.1m/£2.0m 	-5.3	-5.5	-5.1	-5.0	-20.9
Levying Bodies and Other Expenditure	-0.1	-0.3	-0.1	-0.1	-0.6
Service Budget Pressures:					
<ul style="list-style-type: none"> Full details are shown in Appendix C 	-5.3	-5.6	-4.6	-3.5	-19.0
Total Funding Gap	-23.6	-15.7	-15.1	-11.6	-66.0

Budget Proposals 2018/19 to 2020/21 - Detail

			Total		£5.615m	£4.551m	£3.464m	£13.630m
Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m
2017/18 Pressure	AH&Wb	Demographics	The Council is facing pressures on its services as a result of an ageing population and a greater demand from working age adults (mainly physical disabilities). Based on local population forecast data it is estimated that this increased demand will cost circa. £0.4m per annum. For 18/19 This includes £208K for Older People, £162K for Working Age Residential and £35k for Home Care and Direct Payments. Doncaster's population aged over 65 is expected to grow by almost 7% between 2017 and 2021 from 57,900 to 61,700. In addition to this it is anticipated that the number of 18-64 people supported by the council will increase by at least 12% over the same period.	0.446	0.405	0.441	0.460	1.306
2017/18 Pressure	AH&Wb	Direct Payments	Client numbers with Direct Payments have increased from 610 in April 17 to 766 in September 17, and Direct Payments are expected to continue to grow by roughly 1-2 per week ongoing. Growth of £309k in 18/19 would fund 37 clients at the current average cost of £8.3k. Direct Payments offer individuals a wider range of choice, and will be offered to service users early in the customer journey. It will be a better option than Day Service, Home Care and Supported Living for many service users. Gross exp £10.5m, gross inc £3.6, net £6.9m	0.220	0.309	0.461	0.303	1.073
2017/18 Pressure	AH&Wb	Supported Living	The Council is working with the market to invest in new Supported Living options (e.g. Extra Care, Shared Lives, Short Stay). This will support the shift away from residential care and help delivery of the Working Age Residential Care saving. £1.183m equates to 72,000 hours and 2,390 sleep-ins or roughly 25 service users.	0.104	1.183	1.150	0.436	2.769

Appendix B

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m
2017/18 Pressure	AH&Wb	Transitions	The investment of £265k pa is planned to support young people transitioning from children's services into adults services. In 2018/19 a further 4 children are expected to transition currently costing the Council £661k. We will be working with the individuals and their families to develop appropriate support packages which provide the necessary support and maximises their independence. The £265k growth will be monitored closely to ensure resource is adequate.	0.265	0.265	0.265	0.265	0.795
2018/19 Pressure	Council-wide	Adjustment to previous year savings	This adjustment is required to remove the centrally held savings target from previous years. This includes the modern and productive savings target which is being delivered through the savings included in the budget plan following the changes implemented to increments and the Education Services Grant reduction of which the majority has been delivered leaving a balance that could not be identified.	0.000	0.466	0.000	0.000	0.466
2018/19 Pressure	Council-Wide	Data Protection Lead Officers	The new General Data Protection Regulation (GDPR) is due to take effect from 25 May 2018 and will introduce significant changes to the current data protection rules in the Data Protection Act 1998 which the Council must comply with. The Council is proposing to recruit four permanent Data Protection Lead Officers (CR, AH&WB, L&OCYP and R&E) to assist the one Council Data Protection Officer and other members of the working group already established to implement the changes across the Council, ensure DCST, SLHD and relevant Schools also meet their duties as key partners and to also maintain these arrangements successfully thereafter.	0.000	0.172	0.000	0.000	0.172
2018/19 Pressure	Council-wide	Medical Examiners	Appointment of Medical Examiners by Local Authorities for implementation of medical certificates of cause of death reforms from April 2019. It is anticipated that this additional cost will be funded from customer and client receipts in 2019/20, this is included in the medium-term financial forecast	0.000	0.000	0.175	0.000	0.175
2017/18 Pressure	Council-Wide	Other Service Pressures	Circa £2m provided general service pressures for future years; this is based on the overall pressures required for 2018/19 (excluding Adults, Health & Wellbeing).	0.600	0.000	2.148	2.100	4.248

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m
2018/19 Pressure	Council- Wide	Treasury Management	Additional funding required for increased treasury management costs. This results from additional borrowing for the capital programme which now falls on Treasury Management due to a policy change and to replace funding removed through the 2017/18 saving.	-2.230	0.600	0.000	0.000	0.600
2017/18 Pressure	LOCYP	Additional Aiming High / Short Breaks	Aiming High/Short Break placements/activity - continuing pressures on this budget. Short Breaks Task Group is currently reviewing this area and financial modelling across next 5 years indicates return to a balanced budget by 2019/20. The financial modelling carried out by the Short Breaks task group has identified that £118k is required in 2018/19 and £59k in 2019/20.	0.200	0.118	0.059	0.000	0.177
2018/19 Pressure	LOCYP	LOCYP: Education Services Grant	The Education Service Grant (ESG) was cut from April 2017, with savings to LOCYP required of £1.36m from 2017/18. Through the LOCYP management review £0.55m savings were identified, leaving a remaining balance of £0.81m. The achievement of this saving is not expected to be possible in full due to the level of cuts required and the cuts already made in 2017/18 through the Management and Early Help restructures. The service will review the remaining £313k and anticipate potential savings could be realised through remodelled business support, additional digital savings and directorate efficiencies which will need to be identified for 2018/19.	0.000	0.500	0.000	0.000	0.500
2018/19 Pressure	LOCYP	Passenger Transport	Cost pressure created by a judicial review regarding the use of section 19 permits to operate Mini buses. Consultation was expected in Autumn from DFT but has not yet materialised. The main issue is whether LAs & schools will still be able to operate under section 19 as Transport is not their main business. If they can still operate using section 19 the pressure can be removed. Detailed analysis shows annual costs will increase by £10k with a further £159k needed in 18/19 and £117k every five years.		0.169	-0.159		0.010

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m
2018/19 Pressure	LOCYP	Remove Early Help savings duplicated	Early help saving duplicated with the Children's Trust saving - reduce previous years saving. The remaining Early Help cut of £370k is funded from Service Transformation Fund (STF) in 2017/18. The saving is to be reduced by £200k in 2018/19, as duplicated with Children's Trust saving, and the balance of £170k is expected to be achieved via LOCYP's functional review.		0.200			0.200
2018/19 Pressure	R&E	Assets and Property	Colonnades Shops rental income - rent reviews for two large units reduced rents from £75k and £85k to £30k each.		0.100			0.100
2018/19 Pressure	R&E	Bereavement	The withdrawal of Hull City Council from a burden share arrangement from July 2018 means £45k of income will not be achieved in future. Additional grass cutting for Mother's Day at Rosehill and other cemeteries is costing £11k.		0.045	0.011		0.056
2018/19 Pressure	R&E	Energy	A target of £100k was set for savings from Solar Panels. Though £27k has been achieved the balance is unachievable due to changes in the feed in tariff which mean savings are much lower for each panel and change in focus to a wider separate commercialisation target. Panels have been installed on all Council buildings where it is possible.		0.073			0.073
2018/19 Pressure	R&E	Incentive Scheme for Apprentices	It is proposed to introduce an incentive scheme for entry level Apprentices who are on minimum pay, to assist them with costs such as travel to work, clothing and offering a bonus on satisfactory completion of 6 month probationary period. A more attractive package will help to recruit Apprentices and encourage them to stay on the programme. The cost is estimated based on 2017/18 entry level new starts. This will vary from year to year depending on numbers.		0.040			0.040

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m
2018/19 Pressure	R&E	Inclusive Growth	<p>Doncaster Growing Together (DGT) Inclusive Growth request:</p> <ul style="list-style-type: none"> - Investment & Enterprise £174k- to align the team's work around the Government's Place Based Industrial Strategy, delivering the DGT priority to target inward investment securing additional business and growth in targeted sectors and higher business rates, increase economic productivity and the number of higher skilled jobs available to Doncaster residents. Additional resources are required to funding Sector Growth posts previously funded from temporary/grant funding £109k and provision of better marketing tools to identify new companies/generate new investment £65k. - Employment & Advancement Service £86k - to deliver a more person/family centred model of support providing skills and coaching to help people advance in the workplace and increase their earnings, to increase numbers accessing employability skills & training support, increase economic activity and household incomes amongst Doncaster residents reducing the reliance on benefits. Resources required for the development of this service include funding for a post previously grant funded and a new post to provide community benefits through support around the social economy and food. - Apprenticeship Service £100k - a team is required to administer the levy and meet public sector apprenticeship targets, this cost cannot be charged to the levy and therefore additional funding is required (this is an overspend in 2017/18). 		0.360			0.360
2018/19 Pressure	R&E	Metroclean	This is required to offset a reduction in income from Schools and Academies.		0.055			0.055

Appendix B

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m
2018/19 Pressure	R&E	North Bridge depot	Additional significant operational costs as a result of more staff moving to the depot as well as security costs following several thefts & unauthorised access issues. Annual supplementary security costs £60k with further internal security initiatives to be agreed and implemented with Community Safety and in liaison with SY Police who have now provided a dedicated support resource. A Site Security Review was completed by Zurich Insurance Risk Engineering in August. The other running costs of the depot have increased by £60k on top of an already pressured budget. Initial discussions on proposals on areas of capital investment at the location which would reduce running costs & risk have commenced.		0.120			0.120
2018/19 Pressure	R&E	Planning	Local Plan examination - An estimated £200k of additional funding is needed over 2018/19 and 2019/20 to meet a shortfall in budget due to both the majority of Local Plan Inspection costs (Examination in Public) and the remaining cost of the Joint Waste Plan evidence base falling into 2018/19 & 2019/20. An earmarked reserve and a £29k annual budget are being used to contribute to overall cost of the Plans.		0.100	0	-0.100	0.000
2018/19 Pressure	R&E	Public Buildings Maintenance (PBM)	Changes in policy for the use of agency staff have severely reduced the capability to recruit the quality of candidates required due to a maximum length of appointment of 6 months. This years financial plan will be revisited due to the type of work changing to a delivery & managements of projects & work streams instead of total delivery, this may just be a short term phase. The emphasis is to return to a business model of resourcing to known minimum workloads utilising the highly engaged multiskilled workforce & deploying temporary staff to support delivery of increased workloads or extra projects. Vacancies are not being filled.		0.100			0.100
2018/19 Pressure	R&E	Schools Catering	Surpluses that can be achieved are less than in the past due to conversions to the academies leading to income reductions. Average meal price reduces from £2.45 to £2.21. The pressure is calculated based on the 2017/18 position adjusted for further academy conversions and additional trading days.		0.200			0.200
2018/19 Pressure	R&E	Strategic Housing	Loss of income due to Gypsy & Traveller site voids being higher than budgeted (over 12% rather than 3%)		0.035			0.035

Budget Proposals 2018/19 to 2020/21 - Detail

			Total		-£17.702m	-£14.318m	-£12.436m	-£44.456m	54.5	59.0	22.0	135.5
Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2018/19 Saving	AH&Wb	Admin and business support (AHWb)	Savings are planned to be achieved by streamlining and automating directorate administration. The saving of £342k equates to a reduction of 15 posts. The full savings are not possible until the planned changes in the Customer Journey and Community Led Support ways of working are fully implemented. We estimate c. 8 posts will be reduced. £171k from IBCF will be used to manage the delivery of this target over the next two years. Present net budget £690k (approx. 33 posts)	0.000	-0.342	0.000	0.000	-0.342	8.0	7.0	0.0	15.0
2018/19 Saving	AH&Wb	Community Safety	The Community Safety service will explore internal savings at a local level to deliver this proposal from all areas of the budget, including a blend of post reductions and exploration of commercial and external funding opportunities with the Alarm Receiving Centre, which is now on a footing to provide CCTV and alarm monitoring. The Council will continue to explore possible alternative shared service options with other local authorities and the Police. An Options Appraisal will be undertaken. The Police and Crime Commissioner are providing funding direct to Doncaster Rape and Sexual Abuse Counselling Service (DRASACs), this enables the grant from the Council to be reduced by £20k in 2018/19 (further details in Appendix G). Present net budget: £800k.	0.000	-0.020	-0.172	0.000	-0.192	0.0	2.0	0.0	2.0
2017/18 Saving	AH&Wb	Customer Journey	Increased use of information advice & guidance and a redesigned community model that helps individuals to find new and better ways to meet their needs will reduce the number of individuals requiring social care services. Improvements that make better use of technology, that streamline, automate and focus our work will also make it possible to undertake our business with fewer staff resources. Savings of £1.076m, equating to 31 posts (13% of establishment) are planned to be achieved by changing the way we work. These staff savings can only be achieved when the new Customer Journey and Community Led Support ways of working are fully implemented. A more modest target of £200k (6 posts) is planned for 18/19, with the biggest reduction of £715k (21 posts), planned for 2019/20. Present net budget: £8.1m (staffing 235 FTEs)	-0.469	-0.200	-0.715	-0.161	-1.076	6.0	21.0	4.0	31.0

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2017/18 Saving	AH&Wb	Day Opportunities	The service is being modernised to give service users better choice and control, to give them the option for individualised and more meaningful activities closer to where they live and access to employment. Most day opportunity users will be expected to take a direct payment (separate investment money set aside) to pay for a wider range of activities tailored to their individual needs. If service users choose to take up these opportunities, closer to where they live, the current Council's staff costs and transport costs will reduce. Total savings of £809k (£566k staffing / £243k transport) are planned to be achieved by the promotion of new Day Opportunities. The savings for the first year are £328k (£230k staffing / £98k transport). The Day Opportunities programme is already underway. The savings are spread evenly over the planned period. Present gross exp £2.9m, gross inc £0.5m, net £2.4m. (staffing 95 FTEs)	-0.253	-0.328	-0.336	-0.145	-0.809	5.0	10.0	5.0	20.0
2017/18 Saving	AH&Wb	Home Care	New ways of working across the social care service will make savings in home care costs possible. Customers will be encouraged to make best use of assistive technology, and people will be encouraged to source their own support through, for example, the Information, Advice and Guidance resource called Your Life Doncaster. More people will be helped to stay independent for longer by increasing the focus on rehabilitation and reablement. All these initiatives will reduce the demand for care hours, providing a saving for customers as well as the Council. Since the beginning of 2017/18 the number of packages paid for directly by the council have reduced from 1,277 per week to 1,057 per week @ August 17. This is mainly due to service users moving to direct payments as part of the new CCaSH arrangements. This was a planned outcome and additional budget has been set aside (see below) to cover the transfer of costs from homecare budgets to direct payment budgets. In addition to the transfer of costs to direct payments, work has also been undertaken to review the care plans of around 400 individuals. This is likely to have reduced homecare costs but the revised packages of care are still being agreed and costed so finalised figures are not yet available. The gross home care reduction over in 2018/19 is £0.588m, which equates to a reduction of 64 clients (approximately 39,600 hours). It is unlikely that this can be achieved in full by the end of 2018/19, so £0.5m Adult Social Care grant has been allocated in 2018/19. Gross exp £10m, gross inc £3.4m, net £6.6m.	-0.724	-0.588	-0.490	-0.386	-1.464	N/A	N/A	N/A	N/A

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2018/19 Saving	AH&Wb	Housing related support services	<p>The council and relevant partners are reviewing (discretionary) housing related support services with a view to the transition of current service users to more appropriate forms of support. There are currently 12 related contracts covering 58 units and 610 packages of support (including floating support and hostels) that mainly relate to mental health, children, homelessness and learning disabilities. The plan is to work towards the following notional targets over the 2 years based on spend levels:</p> <p>Children & Young People (4 contracts) £0.388m Complex Lives (3 contracts) £0.661m Mental Health (3 contracts) £0.300m Learning Disability (1 contract) £0.063m Domestic Abuse Support (1 contract) £0.026m Total £ 1.438m</p> <p>This area of work originated with historical Supporting People arrangements (plus other minor areas) and many of the contracts are considered to be quite traditional in approach. More efficient or entirely new ways of working are being investigated for the benefit of the people concerned in addition to releasing savings.</p> <p>The AHWB directorate is working with colleagues in other parts of the council and with wider Doncaster partners, to make sure that the risks to individuals are minimised and that where necessary suitable alternatives are available for the people concerned.</p> <p>Present gross exp £3.7m, gross inc £1.1m, net £2.6m</p>	0.000	-0.719	-0.719	0.000	-1.438	N/A	N/A	N/A	N/A
2017/18 Saving	AH&Wb	Leisure Trust	<p>This proposal is linked to the Get Doncaster moving, where we want more people to be physically active not only through organised sport but making healthy choices in everyday activities such as active travel. There will be more capital investment for leisure, which will assist DCLT to deliver the general revenue efficiencies from 2019/20 and therefore reduce revenue subsidy for taxpayer.</p>	-0.250	0.000	-0.250	0.000	-0.250	N/A	N/A	N/A	N/A

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2017/18 Saving	AH&Wb	Residential Care - Older People	<p>Considerable progress has already been made over the last 2 years in enabling older people to remain independent in their own homes, resulting in fewer people needing residential care. We began 17/18 with 850 DMBC funded clients and the aim was to reduce to 798 by March 18 (52 less), but based on quarter 2 figures we are now forecasting 64 less by the year end, i.e. DMBC client numbers reduce to 786.</p> <p>To deliver the savings in 2018/19 a further net reduction of approximately 36 places is required. This will deliver £327k of savings with staggered reductions over the course of 2018/19, which equates to £654k in a full year. This plus the additional £208k funding highlighted for demographics (see below) will deliver the required £862k in a full year. However, due to the gradual reductions expected in 2018/19 one-off Adult Social Care grant will be required to cover the £0.4m next year.</p> <p>The total savings of £1.975m planned between 2018/19 and 2020/21 equate to 14% of the net budget.</p> <p>Present gross exp £28.6m, gross inc £14.6m, net £14.0m</p>	-1.418	-0.862	-0.611	-0.502	-1.975	N/A	N/A	N/A	N/A

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2017/18 Saving	AH&Wb	Residential Care - Working Age	<p>The saving can be achieved through the transition of people from residential settings into more appropriate, more independent support options, including supported living, extra care and shared lives. This change would be beneficial for the individuals concerned and result in more efficient personalised services.</p> <p>We have reviewed the majority of clients, and evidence indicates that there are 72 people who would be better served by such alternative provisions. To achieve £3.211m we need to reduce the current 193 client base by 72 individuals, at an average cost of £44.5k.</p> <p>In 18/19 we are planning to achieve savings of £400k; which equates to 9 clients in total. However because not all these individuals will move at the start of the financial year, we will need to support approximately 15-20 people to move on to alternative provisions in 18/19 to achieve these savings.</p> <p>There is £2.8m investment available in Supported Living, including £1.2m next year, to fund alternative provisions.</p> <p>There is also money available for demographic changes (£162k) and transitions from childrens services (£265k) to fund the rising demand for Working Age Residential and Supported Living services.</p> <p>The investment in Supported Living will only be incurred when there is confidence that the residential reductions can be achieved.</p> <p>Present gross exp £10m, gross inc £0.8m, net £9.2m</p>	-0.900	-0.400	-1.537	-1.274	-3.211	N/A	N/A	N/A	N/A
2018/19 Saving	AH&Wb	Stronger Communities	<p>The saving is planned for 2020/21 and relates to Council staff reductions enabled by an alignment of teams to the community led support model and enablement agenda, leading to productivity improvements.</p> <p>The saving of £200k is 9% of the net budget and equates to a reduction of approximately 7 FTEs.</p> <p>Present gross exp £2.99m, gross inc £0.75m, net £2.24m</p>	0.000	0.000	0.000	-0.200	-0.200	0.0	0.0	7.0	7.0

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2018/19 Saving	AH&Wb	Supported Living	<p>The ambition is to help individuals to live more independently in communities and therefore require less traditional social care, including fewer care hours. Existing service users will also be encouraged to move to individual budgets e.g. Direct Payment (DP), Individual Service Fund (ISF) etc. to support increased choice and control.</p> <p>The Supported Living service is largely delivered through 4 Contracts (Living Ambitions, Lifeways, St Anne's and Mencap) which are due to be re-let on the 1st of September 2018. This is an opportunity to move away from the conventional methods of support, and promote the strengths based approach (including support options such as extra care, shared lives and short stay). The Council will also look for efficiency savings in this area and examine alternative ways of delivering the in-house provision.</p> <p>A saving of £1.761m (12% of net budget) is planned by giving the current 295 users of the service greater independence and choice and control over the support they receive. The saving planned for 2018/19 is £900k.</p> <p>Any shortfall on these savings will be off-set by a reduction in the £1.2k investment planned for Supported Living in 2018/19.</p> <p>Forecast spend for 2018/19 is £14.2m, funding approximately 295 clients. Average cost per client is c. £48k</p>	0.000	-0.900	-0.443	-0.418	-1.761	N/A	N/A	N/A	N/A
2018/19 Saving	Children's Trust	Children's Trust	<p>The Children's Trust have put forward a range of savings to achieve the overall £4.5m target and these have been reviewed by Council officers. They represent a package of options that should not reduce the quality of services offered. The Children's Trust is a separate company and it is their decision and responsibility to make the savings necessary to meet the agreed contract price and it is not the Council's decision as to what these savings initiatives are. The main changes relate to changes in the care ladder to try to ensure that a slightly larger proportion of children are not at the high cost end of the care ladder. There are also a number of business support efficiencies, reduced use of agency staff and some restructuring of services. The Children's Trust did not adopt terms and conditions changes when the council did and is considering its options, as it has to, in light of the proposed national pay award. The Children's Trust is also in the process of implementing the changes to guardianship and responsibility order payments arrangements previously agreed with the Council and this will contribute to the savings target in 2018/19.</p>	0.000	-2.000	-2.000	-0.500	-4.500	TBC	TBC	TBC	TBC

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2017/18 Saving	Council-Wide	Business Rates Income	Business rates growth - 2% per annum increase in multiplier already included in the 1st March 2016 Council approved MTFF. Additional growth has been identified for future years based on known and expected developments, e.g. for 2018/19 new build at the Iport, several new supermarkets across the borough and new developments at Quora Retail Park Thorne (two retail units, hotel and fast-food unit) £1.06m in 2018/19; a higher multiplier for 2018/19 based on 3% CPI rather than the MTFF assumption of 2% £0.64m and additional section 31 grant compensation due to central government policies, e.g. using CPI of 3% rather than RPI of 3.9% in 2018/19 £0.39m. An additional £1m growth in 2020/21 generated by the additional Business Rate Incentive one-off funding. The Fellowes unit at the I-port is part of the incentive scheme and is now generating business rates.	-1.367	-2.095	-0.035	-1.072	-3.202	N/A	N/A	N/A	N/A
2018/19 Saving	Council-Wide	Commissioning	The commissioning of services from external organisations, by the Council and health partners, will be brought together. As we move towards the full integration of health and social care services in the future. This is expected to deliver efficiencies as we reduce duplication and do more joint commissioning.	0.000	-0.100	0.000	0.000	-0.100	3.0	0.0	0.0	3.0
2017/18 Saving	Council-Wide	Council Tax Base Growth	Council Tax base growth - based on the latest estimate for the Council Tax Base for 2018/19 (actual Band D equivalent growth of 1,120 in 2017/18 and estimated Band D equivalent growth of 730 in 2018/19). 2019/20 onwards an estimate is included based on additional net (i.e. new properties less demolitions) 750 band D equivalent properties per annum (e.g. 1,125 band A properties are equivalent to 750 band D properties).	-0.859	-1.941	-0.887	-0.980	-3.808	N/A	N/A	N/A	N/A
2017/18 Saving	Council-Wide	Council Tax Collection Fund	Collection Rate loss on collection reduced from 3% to 2% in 2017/18 and remaining at 2% for future years. Collection Fund surplus - increase in distribution of the Council's share of the accumulated surplus in the Collection Fund from £2.527m to £2.855m in 2018/19. As the accumulated surplus is one-off, the amount taken out from 2019/20 onwards needs to be managed down to a sustainable level. Pressure in 2018/19 to fund proposed new scheme for council tax discounts/exemptions for care leavers up to age 25 estimated at £120k.	-0.666	-0.328	0.658	0.087	0.417	N/A	N/A	N/A	N/A
2017/18 Saving	Council-Wide	Council Tax Increase	Council Tax increase 1.99% in 2018/19 and 1.99% each year thereafter (Doncaster Council's 2018/19 overall charge for a Band A property increases by £32.93 to £858.13, this includes the 2% social care increase shown below).	-1.880	-1.992	-2.086	-2.189	-6.267	N/A	N/A	N/A	N/A

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2017/18 Saving	Council-Wide	Council-wide - Reduce Senior Management	Considerable savings have been delivered from 2015/16 to 2017/18 of £0.7m; these savings have been front loaded. Overall senior management numbers have reduced by 7 posts over this period to 54 in 2017/18, this is a reduction of 13%. Leadership posts are currently 1% of overall staff numbers. These savings are on top of earlier savings and reductions in posts from 2011 - 2015 of 33%. The 2019/20 saving will be achieved by reducing the number of Senior Management posts across the Council following reviews of activity and re-shaping of services.	-0.100	0.000	-0.100	0.000	-0.100	0.0	2.0	0.0	2.0
2017/18 Saving	Council-Wide	Fees & Charges	General fees and charges increases - the overall policy for 2018/19 is to increase the charges by RPI (3.9% for September 2017) with some higher charges to ensure the Council is not subsidising the charges.	-0.243	-0.302	-0.350	-0.350	-1.002	N/A	N/A	N/A	N/A
2017/18 Saving	Council-Wide	Government's Social Care "precept"	Estimate for the Government's 2% per annum Social Care "precept" up to a maximum of 6% in total for the 3 years 2017/18 to 2019/20. Profiled 2017/18 2%, 2018/19 2%, 2019/20 2%. Assumes this continues after 2019/20 at 2% per annum.	-1.889	-2.003	-2.097	-2.199	-6.299	N/A	N/A	N/A	N/A
2018/19 Saving	Council-Wide	Metropolitan Debt Levy	South Yorkshire County Council was abolished in 1986 and its 4 metropolitan boroughs (Doncaster, Barnsley, Rotherham and Sheffield) became unitary authorities. The SYCC debt was allocated out to the 4 Councils and, as at 01/04/17, Doncaster's share of the debt was £8.3m. The final year of the levy is 2020/21. The principal repayment increases every year but the interest payment is expected to fall faster than principal repayment in 2018/19.	-0.067	-0.078	0.051	0.039	0.012	N/A	N/A	N/A	N/A
2018/19 Saving	Council-Wide	Parish Councils	Local Council Tax Support (LCTS) Parish Council Grant reductions - current grant is £252k. 10% reductions were implemented in 2015/16 and 2016/17 but not 2017/18. Remainder of grant profiled to reduce annually from 2018/19 by 20%, 30% and 30% reducing to zero in 2020/21.	0.000	-0.064	-0.094	-0.094	-0.252	N/A	N/A	N/A	N/A
2017/18 Saving	Council-Wide	Pension	A reduction in pensions paid to former employees. The number of former employees falls every year. The budget in 2017/18 is £5.3m.	-0.100	-0.180	-0.100	-0.100	-0.380	N/A	N/A	N/A	N/A
2017/18 Saving	Council-Wide	Procurement	Procurement savings target (Regeneration & Environment/Finance & Corporate Services & Education). Proposals are currently being reviewed and include the Waste Collection contract in 2020 for example.	-0.250	-0.150	-0.183	-0.260	-0.593	N/A	N/A	N/A	N/A
2017/18 Saving	Council-Wide	South Yorkshire Passenger Transport Executive (SYLTE)	SYLTE savings, a £411k saving equates to 3% reduction in our levy payment, offset by £55k in 2018/19. This will mainly be delivered from demand-led reductions (concessionary fares for adults) and use of reserves, there will not be any policy changes in 2018/19.	-0.675	-0.356	-0.279	-0.240	-0.875	N/A	N/A	N/A	N/A
2018/19 Saving	CR	Audit Fee	Reduction in the annual audit fee as a result of a new national contract let by Public Sector Audit Appointments Ltd.	0.000	-0.030	0.000	0.000	-0.030	N/A	N/A	N/A	N/A

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2017/18 Saving	CR	Corporate Services	Savings target for reducing Corporate Services in line with the overall Council reduction and improved efficiency. Savings from more streamlined activity, specific savings to be identified in preparation for delivery in 2019/20.	-0.100	0.000	-0.200	-0.200	-0.400	0.0	6.0	6.0	12.0
2018/19 Saving	CR	Revenues & Benefits	We are monitoring the impact from the introduction of Universal Credit and are aiming to reduce staffing by removing vacant posts.	0.000	-0.118	0.000	0.000	-0.118	5.0	0.0	0.0	5.0
2017/18 Saving	LOCYP	LOCYP: Functional Review	A functional review of the LO-CYP structure is underway and will contribute towards phase 2 restructure target of £0.8m over 2018/19 to 2020/21. The functional review, including staff consultation and identification of savings is expected to be completed by March 2018. The functional review is anticipated to deliver £500k savings by 2020/21, this includes transitional staffing arrangements through 2018/19 and 2019/20.	-0.850	-0.183	-0.350	-0.267	-0.800	23.5	7.0	0.0	30.5
2016/17 Saving	R&E	Assets	These figures represent the final years of a £4.8m savings programme centred around reducing the running costs and increasing income related to the Council's asset portfolio. This is being achieved through a reduction in the number of assets, either through disposal or lease (7 buildings to be disposed of in 2018/19); reducing the running costs of the retained buildings through a review of contracts; reducing staff related to buildings and through increased income from leasing assets/space. The Council is also working very closely with partners across the public sector to share space where possible and increase utilisation of operational buildings. The profile of savings has been reviewed.	-0.289	-0.423	-0.493	-0.200	-1.116	0.0	0.0	0.0	0.0
2017/18 Saving	R&E	Highways (general fund)	The Highways general fund 2018/19 saving of £500k will be achieved by the following, (a) Asset Maint - £75k - Reduction in routine maintenance (patching) £60k and Carriageway reactive maintenance £15k. (b) Safer Roads - £10k - Reduction in road safety works £5k, Educational Materials £5k. (c) Network Management - £20k - Increase in network management fee £20k. (d) Highways Operations £40k - Increase in Trading income £30k and Increased Mechanisation £10k. (e) There will also be a transfer from the Capital Local Transport Plan (LTP) of £355k to ensure the full saving is achieved. The 2020/21 saving will be met through Asset Maint £75k, Safer Roads £10k, Network Management £30k, Highways Operations £20k and a further transfer from the Local Transport Plan £365k, which is not sustainable beyond 2021/22.	-0.500	-0.500	0.000	-0.500	-1.000	0.0	0.0	0.0	0.0

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2017/18 Saving	R&E	Highways and Streetscene	The Highways Operations and Streetscene saving of £500k for 2019/20 will be achieved by:- (a) Highways Operations - £210k - Smartlight Phase 2 (through reduction in spend on materials, equipment, testing and energy) (b) Street Scene - £290k through:- (i) Service Devolution - Partnership Working £34k (ii) Woodland Improvement Project £35k (Branching out to other woodlands) (iii) Service Commercialisation - Maximise Trading income £90k (Charge for services potentially including charging businesses for jet washing, fly tipping, gum removal) (iv) Service Transformation - 7 day working £131k (Posts to be deleted)	-0.500	0.000	-0.500	0.000	-0.500	0.0	4.0	0.0	4.0
2018/19 Saving	R&E	Independent Travel Training Scheme	Independent Travel Training Scheme - savings will be delivered through training young people and vulnerable adults to travel independently and thereby reducing expensive forms of transport e.g. taxis.	0.000	0.000	0.000	-0.025	-0.025	N/A	N/A	N/A	N/A
2017/18 Saving	R&E	Planning and Building Control	The 2018/19 are assumed to be achievable through increased planning application income. Income levels can be volatile due to the large sums paid in relation to major developments. Increasing the budgeted income relies on several major developments taking place each year.	-0.100	-0.100	0.000	0.000	-0.100	N/A	N/A	N/A	N/A
2017/18 Saving	R&E	Regulation & Enforcement	The Regulation & Enforcement team employs 218 FTEs and its focus is on compliance, robust enforcement and the provision of advisory functions across a wide range of services (e.g. licensing, parking, littering, fly-tipping) which protect the health and wellbeing of residents, employees and visitors to the borough. The target will be achieved through :- (a) Service efficiencies - Including out of hours and full service review and restructure (£125k) (b) Review of provision of all non-statutory services offered - Work on-going to RAG rate and review all work which will achieve the saving (£15k) (c) Cost Recovery/Commercialisation - Generate more income from working with other LAs and partner organisations (£60k). Includes £40k with Rotherham MBC through the Kingdom contract.	-0.100	-0.200	0.000	0.000	-0.200	4.0	0.0	0.0	4.0

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
2017/18 Saving	R&E	Waste Contract	<p>Various changes to be implemented alongside the new waste collection contract which starts in October 2017. 18/19 saving to be achieved by:-</p> <p>(a) Introduction of a charge to residents for replacement bins - Total saving will be £220k (approved in the 2017/18 budget and implemented during 17/18, balance of saving (£110k) will be achieved in 18/19) based on 5,000 black bins and 4,500 green bins per year. Replacing recycling boxes remains free.</p> <p>(b) Non infectious clinical waste to now be collected with domestic collection rather than separate collection which makes a total saving on both collection and disposal costs of £75k (implemented in 17/18, balance of saving (£40k) will be achieved in 18/19).</p> <p>(c) Fridges/Freezers no longer to be collected for free, residents to pay for collection now generating a total saving of £35k (implemented in 17/18, balance of saving (£15k) will be achieved in 18/19). Fridges/Freezers can be deposited at the Waste & recycling centres for free.</p> <p>(d) Removal of the free collection of asbestos saves £15k, (implemented in 17/18, balance of saving (£7.5k) will be achieved in 18/19). Asbestos can still be deposited at the Armthorpe Waste & Recycling centre for free; from 2018/19 this will be limited to 5 * 25kg bags.</p> <p>(e) Increasing charge for bulky collections saves £55k (implemented in 17/18, £27.5k achieved in 18/19).</p> <p>The £300k saving in 20/21 will be met through the new waste collection contract and the diversion of further waste to the PFI facility to take advantage of lower gate fees.</p>	-0.200	-0.200	0.000	-0.300	-0.500	0.0	0.0	0.0	0.0

Budget Proposals 2018/19 to 2020/21 - Detail

			Total		-£5.783m	-£4.345m	-£2.297m	-£12.425m	8.5	9.0	0.0	17.5
Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
Grant Exit Strategy	AH&Wb	Adult Social Care Grant	One-off Adult Social Care grant of £1.520m in 2017/18 and £0.944m in 2018/19. Only one-off expenditure will be funded from the grant, e.g. transformation costs.		-0.576	-0.944		-1.520	0.0	0.0	0.0	0.0
Grant Exit Strategy	AH&Wb	Flexible Homelessness Support Grant	The Flexible Homelessness Support Grant is ring-fenced for two years 2017/18 and 2018/19. The government has not stated whether it will continue after 2018/19 or if it will continue to be ring-fenced.		0.000	-0.228	0.000	-0.228	0.0	0.0	0.0	0.0
Grant Exit Strategy	AH&Wb	Improved Better Care Fund (iBCF) (March 2017 announcement)	The new iBCF grant announced in the March 2017 budget is a 3 year grant and reduced year on year; it is being used to fund pressures in AH&Wb. Further details on the iBCF spend plan are provided in the MTFE (Appendix E) at paragraph 10.		-2.730	-2.180	-2.136	-7.046	0.0	0.0	0.0	0.0
Grant Exit Strategy	AH&Wb	Independent Living Fund	Independent Living Fund - expenditure will be reduced to meet the funding reduction.	-0.025	-0.023	-0.021	-0.022	-0.066	0.0	0.0	0.0	0.0
Grant Exit Strategy	AH&Wb	Public Health Grant	The Public health grant for 2018/19 is £23.8m, a reduction of £0.63m from the 2017/18. 2018/19 savings will be made through reductions in the contract value of recently tendered public health commissioned services and by committing £0.24m of the public health reserve. For information, the total value of commissioned services for 2018/19 is £17.7m. Savings for 2019/20 (estimated grant reduction is £0.63m) will exhaust the public health reserve and require developing options for the recommissioning of NHS health checks and sexual health services.	-0.618	-0.628	-0.629	0.000	-1.257	0.0	0.0	0.0	0.0
Grant Exit Strategy	Children's Trust	Unaccompanied Asylum Seekers Children (UASC)	Activity is expected to reduce in 2018/19 therefore the level of grant funding from the Home Office will reduce accordingly.		-0.090	0.000	0.000	-0.090	0.0	0.0	0.0	0.0
Grant Exit Strategy	Children's Trust	Youth Justice Board	Youth Justice Board - actual grant reduction to be announced. Expenditure will be reduced to meet the funding reduction. The grant reduction may be staggered over 3 years but it is expected that DCST would be able to make the £150k total saving in 2018/19 if required.	-0.050	-0.050	-0.050	-0.050	-0.150	TBC	TBC	TBC	TBC
Grant Exit Strategy	Council-Wide	Business Rates Inflation Compensation - Top Up Section 31 grant	2017 Revaluation correction to formula. Treated as one-off in 2017/18 and built into MTFE from 2018/19.		-0.493	0.000	0.000	-0.493	N/A	N/A	N/A	N/A

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
Grant Exit Strategy	CR	Discretionary Housing Payments (DHPs)	Reduction of £102k grant in 2018/19. Only one-off expenditure has been funded from the grant in 2017/18.		-0.102			-0.102				
Grant Exit Strategy	CR	ERDF & ESF	ERDF & ESF grant ceasing. Technical Assistance which funds posts in Finance & Corporate Services ceases at 30.09.18.		-0.040	-0.040		-0.080	0.0	2.0	0.0	2.0
Grant Exit Strategy	CR	Individual Electoral Registration	Reduction of £2k grant in 2018/19. Only one-off expenditure has been funded from the grant in 2017/18.		-0.002			-0.002				
Grant Exit Strategy	CR	Local Authority Data Sharing	Reduction of £10k grant in 2018/19. Only one-off expenditure has been funded from the grant in 2017/18.		-0.010			-0.010	0.0	0.0	0.0	0.0
Grant Exit Strategy	CR	New Burdens Grant	Reduction of £20k grant in 2018/19. Only one-off expenditure has been funded from the grant in 2017/18.		-0.020			-0.020				
Grant Exit Strategy	LOCYP	Additional recurrent Children's Services Trust Costs	The agreed funding from DfE for LOCYP's Commissioning and Performance teams is £120k in 17/18, dropping to £100k in 18/19 & then back down to the original funding amount of £80k plus inflation in 19/20. The ongoing grant of £80k funds posts in LOCYP's Commissioning and Performance structure, and the additional allocation's for 2017/18 and 2018/19 are to be used to fund one-off spend only.		-0.019	-0.016	0.000	-0.035	0.0	0.0	0.0	0.0
Grant Exit Strategy	LOCYP	LO-CYP: Transitional Education Services Grant	The Education Service Grant (ESG) was cut from April 2017, however a transitional one-off grant of £516k was paid for the period April-August 2017. This is linked to the 2018/19 pressure on LO-CYP: Education Services Grant.		-0.511	0.000	0.000	-0.511	N/A	N/A	N/A	N/A
Grant Exit Strategy	LOCYP	Adult and Community Learning from Skills Funding Agency	Reduction of £50k grant in 2018/19. Only one-off expenditure has been funded from the grant in 2017/18.		-0.050			-0.050				
Grant Exit Strategy	LOCYP	SEND Implementation Grant	SEND Implementation Grant is funding fixed term contracts which will end March 2018 upon cessation of grant.		-0.231			-0.231	7.0	0.0	0.0	7.0
Grant Exit Strategy	R&E	Ambition SCR	Ambition Sheffield City Region (SCR) - funding is due to end in March 2018. Alternative funding is being sought to meet this grant reduction.	-0.358	-0.129			-0.129	inc in launchpad /			

Proposal	Directorate	Service/ Saving Proposal	Proposal Narrative -	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m	2018/19 - 2020/21 Total £'m	2018/19 FTE	2019/20 FTE	2020/21 FTE	Total FTE
Grant Exit Strategy	R&E	Homelessness Reduction Grant	Three year grant 2017/18 - 2019/20. £79k in 17/18, £72k in 18/19 and £89k in 19/20.	0.079	-0.007	0.017	-0.089	-0.079	0.0	0.0	0.0	0.0
Grant Exit Strategy	R&E	Launchpad & Growth Hub	Launchpad & Growth Hub are funded from ESIF and these grants cease at 31 March 2019.		-0.032	-0.254		-0.286	1.5	7.0	0.0	8.5
Grant Exit Strategy	R&E	Neighbourhood Planning Grant	One-off grant of £40k in 2017/18. Only one-off expenditure will be funded from the grant in 2017/18.		-0.040			-0.040	0.0	0.0	0.0	0.0

Detailed Medium-Term Financial Forecast (MTFF)

The medium-term financial forecast for 2017/18 – 2020/21 is provided below: -

	Parag raph	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m
Major Funding Sources					
Retained Business Rates*	4,17	44.057	46.437	47.424	73.052
Top Up*	1,17	32.805	33.527	34.777	45.803
Revenue Support Grant*	1	36.150	28.131	20.041	0.000
Total Baseline Income		113.012	108.095	102.242	118.855
Specific Grants	8	62.493	64.066	64.806	63.449
Public Health Grant*	11	24.437	23.809	23.180	0.000
Council Tax Income	14	100.432	106.696	106.696	106.696
Customer & Client Receipts		49.076	50.387	50.561	50.561
Other Income	21	67.328	61.395	61.395	61.395
Housing Benefit	24	86.546	63.175	63.175	63.175
Total Income		503.324	477.623	472.055	464.131
Total Council Expenditure Including Public Health (Funded)		494.136	505.351	477.623	472.810
<u>Expenditure Changes</u>					
Change in Housing Benefit (nil impact on reductions required)	24	-7.814	-23.371	0.000	0.000
Grant decreases exit strategies in place (one-off)	9	-0.358	-4.040	-3.646	-2.136
Grant decreases exit strategies in place (on-going)	9	-0.933	-1.743	-0.699	-0.161
Staffing	25	2.412	3.546	4.059	-2.470
Prices Changes	30	5.310	5.470	5.140	4.960
Levying Bodies	34	0.100	0.100	0.100	0.100
Expenditure funded from additional income included above		22.139	-4.972	0.000	0.000
Budget Pressures	34	4.146	5.107	4.551	3.464
Savings to be identified		-2.027	-7.825	-15.073	-12.436
Impact of Budget Proposals		-13.787			
Gross Budget		503.324	477.623	472.055	464.131
Use of one-off Uncommitted Reserves		-2.027		-0.755	
Gross Budget (Total Income plus one-off uncommitted reserves)		505.351	477.623	472.810	464.131
Net Budget Requirement (including Baseline Income)		213.444	214.791	208.938	225.551

* Retained Business Rates increased in 2020/21 by £12m to compensate for nil RSG in this year, by £23m to compensate for nil Public Health Grant in this year and reduced by £10.5m to compensate for increased Top Up Grant in this year due to the migration to 75% Business Rates Retention.

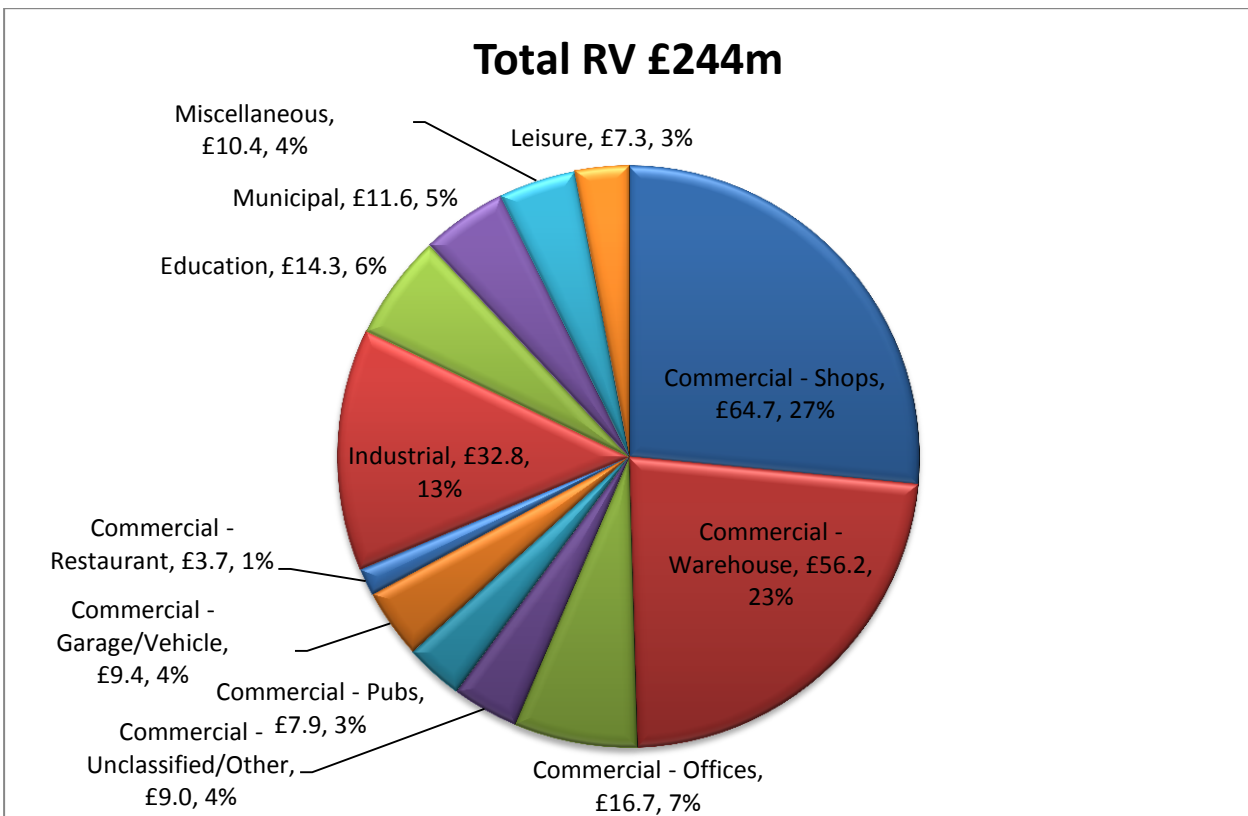
Reduction in Government Grants

Central Government Grant Settlements

1. Over the last seven years, public finances have been placed under unprecedented strain due to Government action in response to national and global economic events. This is expected to continue for the foreseeable future. There have been three Spending Review announcements in 2010, 2013 and 2015 covering in total the years 2011/12 – 2019/20 aimed at removing the Government's budget deficit and bringing the Government's finances back into surplus. To summarise the position: -
 - a. The public spending choice for the Government was essentially about the balance between tax increases and spending cuts; the outcome is heavily slanted towards the latter with Government funding to Councils falling substantially. In the Autumn Statement November 2016, the government remained committed to returning the public finances to balance, however due to the weaker growth outlook and the period of uncertainty, while the UK negotiates a new relationship with the EU the government will no longer seek to reach a fiscal surplus in this Parliament.
 - b. In the 2016/17 Finance Settlement, Government announced the opportunity for Councils to accept a multi-year settlement offer to 2019/20. As part of the move to a more self-sufficient local government, these multi-year settlements can provide the funding certainty and stability to enable more proactive planning of service delivery and support strategic collaboration with local partners. The Government have said that Councils should also use their multi-year settlements to strengthen financial management and efficiency, including by maximising value in arrangements with suppliers and making strategic use of reserves in the interests of residents. The Council accepted this offer in October 2016.
 - c. The Government's Budget Statement of 16th March 2016 indicated that the Government is planning an additional £3.5billion of efficiency savings from departmental budgets in 2019/20. The Chief Secretary to the Treasury, with the support of the Paymaster General, will lead an efficiency review of all departmental spending which will report in 2018. However the Government made it clear that acceptance of the 4 Year Offer would mean that the Council would not suffer any further cuts to Revenue Support Grant over the period 2016/17 – 2019/20.
2. Prior to the General Election in June 2017, the Government consulted on changes to the local government finance system (including a review of the formula used) to pave the way for the implementation of 100% business rate retention from either 2019/20 or 2020/21. As part of this process, Revenue Support Grant will be phased out. In order to do this, the Government proposed new legislation in the Local Government Finance Bill. The Local Government Finance Bill was dropped from the parliamentary timetable ahead of the General Election and it was not reintroduced in the Queen's Speech and so it will not form part of the Parliamentary timetable for this session. However, the Government remain committed to local government taking greater control of their income, as outlined in the Conservative Party Manifesto. In the 2018/19 provisional Finance Settlement, Government confirmed their aim to increase business rates retention for all local authorities in 2020/21 to help meet the commitment to give local authorities more control over the money they raise locally. The government wants local authorities to retain 75% of business rates from 2020/21. This will be through incorporating existing grants into business rate retention including Revenue Support Grant and the Public Health Grant.
3. At this stage, the MTFP does not take account of any devolution proposals.

Retention of Business Rates

4. The Business Rates Retention scheme, whereby 50% of local business rates income is retained locally (Doncaster retains 49% and passes on 1% to the South Yorkshire Fire & Rescue Authority) and 50% is passed to Government, has been in operation since 2013/14.
5. The local retention of business rates model calculates the difference between each Council's individual business rate baseline and their calculated baseline funding level and either a top-up or a tariff is paid to Councils from Government. Doncaster Council will receive top-up grant of £33.528m for 2018/19. The emphasis of these reforms is to move local government funding away from a needs based system to one based on business rates and incentivising growth in the Council Tax Base (through the operation of the New Homes Bonus).
6. Full revaluations are carried out every five years and the last revaluation took place on 1st April 2017. As a revaluation should not affect the total tax raised, if valuations rise nationally then there should be a corresponding fall in the multiplier. As valuations did rise nationally, the multiplier fell in 2017/18 – the small business multiplier is 46.6p (down from 48.0p) and the higher multiplier 47.9p (down from 49.3p). This revaluation led to the revision of tariffs and top-ups. In general terms if the rateable value of businesses in a Council's area increased relative to the national average, central Government assumptions are that it can raise more income from Business Rates so Government reduced its top-up funding or increased the tariff it must pay. The opposite applies if the rateable value of businesses in a Council's area reduces relative to the national average. Doncaster's top-up increased from £27.197m to £32.805m in 2017/18 as a result of the rateable value for our area decreasing.
7. For information, the breakdown of total rateable value of Business Rates by category, based on the 2018/19 list, is shown in the chart below: -



Specific Grants

8. The Council receives a number of specific grants which are non-ring fenced and can be redirected to other areas of service provision as required. It also receives some specific grants that are ring fenced and can only be used for the specific purpose set out in the grant conditions. There are far fewer specific grants than in previous Spending Review periods as Government has rolled in excess of £5 billion of these grants into the baseline income for Business Rates Retention. The largest specific grant is Public Health and this is shown separately in the MTF. In addition to Public Health, further details of the main specific grants (ring fenced and non-ring fenced) are provided below. The following table details the amounts announced for 2017/18 and the assumptions for 2018/19 - 2020/21: -

Grant	Issued By	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m
<u>Non Ring-fenced</u>					
Extended Rights to Free Transport	DfE	0.122	0.114	0.114	0.114
Local Reform & Community Voices	DH	0.206	0.206	0.206	0.206
Local Authority Data Sharing	DWP	0.010	0.000	0.000	0.000
New Burdens Grant	DWP	0.153	0.133	0.133	0.133
Right Benefit Initiation (RBI)	DWP	0.047	0.047	0.047	0.047
Universal Credit	DWP	0.250	0.310	0.310	0.310
Flexible Homelessness Support Grant	MHCLG	0.208	0.228	0.000	0.000
Homelessness Reduction Grant	MHCLG	0.079	0.072	0.089	0.000
New Homes Bonus	MHCLG	4.946	4.458	4.060	3.137
Section 31 grants relating to Retained Business Rates	MHCLG	3.767	4.669	4.669	4.669
Troubled Families Grant	MHCLG	1.718	1.718	1.718	1.718
<u>Ring-fenced</u>					
Dedicated Schools Grant (DSG) - Central Element (Includes Early Years)	DfE	26.068	26.068	26.068	26.068
Pupil Premium Grant (Children in Care Element)	DfE	0.760	0.760	0.760	0.760
Transitional Education Services Grant	DfE	0.511	0.000	0.000	0.000
SEND Implementation Grant	DfE	0.231	0.000	0.000	0.000
Staying Put Implementation Grant	DfE	0.143	0.143	0.143	0.143
Additional recurrent Children's Services Trust Costs	DfE	0.226	0.207	0.191	0.191
Music Services Grant	DfE	0.441	0.441	0.441	0.441
School Improvement & Brokerage Grant	DfE	0.125	0.179	0.179	0.179
Access Fund (Local Sustainable Transport)	DfT	0.262	0.262	0.262	0.262
Bikeability	DfT	0.021	0.021	0.021	0.021
Bus Service Operator's Grant - Local Authority Bus Subsidy Ring-Fenced (Revenue) Grant	DfT	0.020	0.020	0.020	0.020
Care Act Grant (Social Care in Prisons)	DH	0.343	0.343	0.343	0.343
Discretionary Housing Payments (DHP)	DWP	0.886	0.784	0.784	0.784
Housing Benefit Subsidy Admin Grant	DWP	1.203	1.074	0.946	0.834
Independent Living Fund	DWP	0.726	0.703	0.682	0.660

Grant	Issued By	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m
Adult Social Care Grant	MHCLG	1.520	0.944	0.000	0.000
Improved Better Care Fund (iBCF) (March 2015 announcement)	MHCLG	1.333	7.176	12.185	14.185
Improved Better Care Fund (iBCF) (March 2017 announcement)	MHCLG	7.046	4.316	2.136	0.000
The Private Finance Initiative (PFI) (Schools - fixed for the 25 years duration of PFI scheme)	MHCLG	3.478	3.478	3.478	3.478
Council Tax Support Admin Subsidy	MHCLG	0.430	0.411	0.384	0.359
Neighbourhood Planning Grant	MHCLG	0.040	0.000	0.000	0.000
Waste Infrastructure Grant	DEFRA	2.385	2.385	2.385	2.385
Asylum Seekers (Unaccompanied Asylum Seeking Children)	HO	0.190	0.100	0.100	0.100
Independent Domestic Violence Advisors (IDVA) Grant	HO	0.010	0.010	0.010	0.010
Adult and Community Learning from Skills Funding Agency	BIS	0.753	0.703	0.703	0.703
Ambition SCR	BIS	0.129	0.000	0.000	0.000
Higher Education Funding Council for England (HEFCE) Payments	BIS	0.179	0.179	0.179	0.179
Individual Electoral Registration	CO	0.002	0.000	0.000	0.000
ERDF & ESIF - Launchpad	EC	0.195	0.190	0.000	0.000
ERDF & ESIF - SCR Growth Hub	EC	0.091	0.064	0.000	0.000
ERDF & ESIF - Technical Assistance	EC	0.080	0.040	0.000	0.000
Heritage Lottery Fund	HLF	0.111	0.111	0.111	0.111
Initial Teacher Training (ITT)	NCTL	0.445	0.445	0.445	0.445
Youth Justice Board	YJB	0.604	0.554	0.504	0.454
Total Specific Grants		62.493	64.066	64.806	63.449

9. Subsequent grant announcements may result in additional cuts to specific grants over and above those previously identified; where this is the case it is assumed that the activities will cease and the Council will no longer incur expenditure in these areas, i.e. there will be exit strategies for all grant reductions. Exit strategies are required for the following grant reductions and therefore these are not included in the budget gap, further details are provided at Appendix D: -

Grant	Issued By	Exit strategy 2017/18	Exit strategy 2018/19	Exit strategy 2019/20	Exit strategy 2020/21
One-off					
Flexible Homelessness Support Grant	MHCLG			-0.228	
S31 grant - Business Rate Inflation Compensation - Top Up	MHCLG		-0.493		
Adult Social Care Grant	MHCLG		-0.576	-0.944	
Improved Better Care Fund (iBCF) (March 2017 announcement)	MHCLG		-2.730	-2.180	-2.136
Neighbourhood Planning Grant	MHCLG		-0.040		
Ambition SCR	BIS	-0.358	-0.129		
ERDF & ESIF - Launchpad	EC		-0.005	-0.190	
ERDF & ESIF - SCR Growth Hub	EC		-0.027	-0.064	
ERDF & ESIF - Technical Assistance	EC		-0.040	-0.040	

Grant	Issued By	Exit strategy 2017/18	Exit strategy 2018/19	Exit strategy 2019/20	Exit strategy 2020/21
On-going					
Local Authority Data Sharing	DWP		-0.010		
New Burdens Grant	DWP		-0.020		
Homelessness Reduction Grant	MHCLG		-0.007	0.017	-0.089
Dedicated Schools Grant (DSG) - Central Element (Includes Early Years)	DfE	-0.100			
Transitional Education Services Grant	DfE		-0.511		
SEND Implementation Grant	DfE		-0.231		
Additional recurrent Children's Services Trust Costs	DfE		-0.019	-0.016	
Bikeability	DfT	-0.009			
Access To Work	DWP	-0.016			
Discretionary Housing Payments (DHPs)	DWP		-0.102		
Independent Living Fund	DWP	-0.025	-0.023	-0.021	-0.022
Asylum Seekers (Unaccompanied Asylum Seeking Children)	HO		-0.090		
Adult and Community Learning from Skills Funding Agency	BIS		-0.050		
Skills Made Easy (City Deal) from Skills Funding Agency	BIS	-0.115			
Individual Electoral Registration	CO		-0.002		
Youth Justice Board	YJB	-0.050	-0.050	-0.050	-0.050
Public Health Grant	DH	-0.618	-0.628	-0.629	
Total Exit Strategies		-1.291	-5.783	-4.345	-2.297
4 year Total		-13.716			

10. Further information on other significant specific grants is provided below: -

- a. Improved Better Care Fund (iBCF) 2015 settlement – the Government provided £1.5 billion additional funding for local authorities to spend on adult social care by 2019/20. The Government has said that it recognises that authorities have varying capacity to raise council tax. The funding has been allocated to meet the pressures for Adults, Health & Wellbeing including investment in the care ladder and growth in the number of clients from projected changes in the population.
- b. Improved Better Care Fund (iBCF) 2017 settlement – The additional iBCF funding was allocated through a separate grant to local government, using a methodology which provides greater funding to those authorities which benefit less from the additional council tax flexibility for social care. This additional funding commenced in 2017/18, and must only be used for the following: -
 - Meeting adult social care need;
 - Reducing pressure on NHS, including supporting more people to be discharged from hospital when ready; and
 - Ensuring the local social care provider market is supported.

The 2018/19 spending plan for the additional iBCF was approved by Council in July 2017 and the Section 75 pooled budget arrangements have been approved (£36.5m in total). The updated iBCF (2015 settlement and 2017 settlement) spend plan is detailed below: -

Meeting Adult Social Care Need:	£'000
Increased Demands	3.880
Residential Short Stay	0.600
Reducing Savings	0.300
Extra Care	0.250
Money Management & Deprivation of Liberty (DoLs)/Safeguarding	0.380
Reducing pressure on NHS:	
BCF Projects - Transformation Programme	2.840
Community Equipment	0.300
Investment in Technology	0.300
Delayed transfers of Care (DTOCs)	0.250
Ensuring local supplier market is supported:	
Contract Increases	2.392
Grand Total	11.492

- c. New Homes Bonus (NHB) is a grant that was paid for 6 years by Government based on the amount of extra Council Tax revenue raised for new-build homes, conversions and long-term empty homes brought back into use. There is also an extra payment for providing affordable homes. NHB is funded by reducing the baseline funding available for Councils and as a consequence Doncaster is worse off because the amount deducted is significantly more than the grant received.
- i. In December 2015 the Government issued a Consultation Paper 'New Homes Bonus: Sharpening the Incentive' with the purpose of cutting the amount of grant it has to pay out as NHB. The Government response to the consultation was contained in the Provisional Settlement announcement on 15th December 2016. It reduced the number of years for which NHB is paid from the current 6 years to 5 for 2017/18 and 4 for 2018/19 onwards and introduced a national baseline for housing growth of 0.4% which has to be exceeded before any NHB becomes available.
 - ii. The NHB for the Council is estimated at £4.457m for 2018/19, which is £0.489m less compared to 2017/18, mainly due to the reduction in years from 5 to 4 and the 0.4% baseline.
 - iii. The net grant loss is significant at £24.493m. The position is reasonably comparable with other Metropolitan Districts who also fare badly from the redistribution of grant funding, due to the relatively high levels of grant funding received and high levels of deprivation. The DCLG led evaluation of the NHB published in December 2014 concluded that the most negative impacts of the NHB were seen in authorities in the north of England and Yorkshire and the Humber. The NHB is therefore being utilised to assist with the loss in grant. Housing growth is being progressed utilising alternative funding streams. The updated estimated grant figures are set out in the table below: -

Year	NHB Estimate (Housing Growth) £k	NHB Estimate (Reimbursement Grant) £k	Total Grant Receipt £k	Grant Reduction £k	Net Grant Loss £k
2011/12	403	0	403	1,412	1,009
2012/13	928	0	928	3,054	2,126
2013/14	1,314	565	1,879	5,312	3,433
2014/15	2,430	228	2,658	6,729	4,071
2015/16	3,478	224	3,702	8,500	4,798
2016/17	5,051	160	5,211	10,518	5,307
2017/18	4,946	173	5,119	8,868	3,749
2018/19	4,458	0	4,458	6,708	2,250
Total	23,008	1,350	24,358	51,101	26,743

d. Dedicated Schools Grant (DSG) funds the schools budget (funding for schools and services that are provided centrally to pupils, early years and high needs budgets). The initial 2018/19 Block allocations including Academies are as follows:-

- Schools Block Allocation £191.9m - this is almost entirely delegated to schools, excluding the growth fund, with budget allocations for 2018/19 having been sent out to schools on 8th February 2018.

Central School Services Block £1.6m – Now provided as a separate allocation to the schools block to cover services provided centrally to pupils/schools.

- High Needs Block Allocation £29.7m
- Early Years Block Allocation £19.1m

e. PFI Schools - the Council entered into a PFI agreement with Government to rebuild Mexborough and Thomas Wharton Secondary Schools. The rebuilds were completed during 2008/09 and the Government will pay an annual grant of £3.478m for 25 years towards costs incurred, the final payment will be made in 2033/34.

Public Health

11. The Health and Social Care Act 2012 provided the statutory basis for Local Authorities to assume their new Public Health responsibilities from 2013/14. From this date the majority of Public Health functions transferred to the Council although some specialist elements of Public Health such as children's services 0-5, cancer screening etc. were retained by the NHS. Children's Public Health commissioning responsibilities for 0-5 year olds transferred from NHS England to Local Authorities on 1st October 2015 and this joins up that already done by Local Authorities for children & young people 5-19.

12. The ring fence on public health spending will be maintained in 2018/19 and Government will consult on options to fully fund local authorities' public health spending from their retained business rates receipts, as part of the move towards 75 per cent business rate retention in 2020/21. For 2018/19 the Council will have a reduction in the Public Health Grant of £0.628m.

The Collection Fund

13. All Council Tax receipts and Business Rates receipts are paid into and separately accounted for in the Collection Fund. Any surplus or deficit must be shared amongst the preceptors and utilised in budget setting in the following financial year. For Council Tax the preceptors are Doncaster Council, South Yorkshire Police Authority and South Yorkshire Fire & Rescue Authority. For Business Rates the preceptors are Doncaster Council, Central Government and South Yorkshire Fire & Rescue Authority.

Council Tax

14. A breakdown of the 2018/19 Council Tax income and assumptions are provided below: -

Council Tax (Band D)	£1,287.20
Band D Equivalent Properties	80,672
Council Tax Income	£103.841m
Collection Fund (Council Tax) Surplus	£2.855m
Total Council Tax Income	£106.696m

15. The assumed collection rate for Council Tax in 2018/19 is 98% (same as 2017/18) - this was increased from 97% in 2016/17. Although the short term collection rate, e.g. the amount of 2016/17 debt collected in 2016/17, is around 95%, the long term collection rate, e.g. the amount of 2016/17 debt collected in 2017/18 and subsequent years, is around 99%.

16. All changes to Council Tax, including setting the collection rate, are policy decisions and are therefore detailed in the budget savings at Appendix C.

Business Rates

17. The MTFE assumes an increase of 2% per annum in the multiplier which is built into the Retained Business Rates and Top Up lines. Although the multiplier is based on the Retail Price Index (RPI) for September, which for September 2017 was 4.0%, in the November 2017 Budget, the Chancellor announced that Business Rates will switch to being increased by the Consumer Price Index (CPI) from 2018/19, 2 years earlier than the planned change in 2020/21. CPI for September 2017 was 3.0%.

18. The net Retained Business Rates income is reduced for losses on collection and losses due to appeals of approximately 1.5% and 2% respectively.

19. Any changes announced by the Chancellor that affect Retained Business Rates are accompanied by a section 31 grant to compensate Councils for any loss of income – these are shown in the Specific Grants section.

20. All other changes to Retained Business Rates, including setting the loss on collection, loss on appeals and growth, are policy decisions and are therefore detailed in the budget savings at Appendix C.

Other Income

21. Other income includes Continuing Health Care Contributions and Section 256 and Section 75 Agreements with the NHS (CCG), income from Other Local Authorities as well as income from charges made to Schools (including Academies), Housing Revenue Account, St Leger Homes and Children's Services Trust.

22. A significant Section 75 agreement is the Better Care Fund (BCF); this is where the Council has entered into a pooled budget arrangement with Doncaster Clinical Commissioning Group (CCG) for the provision of integrated health and social care services for people in the Doncaster area. The Council and the CCG have an annual agreement in place for funding these services, with partners contributing funds to the agreed budget in line with funding allocations, taking responsibility for its own deficit or surplus. The 2018/19 spending plan for the BCF is detailed below: -

	£'000
Falls Development Programme	0.050
Community capacity and well-being support / social prescribing	0.240
Well North Project	0.167
Community mobile day service / borough wide	0.125
Dementia mobile day services	0.045
Winter Warm	0.085

	£'000
Enhancement of Dementia support services (Alzheimer's dementia cafés)	0.077
The Admiral service (making space)	0.088
Hospital based Social Workers	0.213
Home from Hospital	0.070
Direct Payment Support Unit and Business Support Unit temporary staffing	0.118
Integrated health & social care information management systems (Caretrak)	0.050
Telecare Strategy	0.150
HEART	0.542
Dementia Advisor	0.032
STEPS / OT service	1.510
RAPT	0.110
(Positive Steps) Social care Assessment Unit	1.724
Hospital Discharge Worker	0.028
SPOC/One Point 1	0.092
Intermediate Care and support strategy	0.170
Mental Health Support	0.245
PMO (Programme Management Office and Development)	0.181
Disabled Go	0.008
Adults Health and Wellbeing – Creative Options for Learning Disability service users	0.673
CLS Community lead support	0.500
Unallocated	0.010
Grand Total	7.302

23. The following table sets out the Other Income for 2017/18. This is the 2018/19 baseline starting position: -

Other Income	2018/19 £m
External Recharge Income – includes charges to Schools including Academies (including for the Schools PFI), to the Capital Programme, to the Housing Revenue Account, to St Leger Homes and to the Children's Services Trust	30.191
NHS Contributions – includes income from Continuing Health Care Contributions and Section 256 and Section 75 Agreements with NHS (Better Care Fund & Pooled Budgets)	13.598
Trading Services Income- Includes fleet transport and public buildings maintenance, Street Scene and Highways operations and Commercial services.	11.687
Contributions from Other Public Bodies - includes contributions from Rotherham MBC in respect of Waste PFI credits and the Coroners Service, contributions from the Home Office for Prison Libraries, from the Police & Crime Commissioner for Community Safety and recoupment from Other Local Authorities where their children are placed in Doncaster Council maintained schools	1.962
Contributions Towards Expenditure – includes service charges and various cost recovery charges including recovery of Court costs by Local Taxation Services	2.421
Investment Interest	0.640
Developer Contributions - S106 & S38 agreements	0.221
Other Contributions - includes external income from alarm monitoring for housing associations and from energy companies in relation to feed in tariffs for solar panels	0.675
Other Income	61.395

Housing Benefit

24. The 2017/18 estimated cost of £86.546m for Housing Benefit was based on an assumed 12,800 caseload for tenants in public sector accommodation and on an assumed 11,000 caseload for tenants in the private sector. The 2018/19 estimated cost of £63.175m is based on an assumed 11,800 caseload for tenants in public sector accommodation and on an assumed 9,200 in the private sector. The reduction in numbers is due to the working age customers migrating to Universal Credit, which was rolled out in Doncaster from October 2017.

Staffing

Pay

25. Funding has been set aside in accordance with the recent local government circular on a national pay award. The assumptions also include increments being paid every other year, the next increments April 2019 for all staff except social workers who will continue to receive increments annually.

Pension

26. The assumptions on the pension contributions detailed below include the future service rate increasing to 14.9% for the period 2017/18 to 2019/20. The council has planned to phase in this increase over 3 years: 14.0% in 2017/18, 15.2% in 2018/19 and 15.5% in 2019/20 (an average of 14.9% per annum).

27. The actuarial valuation used for the period 2017/18 – 2019/20 showed Doncaster's Pension Fund deficit as £160m. The Pension deficit payment is based on the key membership analysis and recovering the £160m deficit over the next 18 years from 2018/19. The deficit figures include allowance for short term pay growth of 1.25% per annum for 4 years up to 2019/20.

28. The latest information from the actuary now shows that Doncaster's Pension Fund is no longer in deficit and has moved into surplus. Work is on-going to safeguard the surplus until the next valuation period; therefore the MTFE assumes that the pension deficit budget can be reduced from 2020/21.

A summary of the pay inflation provided in the MTFE is detailed below:

Staffing	2017/18 £'m	2018/19 £'m	2019/20 £'m	2020/21 £'m
Pay Inflation – estimate based on the current national Pay Offer (subject to consultation)	0.712	2.116	2.438	1.600
Increments	1.350	0.220	1.191	0.220
Living Wage Foundation	0.150	0.000	0.000	0.000
Employers Pension Contribution				
Employers Pension deficit saving phased	-0.920	0.230	0.240	0.300
Future Service Rate Contribution Rate (to 15.5% in 2019/20)	0.920	0.780	0.190	0.000
Reduce Pension deficit budget for estimated position with protection	0.000	0.000	0.000	-5.220
Future Service Rate Contribution Rate (1% increase from 2020/21)	0.000	0.000	0.000	0.630
Auto Enrolment (from 01.10.17)	0.200	0.200	0.000	0.000
Total	2.412	3.546	4.059	-2.470

Price Inflation

29. The Government's Summer Budget of 8th July 2015, by introducing a National Living Wage for those aged 25 and over of £7.20 from 1st April 2016 with the expectation that it will rise to £9.35 from 1st April 2020, has had a very significant impact on the costs of Adult Social Care contracts. The estimated cost increases for 2018/19 are based on the announcement in the 2017 Autumn Budget that the rate will be £7.83 from 1st April 2018.
30. A proportion of Council expenditure is tied up in contracts, which have inflation increase assumptions built into the terms and conditions, e.g. Adult Social Care, Doncaster Children's Services Trust, Highways and Waste Contracts. The financial strategy assumes that these cost increases will need to be built into future projections in full. There are also a small number of areas of general expenditure on services and goods, where a general inflation factor has been estimated, based upon what is known of the market pressures and various indicators of inflation such as RPI projections, for example repairs and maintenance of buildings.
31. Dependent on the contract, inflationary increases are generally based on either the Consumer Price Index (CPI), Retail Price Index (RPI) or Retail Price Index excluding mortgage interest payments (RPIX). The table below shows an annual comparison of the different indices and various months that are commonly used in contracts: -

	CPI	RPI	RPIX
July 2017			3.9%
July 2016			3.9%
September 2017	3.0%	3.9%	4.1%
September 2016	1.0%	2.0%	2.2%
December 2017		4.1%	
December 2016		2.5%	
March 2018 estimate			4.0%
March 2017			3.4%

32. The cost of price inflation for 2017/18 was £3.7m, including £2.0m for Adult Social Care contracts. The various inflation indicators shown in the table above have nearly doubled since last year. It is assumed that £3.0m will be needed in 2018/19 for Adult Social Care contracts (cost of care model currently being finalised) and a further £2.1m needed for other inflation. A summary of the inflation to be provided in 2018/19 is provided below. No inflation is applied for expenditure areas not mentioned below: -

Category	£m
Adults Social Care Contracts (Various%)	3.000
Other Inflation	
Apprenticeship Levy (2%)	0.009
Audit Costs (2.51%)	0.005
Building Repairs & Maintenance (2.6%)	0.050
Business Rates (2%)	0.043
Coroners (2%)	0.003
Council Tax (3.75%)	0.001
CYPS Placements (Various%)	0.049
Electricity (5%)	0.058
Electricity (Street Lighting) (0.59%)	0.008
FM Catering Provisions (11%)	0.014
Gas (28%)	0.086
ICT Contracts (3.1%)	0.052
Insurance (Various%)	0.072
Landfill Tax (3.2%)	0.001
LIFT contracts (3.9%)	0.030

Category	£m
Members Allowances (2%)	0.019
Other Energy Costs (Various%)	0.003
Pension / Retirement Costs (3%)	0.158
Racecourse Joint Venture (4%)	-0.006
Rents (3.9%)	0.002
Rotherham Contracts (6.87%)	0.098
Schools Catering provisions (11%)	0.315
SLH Management Fee (3.09%)	0.030
Transport (Various%)	0.110
Waste Collection Contracts (3.9%)	0.015
Waste Disposal Contracts (4.1%)	0.377
Waste Management Contracts (4.1%)	0.092
Water (1.8%)	0.005
Childrens Trust Inflation	0.425
Insurance Premium Tax	0.010
MRP Inflation	0.110
General (cover for unforeseen items/changes)	0.226
Subtotal Other Inflation	2.470
Total Price inflation	5.470

Budget Pressures

33. The service pressures are estimated at £5.615m for 2018/19 and £13.630m for the period 2018/19 to 2020/21; these are detailed at Appendix B. All service pressures are robustly challenged to ensure that they are absolutely necessary for on-going delivery of Council services. The service pressures also allocate funding for on-going overspends identified in 2017/18 quarter 3 budget monitoring. The MTFF also provides £0.1m for levy increases.

Council Tax Comparators
(Metropolitan Districts & Unitary Authorities Band D Council Tax)

	2017/18 Band D Council Tax £		2017/18 Band D Council Tax £		2017/18 Band D Council Tax £
1 Windsor & Maidenhead	961.46	32 Warrington	1,315.90	63 Central Bedfordshire	1,421.88
2 Trafford	1,183.58	33 Poole	1,320.57	64 Bury	1,422.16
3 Bracknell Forest	1,194.39	34 St Helens	1,324.72	65 Blackpool	1,425.75
4 Dudley	1,216.92	35 Cheshire East	1,324.92	66 Sheffield	1,428.36
5 Stoke-on-Trent	1,218.96	36 East Riding of Yorkshire	1,327.10	67 South Tyneside	1,436.47
6 Telford & Wrekin	1,222.10	37 Wiltshire	1,334.63	68 Sefton	1,438.54
7 Thurrock	1,226.61	38 Tameside	1,345.79	69 Durham	1,443.04
8 Peterborough	1,231.57	39 Luton	1,355.98	70 Salford	1,444.71
9 Doncaster	1,237.81	40 Bournemouth	1,357.65	71 Rochdale	1,449.12
10 York	1,244.93	41 Barnsley	1,358.72	72 North Tyneside	1,450.17
11 Swindon	1,251.29	42 Wokingham	1,359.27	73 Stockton-on-Tees	1,457.87
12 Wigan	1,252.46	43 South Gloucestershire	1,359.62	74 Redcar & Cleveland	1,458.61
13 Bradford	1,257.86	44 Knowsley	1,360.46	75 Brighton & Hove	1,461.81
14 Shropshire	1,259.51	45 North Lincolnshire	1,361.75	76 Isle of Wight Council	1,464.86
15 Birmingham	1,264.76	46 Calderdale	1,364.04	77 Wolverhampton	1,481.12
16 Milton Keynes	1,265.74	47 Herefordshire	1,376.50	78 Reading	1,490.56
17 North Somerset	1,265.81	48 Torbay	1,376.93	79 Middlesbrough	1,491.93
18 Southend-on-Sea	1,265.94	49 West Berkshire	1,378.91	80 Coventry	1,500.05
19 Wakefield	1,268.04	50 Cheshire West and Chester	1,379.02	81 Oldham	1,502.11
20 Kingston-upon-Hull	1,268.17	51 Darlington	1,379.30	82 Newcastle-upon-Tyne	1,506.39
21 Solihull	1,269.13	52 Kirklees	1,382.93	83 Liverpool	1,511.61
22 Slough	1,274.54	53 Blackburn with Darwen	1,383.15	84 Stockport	1,521.77
23 Leeds	1,276.20	54 Bolton	1,384.66	85 Hartlepool	1,546.26
24 Portsmouth	1,279.12	55 Rotherham	1,394.48	86 Rutland	1,546.94
25 Manchester	1,279.87	56 Wirral	1,395.59	87 Bristol	1,548.66
26 Sandwell	1,283.64	57 Cornwall	1,398.70	88 Northumberland	1,558.10
27 Bath & North East Somerset	1,284.33	58 Southampton	1,406.68	89 Walsall	1,570.35
28 Sunderland	1,294.82	59 Plymouth	1,407.47	90 Nottingham	1,593.03
29 Medway	1,296.56	60 North East Lincolnshire	1,415.26	91 Gateshead	1,606.41
30 Derby	1,298.17	61 Bedford	1,417.72		
31 Halton	1,312.27	62 Leicester	1,421.69		

Grants to 3rd Sector Organisations

Directorate	Grant Recipient	Service Provided/Update	2017/18 Budget	2018/19 Budget	Note
A,H&WB	Citizens Advice Bureau (Mexborough/ North East)	The two CAB's provide advice services facing a range of issues such as debt, homelessness prevention, immigration, employment, benefit and consumer issues. They also act as a referral and sign-posting organisation to more specialist advice across a range of public sector services and other voluntary organisations. They also raise other funding streams to benefit the residents of Doncaster. (Savings of £130k have been made in 2010/11 and a further £32k in 2015/16. There is a £40,380 recharge to the HRA for Corporate & Democratic Core services)	152,150	152,150	No change proposed for 2018/19. However, in year, a briefing paper will be developed in consultation with the incumbent provider setting out proposals for future service delivery including how the service will be commissioned and tendered going forward. This is in line with the policy to more clearly commission and contract service activity, which provides greater certainty and continuity of provision of service for both the service provider (voluntary sector) and service users.
LO-CYP	Doncaster Community Arts (DARTS) Teacher in Role Project (funded from the DSG)	Through targeted support for Y3/4 pupils by using creative drama based approaches to improve writing skills. Working in role will provide exciting and purposeful contexts for children to develop key skills in reading & writing. This project aligns very closely with Doncaster LA's Raising Achievement and Aspirations Strategy which has the development of wider literacy skills as a key priority.	45,176	22,588	This grant for £67,764 will fund targeted support for Y3/4 pupils by using creative drama based approaches to improve reading and writing skills for the 2017/18 academic year, with funding provided through School Forum (Dedicated Schools Grant) agreement on 15th February 2017 and cabinet report 15 August 2017. Payments will be made termly with 2 payments in 2017-18 and 1 payment in 2018-19 financial years. No further grant funding for the 2018/19 academic year has been proposed.
LO-CYP	Partners in Learning (funded from the DSG)	The transfer of services to Partners in Learning for the academic year 2016/17, set out in the 19th July 2016 Cabinet report "Deployment of School Improvement Functions to Partners in Learning Teaching School Alliance 2016/17", enabled the continued delivery of a cohesive and robust delivery of school improvement in line with DfE's requirement for cessation of the LA's duty by September 2017.	182,488	91,244	The Standards and Effectiveness Partner (StEP) function was transferred, along with funding for the 2016/17 academic year. The grant of £273,732 relates to the 2017/18 academic year and is a 1 year continuation, needed to ensure the effective transfer of the function, with the grant amount based on the number of maintained schools as at 1st September 2017. Funding has been provided through School Forum (Dedicated Schools Grant) agreement on 7th December 2016. Payments will be made termly with 2 payments in 2017-18 and 1 payment in 2018-19 financial years. No further grant funding for the 2018/19 academic year has been proposed. (The grant of £309,624 shown in the 2017/18 budget report was based on an estimate of maintained schools for September 2017, which was higher than actual, and also showed the full 17/18 academic year total in the 17/18 budget column)

Grants to 3rd Sector Organisations

Directorate	Grant Recipient	Service Provided/Update	2017/18 Budget	2018/19 Budget	Note
LO-CYP	Partners in Learning (funded from the DSG)	Partners in Learning is an inclusive teaching school alliance working with schools from all phases. Their vision is to create a sustainable, inclusive and productive partnership utilising the expertise from across the alliance which will lead to the improvement of teaching and learning and improved outcomes for pupils across all schools within the Teaching School Alliance.	124,000	62,000	This 2 year grant will fund the core membership of all Doncaster schools for the 2017/18 academic year, and 50% funding of the core membership for the 2018/19 academic year, with funding provided through School Forum (Dedicated Schools Grant) agreement on 7th December 2016. This funding is intended to create a completely inclusive model for Doncaster, where all schools have the opportunity to benefit equally from the Teaching School offer and support the development and sustainability of PiL with reduced capacity within the LA to support school improvement.
LO-CYP	Expect Youth (previously known as Strategic Youth Alliance)	Expect Youth is a new, non-profit venture, consisting of a range of partners actively engaged in the youth agenda to act as a capacity building and innovation body for people and organisations who create positive activities and personal development opportunities for young people in Doncaster. The funding will be used to support local youth provision through capacity building, the provision of seed funding and the development of a diverse program for young people ranging from social engagement to social enterprise.	150,000	75,000	Grant funding of £475k to be paid over 3 years was agreed in the 18th October 2016 Cabinet report "Strategic Youth Alliance". A Grant payment of £250k was made in 2016/17, and further payments of £150k in 2017/18 and £75k in 2018/19 will be made.
A,H&WB	Doncaster Community Arts (DARTS)	Through active participation in a huge range of different art forms, Darts enables people of all ages and abilities from different backgrounds to build their confidence and skills to play a crucial role in the cultural, economic and social regeneration of their communities.	43,500	43,500	No change proposed for 2018/19.
A,H&WB	Doncaster Victim Support	Doncaster Victim Support will provide victims or witnesses of crime with support and information to deal with the harmful effects of their experiences within 48 hours of receiving a referral. The grant pays for a contribution to rent of the premises, utilities, postage, stationery, cleaning, volunteer expenses and recruitment, staff recruitment and locum cover. Trained volunteers work as restorative justice workers under the supervision of the Restorative Justice co-ordinator to deal with low level crime and neighbour disputes.	18,000	18,000	No change proposed for 2018/19.
A,H&WB	Doncaster Rape and Sexual abuse Counselling Centre (DRASAC)	DRASAC receive this grant as a contribution towards their provision of specialist counselling service for victims of sexual abuse. This includes children from the age of 5 yrs. This is a historic voluntary sector grant which has been reduced over recent years– services for sexual abuse have never been commissioned by Doncaster Council.	27,520	27,520	No change proposed for 2018/19.

Grants to 3rd Sector Organisations

Directorate	Grant Recipient	Service Provided/Update	2017/18 Budget	2018/19 Budget	Note
A,H&WB	Doncaster Rape and Sexual abuse Counselling Centre (DRASAC)	DRASAC receive this grant as a contribution towards their two Independent Sexual Violence Advocates. (ISVA).	65,000	45,000	DRASAC now receive funding direct from the Police and Crime Commissioner (PCC) to fund the county wide ISVA service which pays for 2 ISVA salaries at Doncaster. DRASAC has offered to take a £20k reduction in 18/19.
A,H&WB	Borough Wide Day Centres Age UK	Commissioning of a mobile day care service that delivers a wide range of day care opportunities to the people of Doncaster. Funded from Better Care Fund (BCF).	125,000	125,000	No change proposed for 2018/19. This service will be in scope of the Alternative Day Service Modelling. In year, Age UK Doncaster are looking to introduce a charging model whereby people who have a direct payment and or self-funders will be able to purchase the service directly. The grant funding will therefore cease as at 31 March 2019.
A,H&WB	Changing Lives	Support Service for women with mental health problems at Women's Centre	105,408	0	Proposal to merge the funding £105,408 & £156,033 with MIND funding below to commission a community based crisis support service, with a £240k commitment over year 1 & a £200k commitment for year 2. This is in line with the policy to more clearly commission and contract service activity.
A,H&WB	MIND	Services for people with a mental health problems.	156,033	0	Proposal to merge the funding £105,408 & £156,033 as with Changing Lives above.
LO-CYP	Doncaster Skills Academy (Doncaster Chamber)	The grant will provide the match funding for the Edge Foundation Grant, which will support continued growth of 'bridging the gap' activities for years 10-13 in every Secondary school (targeting 18,000 learners). The investment will facilitate engagement with businesses, support the development of resources and toolkits and promote the new opportunities that are being created for young people in Doncaster. The grant will only be provided if the Edge Foundation Grant is successful and a funding agreement would be put in place with clear monitoring on outcomes; if the application is unsuccessful DMBC will explore – with partners – other opportunities for enhancing 'bridging the gaps' activities.	75,000	75,000	The grant of £150k will be spread over 2017/18 and 2018/19 (£75k per annum). The grant will be funded from general council reserves.
Total Grants to 3rd Sector			1,269,275	737,002	

Fees & Charges Summary 2018/19

Directorate	Service	2017/18 Budget £	2018/19 Proposed Budget increase £
Adults, Health & Wellbeing	Allotments	35,990	1,400
Adults, Health & Wellbeing	Libraries	46,360	550
Adults, Health & Wellbeing	Museums	56,430	670
Adults, Health & Wellbeing	Safer Communities	0	0
Adults, Health & Wellbeing	Translation Services	104,290	0
Regeneration & Environment	Bereavement - Burial	680,870	30,560
Regeneration & Environment	Bereavement - Cremation	2,098,490	71,180
Regeneration & Environment	Bereavement - Memorial Items	91,590	6,330
Regeneration & Environment	Bereavement - Mausoleums	31,500	770
Regeneration & Environment	Building Control	563,400	1,630
Regeneration & Environment	Built & Natural Environment	7,570	300
Regeneration & Environment	Car Parking - Permits	50,760	0
Regeneration & Environment	Car Parking - Residents Permits	108,360	0
Regeneration & Environment	Car Parking - Off Street Charges	844,160	26,700
Regeneration & Environment	Car Parking - On Street Charges	220,530	0
Regeneration & Environment	Car Parking - Civic Quarter Multi-Storey	333,380	0
Adults, Health & Wellbeing	Cusworth Hall Car Park	44,060	0
Regeneration & Environment	Development Management	1,360,400	1,050
Regeneration & Environment	Environment Public Health	8,980	340
Regeneration & Environment	FLAG - leaflets	25,110	990
Regeneration & Environment	FLAG - Litter fixed penalty notices	431,660	0
Regeneration & Environment	Safety & Food Education	37,120	430
Regeneration & Environment	Food Control	15,880	0
Regeneration & Environment	Facilities Management - Catering	274,580	10,230
Regeneration & Environment	Facilities Management - Other Room Hire	0	0
Regeneration & Environment	Gypsy & Traveller Rents / Static Caravan Sites	342,690	12,000
Regeneration & Environment	Highways Licences & Permits	348,790	3,630
Regeneration & Environment	Landlord Licences	25,110	0
Regeneration & Environment	Taxi Licencing	353,830	4,720
Regeneration & Environment	Miscellaneous Licences	359,570	1,510
Regeneration & Environment	Mansion House	6,000	320
Regeneration & Environment	Markets	1,473,310	0
Regeneration & Environment	Mary Woollett Centre	68,040	1,330
Regeneration & Environment	Parks & Playing Fields	19,890	460
Regeneration & Environment	Pest Control	91,530	1,810
Regeneration & Environment	Pollution Prevention & Control	41,270	1,310
Regeneration & Environment	Town Centre Management	53,130	1,420
Regeneration & Environment	Trading Standards	52,030	2,020
Regeneration & Environment	Transport Services Fees	53,660	1,140
Regeneration & Environment	Waste & Recycling	2,930,200	91,200
Finance & Corporate Services	Blue Badge Scheme	46,130	0
Finance & Corporate Services	Land Charges	167,860	0
Finance & Corporate Services	Registrars (non-statutory)	210,540	18,070
Finance & Corporate Services	Registrars (statutory)	228,670	7,930
Learning & Opportunities (CYPS)	Attendance	175,000	0
		14,518,720	302,000

Unit / New	Charge from 1st April 2017 £	Charge from 1st April 2018 £
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ADULTS & COMMUNITIES

The charges for day care, home care, supported living and residential care are based on the actual cost of care which is used to calculate how much individuals receiving packages of support will pay, these are subject to separate decisions and will be updated accordingly. The client contributions are charged in accordance with the Council's 'Charging and Financial Assessment for Adult Care and Support' policy. The policy ensures that people are only required to pay what they can afford towards their care and support, and people are entitled to financial support based on a means-test.

ADULT DAY CENTRES**Adult Day Centres - Transport (No VAT)****Transport - Day Rates**

6.00 6.00

Adult Day Centres - Universal Charge

Day Care Charges (per day) - Universal Charge without assessed need

35.28 Subject to separate

Day Care Charges (per day) - Universal Charge with Assessed Need (no VAT)

29.40 decision

Tea

0.60 0.60

Coffee

0.60 0.60

Adult Home Care (No Vat)

Home Care Fee Paid (per hour)

14.84 Subject to separate
decision**ADULT RESIDENTIAL SERVICES****Assessment for Out of Area Placements - Hourly Rate**

26.19 26.19

Payments to providers and maximum charge to a client in an Independent Care Home (per week)

Residential

465.36 Subject to separate

Residential Elderly Mentally Infirm (EMI)

465.36 decision

Nursing

465.36

Nursing Elderly Mentally Infirm (EMI)

515.65

Training Cancellation and Non Attendance Fee

100.00 100.00

Self Support Group Homes - Church Road, First Square, Lodge Road & Princess Avenue.

Rent (3 Tenants):

70.00 70.00

Rent (2 Tenants)

105.00 105.00

Self Support Group Homes - Cambourne Close, Church Balk, Coniston Court, Furnival Road, Goodwood Gardens, Holmescarr Road, Hunster Close, Leicester Avenue, Lodge Road, Lower Kenyon Street & Thellusson Avenue.

Learning Disability & Older People (4 Tenants): Rent

45.00 45.00

Learning Disability & Older People (3 Tenants): Rent

60.00 60.00

Learning Disability & Older People (2 Tenants): Rent

90.00 90.00

Community & Day Centre Hourly Charges

Community Group < 25 people

5.00 5.00

Community Group > 25 and < 40 people

10.00 10.00

Voluntary Sector < 25 people

10.00 10.00

Voluntary Sector > 25 and < 40 people

20.00 20.00

Profit Making < 25 people

20.00 20.00

Profit Making > 25 and < 40 people

25.00 25.00

Disability Related Expenses (No Vat)

In line with the non-residential fairer charging policy, certain allowable expenses are disregarded from the financial assessment.

Laundry

3.76 3.87

Incontinence

5.10 5.25

Bedding

1.07 1.10

Clothing - Under 60

3.24 3.33

Clothing - Over 60

1.95 2.01

Footwear - Under 60

1.69 1.74

Footwear - Over 60

1.02 1.05

Wheelchair - Manual

4.02 4.14

Wheelchair - Powered

9.68 9.96

Powered Bed

4.43 4.55

Turning Bed

7.73 7.95

Power Chair

3.48 3.58

Stair Lift

Page 73 6.26 6.44

Hoist	3.02	3.11
Transport (without Mobility)	2.48	2.56
Domestic Fuel:		
Single in Flat	12.07	12.42
Couple in Flat	15.99	16.45
Single in Semi	12.83	13.20
Couple in Semi	17.13	17.63
Single in Detached	15.58	16.03
Couple in Detached	20.55	21.15
Home Alarm Service / HEART		
Home Alarm Service / HEART weekly charge	3.84	3.84
The charge is outside the scope of VAT for residents who are eligible under the Chronically Sick & Disabled Person's Act.		
All other residents would incur VAT at the standard rate of 20%.		
Social Care		
Deferred Payment Agreement Admin Set up Fee (No VAT)	270.00	280.00
Deferred Payment Agreement Interest Rate (No VAT) -	See DPA Facts Sheet	See DPA Facts Sheet
Deferred Payments District Valuer Property Valuation Fee (arbitration where the Council's valuation is disputed by the client)	664.00	666.00
Deferred Payment Agreement Annual Fee - Administration costs	42.00	43.00
Deferred Payment Agreement Annual Fee - Property Re-valuation (as required)	77.00	80.00
Deferred Payment Agreement Termination Fee	19.00	20.00
Supported Living		
Sleep in Fee	35.00	35.00
ALLOTMENTS (outside scope of VAT)		
Direct Managed sites:		
per 100 sq. metre per year	9.94	10.33
Senior Citizen per 100 sq. metre per year	7.46	7.75
Self Managed sites individually priced as per specific agreements.		
LIBRARIES		
LOAN CHARGES AND FINES		
Overdue Book Charges		
Adult Reader fine (per book per day)	0.20	0.20
Maximum fine per book	10.00	10.50
Children, Housebound and Mobile Service borrowers are exempt from Overdue charges		
Talking and Audio Books		
Talking Books (3 weeks loan)	0.85	0.90
Free to loan for exempt borrowers	0.00	0.00
Daily Fine Rate	0.30	0.30
Audio Book Downloads	0.00	0.00
Overdue Reminders		
Overdue Reminders - charge per letter (does not apply to children or over 60's)	0.60	0.60
Books/Recording Requests		
Charge per card	0.20	0.20
Inter Library Loans - minimum charge (charges will vary depending on the location the item is sourced from)	5.20	5.50
Children's and Mobile Library Services borrowers requests	0.00	0.00
Destroyed/Lost Items		
Books/CDs/Talking Books/Cassettes/DVDs/Blu Ray	Replacement Cost	Replacement Cost
Age of Item:		
2 years and under - Replacement required or pay 100% replacement cost	Replacement Cost	Replacement Cost
Over 2 years - Need to pay 50% replacement cost	Replacement Cost	Replacement Cost
Damaged Items		
A discretionary charge will be made up to the full replacement cost with consideration given to age and condition of item.	Replacement Cost	Replacement Cost
Replacement Library Computer Tickets		
First Replacement	Page 74 1.50	1.60

Second and subsequent replacements	2.00	2.10
Child's first replacement	0.00	0.00
Child's second and subsequent replacements	0.60	0.60
LIBRARIES - ROOM HIRE CHARGES (per hour) No VAT		
Under 25 people for Community Groups during Library Opening Hours	8.00	8.50
25 - 50 people for Community Groups during Library Opening Hours	8.00	8.50
Under 25 people for profit making organisations during Library Opening Hours	30.00	31.50
25 - 50 people for profit making organisations during Library Opening Hours	40.00	42.00
Refreshments including biscuits (per person)	1.10	1.10
LIBRARIES - SPECIALIST SERVICE CHARGES		
Photocopies		
A4 Black and White	0.10	0.10
A3 Black and White	0.20	0.20
Bulk Copies - 25% discount for over 100		
A4 Copies from Microfilm	0.50	0.50
A3 Copies from Microfilm	0.75	0.75
Fax (Copies per sheet)		
Within UK - first sheet	1.50	1.50
subsequent sheets	0.50	0.50
Europe first - sheet	3.00	3.00
subsequent sheets	0.70	0.70
Worldwide - first sheet	3.25	3.25
subsequent sheets	1.50	1.50
Received Copies - first sheet	0.75	0.75
subsequent sheets	0.50	0.50
Computer and Internet Access		
Will be free for 2 hours and then charged per hour or part hour thereafter (excludes under 16's and exempt borrowers)	1.00	1.00
Guest member will be charged per 30 minutes (no free period)	0.50	0.50
Print outs from screen		
A4 Black and White	0.20	0.20
A4 Colour	0.50	0.50
Laminating		
A4	2.00	2.00
A3	2.60	3.00
Mint Corporate Researcher		
Mailing List (per address)	0.12	0.12
Company Financials (per page)	2.00	2.00
Company Profiles	12.30	12.30
Data Monitor Reports	19.50	19.50
Exhibitions and Displays		
Commercial display charge (for 2 weeks) 20% on sales + VAT or £65.00 (whichever is greater)	62.00	65.00
Non-commercial displays (for 1 week)	21.00	22.00
Invited displays in small areas and Branch Libraries at limited charge.		
Poster Display - (Maximum Size A3)		
Commercial per month/per poster		
Single Site	21.00	22.00
Multiple Sites	41.00	42.00
(Community/Charity posters not charged)	0.00	0.00
Charge subject to type of display and/or organisation at Manager's discretion		
Photographic Reproduction Charges		
External charges set by photographer	0.00	0.00
ARCHIVES AND LOCAL STUDIES CHARGES		
Diocesan Records		
Issue of Certificates		
Marriage (stipulated fee)	11.00	11.00
C of E Baptism (stipulated fee)	13.00	13.00
Certified Copies (typed entries from PRs)	8.00	8.00
Missing Certified photocopies	5.00	5.00
Research Service -		
Handling charge (including p+p)		

Appendix H

Up to 30 copies	10.00	10.00
Over 30 copies	14.00	15.00
Assistance with Research (per hour)		
Family and Local History	50.00	50.00
Photocopies (Black and White)		
Local Studies A4	0.10	0.10
Local Studies A3	0.20	0.20
Archives A4 (due to nature of document)	0.50	0.50
Archives A3 (due to nature of document)	0.70	0.70
A4 copies from Microfilm/Reader Printer	0.40	0.40
A3 copies from Microfilm/Reader Printer	0.70	0.70
Printing from public terminals (including scanned items)		
A4 Black and White	0.20	0.20
A4 Colour	0.50	0.50
Ordnance Survey Maps		
1854 and 1852	10.00	10.00
Administration Fee - for items taken out for reproduction by a third party	17.00	17.00
Research Service		
First 10 minutes	0.00	0.00
Basic search (2 sources 15 minutes)	15.00	15.00
Extensive search (per 30 minutes)	25.00	25.00
Corporate enquiries (per 30 minutes)	25.00	25.00
Commercial enquiries (per 30 minutes)	90.00	90.00
Legal Evidence letter	35.00	35.00
Planning Application Service	25.00	25.00
Scanned copies (per item)		
A5 to photocopy paper	0.80	0.80
A4 to photocopy paper	1.50	1.50
A5 to photographic paper	2.50	2.50
A4 to photographic paper	3.50	3.50
To CD Rom to include 1 Image	2.50	2.50
CD Rom additional images each	1.00	1.00
To E-mail	1.00	1.00
Photos taken using own equipment (per image)	0.50	0.50
Talks	0.00	0.00
Image usage charge:		
Local	35.00	35.00
National	120.00	120.00
International	200.00	200.00
Commercial History (up to 5 images)	100.00	100.00
Film Location Fee	200.00	200.00
DONCASTER LIBRARIES SERVICE FOR BLIND AND PARTIALLY SIGHTED PEOPLE		
A4 page of print (electronic or to be scanned and edited) - (Braille)	4.00	4.00
A4 of print (or hand written page to be typed) - (Braille)	5.50	5.50
A4 page (hard copy to be scanned and edited) - (Large Print)	1.00	1.00
A4 page (hand written copy to be typed) - (Large Print)	2.50	2.50
Work requiring considerable editing:		
per hour	25.00	25.00
plus either Braille Sheet	0.50	0.50
or Large Print Sheet	0.25	0.25
Binding - (Plastic Comb Binding)	1.00	1.00
Audio (Production of Master Copy) - (Cassette/CD) (per Hour)	25.00	25.00
Additional Copies - (Cassette/CD)	5.00	5.00
Maps and Diagrams		
A4 - (Tactile) (per sheet)	6.00	6.00
A3 - (Tactile) (per sheet)	8.00	8.00
Customer Greeting Cards - (Braille)	0.50	0.50
Local Charity Rate -	0.50	0.50
Other service available - price on application		
Publication fees per item Commercial run		
Under 1000 (items 1-5)	15.00	16.00

Appendix H

Under 1000 (items 6 and above)	8.00	9.00
Over 1000 (items 1-5)	28.00	30.00
Over 1000 (items 6 and above)	15.00	15.00

MUSEUMS - Charges include VAT unless stated

General

Photography		
Print from disc - on photographic A4	8.00	8.50
Print from disc - on photographic A3	16.00	17.00
Cost of scanning images (each 30 mins)	13.00	13.50
Origination of digital image	25.00	25.00
Reproduction and publication fees		

Photocopies		
A4 photocopy	0.20	0.20
A3 photocopy	0.40	0.40

Doncaster Museum & Art Gallery

Room Hire - Corporate

Gallery 4 per hour	30.00	30.00
Gallery 4 per half day	55.00	55.00
Gallery 4 per day	115.00	115.00
Gallery 5 per hour	35.00	35.00
Gallery 5 per half day	65.00	65.00
Gallery 5 per day	135.00	135.00
Tea & coffee (per head)	1.50	1.50

Room Hire - Non Profit Organisations

Gallery 4 per hour	20.00	20.00
Gallery 4 per half day	36.00	36.00
Gallery 4 per day	75.00	75.00
Gallery 5 per hour	23.00	23.00
Gallery 5 per half day	42.00	42.00
Gallery 5 per day	88.00	88.00
Tea & coffee (per head)	1.50	1.50

Cusworth Hall, Museum & Park

Room Hire - Corporate

Bothy (per hour)	15.00	15.00
Tea & coffee (per head)	1.50	1.50

Room Hire - Non Profit Organisations

Bothy (per hour)	10.00	10.00
Tea & coffee (per head)	1.50	1.50

Wedding Room Hire

Grand Salon	595.00	695.00
Mr Wrightson's Library	495.00	495.00
Ground hire	NEW	800.00

Cusworth Hall - Car Parking

1 Hour	1.00	1.00
2 Hours	1.50	1.50
3 Hours	2.20	2.20
ALL Day	6.00	6.00
Coach	10.00	10.00

SAFER COMMUNITIES - Charges include VAT

Alley Gate Keys

Initial issue of up to 2 keys per household/business affected by Gating Order	0.00	0.00
Issue of replacement key if lost or stolen	15.00	15.00

TRANSLATION SERVICE

Translation fee (per hour)	30.00	30.00
Transport & Administration fee (per occasion)	10.00	10.00

FINANCE & CORPORATE**BLUE BADGE CHARGES (NO VAT)**

Statutory fee set by Central Government. The 2017/18 charge is not expected to change for 2018/19

Blue car badge administration fee for new and re-issue	10.00	10.00
Fee increase set by government - £10 from April 2012		

LAND CHARGES

Statutory fees where level is to based on recovery of costs.
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LLC1 Only (Official Search - Land Charges Register)	27.00	27.00
CON29R (Enq of LA inc Public Register info)	60.84	60.84
CON29O (Optional Enquiries)	6.00	6.00
Other Income (Additional parcels/admin/copies)	10.00	10.00
Assisted Personal Search	9.00	9.00

REGISTRARS

Wedding package fees have been remodelled with a new offer at Priory Place.

Hire of Registrar

Mon-Thurs	267.00	277.00
Fri	308.00	320.00
Sat	436.00	453.00
Sun/Bank Hols	564.00	586.00

Civil Naming/Renewal of Vows

Mon – Thurs (R.O.)	108.00	112.00
Fri – Sat (R.O.)	128.00	133.00
Mon – Fri (App Prem)	184.00	191.00
Sat (App Prem)	257.00	267.00
Sun (App Prem)	313.00	325.00
Priority issue charge - same day over counter	6.00	6.00
Priority issue charge - same day return of post	6.00	6.00
Individual Citizenship Ceremonies	128.00	133.00
Change of Name Deed	41.00	43.00

Premium Marriage/ Civil Package - Priory Suite

	n/a	
Thursday	150.00	150.00
Friday	200.00	200.00
Saturday Morning	200.00	200.00
Saturday Afternoon	300.00	300.00

Premium Marriage/ Civil Package - The Great Kitchen

Thursday	175.00	175.00
Friday	250.00	250.00
Saturday Morning	250.00	250.00
Saturday Afternoon	350.00	350.00

Other Fees

Postage - Recorded Delivery	2.00	2.00
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Statutory Fees

These remain largely unchanged pending further announcement from Government.
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Standard Birth, Death or marriage Certificate (SR)	10.00	10.00
Standard Birth, Death or marriage Certificate (Current Register)	4.00	4.00
Short birth certificate issued by Registrar	4.00	4.00
Short birth certificate issued by Superintendent Registrar	10.00	10.00
Register Office marriage ceremony / Civil partnership fee	45.00	50.00
Registrar's attendance at Church wedding	84.00	84.00
Superintendent Registrar's attendance at housebound or detained persons residence	£82 HB £93 DET	90.00
Registrar's attendance at housebound or detained person's wedding	£79 HB £86 DET	85.00
Notice of intention to marry	35.00	35.00
Notice of intention to hold a civil partnership registration	35.00	35.00
Registration of a building for the celebration of marriages	120.00	120.00
Certification of a building for public worship	28.00	28.00
Registration correction - where customer is at fault	75.00	
Consideration of divorce papers from outside UK	50.00	
Space 17 amendments	40.00	

LEARNING & OPPORTUNITIES - CHILDREN & YOUNG PEOPLE

Statutory fees set by Central Government
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ATTENDANCE & PUPIL WELFARE

Fixed Penalty Notice for irregular attendance at School - Per Parent Per Child	60.00	60.00
Disclosure and Barring (DBS) check for volunteers to be chaperones whilst working with children involved in performing.	27.00	27.00

REGENERATION & ENVIRONMENT**BEREAVEMENT SERVICES****Independent funerals****Burial:**

Pre-purchase Adult Grave / Woodland / Meadowland Exclusive Right 10yrs	563.00	585.00
Pre-purchase Adult Grave / Woodland / Meadowland Exclusive Right 10yrs renewal	220.00	229.00
Pre-purchase Adult Grave / Woodland / Meadowland Exclusive Right 50yrs	987.00	1,026.00
Pre-purchase Adult Grave / Woodland / Meadowland Exclusive Right 75yrs	1,268.00	1,318.00
Interment Fee	905.00	940.00
Extra Depth Charge for 2	210.00	218.00
Extra Depth Charge for 3	410.00	426.00
Late Charge for turning up to scheduled burial booked (15mins late)	67.00	70.00
Interment of Adult Public Grave	925.00	961.00
Interment of NVF (24wk gestation only in Public Grave)	205.00	213.00
Cremated Remains Plot Exclusive Right 50yrs	496.00	515.00
Cremated Remains Plot Exclusive Right 75yrs	721.00	749.00
Pre-purchase plot (reservation only) for cremated remains 10 yrs	286.00	297.00
Renewal of pre-purchased plot (reservation only) for cremated remains 10 yrs	210.00	218.00
Interment of Remains	236.00	245.00
Double Headstone (over 2 graves/over 3 would be an additional £220)	420.00	436.00
Headstone	215.00	223.00
Kerb Set	164.00	170.00
Double kerbset (to be placed over 2 graves an additional £168 over 3 graves)	300.00	312.00
Replacement Headstone	31.00	32.00
Cremated Remains Tablet	98.00	102.00
Additional Inscription	62.00	64.00
Removal of Headstone prior to Interment	184.00	191.00
Removal of Kerb prior to Interment	246.00	256.00
Removal of Cremated Remains Tablet	67.00	70.00
Removal and Disposal of Headstone/Plaque	57.00	59.00
Vase	31.00	32.00
Additional inscription on a vase	12.00	13.00
Accompanied Grave/Plot Selection		
Outside Cemeteries	31.00	32.00
Rose Hill	16.00	17.00
Exhumation Charge for Cremated Remains	282.00	293.00
Use of Chapel (30 min block)	100.00	104.00
Transfer of Ownership of graves/plots	41.00	43.00
Duplicate grave deed	37.00	38.00
Strewing of Cremated Remains on Graves	36.00	38.00
Swipe Card for Blue Badge holders	15.00	16.00
Use of Chapel for services not taking place in our Cemeteries or Crematorium	261.00	271.00
Fee for late Burial or Cremation Paperwork	67.00	70.00
No show charge for appointments made for either burial of remains or scattering appointment	67.00	67.00
Premium Grave Charge	125.00	130.00

Cremation:

Core child related services are free of charge.

Adult Full Service Charge inc Medical ref fee charge	715.00	743.00
Adult Committal Service Charge inc Medical ref fee charge	680.00	707.00
NVF Samples & Body Parts	190.00	197.00
Additional Chapel Time (20 minutes)	255.00	265.00
Wooden Caskets	67.00	70.00
Velvet Bags	16.00	17.00
Bronze Urns	57.00	59.00
Environmental Containers	16.00	17.00
Decorated container Tubes	31.00	32.00
Plastic Containers	31.00	32.00
Exhumation Casket	113.00	117.00
Certificate of Cremation	21.00	22.00

Appendix H

Removal of Flower to Cemetery Site	31.00	32.00
Bearers for Cremation	36.00	37.00
Recording of Cremation Service	46.00	48.00
Scattering Remains from Elsewhere	87.00	90.00
Scattering Appointment	46.00	48.00
Genealogy Search (per person)	26.00	27.00
Cancellation of Cremation 2 days prior to Cremation	113.00	117.00
Consultation and help with completing cremation forms for Independent Funerals	200.00	208.00
Organ Fee	12.00	13.00
Premium Plot Charge	62.00	64.00
Over running on Cremation Time	62.00	64.00
Hold of Cremated Remains		0.00
Charge if not collected within 6 weeks of Cremation	31.00	32.00
Charge Per Week Thereafter	6.00	6.00
Children's velvet bags	Price on application	Price on application
Children's decorated tubes	Price on application	Price on application

Memorials:

Core child related services are free of charge.

Memorial Inscription per Line	36.00	37.00
Book of Remembrance - Badges	72.00	75.00
Book of Remembrance - Coat of Arms	87.00	90.00
Miniature Book (2 line entry)	87.00	90.00
Miniature Card (2 line entry)	52.00	54.00
Extra lines per entry in books and cards	21.00	22.00
Bronze Tablet Path Kerb 10 years	205.00	213.00
Bronze Tablet location reservation fee	41.00	43.00
Ornamental Tree with Bronze or Granite Tablet 10 years	563.00	585.00
Replacement Bronze or Granite Tablet due to vandalism	133.00	138.00
Crematorium Plots Exclusive Rights 30yrs	435.00	452.00
Interment of Cremated Remains	236.00	245.00
Standard Black Granite Wedge / Memorial Granite Stone	409.00	425.00
Small Black Granite Plaque or York Stone Plaque	282.00	293.00
Photo Plaques Single / Double	154.00	160.00
Motifs on Plaques	108.00	112.00
Re-Gilding Fee	31.00	32.00
Additional Lettering (charge per letter) on Plaques, wedges, york stone	1.50	2.00
Exclusive Right Sanctums	1,028.00	1,068.00
Additional Lettering & Interment into Sanctum	476.00	495.00
Vases for path side 10 years	532.00	553.00
Vase in Flower Room or Book of Remembrance Room 1 year	62.00	64.00
Swipe Card for Flower Room	11.00	11.00
Granite seat without arms 10 years	1,350.00	1,403.00
Granite seat with arms 10 years	1,370.00	1,423.00
Granite bench 10 years	1,180.00	1,226.00
Polywood bench 2 seater 10 years	1,120.00	1,164.00
Polywood bench 3 seater 10 years	1,140.00	1,185.00
Additional seats 2x5 plaque	77.00	80.00
Additional seats 2x6 plaque	97.00	101.00
Design on plaques	41.00	43.00
Childs Hand / Mushroom Small Plaque 10 years	190.00	197.00
Childs Hand / Mushroom Medium Plaque 10 years	235.00	244.00
Childs Hand / Mushroom Large Plaque 10 years	265.00	275.00
Granite plaques on scatter monument/barbican 10 years	405.00	421.00
Additional lettering on monument plaques	51.00	53.00
Granite plaque on wishing well 10 years	235.00	244.00
Tablet on shared Mushroom 10 years	179.00	186.00
Family Mushroom Memorial 10 years	547.00	568.00
Rose Tree 5 years	220.00	229.00
Rose Shrub / Miniature Shrub 5 years	128.00	133.00
Additional Labels Rose Trees, Shrubs	35.00	36.00
Granite plaques on wall in Crematorium grounds 10 years	225.00	234.00
Metal vases for cemetery/crematorium plots	16.00	17.00
Additional Inscription on a Vase	12.00	13.00
Vase	31.00	32.00
Duplicate grave deed	36.00	37.00
Jewellery for cremated remains	Price on application	Price on application
Various containers in addition to the standard types available	Price on application	Price on application

Rose tree/shrub labels allowing 4 line inscription	36.00	37.00
Mausoleums		
All burial units will be an exclusive right of burial period for 50 years		
Side Charging Mausoleum - fee includes interment fee and up to 200 letter inscription	7,875.00	8,056.00
Front Charging Mausoleum - fee includes interment fee and up to 200 letter inscription	5,250.00	5,370.00
Single Sarcophagi - accommodates 2 interments -fee includes 1st interment and up to 200 letter inscription	10,290.00	10,527.00
Below Ground Burial Chambers - accommodates 4 interments - fee includes 1st interment and up to 200 letter inscription	13,650.00	13,964.00
Below Ground Burial Chambers - accommodates 2 interments - fee includes 1st interment and 200 letter inscription (see* for second interment Charge information)	6,615.00	6,767.00
Above and Below Ground Burial Chamber - accommodates 4 interments - fee includes 1st interment and up to 200 letter inscription	21,160.00	21,647.00
Keep Sake Niches	525.00	537.00
Exclusive Rights on Memorial Seats - 30 Years		
Memorial Seats - accommodate 4 sets of cremated remains - Fee to include seat, container, and 1st interment of ashes and up to 160 letter inscription on plaque	1,945.00	1,990.00
Additional Charges		
Additional Interment Charge including up to 200 letter inscription	1,575.00	1,611.00
Each subsequent Interment of ashes charge into seat including container and 160 letter inscription charge	630.00	644.00
Motifs on tablet	Price on Application	Price on Application
Photo Plaque	Price on Application	Price on Application
Posy Holders	26.00	27.00
* Small Desktop Memorial - can only fit maximum of 160 letters on tablet		

BUILDING CONTROL**Erection or extension of a non exempt attached or detached domestic garage or carport or having a floor area not exceeding 36m².**

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	107.00	107.00
Building Notice or reservation Charge including VAT	257.00	257.00
Amount of Regularisation Charge (No VAT)	257.00	257.00
Part P Inspection	267.00	267.00

Erection or extension of a non exempt attached or detached domestic garage or carport or having a floor area 36- 100m².

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	172.00	172.00
Building Notice or reservation Charge including VAT	322.00	322.00
Amount of Regularisation Charge (No VAT)	322.00	322.00
Part P Inspection	267.00	267.00

Conversion of a domestic garage to create a habitable space

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	107.00	107.00
Building Notice or reservation Charge including VAT	257.00	257.00
Amount of Regularisation Charge (No VAT)	257.00	257.00
Part P Inspection	267.00	267.00

Any extension of a dwelling with an internal floor area of which does not exceed 10m². that extension

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	139.00	139.00
Building Notice or reservation Charge including VAT	289.00	289.00
Amount of Regularisation Charge (No VAT)	289.00	289.00
Part P Inspection	267.00	267.00

Any extension of a dwelling with an internal floor area between 10m² and 40m²

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	273.00	273.00
Building Notice or reservation Charge including VAT	423.00	423.00
Amount of Regularisation Charge (No VAT)	423.00	423.00
Part P Inspection	267.00	267.00

Any extension of a dwelling with an internal floor area between 40m² and 60m².

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	357.00	357.00
Building Notice or reservation Charge including VAT	507.00	507.00
Amount of Regularisation Charge (No VAT)	507.00	507.00
Part P Inspection	267.00	267.00

Any extension of a dwelling with an internal floor area between 60m² and 100m².

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	407.00	407.00
Building Notice or reservation Charge including VAT	557.00	557.00
Amount of Regularisation Charge (No VAT)	557.00	557.00
Part P Inspection	267.00	267.00

Installation of up to 5 domestic replacement window/s and door/s

Building Notice or reservation Charge including VAT	88.00	88.00
Amount of Regularisation Charge (No VAT)	88.00	88.00

Installation of between 5 and 10 domestic replacement window/s and door/s.

Building Notice or reservation Charge including VAT	121.00	121.00
Amount of Regularisation Charge (No VAT)	121.00	121.00

Controlled domestic electrical work - rewiring

Building Notice or reservation Charge including VAT	414.00	414.00
Amount of Regularisation Charge (No VAT)	414.00	414.00

Controlled domestic electrical work – minor works

Building Notice or reservation Charge including VAT	414.00	414.00
Amount of Regularisation Charge (No VAT)	414.00	414.00

Any alteration of a dwelling creating one or more rooms in roof space, including means of access.

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	273.00	273.00
Building Notice or reservation Charge including VAT	423.00	423.00
Amount of Regularisation Charge (No VAT)	423.00	423.00
Part P Inspection	267.00	267.00

Any other domestic alterations, installation of fitting or work not covered in the above categories where the estimated cost of the work does not exceed £2,000.

Amount of Plan Charge	154.00	154.00
Building Notice or reservation Charge including VAT	154.00	154.00
Amount of Regularisation Charge (No VAT)	154.00	154.00
Part P Inspection	267.00	267.00

Any other domestic alterations, installation of fitting or work not covered in the above categories where the estimated cost of the work exceeds £2,000 but does not exceed £5,000.

Amount of Plan Charge	188.00	188.00
Building Notice or reservation Charge including VAT	188.00	188.00
Amount of Regularisation Charge (No VAT)	188.00	188.00
Part P Inspection	267.00	267.00

Any other domestic alterations, installation of fitting or work not covered in the above categories where the estimated cost of the work exceeds £5,000 but does not exceed £25,000.

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	172.00	172.00
Building Notice or reservation Charge including VAT	322.00	322.00
Amount of Regularisation Charge (No VAT)	322.00	322.00
Part P Inspection	267.00	267.00

Any other domestic alterations, installation of fitting or work not covered in the above categories where the estimated cost of the work exceeds £25,000 but does not exceed £50,000.

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	374.00	374.00
Building Notice or reservation Charge including VAT	524.00	524.00
Amount of Regularisation Charge (No VAT)	524.00	524.00
Part P Inspection	267.00	267.00

Planning & Building Control Fees for Small Domestic Buildings

Plan Charge for one dwelling	150.00	150.00
Inspection Charge	441.00	441.00
Amount of Regularisation Charge (No VAT)	591.00	591.00
For 2 or more dwellings please contact Building Control		

Planning & Building Control Fees for Non Domestic new buildings, extensions, alterations etc**Any extension with maximum internal 10m² floor area.**

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	172.00	172.00
Building Notice or reservation Charge including VAT	322.00	322.00
Amount of Regularisation Charge (No VAT)	322.00	322.00

Any new building or extension with an internal floor area between 10m² - 40m².

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	307.00	307.00
Building Notice or reservation Charge including VAT	457.00	457.00
Amount of Regularisation Charge (No VAT)	457.00	457.00

Any new building or extension with an internal floor area between 40m² - 100m².

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	508.00	508.00
Building Notice or reservation Charge including VAT	658.00	658.00
Amount of Regularisation Charge (No VAT)	658.00	658.00

Any new build or extension with an internal floor area between 100m² - 200m².

Individually Determined Individually Determined

Office or Shop Fit Out with an internal floor area up to 500m² floor area.

Individually Determined Individually Determined

Office or Shop Fit out with an internal floor area between 500m² and 2000m².

Individually Determined Individually Determined

Installation of mezzanine floor with an internal floor area up to 200m².

Individually Determined Individually Determined

Installation of up to 20 non domestic window/s and door/s.

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	102.00	102.00
Building Notice or reservation Charge including VAT	252.00	252.00
Amount of Regularisation Charge (No VAT)	252.00	252.00

Any other non domestic alterations, installation of fitting or work not covered in the above categories where the estimated cost of the work does not exceed £5,000.

Amount of Plan Charge	205.00	205.00
Building Notice or reservation Charge including VAT	205.00	205.00
Amount of Regularisation Charge (No VAT)	205.00	205.00

Any other non domestic alterations, installation of fitting or work not covered in the above categories where the estimated cost of the work exceeds £5,000 but does not exceed £25,000.

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	256.00	256.00
Building Notice or reservation Charge including VAT	406.00	406.00
Amount of Regularisation Charge (No VAT)	406.00	406.00

Any other non domestic alterations, installation of fitting or work not covered in the above categories where the estimated cost of the work exceeds £25,000 but does not exceed £50,000.

Amount of Plan Charge	150.00	150.00
Amount of Inspection Charge	508.00	508.00
Building Notice or reservation Charge including VAT	658.00	658.00
Amount of Regularisation Charge (No VAT)	658.00	658.00

Under Regulation 7(5) of the Building (Local Authority Charges) Regulations 2010 any of the above charges may also be determined on an individual basis taking into account various factors which an applicant can discuss with Building Control if they so wish, and/or should market conditions dictate. This may result in the charge being lower or higher than listed above.

For applications falling outside the above categories, Building Control charges are individually calculated

Building Control - Other Fees

Street naming (One House) Non Vatable		57.00	59.00
Street naming (Two or more) Non Vatable		72.00	75.00
Street naming (New Road) Non Vatable		106.00	110.00
Subsequent roads on same development Non Vatable		38.00	39.00
Demolitions Non Vatable		76.00	79.00
Solicitors Enquiry		76.00	79.00
Duplicate/Replacement Certificates		76.00	79.00
Safety Advisory Group Certification	NEW / per hour		50.00

LOCAL RECORDS CENTRE

Collation and provision of biological records data for private and public sector use .

Standard Data Search			
Single Species/Group of Species search in 1km buffer		60.00	60.00
Single Species/Group of Species search in 2km buffer		90.00	90.00
Full Species search in 1km buffer		180.00	180.00
Full Species search in 2km buffer		240.00	240.00
Customised Data Search		Price on Application	Price on Application
Citation for Local Wildlife Site and Local Geological site	Per site	15.00	15.00
Minimum charge		30.00	30.00
Data enquiry unsuccessful		No Charge	No Charge
Copy Charges - varies depending on size of paper		Various	Various
High Hedge Applications		300.00	312.00

CAR PARKING

Stay

Charges include VAT unless stated

Markets and Chamber Road car park fees restructured to ensure the existing hourly charge is incremental across the respective 2 hour and 3 hour fee range and includes a 10p increase in the hourly charge at Chamber Road. Chamber Road All Day fee will be subject to a separate decision. Other fees to remain the same.

Car Park:			
Colonnades	Per Hour	1.30	1.30
	All day	5.00	5.00
Wood Street	1 Hour	1.20	1.20
	2 Hours	2.40	2.40
College Road (Irish Club)	1 Hour	1.00	1.00
	2 Hours	1.50	1.50
	3 Hours	2.00	2.00
Chappell Drive (East and West)	1 Hour	0.50	0.50
	2 Hours	0.50	0.50
	3 Hours	1.00	1.00
	4 Hours	1.50	1.50
	Over 4 Hrs	2.50	2.50
Markets	1 Hour	1.30	1.30
	2 Hours	2.40	2.60
St Georges	1 Hour	1.00	1.00
	2 Hours	2.00	2.00
	3 Hours (Max Stay)	2.50	2.50
Council House	4 Hours	2.00	2.00
	ALL DAY	4.00	4.00
Scarborough House.	4 Hours	2.00	2.00
	ALL DAY	4.00	4.00
Thorne Rd (DRI)	1 Hour	1.10	1.10
	2 Hours	1.60	1.60
	3 Hours	2.10	2.10
	4 Hours (Max stay)	2.60	2.60
Marshgate (North Bridge)	1 Hour	0.50	0.50
	2 Hours	1.00	1.00
	3 Hours	1.50	1.50
	4 Hours	2.00	2.00
	All day	2.00	2.00
Church Way	1 Hour	0.50	0.50
	2 Hours	1.00	1.00
	3 Hours	1.50	1.50
	4 Hours	2.00	2.00

Appendix H

Dockin Hill Road	Over 4 Hrs	2.50	2.50
	1 Hour	0.80	0.80
	2 Hours	1.20	1.20
	3 Hours	1.90	1.90
Chamber Road	4 Hours (Max stay)	2.40	2.40
	1 Hour	1.10	1.20
	2 Hours	2.10	2.40
	3 Hours	3.10	3.60
	ALL Day	7.60	TBC

Civic Quarter Multi Storey Car Park

Subject to a separate decision.

Season tickets	Paid Monthly	60.00	TBC
	Paid Annually	720.00	TBC
Monday - Friday	1 Hour	1.10	TBC
	2 Hours	1.90	TBC
	3 Hours	2.50	TBC
	4 Hours	4.50	TBC
	Over 4 Hours	6.00	TBC
Early bird - In between 6:00 am and 8:30 am		3.00	TBC
Overnight - In after 6:00pm: out by 6:00 am		2.00	TBC
Saturday & Sunday	All Day	2.50	TBC

On Street Pay & Display (Outside scope of VAT):

St George Gate (new from January 2013)	Per 1/2 Hour	1.00	1.00
Prince's street	Per 1/2 Hour	1.00	1.00
Eastlathgate	Per 1/2 Hour	1.00	1.00
Spring Gardens	Per 1/2 Hour	1.00	1.00
Chequer Road	Per 1/2 Hour	1.00	1.00
Park Road	Per 1/2 Hour	1.00	1.00
Catherine Street	Per 1/2 Hour	1.00	1.00
Copley Road	Per 1/2 Hour	1.00	1.00
Nether Hall Road	Per 1/2 Hour	1.00	1.00
Wood Street	Per 1/2 Hour	1.00	1.00
Princegate	Per 1/2 Hour	1.00	1.00
Hallgate	Per 1/2 Hour	1.00	1.00
Sunny bar	Per 1/2 Hour	1.00	1.00
Market Place	Per 1/2 Hour	1.00	1.00
Horse Fair Green	Per 1/2 Hour	1.00	1.00
College Road	Per Hour	1.00	1.00
Francis Street	Per 1/2 Hour	1.00	1.00
Greyfriars Rd	Per Hour	1.00	1.00
Chamber Road (on street)	Per Hour	1.00	1.00
Suspensions	Day	25.50	20.00
Dispensations	Day	17.00	10.00

Permit Charges:

Parking Permit (Public) - Chappell Drive & Marshgate only (Marshgate from 1/4/14)	6 Day	372.00	372.00
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Residents parking permits (subject to further consultation):

Residents Permit Scheme - charges intended to cover cost of administering scheme.

Elmfield Scheme: -			
Per Permit (Residents, Charities & Carers) 5 maximum	Per annum	25.00	26.00
Scratch Card (initial issue of 50)	Per annum	25.00	26.00
(then per scratch card)	Per annum	2.50	2.60
Permit (Businesses)	Per annum	50.00	52.00
Permit (Landlords)	Per annum	100.00	104.00
Other Schemes: -			
Per Permit (Residents, Charities & Carers) 5 maximum	Per annum	25.00	26.00
Scratch Card (initial issue of 50)	Per annum	25.00	26.00
(then per scratch card)	Per annum	2.50	2.60
Permit (Businesses)	Per annum	50.00	52.00
Permit (Landlords)	Per annum	100.00	104.00

CATERING - FACILITIES MANAGEMENT

Catering charges to staff/public mainly at the Vibe, Café Culture, Mary Woollett Centre and the Mansion House.

Example charges: -

Standard Coffee	1.45	1.50
Standard Tea	1.25	1.30
Standard Sandwich	2.05	2.10
Speciality Coffee	1.90	2.00
Meal Deal	3.30	3.40
Standard Buffet	6.60	6.80
Afternoon Tea (per head)	16.00	16.50
Standard Wedding Package (per head)	94.05	97.00

DEVELOPMENT MANAGEMENT

Where the Council can determine the value, Pre Application fees have been increased by RPI 3.9%. Other Pre Application fees where there is no increase are either Statutory Fees set by Central government or free of charge.

Pre Applications (Minor)	85.00	88.00
Pre Applications (Householder/Adverts)	30.00	31.50
Discharging Conditions (Government set)	97.00	97.00
Discharging Conditions (Householder - Government set)	28.00	28.00
Decision Notices	0.00	0.00
Planning Histories	0.00	0.00
Permitted Development	30.00	31.50
Copies of permitted development decision letters	0.00	0.00
Pre- Applications (Major Applications including 1 meeting)	500.00	520.00
Pre-Application (Subsequent meetings after above, price per meeting)	350.00	364.00
Pre-Applications (Major Applications Block of 5 meetings)	2,000.00	2,078.00
Pre-Application validation service	25.00	26.00

Statutory Planning Fees

These are set by Central Government with a national increase of 20% implemented in January 2017. The increased income is intended to enable Planning Departments to fund additional resources to meet demand and clear bottlenecks, allowing new developments to come forward more easily, and is not available for the Fees & Charges savings target. As the increase has been implemented in 2017/18 there is no change in the fee between 2017/18 and 2018/19.

Outline Applications

Not more than 2.5 hectares - £462 per 0.1 hectare for sites up to and including 2.5 hectares	462.00	462.00
More than 2.5 hectares - £11432 + £138 for each 0.1 in excess of 2.5 hectares to a maximum of £150,000	11,432.00	11,432.00

Householder Applications

Alterations/extensions to a single dwelling (including flats), including works within boundary	206.00	206.00
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Full Applications (and First Submissions of Reserved Matters; or Technical Details Consent)

Alterations/extensions to two or more dwellings or flats, including works within boundaries	407.00	407.00
New dwellings (up to and including 50) £462 per dwellinghouse	462.00	462.00
New dwellings (for more than 50) £22,859 + £138 per additional dwelling in excess of 50 up to a maximum fee of £300,000	22,859.00	22,859.00

Erection of buildings (not dwellings, agricultural, glasshouses, plant nor machinery):

No increase in gross floor space or no more than 40m ²	234.00	234.00
More than 40m ² but no more than 75m ²	462.00	462.00
More than 75m ² but no more than 3,750m ² £462 for each 75 Sqm of part thereof	462.00	462.00
More than 3,750m ² £22,859 + £138 for each additional 75m ² in excess of 3750m ² to a maximum of £300,000	22,859.00	22,859.00

Erection of buildings (on land used for agriculture for agricultural purposes)

Not more than 465m ²	96.00	96.00
More than 465m ² but not more than 540m ²	462.00	462.00
More than 540m ² but not more than 4,215m ² (£462 for first 540m ² + £462 for each 75m ² (or part thereof) in excess of 540m ²	462.00	462.00
More than 4,215m ² £22,859 + £138 for each 75m ² (or part thereof) in excess of 4,215m ² up to a maximum of £300,000	22,859.00	22,859.00

Erection of glasshouses (on land used for the purposes of agriculture)		
Not more than 465m ²	96.00	96.00
More than 465m ²	2,580.00	2,580.00
Erection/alterations/replacement of plant and machinery		
Not more than 5 hectares £462 for each 0.1 hectare (or part thereof)	462.00	462.00
More than 5 hectares £22,859 + additional £138 for each 0.1 hectare (or part thereof) in excess of 5 hectares to a maximum of £300,000	22,859.00	22,859.00
Applications other than Building Works		
Car parks, service roads or other accesses for existing uses	234.00	234.00
Waste (Use of land for disposal of refuse or waste materials or deposit of material remaining after extraction or storage of minerals)		
Not more than 15 hectares £234 for each 0.1 hectare (or part thereof)	234.00	234.00
More than 15 hectares £34,934 + £138 for each 0.1 hectare (or part thereof) in excess of 15 hectares up to a maximum of £78,000	34,934.00	34,934.00
Operations connected with exploratory drilling for oil or natural gas		
Not more than 7.5 hectares £508 for each 0.1 hectare (or part thereof)	508.00	508.00
More than 7.5 hectares £38,070 + additional of £151 for each 0.1 hectare (or part thereof) in excess of 7.5 hectares up to a maximum of £300,000	38,070.00	38,070.00
Operations (other than exploratory drilling) for the winning and working of oil or natural gas		
Not more than 15 hectares £257 for each 0.1 hectare (or part thereof)	257.00	257.00
More than 15 hectares £38,520 + £151 for each 0.1 in excess of 15 hectares up to a maximum of £78,000	38,520.00	38,520.00
Other operations(winning and working of minerals) excluding oil and natural gas		
Not more than 15 hectares £234 for each 0.1 hectare (or part thereof)	234.00	234.00
More than 15 hectares £34,934 + additional £138 for each 0.1 in excess of 15 hectares up to a maximum of £78,000	34,934.00	34,934.00
Other operations (not coming within any of the above categories) £234 for each 0.1 hectare (or part thereof) up to a maximum of £2,028	234.00	234.00
Lawful Development Certificate		
LDC – Existing Use or operation - same as full	see note	see note
LDC – Existing Use or operation - lawful not to comply with any condition or limitation	234.00	234.00
LDC – Proposed operation or use - half the normal planning fee	see note	see note
Prior Approval		
Agricultural and Forestry buildings & operations or demolition of buildings	96.00	96.00
Communications (previously referred to as Telecommunications Code Systems Operators)	462.00	462.00
Proposed Change of Use to State Funded School or Registered Nursery	96.00	96.00
Proposed Change of Use of Agricultural Building to State Funded School or Registered Nursery	96.00	96.00
Proposed Change of Use of Agricultural Building to a flexible use within Shops, Financial and Professional services, Restaurants and Cafes, Business, Storage or Distribution, Hotels, or Assembly or Leisure	96.00	96.00
Proposed Change of Use of a building from Office (Use Class B1) Use to a use falling within Use Class C3 (Dwellinghouse)	96.00	96.00
Proposed Change of Use of Agricultural Building to a Dwellinghouse (Use Class C3), where there are no Associated Building Operations	96.00	96.00
Proposed Change of Use of Agricultural Building to a Dwellinghouse (Use Class C3), and Associated Building Operations	206.00	206.00
Proposed Change of Use of a building from a Retail (Use Class A1 or A2) Use or a Mixed Retail and Residential Use to a use falling within Use Class C3 (Dwellinghouse), where there are no Associated Building Operations	96.00	96.00
Proposed Change of Use of a building from a Retail (Use Class A1 or A2) Use or a Mixed Retail and Residential Use to a use falling within Use Class C3 (Dwellinghouse), and Associated Building Operations	206.00	206.00
Notification for Prior Approval for a Change Of Use from Storage or Distribution Buildings (Class B8) and any land within its curtilage to Dwellinghouses (Class C3)	96.00	96.00
Notification for Prior Approval for a Change of Use from Amusement Arcades/Centres and Casinos, (Sui Generis Uses) and any land within its curtilage to Dwellinghouses (Class C3)	96.00	96.00

Notification for Prior Approval for a Change of Use from Amusement Arcades/Centres and Casinos, (Sui Generis Uses) and any land within its curtilage to Dwellinghouses (Class C3), and Associated Building Operations	206.00	206.00
Notification for Prior Approval for a Change of Use from Shops (Class A1), Financial Professional Services (Class A2), Betting Offices, Pay Day Loan Shops and Casinos, (Sui Generis Uses) to Restaurants and Cafes (Class A3)	96.00	96.00
Notification for Prior Approval for a Change of Use from Shops (Class A1), Financial Professional Services (Class A2), Betting Offices, Pay Day Loan Shops and Casinos, (Sui Generis Uses) to Restaurants and Cafes (Class A3), and Associated Building Operations	206.00	206.00
Notification for Prior Approval for a Change of Use from Shops (Class A1), Financial Professional Services (Class A2), Betting Offices, Pay Day Loan Shops (Sui Generis Uses) to Assembly and Leisure Uses (Class D2)	96.00	96.00
Notification for Prior Approval for a Development Consisting of the Erection or Construction of a Collection Facility within the Curtilage of a Shop	96.00	96.00
Notification for Prior Approval for the Temporary Use of Buildings or Land for the Purpose of Commercial Film-Making and the Associated Temporary Structures, Works, Plant or Machinery required in Connection with that Use	96.00	96.00
Notification for Prior Approval for the Installation, Alteration or Replacement of other Solar Photovoltaics (PV) equipment on the Roofs of Non-domestic Buildings, up to a Capacity of 1 Megawatt	96.00	96.00
Reserved Matters		
Application for approval of reserved matters following outline approval - full fee due or if full fee already paid then £462 due	see note	see note
Approval/Variation/discharge of condition		
Application for removal or variation of a condition following grant of planning permission	234.00	234.00
Request for confirmation that one or more planning conditions have been complied with. £34 per request for Householder otherwise £116 per request	34.00	34.00
Change of Use of a building to use as one or more separate dwelling houses, or other cases		
Not more than 50 dwellings £462 each	462.00	462.00
More than 50 dwellings £22,859 + £138 for each in excess of 50 up to a maximum of £300,000	22,859.00	22,859.00
Other Changes of Use of a building or land	462.00	462.00
Advertising		
Relating to the business on the premises	132.00	132.00
Advance signs which are not situated on or visible from the site, directing the public to a business	132.00	132.00
Other advertisements	462.00	462.00
Application for a Non-material Amendment Following a Grant of Planning Permission		
Applications in respect of householder developments	34.00	34.00
Applications in respect of other developments	234.00	234.00
Application for Permission in Principle (valid from 1 June 2018)		
Site Area £402 for each 0.1 hectare (or part thereof)	402.00	402.00
Concessions may apply - please refer to Planning Services website for details of exemptions and reductions to payments.		
ENVIRONMENT		
Environmental Information Regulations Fee		
per hour	40.00	41.50
Cutting alarms after service of notice:		
Internal	511.00	530.00
External	317.00	330.00
Disconnecting car alarm after service of notice	215.00	223.00
FLAG (Fly Tipping, Litter, Abandoned Vehicles & Graffiti)		
Leaflet Distribution		
0 to 5	200.00	208.00
6 to 9	300.00	312.00
10 to 15	400.00	416.00
16 to 23	500.00	520.00
24+	600.00	623.00
Additional Badge	26.00	27.00

Fixed Penalty Notices

Statutory Fees set by Central Government. The upper limit for Litter FPN's has now increased to £150 but with the Council offering discount to £100 if paid within 28 days to encourage payment rather than the more costly method of going through court to recover the charge. Changes to income will be need to be monitored and any increase re-invested in fly tipping prevention measures.

Litter Fixed Penalty Notices		80.00	150.00
Litter Fixed Penalty Notices - If paid within 28 days	NEW		100.00
Dog Fouling		50.00	50.00
Dog Fouling PSPO		100.00	100.00
Graffiti		80.00	80.00
Fly Posting		80.00	80.00
Waste Carriers		300.00	300.00
Waste Transfer Notes		300.00	300.00
Abandoned Vehicle		200.00	200.00
Vehicles for Sale		100.00	100.00
Repairing Vehicles		100.00	100.00
Unauthorised distribution of free printed matter		80.00	80.00
Breach of Notice - Trade Bins		110.00	110.00
Breach of Notice - Domestic Bins		80.00	80.00
Breach of Notice – Community Protection Notice		100.00	100.00
Noise – Noise from Dwellings		100.00	100.00
Anti-Social Behaviour - Public Space Protection Order Breach		100.00	100.00
Fly Tipping		400.00	400.00

FOOD CONTROL

Charges cover the cost of service. The drinking water sampling charge has been reduced from £92 to £74 to reflect a reduction in laboratory costs.

Food Control Risk Assessments, Investigations and Authorisations will be priced by the hour. The time charged will include travelling time and test time.

Drinking Water - Sampling visit		92.00	74.00
Risk Assessment (Minimum £50 charge, maximum charge £500, based on hourly rate)		41.00	41.00

PORT HEALTH FOOD CONTROL

Designated Point of Entry Inspection Facility at Robin Hood Airport Doncaster Sheffield

Common Entry Document - Standard Rate 07:00-19:00 - Clearance not subject to Sampling		44.50	44.50
Common Entry Document - Out of hours 19:00 - 7:00 Weekends & Public Holidays - Clearance not subject to Sampling		89.00	89.00
Common Entry Document - Standard Rate 07:00-19:00 - Sampled		75.00	75.00
Common Entry Document - Out of hours 19:00 - 7:00 Weekends & Public Holidays - Sampled		150.00	150.00
Common Entry Document - Standard Rate 07:00-19:00 - Charge for each additional hour or part thereof if required		45.50	45.50
Common Entry Document - Out of hours 19:00 - 7:00 Weekends & Public Holidays - Charge for each additional hour or part thereof if required		91.00	91.00
Laboratory costs and courier charges: -			
Courier - Dedicated collection at 8am the day after the sample is taken available Monday to Friday (results reported same day)		102.00	102.00
Courier - Monday morning collection for samples arriving Saturday and Sunday (results reported Monday afternoon)		102.00	102.00
Courier - Samples collected 8.00am Saturday morning (results reported Saturday afternoon)		135.00	135.00
Full Pesticide residue screen and report by Public Analyst		260.00	260.00
Out of Hours Rate (per consignment)		97.00	97.00
Disposal Cost per Consignment		99.00	99.00
Disposal Cost per tonne for Consignments over 99 tonnes		99.00	99.00
Written confirmation to UK Border Agency (or other) that clearance is not required by DMBC		45.00	45.00

We reserve the right to charge the appropriate additional hourly rate if this requires attending a transit shed or an ETSF to examine a consignment. We will send a letter on each occasion there has not been pre-notification by either entry onto TRACES or a fax or e-mail for products with a statutory obligation to pre-notify. The minimum cost will be £45.00 for a letter informing of the breach of regulation and any additional time spent on the issue will be recovered at the appropriate hourly rate.

FOOD HYGIENE

Level 2 Emergency First Aid at Work		81.00	84.00
Level 2 Award for Personal Licence Holders (APLH)		130.00	135.00
ERAR Course		37.00	38.00
Award in Underage Sales Prevention (AUSP) Level 2		44.00	46.00

Award in Underage Sales Prevention (AUSP) Level 2	46.00	48.00
Fixed Penalty Notice (FPN) Waiver		

Individual delegate prices:

Level 2 Award in Food Safety on-line course	26.00	27.00
Level 2 Award in Food Safety	56.00	58.00
Level 3 Award in Food Safety	284.00	295.00
Level 4 Award in Food Safety	590.00	613.00
Level 2 Award in Health & Safety in the Work place	56.00	58.00
Level 2 Award in COSHH	56.00	58.00
Level 2 Award in Healthier Food and Special Diets	66.00	69.00
Level 2 Award in Manual Handling (Principles & Practise)	77.00	80.00

Client provides the venue, price based on Tuition fee plus cost per delegate:

1 day Level 2 Food Safety or Health & Safety	Tuition Fee	248.00	258.00
	Plus Per Delegate	20.00	21.00
1 day Level 2 Healthier Food and Special Diets	Tuition Fee	248.00	258.00
	Plus Per Delegate	20.00	21.00
0.5 day Level 1 Food Safety or Health & Safety	Tuition Fee	146.00	152.00
	Plus Per Delegate	21.00	22.00
Level 2 Award in Manual Handling (Principles & Practice)	Tuition Fee	248.00	258.00
	Plus Per Delegate	20.00	21.00
0.5 day level 2 Award in COSHH	Tuition Fee	133.00	138.00
	Plus Per Delegate	20.00	21.00
0.5 day Doncaster Council bespoke course	Tuition Fee	146.00	152.00
	Plus Per Delegate	21.00	22.00

Weekend/Evening Tuition Rate:

Full day	373.00	388.00
Half day	222.00	231.00

Client provides the venue, total group price:

Level 2 Award in Emergency 1st Aid at Work (EFAW) 1 day	406.00	422.00
Level 2 Award in Paediatric 1st Aid 2 days	861.00	895.00
1st Aid at Work (FAW) Re-qualification - 2 days	861.00	895.00
1st Aid at Work (FAW) - 3 days	1,472.00	1,529.00
FHRS Revisit Per hour	160.00	160.00
Consultancy for food businesses after initial 1 Hr for free (Price per hour or part thereof)	65.00	65.00

FACILITIES MANAGEMENT - OTHER ROOM HIRE

Holmesarr Centre - External room hire per hour	12.00	12.50
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GYPSY & TRAVELLERS RENTS / STATIC CARAVAN SITES (Outside the scope of VAT)

These have been increased by 3.9% in line with the recommended value (RPI as at August 2017). The actual inflationary increase may differ as the legislation dictates using the RPI figure at the annual review date. This may require the proposed budget increase to be changed.

Gypsy & Travellers Site (charge per plot, per wk):

White Towers:		
Single Plots	70.67	73.43
Double Plots	78.21	81.26
Single Plot (New)	83.07	86.31
Double Plot (New)	93.45	97.09
Lands End	81.05	84.21
Single Plot (New)	83.07	86.31
Double Plot (New)	93.45	97.09
Little Lane	81.05	84.21
Nursery Lane	45.52	47.30

Static Caravan Sites (charge per plot, per wk)

Bloomhill Road, Moorends	27.36	28.43
Cow House Lane, Armthorpe	27.36	28.43
Orange Croft, Tickhill	28.50	29.61

HIGHWAYS

Statutory Fees set by Central Government constitute 89% of the budget and have not been increased. Other fees have been increased by 3.9% and rounded to the nearest 50p or £1 as appropriate.

Appendix H

Retrospective License fee	80.00	83.00
Licensing of Scaffolding and Hoardings on the Highway	180.00	187.00
Retrospective fee for additional 28 days	60.00	62.00
Private Road Openings	420.00	435.00
Work on existing apparatus	300.00	310.00
Licensing of the Storage of Materials on the Highway	180.00	187.00
Provision of Highway Information - standard charge for up to 1 hr	62.00	65.00
Extended searches for additional half hour	22.50	23.50
Vehicle Crossing Licence	450.00	467.50
Temporary Traffic Regulation Orders	1,350.00	1,350.00
Traffic Regulation Notice	650.00	650.00
Steetworks Permits (discount applies to working wholly outside of traffic sensitive times): -		
Provisional Advance Authorisation - Full Fee	91.00	91.00
Provisional Advance Authorisation - Discounted Fee	64.00	64.00
Major Works over 10 days or requiring a Traffic Regulation Order - Full Fee	211.00	211.00
Major Works over 10 days or requiring a Traffic Regulation Order - Discounted Fee	147.00	147.00
Major Works 4 to 10 days - Full Fee	109.00	109.00
Major Works 4 to 10 days - Discounted Fee	76.00	76.00
Major Works up to 3 days - Full Fee	64.00	64.00
Major Works up to 3 days wholly outside of Traffic Sensitive Times - Discounted Fee	46.00	46.00
Standard Activity Permit - Full Fee	112.00	112.00
Standard Activity Permit - Discounted Fee	78.00	78.00
Minor Activity Permit - Full Fee	66.00	66.00
Minor Activity Permit - Discounted Fee	46.00	46.00
Immediate Activity Permit - Full Fee	61.00	61.00
Immediate Activity Permit - Discounted Fee	42.00	42.00
S38 Agreement	6.5% (works cost as calculated by the Council) + Legal Fee	6.5% (works cost as calculated by the Council) min £1,500 + Legal Fee
S278 Agreement	10% of first £100,000 (works cost as calculated by the Council), 6.5% thereafter + Legal Fee	10% of first £100,000 (works cost as calculated by the Council) min £1,500, 6.5% thereafter + Legal Fee
S184 Agreement	£500 to £1500 depending on complexity of works	£500 to £1500 depending on complexity of works

HOMELESSNESS TEMPORARY ACCOMODATION

A review was undertaken to remove the existing "service charge per day" and to incorporate it into the rental charge. The charges aren't published due to confidentiality. The Council does not set an income target from Homelessness accommodation, so any changes in price up or down does not impact on the budget setting process.

Temporary Accommodation (daily rate) varies according to property - contact service Contact Service Contact Service

LICENSING

Landlord Licences:

License fees need to reflect costs of administering the service. Variations are required by law without charging a fee. The Council cannot legally transfer a license so these would have to be treated as a new applicant. Overall effect on the budget is expected to be minimal. Licences are for a fixed term 01/07/2015 to 30/06/2020 with payment only being required for the remainder of that term. Landlords avoiding payment of fee since start of scheme will be charged full five year fee.

Selective Licencing Hexthorpe - 01/7/2015 to 30/6/2020 Fixed Term

DMBC Scheme - All licences granted to 30/6/2020 - New Applicants will need to pay from the historic date when their property became subject to license, until the end of the licensing period

Year 1 (5 Year licence) 01/7/15-30/06/16	500.00	500.00
Year 2 (4 Year Licence) 01/7/16-30/06/17	415.00	415.00
Year 3 (3year licence) 01/7/17-30/06/18	330.00	330.00
Year 4 (2 year licence) 01/7/18-30/06/19	245.00	245.00
Year 5 (1year licence) 01/7/19-30/06/20	160.00	160.00
Homesafe Scheme	75.00	75.00

Appendix H

Extra costs incurred when additional Correspondence is necessitated due to incomplete applications and will be recovered as an administration charge (per letter)	50.00	50.00
Registered Charities (£15 discount for additional applications where no Fit & Proper Person test required, subject to conditions).	0.00	0.00
Houses in Multiple Occupation		
Landlord Licence Basic fees (5 person HMO)	800.00	800.00
Fee for each additional bedroom	55.00	55.00
<i>Licence Renewal fee for 5 bedroom properties, subject to:- Current compliance with the Councils standards & existing licencing conditions; no change to the original occupation of the house; application made before expiry of previous Licence (applications after expiry will be treated as new applications) & Licensee and Manager to carry over from expiring licence.</i>	740.00	740.00
<i>Additional fee for Each Bedroom in the house</i>	55.00	55.00
<i>Extra costs incurred when additional Correspondence is necessitated due to incomplete applications will be recovered as an administration charge (per letter)</i>	35.00	35.00

Private Hire/Hackney:

Not allowed to generate a surplus, limited to cost recovery.

Vehicle Licensing Hackney (Inc plates & first test)	235.00	240.00
Vehicle Licensing Private Hire (Inc plates & first test)	235.00	240.00
Vehicle Test at North Bridge	60.00	60.00
Retest of Vehicle	27.00	27.00
Admin charge on lifting Suspensions	25.00	25.00
Private Hire Operators Licence	450.00	460.00
Private Hire Operators Licence each additional vehicle over 2 (for licenses issued prior to April 2017)	23.00	23.00
Application for Drivers Licence (+ DBS + Knowledge test)	135.00+DBS+Knt	140.00+DBS+Knt
Joint Application (+ DBS + Knowledge test)	135.00+DBS+Knt	140.00+DBS+Knt
Renewal of drivers licence	105.00	107.50
Knowledge Test	60.00	60.00
Replacement Badge	25.00	25.00
Sealing of meter	45.00	46.00
Advertising on Taxis Full Livery	30.00	30.00
Transfer of ownership	45.00	45.00
Surrender of Vehicle Licence admin fee	25.00	25.00
Front plate bracket	6.00	6.00
Rear plate bracket	12.00	12.00
Replacement Front plate	17.50	17.50
Replacement Rear plate	27.50	27.50

Other Licences

A number of fees are statutory charges where a maximum limit is determined by central government - many are decided jointly with other South Yorkshire authorities and/or are close to the maximum limit. The Council must also not be seen to generate surplus income from its licensing activities nor must it be seen to be using fees to support the enforcement activity against unlicensed individuals/businesses. Where possible selected increases have been made.

Miscellaneous:

Acupuncturist/Tattooist/Ear Piercing	205.00	210.00
Transfer or to Add Person	55.00	57.00
Animal Boarding Establishment (plus Vet Fee if needed)	150 + Vet Fee	155 + Vet Fee
Performing Animals Registration	80.00	80.00
Civil Marriage Licence	1,330.00	1,330.00
Approval of Religious premises as a place at which two people may register as civil partners of each other.	900.00	900.00
Dangerous Wild Animals (plus Vet Fee if needed)	150.00 + Vet Fee	155.00 + Vet Fee
Dog Breeding Licence (plus Vet Fee if needed)	150.00 + Vet Fee	155.00 + Vet Fee
Pet Shop Licence	150.00	155.00
Riding Establishment 1- 10 Horses	165.00 + Vet Fee	170.00 + Vet Fee
Riding Establishment 11-25 Horses	185.00 + Vet Fee	190.00 + Vet Fee
Riding Establishment over 25 Horses	210.00 + Vet Fee	215.00 + Vet Fee
Sex Establishment Licence Application	2,840.00	2,840.00
Sex Establishment Licence Renewal	2,840.00	2,840.00
Sex Establishment Licence Variation or Transfer	2,100.00	2,100.00
Zoo Licence (plus Statutory VET inspection fees as applicable)	1,540.00	1,575.00
Greyhound Stadium Licence	200.00	205.00
Scrap Metal Dealer - Site	330.00	340.00
Scrap Metal Dealer - Collector	210.00	215.00

Appendix H

Scrap Metal Dealer - Change of name of Licensee	25.00	25.00
Scrap Metal Dealer - Change from Site to Collector	25.00	25.00
Scrap Metal Dealer - Change from Collector to Site	210.00	215.00
Scrap Metal Dealer - Change of Site Manager	210.00	215.00
Scrap Metal Dealer - Additional Site(s) to Licence	80.00	80.00
Copy of Licence Fee (Unspecified)	25.00	25.00

Gambling:

Bingo Premises

Non-conversion fee where a provisional statement has already been granted	740.00	740.00
New Premises Fee	1,230.00	1,300.00
Annual Fee	770.00	770.00
Variation Fee	1,230.00	1,230.00
Transfer Fee	740.00	770.00
Re-Instatement Fee	740.00	770.00
Provisional Statement Fee	1,200.00	1,300.00
Change of circumstances	30.00	30.00
Copy of Licence Fee	25.00	25.00

Adult Gaming Premises

Non-conversion fee where a provisional statement has already been granted	770.00	770.00
New Premises Fee	1,330.00	1,330.00
Annual Fee	720.00	745.00
Variation Fee	720.00	770.00
Transfer Fee	770.00	770.00
Re-Instatement Fee	770.00	770.00
Provisional Statement Fee	1,330.00	1,330.00
Change of circumstances	30.00	30.00
Copy of Licence Fee	25.00	25.00

Betting Premises (Track)

Non-conversion fee where a provisional statement has already been granted	770.00	770.00
New Premises Fee	2,060.00	2,060.00
Annual Fee	820.00	820.00
Variation Fee	820.00	820.00
Transfer Fee	770.00	770.00
Re-Instatement Fee	770.00	770.00
Provisional Statement Fee	2,060.00	2,060.00
Change of circumstances	30.00	30.00
Copy of Licence Fee	25.00	25.00

Family Entertainment Premises

Non-conversion fee where a provisional statement has already been granted	770.00	770.00
New Premises Fee	1,330.00	1,330.00
Annual Fee	515.00	535.00
Variation Fee	770.00	770.00
Transfer Fee	770.00	770.00
Re-Instatement Fee	770.00	770.00
Provisional Statement Fee	1,330.00	1,330.00
Change of circumstances	30.00	30.00
Copy of Licence Fee	25.00	25.00

Betting Premises (other)

Non-conversion fee where a provisional statement has already been granted	740.00	740.00
New Premises Fee	1,840.00	1,910.00
Annual Fee	410.00	425.00
Variation Fee	920.00	920.00
Transfer Fee	740.00	770.00
Re-Instatement Fee	740.00	770.00
Provisional Statement Fee	1,840.00	1,910.00
Change of circumstances	30.00	30.00
Copy of Licence Fee	25.00	25.00

Liquor Licences

(Fees are set by Central Government)		
Premises Licence	Variable	Variable
(Fees are set by Central Government, Premise licences are charged based on a number of factors, e.g. Rateable Value, Occupancy.)		

Lotteries

(Fees are set by Central Government)	Various	Various
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MANSION HOUSE

Weddings	1,025.00	1,065
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Tea Dance	3.50	3.65
Room Hire - per hour	26.00	27.00

MARKETS

No increase to Markets charges are proposed for 2018/19, due to disruption from development and low footfall. No increase to car boot fee which is determined by the lease agreement until 2021/22.

Tenancy Agreements

2011/12 was the last year of a three year phased tenancy review. No further increases can be made other than the annual increase in line with the Retail Price Index as provided for within each lease, although no increase is proposed for 2017/18. This applies to the Corn Exchange, Fish Market, Wool Market, Market Hall, Irish Middle Market, Mexborough Hall & Lockup Units.

Doncaster Outer Market (outside the scope of VAT)

Gazebo Stall - Tuesday	11.50	11.50
12ft Stall - Tuesday	13.50	13.50
Gazebo Stall - Wednesday (Second Hand)	8.50	8.50
12ft Stall - Wednesday (Second Hand)	10.00	10.00
Gazebo Stall - Friday	14.50	14.50
12ft Stall - Friday	17.00	17.00
Gazebo Stall - Saturday	20.00	20.00
12ft Stall - Saturday	22.00	22.00
Storage Box	12.00	12.00

Rossington Outer Market (outside the scope of VAT)

Stall - Friday	13.50	13.50
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Car Boot Licence (outside the scope of VAT)

Car Boot Pitch (Minimum charge £7 regardless of number of cars)	1.08	1.08
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Mexborough Outer Market (outside the scope of VAT)

Stall - Monday	8.50	8.50
Gazebo - Monday	5.50	5.50
Stall - Thursday (bric-a-brac)	6.00	6.00
Gazebo - Thursday (bric-a-brac)	6.00	6.00
Stall - Friday	12.50	12.50
Gazebo - Friday	7.00	7.00
Stall - Saturday	15.00	15.00
Gazebo - Saturday	8.50	8.50

MARY WOOLLETT CENTRE (No VAT)Room

Full day	170.00	177.00
Half day (up to 3.5 hours)	115.00	120.00
Twilight (4.15pm to 6pm)	57.00	60.00
After 6pm: up to 2 hrs	115.00	120.00
After 6pm: (per additional hour or part thereof)	46.00	48.00
Saturday: up to 2 hrs	265.00	276.00
Saturday: (per additional hour or part thereof)	46.00	48.00
Sunday: up to 2 hrs	300.00	312.00
Sunday: (per additional hour or part thereof)	57.00	60.00

Hall

Full day	265.00	276.00
Half day (up to 3.5 hours)	175.00	182.00
Twilight (4.15pm to 6pm)	95.00	99.00
After 6pm: up to 2 hrs	170.00	177.00
After 6pm: (per additional hour or part thereof)	57.00	60.00
Saturday: up to 2 hrs	265.00	276.00
Saturday: (per additional hour or part thereof)	46.00	48.00
Sunday: up to 2 hrs	300.00	312.00
Sunday: (per additional hour or part thereof)	57.00	60.00

PARKS & PLAYING FIELDS**Administration Fee**

Charities / Community Organisations	61.00	61.00
Commercial Organisations	87.00	87.00

Site rental (VAT Exempt)

Commercial Organisations minimum hire per day	102.50	102.50
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Football / Rugby		
Class A pitches (includes changing rooms and showers)	75.00	78.00
Class B pitches (includes changing room only)	62.50	65.00
Class C pitches:		
Pitch only	53.00	55.00
Junior pitch	0.00	0.00
Five a side pitches (per 2 hours)	37.00	38.50
Seasonal club bookings (VAT Exempt)		
Class A pitches	690.00	717.00
Class B pitches	568.00	590.00
Class C pitches	448.00	465.00
Junior on class C Pitches	0.00	0.00
Junior pitch with changing	0.00	0.00
Cricket		
Class A pitches		
includes changing rooms and showers	79.00	82.00
season (VAT Exempt)	947.50	984.00
Class B pitches		
Includes changing room only	62.50	65.00
season (VAT Exempt)	842.00	875.00
Pitch only	52.00	54.00
season (VAT Exempt)	720.50	749.00
Funfairs		
Small rides and/or stalls per item per day	20.00	20.78
5 large rides and under per day	300.00	311.70
Additional large rides per day	60.00	62.34
Bowling Greens		
Per Person (non member use)	3.00	3.00
Pitch & Putt		
Per game of Golf	2.50	2.50
Deposit on equipment & Golf Ball	2.50	2.50
Fishing		
Per day charge	5.00	5.00
Sandall Beat		
All abilities bike	1.50	1.60
Visitor Centre Day Visits per child:	3.50	3.65
Rangers activities per half day	55.60	57.60
Ranger - 1 hour activity	36.00	37.20
Wooden table decorations (excluding postage where applicable) - starting price	10.00	10.50
£10.50 - prices vary according to individual decoration.		
Skill day (charge per person)	25.00	26.00
Pavilions / Sandall Beat Visitors centre:		
Hire of room per hour (external)	16.50	17.00
Hire of room per hour (internal)	16.50	17.00
PEST CONTROL		
Dwellings - Mice		
Normal working hours - First 4 visits inclusive where required	53.00	54.00
- subsequent visits (after the 4 above)	21.00	21.00
Out of hours and Saturdays	120.00	120.00
Sundays and Bank Holidays	150.00	150.00
On benefits:		
Applies to domestic customers in receipt of Housing Benefit and/or LTSS - First 4 visits inclusive where required	42.00	43.00
- subsequent visits (after the 4 above)	16.00	16.00
Dwellings - Insects (excluding bed bugs)		
Normal working hours	53.00	54.00
Out of hours and Saturdays	120.00	120.00
Sundays and Bank Holidays	150.00	150.00
On benefits:		
Applies to domestic customers in receipt of Housing Benefit and/or LTSS	42.00	43.00
Dwellings - Bed Bugs		
Per visit	65.00	70.00

Applies to domestic customers in receipt of Housing Benefit and/or LTSS - per visit	55.00	60.00
Dwellings - Control of Moles, Feral Pigeons, Squirrels & Cockroaches (price per hour; except cockroaches minimum 2 hours to be paid in advance)		
		New addition for cockroaches
Normal working hours	78.00	78.00
Out of hours and Saturdays	140.00	140.00
Sundays and Bank Holidays	180.00	180.00
Commercial Premises - Control of Moles, Feral Pigeons, Squirrels & Cockroaches, Mice, Insects & Rats (price per hour; except cockroaches minimum 2 hours to be paid in advance)		
		New addition for cockroaches
Normal working hours	78.00	78.00
Out of hours and Saturdays	140.00	140.00
Sundays and Bank Holidays	180.00	180.00
General Fees:		
Insect Identification (refunded if treatment carried out by DMBC)	30.00	30.00
Reclaiming of stray dogs	50.00	50.00
Abortive Visits		
Housing Benefit and/or LTSS		
Domestic standard rate	27.00	27.00
Out of hours and Saturdays	33.00	33.00
Sundays and Bank Holidays	70.00	70.00

POLLUTION PREVENTION & CONTROL

Statutory fees, charges based on rates confirmed by DEFRA August 2017. Revised figures expected to be announced Spring 2018.

Pollution Prevention & Control fee values are set nationally by Central Government (no VAT) and may be subject to change April 2018.

Local Air Pollution Prevention & Control**Application Fee**

Standard process (includes solvent emission activities)	1,579.00	1,650.00
Additional fee for operating without a permit	1,137.00	1,188.00
PVRI, SWOB's and Dry Cleaners	148.00	155.00
PVR I & II Combined	246.00	257.00
VR's and other reduced fee activities	346.00	362.00
Reduced fee activities: Additional fee for operating without a permit	68.00	71.00
Mobile Plant (not using simplified permits)		
For the 1 st and 2 nd application	1,579.00	1,650.00
For the 3 rd to 7 th applications	943.00	985.00
For the 8 th and subsequent applications	477.00	498.00
Where an application for any of the above is for a combined Part B and waste application, add an extra £310 to the above amounts	297.00	310.00

Annual Subsistence Charge

Standard Process LOW	739.00 (+99.00)	772.00 (+104.00)*
Standard Process MEDIUM	1,111.00 (+149.00)	1,161.00 (+156.00)*
Standard Process HIGH	1,672.00 (+198.00)	1,747.00 (+207.00)
Additional fee in brackets charged for a combined Part B and waste application		
PVRI, SWOB's and Dry Cleaners LOW	76.00	79.00
PVRI, SWOB's and Dry Cleaners MEDIUM	151.00	158.00
PVRI, SWOB's and Dry Cleaners HIGH	227.00	237.00
PVR I & II Combined LOW	108.00	113.00
PVR I & II Combined MEDIUM	216.00	226.00
PVR I & II Combined HIGH	326.00	341.00
VR's and other reduced fee activities LOW	218.00	228.00
VR's and other reduced fee activities MEDIUM	349.00	365.00
VR's and other reduced fee activities HIGH	524.00	548.00
Mobile Plant (not using simplified permits)		
For the 1 st and 2 nd application LOW	618.00	626.00
For the 1 st and 2 nd application MEDIUM	989.00	1,034.00
For the 1 st and 2 nd application HIGH	1,484.00	1,551.00
For the 3 rd to 7 th applications LOW	368.00	385.00
For the 3 rd to 7 th applications MEDIUM	590.00	617.00
For the 3 rd to 7 th applications HIGH	884.00	924.00
For the 8 th and subsequent applications LOW	189.00	198.00

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For the 8 th and subsequent applications MEDIUM	302.00	316.00
For the 8 th and subsequent applications HIGH	453.00	473.00
Late payment fee	50.00	52.00

*the additional amounts in brackets must be charged where a permit is for a combined Part B and waste installation.

Where a Part B installation is subject to reporting under E-PRTR Regulation, add an extra £104 to the above amounts.

Transfer and Surrender

Standard process transfer	162.00	169.00
Standard process partial transfer	476.00	497.00
New operator at low risk reduced activity (extra one-off subsistence charge - see Article 15(2) of charging scheme)	75.00	78.00
Surrender: all Part B activities	0.00	0.00
Reduced fee activities: transfer	0.00	0.00
Reduced fee activities: partial transfer	45.00	47.00

Temporary Transfer for Mobiles

First transfer	51.00	53.00
Repeat following enforcement of warning	51.00	53.00

Substantial Change

Standard process	1,005.00	1,050.00
Standard process where the substantial change results in a new PPC activity	1,579.00	1,650.00
Reduced fee activities	98.00	102.00

Mobile Plant Permit Charges (not using simplified permits)

Permits 1 to 2 Application Fee	1,579.00	1,650.00
Permits 1 to 2 Subsistence Fee LOW	618.00	646.00
Permits 1 to 2 Subsistence Fee MEDIUM	989.00	1,034.00
Permits 1 to 2 Subsistence Fee HIGH	1,484.00	1,506.00
Permits 3 to 7 Application Fee	943.00	985.00
Permits 3 to 7 Subsistence Fee LOW	368.00	385.00
Permits 3 to 7 Subsistence Fee MEDIUM	590.00	617.00
Permits 3 to 7 Subsistence Fee HIGH	884.00	924.00
Permits 8+ Application Fee	477.00	498.00
Permits 8+ Subsistence Fee LOW	189.00	198.00
Permits 8+ Subsistence Fee MEDIUM	302.00	316.00
Permits 8+ Subsistence Fee HIGH	453.00	473.00

Local Authority - Integrated Pollution Prevention & Control

Every subsistence charge below includes the additional £104 charge to cover LA extra costs in reporting under the E-PRTR Regulation

Application	3,218.00	3,363.00
Additional fee for operating without a permit	1,137.00	1,188.00
Annual Subsistence LOW	1,384.00	1,447.00
Annual Subsistence MEDIUM	1,541.00	1,611.00
Annual Subsistence HIGH	2,233.00	2,334.00
Late payment fee	50.00	52.00
Variation	1,309.00	1,368.00
Substantial Variation (where 9 (2) (a) or 9 (2) (b) of the scheme applies)	1,309.00	3,363.00
Transfer	225.00	235.00
Partial Transfer	668.00	698.00
Surrender	668.00	698.00

Reduced fee activities are listed in the schedule to the Part B scheme

Newspaper adverts may be required under EPR at the discretion of the LA as part of the consultation process when considering an application (see Chapter9 of the General Guidance Manual). This will be undertaken and paid for by the LA and the charging scheme contains a provision for the LA to recoup its costs.

TOWN CENTRE MANAGEMENT

Performance Sites (Outside scope of VAT)

Clock Corner (Sunday to Thursday)	217.00	225.50
Clock Corner (Friday to Saturday)	254.50	264.50
St Sepulchre Gate Lay-by (Sunday to Thursday)	170.50	177.50
St Sepulchre Gate Lay-by (Friday to Saturday)	196.50	204.00
St Sepulchre Gate by Yorkshire Bank (Sunday to Thursday)	170.50	177.50
St Sepulchre Gate by Yorkshire Bank (Friday to Saturday)	196.50	204.00
Frenchgate Main Entrance St Sepulchre Gate (Sunday to Thursday)	170.50	177.50

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Frenchgate Main Entrance St Sepulchre Gate (Sunday to Thursday)	196.50	204.00
Frenchgate Burger King Entrance (Sunday to Thursday)	170.50	177.50
Frenchgate Burger King Entrance (Friday to Saturday)	196.50	204.00
Frenchgate M&S and BHS (Sunday to Thursday)	170.50	177.50
Frenchgate M&S and BHS (Friday to Saturday)	196.50	204.00
Printing Office Street (Sunday to Thursday)	170.50	177.50
Printing Office Street (Friday to Saturday)	196.50	204.00
Primark Site (Sunday to Thursday)	188.00	195.50
Primark Site (Friday to Saturday)	221.00	230.00
Goosehill	76.50	79.50
Market Hall Corner	76.50	79.50
Open Market - Market Square	76.50	79.50
Based on 3M x 3M pitch (or multiple thereof)		
Gazebo (per day)	76.50	79.50

Town Centre Market Trading Baxtergate/High Street/Frenchgate/St Sepulchre gate

Cost per 3Mx3M pitch including Gazebo per day	105.50	109.50
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Pavement Cafes (Outside the scope of VAT)

5 year pavement café licence (for 1-10 tables)	100.00	104.00
5 year pavement café licence (for 11+ tables)	Price On Application	Price On Application

TRADING STANDARDS

All Trading Standards chargeable work will be priced by the hour. The time charged will include travelling time and test time.

TSO hourly rate (Minimum charge 2hrs)	70.00	73.00
Technician Hourly rate (Minimum charge 2hrs)	40.00	42.00
Motor Trade Partnership Subscription < £1m	476.40	495.00
Motor Trade Partnership Subscription < £2m	940.80	977.00
Motor Trade Partnership Subscription > £2m	1,663.20	1,728.00

TRANSPORT SERVICES FEES

Driving Assessments for Taxis and Private Hire Vehicles are proposed to increase by 2.9% to ensure increase in costs are covered.

Driving Assessments for Taxis and Private Hire Vehicles

Driver assessment	79.66	81.97
Driver assessment with wheelchair assessment	92.94	95.64
Driver wheelchair assessment	26.56	27.33
Charges are exempt from VAT		

Staff Vehicle Hire

Weekends & Bank Holidays	Per Day	27.00	27.00
Part day/overnight any other day		15.00	15.00
Fuel if not returned full	NEW / Per Litre (previously charged 18p per mile)		2.00

(special offers may be available)

MOT FEES

Car or light van (Class 4) test fee	40.00	40.00
Car or light van (Class 4) re-test fee	10.00	10.00
Light Minibuses (Class 5) test fee	50.00	50.00
Light Minibuses (Class 5) re-test fee	20.00	20.00
Light Commercial (Class 7) test fee	50.00	50.00
Light Commercial (Class 7) re-test fee	20.00	20.00

Private Vehicle Repair - Labour

Private Vehicle Repair - Labour	Per Hour	48.00	48.00
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WASTE AND RECYCLING

Fees have been increased by 3.9% and rounded. The value of changes is impacted on by the subsequent cost of the new waste collection contract.
New fees have been introduced for commercial recycling.

Commercial Waste Service (Charges are outside the scope of VAT)

Wheeled Bins Quarterly Charge

Sack	45.00	47.00
Std Bin	57.00	59.00
240 L	83.00	86.00
360 L	107.00	110.00
660 L	169.00	174.00
1100 L	Page 98243.00	250.00

Wheeled Bins Including Domestic Quarterly Charge

Sack	45.00	47.00
Std Bin	57.00	59.00
240 L	74.00	77.00
360 L	88.00	91.00
660 L	147.00	152.00
1100 L	221.00	229.00

Wheeled Bins Charities Quarterly Charge

Sack	36.00	37.00
Std Bin	36.00	37.00
240 L	36.00	37.00
360 L	39.00	40.00
660 L	77.00	80.00
1100 L	81.00	84.00

Wheeled Bins Compaction Quarterly Charge

240 L	215.00	223.00
360 L	237.00	245.00
660 L	365.00	378.00
1100 L	591.00	610.00

Commercial Recycling

Initial Receptacle - Sack	NEW	25.00
Initial Receptacle - 240 L	NEW	50.00
Initial Receptacle - 360 L	NEW	60.00
Initial Receptacle - 660 L	NEW	80.00
Initial Receptacle - 1100 L	NEW	120.00
Additional Receptacle - Sack	NEW	15.00
Additional Receptacle - 240 L	NEW	25.00
Additional Receptacle - 360 L	NEW	35.00
Additional Receptacle - 660 L	NEW	55.00
Additional Receptacle - 1100 L	NEW	75.00

Commercial Special

Under 2m ³	109.00	200.00
Between 2m ³ and 4m ³	203.00	300.00
Between 4m ³ and 6m ³	505.00	525.00

Bulky Collections

Standard Charge	30.00	32.00
Exemption Charge for Benefit Qualification	15.00	16.00

Administration and delivery of Additional/New and Replacement Bins (including New Developments)

Additional Bins (limited to residents meeting criteria)	31.00	32.00
New & Replacement Bins	22.00	22.50

Reserves (to be reviewed at year-end)

	Estimated Balance at 31/03/18 £'m
School Balances	-8.100
Health & Social Care Transformation Fund	-7.020
Service Transformation Fund	-6.256
NNDR - Contingency against Risks and Volatility	-2.800
Business Rates Incentive Scheme	-1.876
Reserve for future severance costs	-1.846
Grant Underspend to Extend Stronger Families Programme	-1.215
Civic Office Major Repairs & Maintenance Sinking Fund	-1.121
S106 Open Spaces Revenue unapplied contribution	-0.722
Various Section 278	-0.606
Teesland Section 278	-0.599
Public Health	-0.531
Coppice School	-0.305
Revenue Contribution to the Capital Programme (pre-work/development costs)	-0.300
St James Baths	-0.300
Revenues & Benefits - Discretionary Hardship	-0.264
S106 Interest balances	-0.203
Integrated Discharge Team (IDT)	-0.180
ERP - Phase 2	-0.150
Public Spaces Community Order	-0.140
High Needs Strategic Planning	-0.126
LEP Inward Investment Loan	-0.109
Dilapidation Costs on Vacated Buildings	-0.100
Pathway to Traineeships (SFA)	-0.097
DWP Fraud & Error Reduction Incentive Scheme	-0.083
Match Funding for European Structural Investment Fund	-0.083
North Ridge School	-0.080
Match Funding for Edge Funding Doncaster Skills Academy	-0.075
ICT - Schools Centralised Infrastructure Sinking Fund	-0.069
To Support the Introduction of the Apprenticeship Levy	-0.049
Weight management	-0.046
Lake Aeration Maintenance Costs	-0.040
Colonnades Tenants Repairs Fund	-0.037
Planning Capacity Funding Grant used for DN7 Project	-0.036
Museum Service - In year - donations, disposals & healthy lifestyles	-0.034
Mayor's Pledge to Miners' Statue	-0.031
S106 Planning and Other Revenue unapplied contribution	-0.030
Other	-0.129
Total	35.787

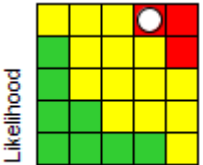

Risk Assessment of Uncommitted General Fund Reserve

Risk	Risks & Quantification	Maximum Potential Call on Reserves 17/18 & 18/19 £m
Known provisions and contingent liabilities as at January 2018	Payments under s117 of the Mental Health Act – has separate provision (£103k) and this is deemed adequate. An Insurance Fund provision of £9.2m has been made as per the methodology agreed.	Provision identified, unable to quantify potential risk.
Overspend on Service Expenditure	An amount is included for the potential risk of overspends in future years (excluding the risk on deliverability of budget proposals covered below); including Children's Trust.	Up to 2.0
Major Emergency	The Government has confirmed that the Bellwin Scheme will continue thereby limiting certain costs to be borne by the Council. However in November 2015 they confirmed that the timescales for claiming recovery costs would be much reduced.	Up to 0.5
Robustness of 2018/19 budget proposals	It is prudent to include a risk regarding the deliverability of the 2018/19 proposals to allow for potential slippage on delivery. It is not possible to quantify the risk with certainty. This will need month by month monitoring in 2018/19 and urgent action taken if targets are not being achieved. However, due to the size of the budget reductions and increasing difficulty of achieving the savings £1.7m is included (equivalent to 10% of the 2018/19 budget proposals). The Local Government Finance Act 2012 which introduced the Business Rate Retention scheme and the Localisation of Council Tax Support from 2013/14 has introduced unprecedented volatility into local government funding which adds significant additional risk to the budget proposals. One-off funding has been identified (Service Transformation Fund), which is available to fund slippage on the deliverability of savings and reduces this risk.	Up to 1.7
Redundancy Costs	The staffing reductions assumed in the budget proposals will result in additional early retirements and/or compulsory redundancies. A process to reduce staffing through VER/VR's is taking place from October 2017 until March, 2018. A separate earmarked reserve exists for this.	0.0

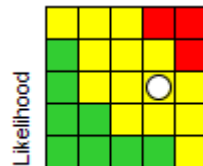
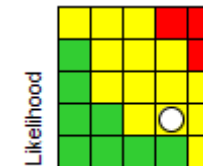
Risk	Risks & Quantification	Maximum Potential Call on Reserves 17/18 & 18/19 £m
Capital Programme 2018/19 – 2021/22	There is a risk of grant clawback where projects do not meet their outputs, where they do not proceed, or the Council subsequently breaks the grant conditions. There is also a risk that expenditure will slip beyond the period of the grant so becoming ineligible and require financing from alternative resources.	No major issues at present. Any expenditure likely to slip into 2018/19 to be managed as part of capital monitoring process.
Treasury Management	The Council relies on short term (circa £14m) and under borrowing (circa £39.5m) to minimise interest costs; there is a risk that if we need to replace the under borrowing with long term external borrowing the Council will incur additional expenditure. If the Council had to replace the £39.5m of under borrowing with long term borrowing, this could cost £0.7m per year at current rates over 5 years and could rise to £1.1m per year over 50 year terms. There is also a risk regarding increasing interest rates. This would cost an additional £0.14m for every 1% increase in interest rates.	Up to 0.7
I.T. Strategy.	Current I.T. projects which are underway are funded from earmarked reserves and the Corporate Capital Programme.	Funding would be identified prior to purchasing any new I.T. requirements.
Reserves and contingencies	Reserves leave little room for further in year pressure, e.g. from new initiatives. To minimise the impact estimates as far as possible are included in the financial plan. This needs close monitoring throughout the year.	Up to 2.0
Abortive development and compensation costs	Any development costs on major capital projects which do not go ahead will become abortive and need to be funded from a revenue resource. This may also include potential compensation costs.	None envisaged at present but will be kept under regular review
Business Rates Appeals	There remains a risk that appeals and mandatory reliefs may be greater than estimated. There is also a risk to baseline income if the economy falls into recession.	Appeals to be funded from the earmarked reserve
Total Maximum Quantified Risks.		up to 6.9
General Reserve Available based on best estimates		7.0
Headroom Available		0.1

Revenue Budget Risks

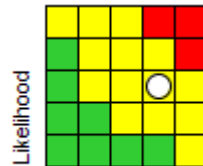
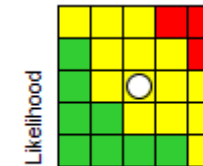
Cost Reductions:

Current Risk		Target Risk
<p style="text-align: center;">20</p> 	<p>Current Situation: The financial plan assumes that all cost reduction proposals can be implemented in the identified financial year. If cost reductions are not achieved, the risk of an in year overspend is increased and this will put pressure on revenue reserves. The delivery of savings becomes more difficult each year and flexibility needs to be built into the proposals to allow for some slippage in delivery.</p> <p>Mitigating Actions: Cost reduction proposals have been worked up in detail by responsible managers and H.R. advisers and quantified by financial advisers. Proposals will be closely monitored in year as part of the financial management process. Prudent figures have been included for the savings.</p>	<p style="text-align: center;">15</p> 

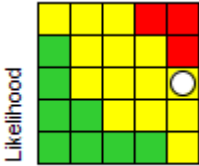
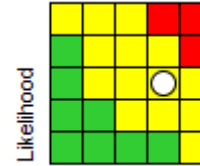
Budget Pressures:

Current Risk		Target Risk
<p style="text-align: center;">12</p> 	<p>Current Situation: The financial plan assumes that existing budget anomalies, either where base budget is inadequate or income targets proven unrealistic are addressed as part of the budget proposals. If they are not addressed, the risk of in year overspending is increased.</p> <p>Mitigating Actions: Identification of budget anomalies as part of the financial management process. Where necessary, funding made available as part of setting in year budget. Keeping up to date on best practice and robust monitoring of costs as part of performance management framework. Adequate monitoring arrangements for Children's Trust in place.</p>	<p style="text-align: center;">8</p> 

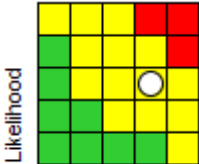
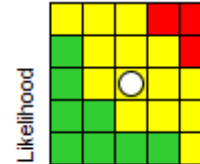
Level of General Reserves:

Current Risk		Target Risk
<p style="text-align: center;">12</p> 	<p>Current Situation: The financial plan assumes that general reserves are sufficient.</p> <p>Mitigating Actions: Risk assessment of General Reserves undertaken annually. General reserves are increased where possible. Close monitoring of reserves, contingency and potential liabilities. Review of provisions undertaken. Contingency and one-off funding identified.</p>	<p style="text-align: center;">9</p> 

Business Rates Retention:

Current Risk		Target Risk
<p style="text-align: center;">15</p>  <p style="text-align: center;">Impact</p>	<p>Current Situation: Risk that retained business rates collection will be below budget and appeals will be greater than expected causing the collection fund to run into a deficit, impacting on the funding available to the Council. This risk will increase assuming the Government's plans to increase Business Rate Retention to 75% by 2020/21 are implemented.</p> <p>Mitigating Actions: Close monitoring of the appeals and collection rates and central Government announcements.</p>	<p style="text-align: center;">12</p>  <p style="text-align: center;">Impact</p>

Council Tax Collection:

Current Risk		Target Risk
<p style="text-align: center;">12</p>  <p style="text-align: center;">Impact</p>	<p>Current Situation: There is a risk that council tax collection will be less than budget and the collection fund will run into a deficit – impact on the funding available to the Council.</p> <p>Mitigating Actions: Prudent view on the Council Tax base calculation. Close monitoring of the actual collection rates – reported quarterly in the finance and performance report.</p>	<p style="text-align: center;">9</p>  <p style="text-align: center;">Impact</p>

Budget Proposals 2018/19 – Due Regard

The budget proposals have been reviewed and a due regard assessment is required for the following budget proposals:

Directorate	Service/ Saving Proposal	Total
AH&Wb	Admin and business support (AHWb)	-0.342
	Community Safety	-0.020
	Customer Journey	-0.200
	Day Opportunities	-0.328
	Home Care	-0.588
	Housing related support services	-0.719
	Public Health Grant	-0.628
	Residential Care - Older People	-0.862
	Residential Care - Working Age	-0.400
	Supported Living	0.283
Children's Trust	Children's Trust	-2.000
Council-Wide	Fees & Charges	-0.302
	Procurement	-0.150
LOCYP	LO-CYP: Functional Review	-0.183
	SEND Implementation Grant	-0.231
R&E	Assets	-0.423
	Waste Contract	-0.200
		-7.293

Detailed Budgets

Service	Net Base Position £'000	Pressures £'000	Savings £'000	Other Changes (incl inflation) £'000	Net Budget £'000	Customer & Client Receipts £'000	Government Grants £'000	Other Income £'000	Gross Budget £'000
ADULTS HEALTH & WELLBEING									
ADULT SOCIAL CARE&SAFEGUARDING	8,421	0	-542	-147	7,732	101	34	2,591	10,458
COMMISSIONING & CONTRACTS	57,552	2,162	-3,469	-3,137	53,109	24,983	4,832	6,318	89,242
COMMUNITIES	12,067	0	-351	711	12,427	1,333	2,165	6,950	22,875
DIRECTOR OF ADULT SERVICES	666	0	0	-1,369	-703	0	9,899	0	9,197
DIRECTOR OF IMPROVEMENT	100	0	0	2	102	0	0	0	102
PUBLIC HEALTH	-173	0	0	0	-173	0	18,639	330	18,796
ADULTS HEALTH & WELLBEING Total	78,634	2,162	-4,362	-3,940	72,494	26,416	35,570	16,190	150,669
COUNCIL WIDE BUDGET	32,807	1,066	-1,830	3,071	35,113	211	9,422	-29,526	15,220
CORPORATE RESOURCES									
CORPORATE RESOURCES DIRECTOR	-7	0	0	-295	-302	0	0	264	-37
CUSTOMERS, DIGITAL & ICT	5,923	172	0	96	6,192	46	55	2,266	8,559
FINANCE	5,106	0	-149	894	5,852	494	66,006	2,363	74,715
HR, COMMS & EXEC OFFICE	3,643	0	0	181	3,824	11	27	720	4,582
LEGAL & DEMOCRATIC SERVICES	2,953	0	-26	47	2,974	672	0	1,291	4,937
STRATEGY AND PERFORMANCE	2,074	0	0	64	2,138	0	151	0	2,290
CORPORATE RESOURCES Total	19,693	172	-175	988	20,678	1,223	66,239	6,905	95,045
LEARNING & OPPORTUNITIES C&YP									
CENTRALLY MANAGED	344	0	0	69	413	0	5,094	3,191	8,698
CHILDRENS SERVICES TRUST	42,154	0	-2,000	1,077	41,230	0	3,581	408	45,220
COMMISSIONING & BUSINESS DEVEL	6,631	787	-183	360	7,595	287	19,299	2,301	29,482
PARTNERSHIPS & OPERATIONAL DEL	2,581	200	0	2	2,782	22	6,836	124	9,763
LEARNING & OPPORTUNITIES C&YP Total	51,709	987	-2,183	1,508	52,021	309	34,810	6,024	93,163
REGENERATION & ENVIRONMENT									
DEVELOPMENT	2,413	535	-116	207	3,039	2,621	598	2,759	9,017
DIRECTOR OF REGEN & ENVIRO	-403	0	0	397	-6	0	0	41	36
ENVIRONMENT	30,852	0	-1,033	682	30,501	7,320	4,343	22,436	64,600
TRADING & PROPERTY SERVICES	-234	693	-547	1,039	952	12,286	70	36,565	49,874
REGENERATION & ENVIRONMENT Total	32,628	1,228	-1,696	2,326	34,486	22,227	5,011	61,802	123,526
Total Council Budget	[1] 215,471	5,615	-10,246	3,952	214,791	50,387	151,051	61,395	477,623
Funded By: -									
NON DOMESTIC RATES	-44,057	0	-918	-1,462	-46,436				
REVENUE SUPPORT GRANT	-36,150	0	0	8,019	-28,131				
TOP UP GRANT	-32,805	0	-274	-449	-33,527				
COUNCIL TAX	-100,432	0	0	0	-106,696				
USE OF RESERVES	-2,027	2,027	0	0	0				
Total Funding	[1] -215,471	2,027	-1,192	6,108	-214,791				

[1] The 2017/18 net budget shown above includes the use of £2.0m reserves.



Doncaster Council

Report

Date: 5th March, 2018

To the Chair and Members of the
COUNCIL

CAPITAL BUDGET 2018/19 – 2021/22

EXECUTIVE SUMMARY

Capital Programme Budget Proposal

1. Doncaster Council continues to invest in the future of the Borough despite the tough economic climate with an estimated £349m of capital investment over 2018/19 to 2021/22 that will continue to stimulate growth and prosperity, with £129m of spend estimated for 2018/19. The Council is investing in projects to further improve Education, Housing, Infrastructure, Leisure and Culture, as well as attracting investors and visitors to the Borough. Prime examples of this investment are the Doncaster Culture & Learning Centre £13.9m and DN7 Hatfield Link Road Project £13.3m.
2. Capital spending is something that is bought or built that generally lasts more than one year, such as a road or building. Most capital funding is allocated for spending on specific projects. Capital spending is different from revenue spending, which covers the day to day costs of running Council services but capital investment can assist the revenue budget by helping to reduce running costs by providing more efficient facilities and equipment.
3. The proposed capital programme for 2018/19 to 2021/22 is detailed in Appendices 1a to 1f and the paragraphs below. There is also further information on some of the schemes that will have wide-ranging benefits for Doncaster.
4. The bids received during the budget setting process are provided in Appendix 2 of the Appendix pack.
5. In the following sections, unless stated otherwise, the value for 2018/19 is shown first and then the total for the four year programme is in brackets.

Regeneration and Environment: -

The programme managed by this Directorate is the largest individual programme in terms of project numbers and value at an estimated total investment of (£223.7m), with £88.3m in 2018/19. The main areas of investment are the Doncaster Culture & Learning Centre £8.2m (£13.9m), carriage way and bridge maintenance £4.9m (£19.2m) and housing projects £41.2m (£125.6m). A further analysis is provided in Appendix 1e of the Appendix pack.

Development

- **Doncaster Culture & Learning Centre** – £13.9m 2018/19 to 2019/20 (funded with £9.1m Council resources with the remaining £4.8m to be sought from external grant funders, underwritten by borrowing if necessary). A new, combined Central Library, Archives, Museum and Art Gallery, along with other social and learning facilities (e.g. a Core Education Space, rail heritage centre, conference and training facilities, micro-business incubation space, café, retail, crèche etc.). The building will be located on the site of the former Girls' School on Waterdale, adjacent to the Civic Offices. Scheduled for completion in 2020. A further £100k to invest in the infrastructure of the existing Museum on Chequer Road, for use as an interim storage and research facility. The building will contribute to wide-ranging skills, economic, and learning-related outcomes for Doncaster's residents.
- **Enterprise Market Place Phase 1** - £3.0m 2018/19 (funded with £1.9m SCRIF; £1.1m other Council resources) – this includes alterations to the car park and Irish Middle Market; conversion of the Wool Market to include new food outlets and events space; refurbishment of the Corn Exchange and further enhancements to the public realm. As one of the town's key assets the vision aims to ensure the area is vibrant not just on current market days, but all week long.
- **Enterprise Market Place Phase 2** - £6.0m 2019/20 to 2020/21 (funded with £1.4m SCRIF; £4.6m developer contributions) – Marketplace - development of new retail, leisure and office floor space through a new mixed use building framing the northern side of the market square and creating a new city street with recent investment. Subject to further appraisal by Sheffield City Region once the full business case is available to demonstrate the viability of phase 2.
- **CCQ Cinema Infrastructure** - £9.4m 2018/19 to 2019/20 (funded with £5.7m borrowing, £0.5m Sheffield City Region Investment Fund (SCRIF) and £3.2m other Council resources). CCQ cinema development with the Council acting as landowner, funder, developer and landlord of the completed development. Once completed it will create an annual rent, 180 jobs, an estimated rateable value of circa £0.53m and an enhanced CCQ leisure offer.
- **Quality Streets** - £1.9m 2018/19 (funded with £1.4m SCRIF, £0.1m Local Transport Plan (LTP) grant and £0.4m other Council funding) – Refurbishment and enhancement of public realm and footways within the core town areas of Hallgate and Silver Street. The aim of the scheme is to increase the attractiveness of these areas; complement three earlier phases of investment; increase footfall and retail usage and support the town's evening economy offer.
- **St Sepulchre Gate / Station Forecourt** - £5.8m 2018/19 (funded with all SCRIF but with an additional £2.4m associated private development works directly relating to the scheme but not undertaken by the Council) – Providing a new gateway to Doncaster by redeveloping the station forecourt and access to the station from St Sepulchre Gate. The project aims to create a sense of arrival into Doncaster and define the railway station as a key gateway into the town centre. Works include the relocation of station car parking, acquisition of redundant buildings, new public realm and infrastructure works to improve safety, accessibility and visitor experience to the urban centre.

Housing

- **Council House Building Programme (Committed)** – 151 new Council owned affordable units will be delivered over the next 2 years (45 2018/19 and 106 2019/20) through the Council's Affordable Housing building

programme, investing £16.8m (£19.3m). The major regeneration and redevelopment at the Wheatley Howards Estate will deliver 40 of these total units (in addition to the 23 units in 2017/18).

- **Council House Building Programme (Uncommitted)** - Further funding totalling £5.1m in 2019/20 (£20.6m across the four year programme) has been earmarked for further new build developments, subject to scheme approval. It is estimated this will deliver in the region of 180 additional units.
- **Council House Improvement and Maintenance Programme** - In 2018/19, £17.1m will be spent on improving and maintaining the existing Council housing stock, with the main focus on improvements to communal areas, heating conversions/upgrades, refurbishment of void properties and roof, fascia and soffit replacements
- **High Rise Fire Safety Improvements** - £3.3m in 2018/19 (alongside £0.7m in 2017/18 £4.0m total) will be invested into fire suppressant & sprinkler installations to the high rise blocks of flats, the replacement of both lifts and improvement to the communal areas at Silverwood house with flexibility to fund any retrofit works resulting for the Governments building regulations review that are currently being undertaken.
- **Energy Efficiency Works** - £5.9m investment in Energy Efficiency Works on hard to treat properties. Hard to treat is where there is either no cavity (sometimes classed as solid wall) or a narrow cavity, where standard cavity wall insulation is not possible or viable due to the nature of the construction.

Major Transport Schemes

- **DN7 Unity Hatfield Link Road** - £13.3m 2018/19 (funded with £10.1m SCRIF and £3.2m developer contributions). Delivery of 3km new road from J5 M18 to unlock Unity mixed use development comprising 3,100 houses, 395,000m² of commercial floor space and local centre, retail and educational facilities over a 30 year timeframe.
- **The Finningley and Rossington Regeneration Route Scheme (FARRRS) Extended Gateway** - £1.8m 2018/19 to 2019/20 (funded with £1.5m SCRIF and £0.3m other Council funding). Delivery of a new 2km highway from Great Yorkshire Way (FARRRS Phase 1) to Doncaster Sheffield Airport, enabling 396,000m² of commercial development.
- **A630 Westmoor Link** - £10.3m 2018/19 to 2019/20 (funded with £5.0m SCRIF, £3.3m National Productivity Infrastructure Fund, £0.3m LTP including SYPTTE works and £1.7m developer contributions). This scheme is to unlock housing and employment sites by introducing infrastructure improvements, including high capacity junctions and removal of a classified arterial route pinch point by widening a narrow railway over-bridge.

Transport IT programmes

- £1.0m in 2018/19 for a range of schemes relating to Safer Roads, Network Management, Cycling and Sustainable Choices (Dropped crossings, Footways, Bus Partnership, Minor Works Package, etc.).

Environment

- **Highway Maintenance Programmes** – £4.4m 2018/19 (LTP)

An estimated £4.4m of LTP capital funded works programmes for highways, bridges and street lighting maintenance schemes in 2018/19.

The highways works comprise of the structural, preventative and routine maintenance of the classified and unclassified road, footway and bridges infrastructure.

This funding will support on average per year approximately; surface dress around 25km (15.5miles) of road network, strengthen/resurface around 10km (6.2 miles) of local roads and reconstruct/resurface around 8km (5miles) of footways in 2018/19.

In addition it is anticipated that around 15,000m² of permanent patching repairs will be undertaken in 2018/19 in support of pothole repairs, pothole prevention measures and routine maintenance.

The capital funded schemes will be undertaken based on a prioritised selection of classified and unclassified roads and footways aimed at stabilising and improving the condition performance status of the highway network in order to promote user safety and efficient travel and to support the local economy, investment, growth and regeneration initiatives within the borough.

- **Street Lighting Column Replacement** - £1.3m 2018/19 to 2020/21 (funded with Council resources) – for the replacement of existing street lighting columns, re-using the existing LED light fittings

Trading

- **Retained Public Building Investment Programme** - £0.6m (£1.3m) 2018/19 to 2020/21 (funded with Council resources). This is an annual programme to enhance and improve our retained building assets by addressing backlog maintenance issues.
- **Herten Triangle** – £4.5m 2018/19 (funded with borrowing). There are 8 leisure units to be built and let to generate £0.5m per annum of rent and around £0.3m per annum of new business rates. The total cost of scheme is £7.4m with the majority of the expenditure in 2018/19.

Adults, Health and Well Being: -

The total investment managed by this Directorate is estimated to be (£23.3m) over the four year programme, with £7.2m in 2018/19. The three largest areas are Housing Adaptations £4.6m (£18.5m) and Leisure Centre improvements £1.3m (£3.3m). A further analysis is shown in Appendix 1b of the Appendix pack:

- **DFG/Adaptations** - 1,200 housing adaptations for the disabled are estimated to be completed per year, with an estimated funding package of £17.8m
- **Doncaster Culture and Leisure Trust** - This is the final year of a 5 year programme, with a £3.8m allocation for 2018/19. £1.3m is estimated to be used on fabric maintenance and system replacement in 2018/19, mainly at Adwick, Dearne Valley and the Dome.

Corporate Resources: -

Corporate Resources are estimated to manage (£63.8m) of funds over the four year programme, with £22.0m in 2018/19. The major areas of spend are investment in ICT £5.1m (£7.3m) and the IMF which is to be increased to £12.5m (£50m). A further analysis is shown in Appendix 1c of the Appendix pack.

The bid received during the budget setting process is provided in Appendix 2 of the Appendix pack. The bid is to increase the funding allocated for the replacement of key Council systems (in line with the ICT Strategy) to include

provision for the HR/payroll system, which will deliver service improvements and efficiencies.

- £7.3m of investment in technology over the next four years informed by a four year technology plan developed to improve the daily functioning and service delivery across the whole authority as well as introducing new technology as it is needed and to support the planned transformation and increased efficiency over the next four years. This includes the essential upgrade of all council connectivity across all council buildings, the whole phone and mobile network, all data storage with the required business resilience in place the organisation, further increased mobile/agile working key operational systems that need updating and the joining up of systems. The introduction of an Integrated People solution is also a significant investment which will provide whole family intelligence and will enable the reduction of many systems and data sources that are costly to support and maintain and can impact on data and therefore essential business intelligence to better serve the citizens of Doncaster.
- £50.0m Investment Management Fund (IMF) available to fund projects which deliver more efficient services and enable further revenue savings; further detail is provided in paragraphs 19 and 20.

Learning and Opportunities – Children and Young People: -

The total investment via this Directorate is estimated to be £36.2m over the four year programme, with £11.3m in 2018/19. The major areas of investment are creating additional School Places (including a new special school £9.0m (£19.1m), the Schools Condition Programme £2.6m (£8.2m) and the School Roofs Programme £0.7m (£2.8m). It is important to note that these programmes are in development and so are subject to change, if for example cost estimates change or schools leave the Council's control. A further analysis is shown in Appendix 1d of the Appendix pack.

The bids received during the budget setting process are provided in Appendix 2 of the Appendix pack.

Considerable amounts of effort and investment are being made to improve the condition of our schools and create new school places. The main outcomes for this Directorate and more detail on the school building improvements is below:-

- Overall twenty nine schools are estimated to have a range of improvements works completed in 2018/19, a single school may have more than one type of improvement work carried out, including roof replacement, electrical and lighting upgrades, window replacement and heating system improvements, investing £2.9m (11.1m);
 - Fourteen schools will have a range of electrical work completed including mains and lighting upgraded £0.8m;
 - Ten schools will have a range of heating, boiler and pipework improvements and one school will have its water tank removed at an estimated cost of £0.1m;
 - Fourteen schools will have a range of fabric work completed including drainage work, windows replaced and fascia boards investing £0.9m;
 - Seven schools will have part of their roofs replaced, with an estimated investment of £0.7m.

The Schools Condition Programme will be refined and the values and locations are subject to change once detailed design has been completed. Any significant amendments will be agreed with the Portfolio Holder / Cabinet for this area and

updates will be available through the quarterly Finance and Performance Improvement report to Cabinet and Overview and Scrutiny Management Committee.

The projects listed on Appendix 1d of the Appendix pack, have estimated values attached to them but the level of work leaves very little contingency compared to the overall programme of works. Should unexpected and urgent works be required or the estimated value of works increase, some projects will have to be delayed or cancelled to manage within the resources available.

Doncaster Children's Services Trust: -

Doncaster Children's Services Trust has requested funding of £1.5m over the four year programme, with £0.2m in 2018/19. The schemes are for the construction and acquisition of accommodation for care leavers. A further analysis is shown in Appendix 1f of the Appendix pack.

EXEMPT REPORT

6. This report is not exempt.

RECOMMENDATIONS

7. That Council approves the capital budget proposals for 2018/19 to 2021/22 as set out in this report. This includes: -
- Approving the capital programme, noting the proposed investment over the next four years and note what that will deliver;
 - Approving that Cabinet take responsibility for agreeing any significant changes to the Schools Condition Programme and the Local Transport Plan funding and that they are agreed in consultation with the relevant Portfolio Holder.

WHAT DOES THIS MEAN FOR THE CITIZENS OF DONCASTER?

8. Doncaster citizens will benefit from the continued capital investment in public facilities such as schools, leisure centres, roads, markets and affordable housing, and also from the Council facilitating growth by working with a range of partners to deliver affordable housing. Work will also continue on the Doncaster Culture & Learning centre an iconic 21st century building, which will transform how library and heritage resources are provided in the borough.

BACKGROUND

Capital Strategy

9. The Prudential Framework of Capital Finance introduced a new requirement for local authorities to prepare a Capital Strategy. An initial version of the strategy is detailed in paragraphs 10 to 24; this will be further developed next year.
10. The Capital Strategy outlines the principals and decision making process involved when approving Doncaster Council's capital programme. The aim is to contribute to the achievement of the Council's priorities and objectives, through the regeneration of the borough, improved infrastructure and effective operation of services. This is to be delivered via an affordable programme of capital investment consistent with the Council's financial strategy.

The Council's Corporate Objectives and Priorities

11. The capital budgets within the capital strategy support the key priorities laid out by Doncaster Growing Together. Each capital proposal is reviewed to ensure the project links to the Council's priorities.
- **Doncaster Learning** – Ensuring we prepare our young people for fulfilling lives, with bold reforms that will broaden their horizons and ambitions, bringing our education system and business community together to give our young people more exposure and opportunities to flourish;
 - **Doncaster Working** – Developing the economy through continued inward investment, targeting higher skilled jobs and more emphasis on supporting existing businesses to grow. Ensuring local people can connect to opportunities is a key aim here.
 - **Doncaster Caring** – Supporting our most vulnerable residents, whether children, adults, disabled people, families, older people. The emphasis here is on joining up social and health care and support and on shifting our focus to prevention and support that enables people to enjoy life with their families and communities.
 - **Doncaster Living** – Developing Doncaster as an attractive and secure place to live, including meeting housing needs, including for vulnerable people, developing town centres and the arts culture and leisure offer, and using physical activity, including cycling to improve population health and well-being.
 - There is also a Connected Council theme, which will use the strengthened organisational change capacity to deliver our internal transformation. Part of the transformation is to ensure the more effective use of assets.

Funding Sources

- **Capital Receipts** – generated through the sale of surplus land and building assets.

A disposals programme has been approved by Cabinet up to 2021/22. Reviews account for legal and planning restrictions and include ward member consultation.

The timing of the receipts takes into account, where possible, other Council led disposals (Strategic Housing) and known local private sector supply. An example of this is at Lakeside where a number of competing Council owned sites programmed to be marketed simultaneously, with on-going developer activity in the area, risked low demand and reduced receipts. The programme also aims to create a spread of disposals in terms of scale as well as geographical location, to promote development across the borough.

Strategic Asset Management continues to review smaller scale disposals and will bring these sites forward on a continuing basis for approval.

- **Revenue Contribution to Capital Outlay** – the use of revenue resources to fund capital schemes. The pressures this could cause upon revenue budgets are taken into consideration.

- **Borrowing** - borrowing is where the debt costs have to be funded from the Council's revenue resources. The impact upon the revenue budget and affordability are taken into consideration.

- **Local Infrastructure Rate** - Local authorities will be able to access a new Local Infrastructure Rate; this will be further considered during 2018/19. Borrowing will be available at a discounted interest rate to support infrastructure projects that are high value for money.
- **Investment and Modernisation Fund (IMF)** – the fund was set-up in 2013/14 to assist the Council to deliver better and more efficient services; without the initial capital investment these types of projects quite often do not develop. The IMF is funded by borrowing and is available for regeneration or efficiency projects where capital investment is required up front. The schemes must be proven to be affordable within the revenue budget (cover the revenue borrowing costs).

The IMF Board, which includes the Mayor and the Director of Finance and Corporate Services, is responsible for the overall control and management of the IMF and has responsibility and authority for the approval and allocation of funds to projects in line with council policy.

All projects must be evaluated and approved by the Board on the following criteria:

- Be aligned to and contribute to Council priorities;
- Be self-financing through for example: generating revenue savings or additional income or from asset disposals;
- Carry an acceptable level of risk;
- To fit into the borrowing level approved; and
- Be within the Councils control, or where the council has significant influence

- **Grants/Contributions** - Some capital projects are financed wholly or partly through external grants and contributions that are specific to projects and cannot be used for other purposes.

Grants from external sources are a valuable source of capital finance for the

Council and have enabled the Council to realise a substantial number of capital developments that would otherwise have been unable to progress.

Approval and Prioritisation of the Capital Programme

12. Relevant approval is required before a project can commence or commit to capital spend. New additions to the capital programme are approved in either the annual capital budget setting report or the quarterly monitoring report; which must be followed by a more detailed decision record.
13. During the budget setting process directorates are asked to make bids for priority projects to be considered for inclusion in the Capital Programme. To help ensure they are priorities, the bids are supported by the relevant Director before being passed to Financial Management
14. Bids are made by the completion and submission of a Capital 1 Form. The Capital 1 Form requests information to demonstrate why a scheme is needed,

the impact if the scheme is rejected, future impact upon the revenue budget and potential sources of funding for the scheme.

15. The bids are then considered by all the Directors, the Mayor and Cabinet, and then if supported, included in the proposed Capital Programme considered by Full Council in March.
16. Outside of budget setting, the other main way to include a project in the capital programme is to complete a Capital 1 form and include the project in the quarterly Finance and Performance Improvement Report, which is a key decision approved at Cabinet. An ODR will then be required to gain specific project approval before spend can be committed to.
17. If approval is required before the next Finance and Performance Improvement report goes to Cabinet, a project specific report will be needed. Generally, and the approval route should be confirmed with your Financial Management contact, projects under the key decision limit can be done via ODR, but a full report will be needed for projects meeting the definition of a key decision. Capital ODRs are approved by both the Directorate and Financial Management.

Monitoring of the Capital Programme

18. Capital projects are formally monitored as part of the quarterly Finance and Performance Improvement report, with updates and amendments being made on a project by project basis in-between quarterly reports, with higher value and profile projects often reviewed monthly. Budgets for projects funded by capital receipts, borrowing and ear-marked reserves are project specific and cannot be moved by Directors.
19. Project officers provide information to their Financial Management contact and the information is collated and analysed. Exceptions (problems with delivery or spend for example) are then included in the quarterly report for information and action. The information is considered by the relevant Directorate Management Team, Directors, Executive Board and Cabinet.
20. Collaborative Planning (CP) is to be introduced and used in the place of spreadsheets for monitoring and budget setting purposes. CP will be used by both Finance users and Budget Holders.
21. At year-end Financial Management collate the outturn position for capital schemes. Financing of the schemes is finalised and any unused budget is either rolled forward or removed.
22. The introduction of Capital CP will allow an easier post-implementation review of capital schemes after completion.
23. Capital CP will show the original approved budget and total scheme spend which will allow the financial aims of scheme to be assessed. Where the aims were not met, lessons can be learned, which can inform future projects and may lead to revisions in either the budgeting or monitoring processes.

Links to the Medium-term Financial Forecast (MTFF)

24. All capital investment must be sustainable in the long term through revenue support by the Council or its partners. All capital investment decisions consider the revenue implication both in terms of servicing the finance and running costs of the new assets. The impact of the revenue implications is a significant factor in determining approval of projects. The use of capital resources has been fully taken into account in the production of the Council's MTFS.

Capital Programme Summary

25. The Capital Programme includes £349m of capital investment over 2018/19 to 2021/22, with £129m in 2018/19. A summary of the Capital Programme by Directorate is provided below: -

Directorate	2018/19 £m	2019/20 £m	2020/21 £m	2021/21 £m	Total £m
Adults, Health and Well-Being	7.187	5.881	5.630	4.630	23.328
Corporate Resources	22.020	14.272	13.838	13.641	63.771
Learning and Opportunities – Children and Young People	11.261	12,322	6,497	6,130	36,210
Regeneration and Environment	88,376	65,585	38,097	31,716	223,774
Children's Trust	179	298	298	779	1,554
Total	129,023	98,358	64,360	56,896	348,637

Asset Sales

26. To deliver the priorities, the Council needs to generate income by selling assets to generate capital receipts. Over the next four years the Council has estimated £34m will be received from sales of land and buildings via the Disposal Programme. It is currently projected that there will be a shortfall in capital receipts of £4.5m in 2017/18, caused by not delivering all the capital receipts in previous years (this shortfall could increase further if the current estimated sales for 2017/18 are not achieved). This reduces the balance available over the four year programme to £29.5m.

27. Existing commitments to be financed with capital receipts total £22.4m (Appendix 3a and b of the Appendix pack), with new proposals totalling £7.1m (Appendix 3c of the Appendix pack).

28. If the planned capital receipts are not generated or are below the estimated values the Council will need to review the capital programme. This may mean that the Council is unable to progress all the schemes, some schemes may need to be rescheduled or have values revised. In cases where schemes are left unfinanced until the capital receipt is available, additional borrowing costs will be incurred.

Capital Receipts

29. A number of projects funded by capital receipts have already been approved and are shown on Appendix 3a and b of the Appendix pack. The new proposals are shown on Appendix 3c.

30. The table below shows the existing capital receipts, and both commitments and new proposals for 2018/19 to 2021/22: -

	18/19 £m	19/20 £m	20/21 £m	21/22 £m	Total £m
Approved Committed Projects – App 3a	7.501	0.747	0.334	0.000	8.582
Approved Uncommitted Projects – App 3b	8.629	1.867	1.656	1.141	13.293
New Proposals – App 3c	3.585	1.909	1.360	0.779	7.633
Total Capital Receipts Required	19.715	4.523	3.350	1.920	29.508
Estimated Capital Receipts:					
Carried forward	(4.514)	1.663	0.000	0.000	(4.514)
In-year capital receipts	25.892	2.860	3.350	1.920	34.022
Total Est. Capital Receipts	21.378	4.423	3.350	1.920	29.508
Cumulative Balance (to c/f)	1.663	0.000	0.000	0.000	0.000

Future Capital Allocations and Funding

31. Funding allocations will continue to be used in line with the relevant legislation or funding guidance. For funding that allows the Council discretion on how it is used and any new funding allocations made during the year, the Chief Financial Officer will confirm to which part of the capital programme it will be applied.

32. The Chief Financial Officer will also approve requests to amend the use of capital receipts during the year after consultation with the Mayor and these will be reported quarterly to Cabinet and OSMC as part of the Finance and Performance Improvement report.

Investment and Modernisation Fund (IMF)

33. There is currently £16.5m funding remaining of the allocation, this is being topped back up to £50.0m. Examples of projects to be funded over the four year programme include: -

- £4.5m for the Herten Triangle development;
- £4.0m for the Doncaster Culture & Learning Centre;
- £5.7m Civic and Cultural Quarter cinema development.

OPTIONS CONSIDERED

34. Option 1 – Do not support the proposal for the 2018/19 to 2021/22 Capital budget.

35. Option 2 – Council approve the proposal for the 2018/19 to 2021/22 Capital budget.

REASONS FOR RECOMMENDED OPTION

36. Option 2 has been adopted, allowing the Council to carry out the four year Capital programme financed with available resources. This will bring about the investment required for the regeneration and improvements highlighted within the report.

IMPACT ON THE COUNCIL'S KEY OUTCOMES

37. These are detailed in the table below: -

	Outcomes	Implications
	<p>Doncaster Working: Our vision is for more people to be able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future;</p> <ul style="list-style-type: none"> • Better access to good fulfilling work • Doncaster businesses are supported to flourish • Inward Investment 	<p>Council budget therefore impacts on all outcomes</p>
	<p>Doncaster Living: Our vision is for Doncaster's people to live in a borough that is vibrant and full of opportunity, where people enjoy spending time;</p> <ul style="list-style-type: none"> • The town centres are the beating heart of Doncaster • More people can live in a good quality, affordable home • Healthy and Vibrant Communities through Physical Activity and Sport • Everyone takes responsibility for keeping Doncaster Clean • Building on our cultural, artistic and sporting heritage 	
	<p>Doncaster Learning: Our vision is for learning that prepares all children, young people and adults for a life that is fulfilling;</p> <ul style="list-style-type: none"> • Every child has life-changing learning experiences within and beyond school • Many more great teachers work in Doncaster Schools that are good or better • Learning in Doncaster prepares young people for the world of work 	
	<p>Doncaster Caring: Our vision is for a borough that cares together for its most vulnerable residents;</p> <ul style="list-style-type: none"> • Children have the best start in life • Vulnerable families and individuals have support from someone they trust • Older people can live well and independently in their own homes 	
	<p>Connected Council:</p> <ul style="list-style-type: none"> • A modern, efficient and flexible workforce • Modern, accessible customer interactions • Operating within our resources and delivering value for money • A co-ordinated, whole person, whole life focus on the needs and aspirations of residents • Building community resilience and self-reliance by connecting community assets and strengths • Working with our partners and residents to provide effective leadership and governance 	

RISKS AND ASSUMPTIONS

38. Specific risks and assumptions have been detailed in the report. Specific risks to highlight are: -
- a. the risk of asset sales being delayed or being delivered at a lower value than estimated.
 - b. the funding for Education is lower than estimated, which would mean that programmes of work would have to be reviewed.

LEGAL IMPLICATIONS [Officer Initials: SF Date: 16.01.18]

39. In accordance with the provisions of the Local Government Act 2003, a local authority may borrow money – (a) for any purpose relevant to its functions under any enactment, or (b) for the purposes of the prudent management of its financial affairs.
40. Each individual drawdown of Capital funds of one million pounds or more will require further approval by the Director of Finance and Corporate Services, in consultation with the Portfolio Holder for Finance and Corporate Services in accordance with Financial Procedure Rules.
41. Further, specific legal advice will be required as each project progresses. Advice will be required when utilising external funding streams in order to ensure that the council's best interests are protected. Each individual project should be procured in accordance with Contract Procedure Rules and EU Procurement Regulations as appropriate.

FINANCIAL IMPLICATIONS [Officer Initials: RS Date: 15.01.18]

42. Financial implications are contained within the body of the report. Specific project approval will be required for each project as they are developed as per the Financial Procedure Rules. These will help to ensure that the capital programme remains affordable as projects will not start until the required funding has been identified.
43. The use of borrowing to fund projects such as the Investment and Modernisation Fund will be managed within the prudential indicators as approved in the Council's Treasury Management Policy. The Director of Finance and Corporate Services has delegated powers through this policy to make the most appropriate form of borrowing from approved sources. The borrowing will therefore be incorporated within the Council's four year capital programme for 2018/19 to 2021/22.

HUMAN RESOURCES IMPLICATIONS [Officer Initials: KM Date: 19.01.18]

44. There are no immediate HR implications associated with this report.

TECHNOLOGY IMPLICATIONS [Officer Initials: PW Date: 19.01.18]

45. The report covers many areas and activity of work for the Council. For the majority of the items listed in the report there are no ICT implications. Delivering the projects highlighted will require initiating several projects and as part of the implementation of the individual projects any requirements for new, enhanced or replacement technology to support the delivery of proposals outlined in the report will need to be considered and prioritised by the ICT Governance Board.

HEALTH IMPLICATIONS [Officer Initials: RS Date: 25/01/2018]

46. The choices the council makes in both raising and allocating capital budgets will impact on the health of the population. In general 20% of what contributes to health is due to clinical care, 30% due to behavioural factors, 40% due to socio-economic factors and 10% due to the built environment.
47. Within the financial resources available this paper sets out clearly the broad areas of capital investment in culture, housing, leisure and infrastructure should support increasing physical activity and reducing social isolation. Work on fire safety will contribute to protecting health, street lighting and other environmental improvements may also increase physical activity and support for school infrastructure is crucial for learning. Where ever possible capital investments should seek to maximise social value consider long term social, environmental and economic sustainability and resilience.
48. Where further cabinet reports are required report authors should consider the need for formal health impact assessments or early involvement of the public health team to minimise unintended impacts on health. Health impacts should also be addressed in the due regard statements that are developed alongside these further reports.

EQUALITY IMPLICATIONS [Officer Initials: FT Date: 25/01/2018]

49. The Council must consider and have due regard to the three aims of the general equality duty when developing and implementing the council's Medium Term Financial Forecast. The Council will ensure it makes fair and informed financial decisions, demonstrating its commitment to improving outcomes for the most vulnerable groups of people living in Doncaster. The weight given to the general duty will depend on how that area of work affects discrimination, equality of opportunity and good relations. For example; decisions on social care or community safety are likely to be more relevant and have greater impact on equality than those on waste disposal. The Council uses a simple due regard process to ensure due regard is considered and to support a transparent, effective process that is accountable to users and residents. Amongst others, the "due regard" will be informed by establishing the key equality issues across Doncaster, undertaking appropriate consultation and prioritising the projects.
50. Whilst no due regard statement has been completed as part of this report, all projects and programmes agreed during the programme will be subject to an individual due regard statement being prepared and actioned by the relevant project manager where required.

CONSULTATION

51. The specific proposals have been considered by Directorates from September 2017.
52. Where required, specific consultation will take place on individual projects prior to implementation.

BACKGROUND PAPERS

- Cabinet Report – Finance and Performance Improvement Report: 2017-18 Quarter 1
<http://doncaster.moderngov.co.uk/ieListDocuments.aspx?CId=131&MId=2627>
- Cabinet Report – Finance and Performance Improvement Report: 2017-18 Quarter 2
<http://doncaster.moderngov.co.uk/ieListDocuments.aspx?CId=131&MId=2636>

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**Steve Mawson
Chief Financial Officer
& Assistant Director – Finance**

Appendices Contents

Title	
Appendix 1a to 1f	Capital Schemes by Directorate
Appendix 2	New Inclusion Summary
Appendix 3	Use of Capital Receipts

CAPITAL PROGRAMME 2018/19 TO 2021/22 - DIRECTORATE SUMMARY

DIRECTORATE & SERVICE AREAS	2018/19	2019/20	2020/21	2021/22	FOUR YEAR
	PROJECTION	PROJECTION	PROJECTION	PROJECTION	PROGRAMME
	£'000s	£'000s	£'000s	£'000s	TOTAL
ADULTS, HEALTH & WELL-BEING					
ADULT SOCIAL CARE	4,562	4,631	4,630	4,630	18,453
COMMUNITIES	2,625	1,250	1,000	0	4,875
ADULTS, HEALTH & WELL-BEING TOTAL	7,187	5,881	5,630	4,630	23,328
CORPORATE RESOURCES					
CUSTOMERS, DIGITAL & ICT	4,925	1,772	400	0	7,097
FINANCE	17,095	12,500	13,438	13,641	56,674
CORPORATE RESOURCES TOTAL	22,020	14,272	13,838	13,641	63,771
LEARNING & OPPORTUNITIES - CHILDREN & YOUNG PEOPLE					
CENTRALLY MANAGED	250	250	250	250	1,000
COMMISSIONING & BUSINESS DEVELOPMENT	9,970	11,905	6,080	5,880	33,835
PARTNERSHIPS & OPERATIONAL DELIVERY	1,041	167	167	0	1,375
LEARNING & OPPORTUNITIES - CHILDREN & YOUNG PEOPLE TOTAL	11,261	12,322	6,497	6,130	36,210
REGENERATION & ENVIRONMENT					
DEVELOPMENT - INVESTMENT AND MAJOR PROJECTS	33,868	30,856	4,622	0	69,346
DEVELOPMENT - STRATEGIC HOUSING	41,167	28,710	28,376	27,358	125,611
ENVIRONMENT	4,941	5,231	4,781	4,358	19,311
TRADING & ASSETS	8,400	788	318	0	9,506
REGENERATION & ENVIRONMENT TOTAL	88,376	65,585	38,097	31,716	223,774
CHILDREN'S TRUST					
CHILDREN'S TRUST	179	298	298	779	1,554
CHILDREN'S TRUST TOTAL	179	298	298	779	1,554
TOTAL DMBC CAPITAL PROGRAMME	129,023	98,358	64,360	56,896	348,637
CAPITAL FUNDING					
CAPITAL RECEIPTS - GF	19,715	4,523	3,350	1,920	29,508
MINIMUM REVENUE PROVISION	3,946	5,714	0	0	9,660
EARMARKED RESERVES	327	0	0	0	327
EXTERNAL CONTRIBUTIONS	363	0	0	0	363
GRANTS	28,821	30,396	11,608	7,030	77,855
BORROWING	26,238	18,095	13,572	12,500	70,405
REVENUE CONTRIBUTION - GENERAL FUND	4	0	0	0	4
REVENUE CONTRIBUTION - HRA	10,470	6,705	7,642	8,244	33,061
USABLE CAPITAL RECEIPTS (HOUSING)	6,537	1,596	2,118	2,118	12,369
MAJOR REPAIRS RESERVE (HOUSING)	22,147	19,006	19,574	18,954	79,681
SUPPORTED CAPITAL EXPENDITURE (C)	10,156	11,447	6,197	5,830	33,629
SUPPORTED CAPITAL EXPENDITURE (C) - DFC	300	876	300	300	1,776
TOTAL CAPITAL FUNDING	129,023	98,358	64,360	56,896	348,637

ADULTS, HEALTH & WELL-BEING CAPITAL PROGRAMME 2018/19 TO 2021/22

CAPITAL INVESTMENT	2018/19	2019/20	2020/21	2021/22	FOUR YEAR
	PROJECTION	PROJECTION	PROJECTION	PROJECTION	PROGRAMME
	£'000s	£'000s	£'000s	£'000s	TOTAL
ADULT SOCIAL CARE	4,562	4,631	4,630	4,630	18,453
ADAPTATIONS FOR THE DISABLED	1,856	1,700	1,700	1,700	6,956
DISABLED FACILITIES GRANT DFG & HOUSING	2,706	2,931	2,930	2,930	11,497
COMMUNITIES	2,625	1,250	1,000		4,875
LIBRARIES & CULTURE	137				137
WW1 DIGITAL RESOURCE & OTHER	5				5
WW1 EXHIBITIONS	16				16
CONISBROUGH COURT ROLLS PURCHASE	10				10
URGENT INFRASTRUCTURE UPDATES AT TWO LIBRARIES	106				106
COMMUNITIES	2,488	1,250	1,000		4,738
DONCASTER CULTURAL & LEISURE TRUST	1,250	1,000	1,000		3,250
CYCLE FACILITY (DCLT)	586				586
LAKESIDE PLAY AREA & OPEN SPACE	8				8
CANTLEY PARK SKATE PARK	19				19
BRANTON PLAYING FIELD IMPROVEMENTS	7				7
WIKE GATE ROAD (THORNE) PLAY AREA	2				2
MERE LANE PUBLIC OPEN SPACE IMPROVEMENTS	39				39
FAR FIELD / CHURCH BALK (EDENTHORPE) OPEN SPACE IMP	322				322
BALBY WOODFIELD PUBLIC OPEN SPACE IMPROVEMENTS	5				5
COMMUNITY INFRASTRUCTURE - SUPPORTING CUSTOMER JOURNEY	250	250			500
ADULTS, HEALTH & WELL-BEING CAPITAL PROGRAMME	7,187	5,881	5,630	4,630	23,328

ADULTS, HEALTH & WELL-BEING CAPITAL SOURCES OF FUNDING	2018/19	2019/20	2020/21	2021/22	FOUR YEAR
	PROJECTION	PROJECTION	PROJECTION	PROJECTION	PROGRAMME
	£'000s	£'000s	£'000s	£'000s	TOTAL
CAPITAL RECEIPTS - GF	1,606	1,250	1,000		3,856
MINIMUM REVENUE PROVISION	586				586
EXTERNAL CONTRIBUTIONS	363				363
GRANTS	2,474	2,672	2,672	2,672	10,491
REVENUE CONTRIBUTION - GENERAL FUND	1				1
USABLE CAPITAL RECEIPTS (HOUSING)	858	658	658	658	2,832
MAJOR REPAIRS RESERVE (HOUSING)	1,300	1,300	1,300	1,300	5,200
TOTAL ADULTS, HEALTH & WELL-BEING CAPITAL PROGRAMME FUNDING	7,187	5,881	5,630	4,630	23,328

CORPORATE RESOURCES CAPITAL PROGRAMME 2018/19 TO 2021/22

CAPITAL INVESTMENT	2018/19	2019/20	2020/21	2021/22	FOUR YEAR
	PROJECTION	PROJECTION	PROJECTION	PROJECTION	PROGRAMME
	£'000s	£'000s	£'000s	£'000s	TOTAL
	£'000s	£'000s	£'000s	£'000s	£'000s
CUSTOMERS, DIGITAL & ICT	4,925	1,772	400		7,097
DONCASTER INTEGRATED PEOPLE SOLUTION (DIPS)	1,610				1,610
SUPERFAST BROADBAND		780			780
ICT STRATEGY 2014 - 2017	250				250
ICT DESKTOP AND MOBILE DEVICE UPGRADE	150		220		370
NETWORKING	500	180	140		820
PHYSICAL SERVERS		40	40		80
ICT CORPORATE STORAGE	1,000				1,000
COUNCIL WIDE SYSTEMS	645	572			1,217
VMWARE (VIRTUAL SERVERS)		200			200
ENTER DATA STORAGE & BROCADE	170				170
VIRTUALISED ORACLE ENVIRONMENT	97				97
HR/PAYROLL SYSTEM	503				503
FINANCE	17,095	12,500	13,438	13,641	56,674
ERP (FINANCIAL SYSTEMS)	170				170
INVESTMENT & MODERNISATION FUND	12,500	12,500	12,500	12,500	50,000
CAPITAL RESERVE FUND	4,425		938	1,141	6,504
CORPORATE RESOURCES CAPITAL PROGRAMME	22,020	14,272	13,838	13,641	63,771

CORPORATE RESOURCES CAPITAL SOURCES OF FUNDING	2018/19	2019/20	2020/21	2021/22	FOUR YEAR
	PROJECTION	PROJECTION	PROJECTION	PROJECTION	PROGRAMME
	£'000s	£'000s	£'000s	£'000s	TOTAL
	£'000s	£'000s	£'000s	£'000s	£'000s
CAPITAL RECEIPTS - GF	9,520	992	1,338	1,141	12,991
BORROWING	12,500	13,280	12,500	12,500	50,780
TOTAL CORPORATE RESOURCES CAPITAL PROGRAMME FUNDING	22,020	14,272	13,838	13,641	63,771

LEARNING & OPPORTUNITIES - CHILDREN AND YOUNG PEOPLE'S CAPITAL PROGRAMME 2018/19 TO 2021/22

CAPITAL INVESTMENT	2018/19	2019/20	2020/21	2021/22	FOUR YEAR
	PROJECTION	PROJECTION	PROJECTION	PROJECTION	PROGRAMME
	£'000s	£'000s	£'000s	£'000s	TOTAL
CENTRALLY MANAGED	250	250	250	250	1,000
LO-CYP SERVICE IMPROVEMENTS & LIABILITY	250	250	250	250	1,000
PARTNERSHIPS & OPERATIONAL DELIVERY	1,041	167	167		1,375
SPECIAL EDUCATIONAL NEEDS & DISABILITIES AUTISM	236	167	167		570
SPECTRUM DISORDER DEVELOPMENT					
BIG PICTURE LEARNING	805				805
COMMISSIONING & BUSINESS DEVELOPMENT	9,970	11,905	6,080	5,880	33,835
SCHOOL ORGANISATION	9,570	10,930	5,680	5,480	31,660
ADDITIONAL SCHOOL PLACES - BLOCK ALLOCATION	600	400	400	400	1,800
HAYFIELD LANE PRIMARY PLACES	700				700
ARMTHORPE PRIMARY PLACES			2,250	2,250	4,500
LAKESIDE PRIMARY PLACES	180				180
BAWTRY MAYFLOWER PRIMARY PLACES	250				250
DON VALLEY PRIMARY PLACES	250				250
HATCHELL GRANGE PRIMARY PLACES	500	4,500			5,000
ROSSINGTON PRIMARY PLACES	500				500
SCHOOL CONDITION PROGRAMME - BLOCK ALLOCATION	2,000	2,000	2,000	2,000	8,000
SCHOOL ROOF PROGRAMME - BLOCK ALLOCATION	750	750	750	750	3,000
NEW SPECIAL SCHOOL BUILD (EDENTHORPE)	3,387	3,000			6,387
SAFEGUARDING & SECURITY - MINOR PROJECTS	80	80	80	80	320
SAFEGUARDING & ACCESS FUND	373	200	200		773
SCHOOLS	300	875	300	300	1,775
DEVOLVED FORMULA CAPITAL - SCHOOLS	300	875	300	300	1,775
COMMISSIONING	100	100	100	100	400
AIMING HIGH FOR DISABLED CHILDREN	100	100	100	100	400
LEARNING & OPPORTUNITIES - CHILDREN & YOUNG PEOPLE	11,261	12,322	6,497	6,130	36,210
CAPITAL PROGRAMME					

LEARNING & OPPORTUNITIES - CHILDREN & YOUNG PEOPLE	2018/19	2019/20	2020/21	2021/22	FOUR YEAR
	PROJECTION	PROJECTION	PROJECTION	PROJECTION	PROGRAMME
CAPITAL SOURCES OF FUNDING	£'000s	£'000s	£'000s	£'000s	TOTAL
SUPPORTED CAPITAL EXPENDITURE (C)	10,156	11,447	6,197	5,830	33,629
SUPPORTED CAPITAL EXPENDITURE (C) - DFC	300	876	300	300	1,776
CAPITAL RECEIPTS - GF	805				805
TOTAL LEARNING & OPPORTUNITIES - CHILDREN & YOUNG	11,261	12,322	6,497	6,130	36,210
PEOPLE PROGRAMME FUNDING					

REGENERATION & ENVIRONMENT CAPITAL PROGRAMME 2018/19 TO 2021/22

CAPITAL INVESTMENT	2018/19 PROJECTION	2019/20 PROJECTION	2020/21 PROJECTION	2021/22 PROJECTION	FOUR YEAR PROGRAMME TOTAL
	£'000s	£'000s	£'000s	£'000s	£'000s
DEVELOPMENT	75,035	59,566	32,998	27,358	194,957
INVESTMENT AND MAJOR PROJECTS	33,868	30,856	4,622		69,346
SCRIF URBAN CENTRE					
SCRIF - URBAN CENTRE DONCASTER MARKET PHASE 1	3,046				3,046
SCRIF - URBAN CENTRE DONCASTER MARKET PHASE 2		1,488	4,560		6,048
SCRIF - URBAN CENTRE QUALITY STREETS	1,917				1,917
SCRIF - URBAN CENTRE ST SEP GATE / STATION FORECOURT	2,950	2,850			5,800
SCRIF - URBAN CENTRE WATERFRONT WEST	750				750
CCQ					
CCQ CINEMA INFRASTRUCTURE & PUBLIC REALM	6,586	2,824			9,410
OTHER					
CHEQUER ROAD MUSEUM CONDITION WORKS	100				100
DONCASTER CULTURE & LEARNING CENTRE	8,210	5,714			13,924
FOOTWAY ENHANCEMENT	128	61	62		251
WELCOME & WAYFINDING		250			250
TRANSPORT					
SCRIF - FARRRS EXTEND GATEWAY SY	1,807				1,807
SCRIF - DN7 PROJECT	6,500	6,819			13,319
SCRIF - A630 WEST MOOR LINK	824	9,500			10,324
INTEGRATED TRANSPORT TBA	1,050	1,350			2,400
STRATEGIC HOUSING	41,167	28,710	28,376	27,358	125,611
COUNCIL HOUSE NEW BUILD					
COUNCIL HOUSE BUILDING PROGRAMME (COMMITTED)	16,842	2,434			19,276
COUNCIL HOUSE BUILDING PROGRAMME (UNCOMMITTED)		5,126	8,166	7,308	20,600
PLANNED MECHANICAL & ELECTRICAL					
HEATING CONVERSIONS/UPGRADES	2,260	2,270	2,280	2,280	9,090
ELECTRICAL PLANNED WORKS	80	80	80	80	320
MECHANICAL PLANNED WORKS	130	130	130	130	520
LIFT REFURBISHMENT			180		180
SPRINKLERS INSTALLATION	3,280				3,280
STAIRLIFT REPLACEMENTS	220				220
PLANNED INVESTMENT IMPROVEMENT					
EXTERNAL PLANNED MAINTENANCE	4,480	4,520	4,560	4,580	18,140
STRUCTURAL	330	330	330	330	1,320
COMMUNAL HALLS/ SHOPS FLATS	110	110	110	110	440
COMMUNAL HALLS CONVERSIONS		1,080			1,080
THERMAL EFFICIENCY		1,950	1,950	1,950	5,850
ASBESTOS SURVEYS & REMOVAL	860	870	870	870	3,470
GARAGE SITE IMPROVEMENTS	430	440	440	440	1,750
UNADOPTED PATHS	220	220	220	220	880
ENVIRONMENTAL WORKS					
ENVIRONMENTAL / FENCING PROGRAMME	1,400	1,410	1,410	1,410	5,630
AFFORDABLE HOUSING					
EDWIN ROAD, WOODLANDS	58				58
LAYDEN DRIVE, SCAWSBY	117				117
OTHER					
VOIDS CAPITAL WORKS TO DECENCY STANDARD	3,010	3,030	3,040	3,040	12,120
EMPTY HOMES INVESTMENT SCHEME (ACQUISITIONS)	330	330	330	330	1,320
EMPTY PROPERTY LANDLORD GRANTS	239				239
COUNCIL OWNED G&T AND RESIDENTIAL SITE IMPROVEMENTS	711	300	300	300	1,611
IT SYSTEMS PROCUREMENT	800	100			900
ADAPTIONS FOR THE DISABLED	1,700	1,700	1,700	1,700	6,800
FIRE SAFETY WORKS	1,400	110	110	110	1,730
SHOPS/FLATS	220	220	220	220	880
INTERNAL WORKS	1,940	1,950	1,950	1,950	7,790
ENVIRONMENT	4,941	5,231	4,781	4,358	19,311
DEVELOPMENT & COMMISSIONING - TRANSPORT & MAINTENANCE	4,891	5,181	4,781	4,358	19,211
MAINTENANCE BLOCK ALLOCATION (CARRIAGEWAY)	3,748	3,748	3,748	3,748	14,992
BRIDGES LTP ALLOCATION	610	610	610	610	2,440
STREET LIGHTING IMPROVEMENT PROGRAMME	423	423	423		1,269
SYKEHOUSE SLOPE STABILIZATION	110	400			510
REGULATION & ENFORCEMENT	50	50			100

REGENERATION & ENVIRONMENT CAPITAL PROGRAMME 2018/19 TO 2021/22

CAPITAL INVESTMENT	2018/19 PROJECTION	2019/20 PROJECTION	2020/21 PROJECTION	2021/22 PROJECTION	FOUR YEAR PROGRAMME TOTAL
	£'000s	£'000s	£'000s	£'000s	£'000s
REPLACEMENT OF DETERIORATING AIR QUALITY MONITORING STATIONS (AQMSS).	50	50			100
TRADING & ASSETS	8,400	788	318		9,506
FACILITIES MANAGEMENT	65	55	13		133
GRAVEYARD BOUNDARY WALLS	65	55	13		133
COMMERCIAL SERVICES (MARKETS)	1,291	313			1,604
MARKET CAFÉ VENTILATION & EXTRACTION SYSTEM	100				100
CORN EXCHANGE ROOF & FAÇADE	842	313			1,155
DONCASTER MARKET OUTER STALL RECONFIGURATION	175				175
MEXBOROUGH MARKET H&S REFURBISHMENT	174				174
FLEET TRANSPORT	504				504
STREETSCENE & GROUNDS MAINTENANCE FLEET PURCHASES	200				200
MAIN FLEET REPLACEMENT PROGRAMME	304				304
CONSTRUCTION SERVICES	6,540	420	305		7,265
LAKESIDE 1 GENERAL	208				208
RETAINED PUBLIC BUILDINGS INVESTMENT PROGRAMME	600	420	305		1,325
PROPERTY INVESTMENT FUND	659				659
HERTEN TRIANGLE	4,491				4,491
HOLMES CARR CENTRE - SECURITY IMPROVEMENTS	45				45
MARY WOOLLETT CENTRE - DOOR ACCESS UPGRADE	40				40
MARY WOOLLETT CENTRE - INSTALLATION OF FIRE ALARM SYSTEM	109				109
PARKS AND OPEN SPACES - FOOTPATH REPAIR WORK	388				388
REGENERATION & ENVIRONMENT CAPITAL PROGRAMME	88,376	65,585	38,097	31,716	223,774

REGENERATION & ENVIRONMENT CAPITAL SOURCES OF FUNDING	2018/19 PROJECTION	2019/20 PROJECTION	2020/21 PROJECTION	2021/22 PROJECTION	FOUR YEAR PROGRAMME TOTAL
	£'000s	£'000s	£'000s	£'000s	£'000s
CAPITAL RECEIPTS - GF	7,605	1,983	714		10,302
EARMARKED RESERVES	327				327
EXTERNAL CONTRIBUTIONS					
GRANTS	26,348	27,724	8,935	4,358	67,365
BORROWING	13,737	4,815	1,072		19,624
REVENUE CONTRIBUTION - GENERAL FUND	3				3
REVENUE CONTRIBUTION - HRA	10,908	6,705	7,642	8,244	33,499
MINIMUM REVENUE PROVISION	3,360	5,714			9,074
USABLE CAPITAL RECEIPTS (HOUSING)	5,241	938	1,460	1,460	9,099
MAJOR REPAIRS RESERVE (HOUSING)	20,846	17,706	18,274	17,654	74,480
TOTAL REGENERATION & ENVIRONMENT CAPITAL PROGRAMME	88,376	65,585	38,097	31,716	223,774

CHILDREN'S TRUST CAPITAL PROGRAMME 2018/19 TO 2021/22

CAPITAL INVESTMENT	2018/19	2019/20	2020/21	2021/22	FOUR YEAR
	PROJECTION	PROJECTION	PROJECTION	PROJECTION	PROGRAMME
	£'000s	£'000s	£'000s	£'000s	TOTAL
CHILDREN'S TRUST	179	298	298	779	1,554
CARE LEAVERS HOUSING PROVISION SHELTERED ACCOMMODATION	179	298	298	119	894
CARE LEAVERS HOUSING PROVISION 16 -18YEAR OLDS				660	660
CHILDREN'S TRUST CAPITAL PROGRAMME	179	298	298	779	1,554

FINANCE & CORPORATE SERVICES CAPITAL SOURCES OF FUNDING	2018/19	2019/20	2020/21	2021/22	FOUR YEAR
	PROJECTION	PROJECTION	PROJECTION	PROJECTION	PROGRAMME
	£'000s	£'000s	£'000s	£'000s	TOTAL
CAPITAL RECEIPTS - GF	179	298	298	779	1,554
TOTAL CHILDREN'S TRUST CAPITAL PROGRAMME FUNDING	179	298	298	779	1,554

New Inclusion Summary

2018/19 values are listed first and four year programme total values in brackets.

Some inclusions are funded by capital receipts and to identify them they are allocated a CR reference in addition to the Directorate specific reference.

Corporate Resources

CR14 CORPRES 01 HR/Payroll System £0.5m

To increase the funding allocated for the replacement of key Council systems (in line with the ICT Strategy) to include provision for the HR/payroll system, which will deliver service improvements and efficiencies.

Learning and Opportunities – Children and Young People Programme

LOCYP 01 Capital Condition Programme £2.6m

The Capital Condition project delivers the planned maintenance programme across all the schools (not academies or LCVAP), with the current focus on mechanical (new heating & water tanks), electrical (mains upgrades and classroom lighting) and fabric (windows, external cladding and drainage)

Funded by LOCYP Capital Resources.

LOCYP 02 School Roof Programme £0.7m

The project delivers the replacement or improvement to school roofs identified within the annual surveys, all works include a 20 year guarantee.

A programme of works to improve school roofs, addressing longer term health and safety issues as well as annual repair costs.

Over previous years because of capital funding restrictions schools have had a patch and repair approach to school roofs utilising their limited repairs and maintenance budgets. Through the recently establish Schools Capital Maintenance Programme a small number of school roofs in most urgent need of repair have been addressed. However many more schools are now experiencing a large number of minor leaks and damaged areas that are starting to overlap with each other and the problems are now highlighted in recent site visits as category D, Bad (life expired and/or serious risk of imminent failure) and 1, Urgent/immediate requirement (urgent work that will prevent immediate closure of premises and or address an immediate high risk to the H & S of occupants and/or remedy a serious breach of legislation).

Following an assessment of the risk for individual schools it is recommended that upgrades are phased over a period of another three years. By addressing the wider problem there would be an expected reduction in the annual cost of re-active maintenance freeing up more of the budget to deliver a pro-active programme. Thus supporting year on year cost savings to both the school and CYPs capital budget

Funded by LOCYP Capital Resources.

CR14 LOCYP 03 Big Picture Learning £0.8m

To establish a modular building and associated work for Big Picture Learning as a new model for alternative behaviour provision in Doncaster. The Local Authority are working in partnership with the Innovation Unit called Big Picture Learning. We are seeking to set up a social impact bond to deliver this, and are due to hear if we have been successful by the end of January 18. This would introduce a new mode of learning for young people who are disengaged from school, and fulfil a set of eligibility criteria to direct the provision towards those who would benefit most from it. It is based around a '1 learner at a time' approach, whereby the curriculum is shaped to the interests of individual children, and at Key Stage 4 is complemented by 2 days a week in community or work based settings to apply their knowledge to real world setting. It is scheduled to open in September 2018.

Funded by Capital Receipts

Adults Health and WellbeingCR01 AHWB 01 Doncaster Leisure Trust £1.3m (£3.3m)

Doncaster Leisure Trust to enable delivery of key outcomes of Growing Doncaster Together and prevent dilapidation of Leisure Facilities. £1.3m is estimated to be used on fabric maintenance and system replacement in 2018/19, mainly at Adwick, Dearne Valley and the Dome.

Funded by Capital Receipts.

CR02 AHWB 02 Urgent updates to infrastructure in two branch libraries £0.1m

Updates to the following libraries:

- Hatfield Community Library is scheduled to have work done in 2018/19; £10k new fire alarm system, £19k Asbestos Removal and £37k Smart Lighting.
- Scawthorpe Community Library full roof replacement estimated at £40k.

Funded by Capital Receipts.

CR03 AHWB 03 Community Infrastructure - supporting Customer journey CLS £0.3m (£0.5m)

Community Led Support is a mayoral priority and is pivotal to the success of the new 'Customer Journey' Programme.

Key principles which underpin the CLS model need to be considered alongside local infrastructure to deliver the model successfully. Building a robust infrastructure to support CLS is vital to the ongoing success, evolution and longevity of the model throughout Doncaster.

The proposal is to integrate 3 tiers of 'Your Life Local' into communities throughout the borough. We will develop the approach incrementally and proportionately using the learning from each area so the model can evolve organically. Ultimately a 'hub and spoke' type model will be in place, that is led and run by the community alongside key partners, meaning only limited resource from DMBC is required to oversee and co-ordinate activity.

Existing Hubs

There are currently 7 CLS (Your Life Local) conversation points throughout the borough. None of the conversation points are located in purpose built hubs; all are located in existing community buildings and other community space.

Though there is no pre-determined 'design' for the 'Your Life Local' hub where the conversation point is located, there are fundamental elements which are required to enable the CLS model to be successful, these are as follows;

- Essentials – central location and good transport links / good accessibility, Wi-Fi enabled, a private space for 1:1 conversations, 3 conversations trained person(s)
- Desirable – co-located with partners and other services, area of high footfall, group activity space, community development space

It is not clear as yet whether there is current capacity in community buildings throughout the borough to meet the demand which the promotion of 'Your Life Local' will create. Further assessment is required to determine the extent to which the current buildings are equipped and appropriate to meet the needs of their communities and what expenditure may be required to bring them up to a reasonable, usable standard. For example, we anticipate that both the buildings' infrastructure will need to be refreshed and that accessibility may require building enhancement to better meet the needs of disabled and older people.

Community Libraries are currently used for a number of the conversation points (Bentley, Mexborough and Balby), though this seems like a good idea on the face of it as they are under-occupied, under used, existing community assets, Libraries can also be perceived as 'authoritarian' by certain people, this therefore restricts their accessibility and some people are less likely to 'drop in' or attend pre-booked appointment in such a location. This isn't ideal and may mean certain cohorts of people in certain areas don't feel the service is accessible to them.

There is a great divide in some of the services and environments in which conversation points are currently located;

The Martin Wells Centre (Edlington), Holmescarr Centre (Rossington) and The Vermuyden Centre (Thorne) are all 'community hubs' in the truest sense; they are all spacious multiple occupancy premises / co-located with partners and other services and therefore benefit from high footfall due to their location, also capturing some of the more vulnerable cohorts who are visiting other 'health / support' services.

At the other end of the scale (size and facility wise) is The Neighbourhood Centre in Cantley. This is a great little community hub driven by a very passionate and knowledgeable manager with lots of experience, ideas, innovation and willing volunteers, that said when you visit you can't help but feel they have 'outgrown' the space they occupy, and wonder how much more of an impact they could have given more space and better facilities.

Funded by Capital Receipts.

Regeneration and Environment Programme

R&E 01 2018/19 Highways and Bridges Maintenance - Department for Transport Capital Funding Allocations £4.4m (£17.4m)

These funds support the permanent repairs and structural maintenance of all of the adopted Highway assets and include: -

Carriageways, Footways, Street lighting, Bridges, Retaining Walls and some Drainage assets.

Funded by LTP Maintenance block

CR04 R&E 02 Quality Streets: Hall Gate & Silver Street £2.1m

The Urban Centre Masterplan identifies the need to reinvent the quality of offer in the town centre as a destination with residential, leisure and cultural assets, improved markets and better townscape.

Quality Streets project is identified in the SCRIF Urban Centre Scheme which recognises the need to deliver a number of projects to invigorate and create a vibrant core in Doncaster. Projects include; The Station Forecourt project which will provide a key gateway into the centre of Doncaster and is due to complete 2019 and The Enterprise Marketplace project, due to complete in February 2019 will provide new enterprise space and public realm improvements. The successful delivery of Quality Streets works to the public realm by March 2019, will tie in with the works to the Marketplace ensuring the core is attractive to inward investment and future business engagement.

The works to Hall Gate include narrowing the existing carriageway to one way, upgrading the footways to creating wider pedestrian areas and more attractive streetscapes increased outdoor business space and alfresco areas.

The works to Silver Street are as Hall Gate with additional specialist lighting to encourage and enhance the night time economy.

Funded from capital receipts and SCRIF.

CR05 R&E 03 Doncaster Growing Together – Town Centre Programme – Footway Enhancement £0.1m (£0.3m)

Works will consist of: Replace patching (black top), carry out levelling works, replace broken slabs and reparation to pointing on footways and pedestrian areas within the town centre.

Phased approach taking into account Major Development works and Quality Streets

Impact:

1. Enables investment to replace tarmac infill with matching and suitable surfaces – especially in high profile areas – e.g. High Street
2. Shows a visible commitment to investment in TC.

3. Improves the paved surface for service users, which will be of particular benefit to mobility users, physically and visually impaired, and will assist in reducing third party liability claims.

Funded from Capital Receipts

CR06 R&E 04 Doncaster Growing Together – Town Centre Programme – Welcome & Wayfinding £0.0m (£0.3m)

Modernises and makes signage consistent and clear. It will create a new and welcoming feel to the town and arrival points. Improves welcome points and clarity of town centre offer for town centre visitors/users and directs people to key locations/zones. The existing system focuses on wayfinding only. When on street there is no promotion of places of interest nor is there any interpretive material to help you understand the Town's history or its current and future development.

Works will include: replacement and rationalisation of existing street signage from key arrival points through the town centre to major assets, developments and historical assets.

At present the town centre wayfinding system is made up of several layers of elements that have been installed at different times. There is quite a variance in the condition of them across the town centre. In some places there are multiple signs directing people to the same attraction by different routes, causing confusion for visitors.

The Urban Centre Masterplan sets out the proposals and projects which will achieve the vision and ambitions for Doncaster. It identifies a series of Areas of Change which will be the focus for future investment and development.

As important as these locations for growth and change in the town centre is the experience of arrival and the ability to move between locations in a logical way complemented by a quality, safe environment. The Masterplan reflects this through the identification of key streets and spaces that respond to the potential axes of movement and the stepping stones required to move across the town centre. The proposed new Welcome and Wayfinding system is key to this – it will create a series of links and experiences guiding and encouraging people to explore the existing town centre and the regeneration areas / urban centre projects as they develop.

Funded from capital receipts

CR07 R&E 05 Holmescarr Centre – Security Improvements £0.05m (£0.05m)

Essential Security improvement works to security access, crime detection, crime prevention at the Holmescarr Centre

The improvement works will future proof the Holmescarr Centre as a valuable community asset and ensures that it is retained as an asset to Doncaster in line with the Asset Transformation Plan.

Funded from capital receipts and revenue contribution

CR08 R&E 06 Mary Woollett Centre – Door Access Upgrade £0.04m (£0.04m)

A complete upgrade to the buildings door entry system is required which will keep the building in line with other Facilities Management buildings.

Funded from capital receipts

CR09 R&E 07 Mary Woollett Centre – Installation of Fire Alarm System £0.1m (£0.1m)

The fire alarm system at Mary Woollett Centre requires a full replacement, this is essential to ensure that fire safety regulations are met.

Funded from capital receipts

CR10 R&E 08 Doncaster Parks and Open Spaces – Footpath repair work project £0.4m (£0.4m)

Overall the council's Parks and Open Spaces offer cleaner greener and quality of life benefits to local communities and visitors. Research shows that access to good quality green space is associated with better health outcomes and reduced health inequalities across the life course. For example people living in areas with large amounts of green space are three times as likely to be physically active than those living in areas where there is less open space. Also, improving the quality and long term maintenance of green spaces, including pathways and infrastructure in local areas where the quality may be poor is likely to improve access to green space.

This bid is to fund the essential resurfacing works at 11 of the Doncaster's Parks and Open Spaces. The current condition of these footpaths is very poor with only urgent reactive patching works are being carried out as and when needed, to keep these areas as safe and accessible as possible. The council has a duty of care to all of the users at these sites.

Parks and Open Spaces play a role in encouraging active travel by forming parts of green corridors that encourage people to walk or cycle to school or work and improved pathway infrastructure would enhance accessibility, use and appeal across the population including for those who may be less mobile, those in wheelchairs or with pushchairs for example.

Access to good quality green space also has positive impacts on mental health and provides a way of connecting with other people in the local community by acting as a social space for people of different ages or from different backgrounds to come together. Similarly, parks can play a role in reducing social isolation by providing an engaging space and helping people to be less socially isolated, be more active and have improved self-esteem.

Physical inactivity is a key priority of Doncaster Council and is one of nine transformational programmes for the Doncaster Growing Together borough strategy. Doncaster's Physical Activity and Sport strategy provides a ten year plan to address our physical activity levels and in particular focus on our most inactive communities. Physical activity and access to green spaces also contributes to some of the 'five domains of wellbeing' outlined in Doncaster's Health and Wellbeing board strategy,

including physical health, social and emotional wellbeing and environmental wellbeing.

Funded from capital receipts

CR11 R&E 09 Replacement of deteriorating Air Quality Monitoring Stations £0.05m (£0.1m)

Local Authorities have a statutory duty for managing local air quality and Doncaster Council has designated Air Quality Management Areas (AQMAs), as required by law in areas of high air pollution. The information we collect is used to help us review and assess air quality in the borough, to measure whether air quality standards are being met, to provide good local information for policy decisions and provide the community with information on the air it breathes.

Doncaster Council currently has responsibility for maintaining six AQMS. The council has participated in national networks providing information on air quality in the borough for approximately 20+ years.

At present the six AQMS have deteriorated and require a rolling programme to replace each unit over a six year period.

This bid is part of our continuous evidence gathering for the secretary of state, to prove that Doncaster air quality is below levels where further Government intervention maybe required across the borough. Furthermore, the units are used to provide daily air quality data to the public and also for joint working with the Public Health partnerships, in line with the Mayor's new Doncaster Growing Together 4 year strategy. The data obtained from the units was recently used to prove to DEFRA that the air quality linked to the St Georges Bridge "Clean Air Zone" investigation was below the required Air Quality Regulation Objectives (2000 as amended 2002).

Funded from capital receipts, earmarked reserves and grant

R&E 10 Integrated Transport Block £1.3m (£2.4m)

Doncaster is allocated funding (via SCR Combined Authority) from the Department for Transport on a formula basis. Monitoring arrangements exist to ensure that this allocation meets LTP objectives. Failure to demonstrate progress on the outputs and outcomes may jeopardise future funding and performance ratings (e.g. National Indicators on congestion and road safety), so it is essential that funding is maintained within the Council's Transport Capital programme.

Future year budgets are indicative at this stage and the budget is allocated to projects on an annual programme setting process. The following is the indicative programme for 2018/19: -

Safer Roads

Safer Communities – Borough wide	100,000
Residential Area Speed Limits	100,000
*Targeted casualty reduction scheme	40,000
*Targeted casualty reduction scheme	30,000
*Targeted casualty reduction scheme	30,000

	300,000
Network Management	
Traffic Management Measures – Borough wide	90,000
Direction Signing – Borough wide	60,000
Enhancements	60,000
Variable Message Signs	25,000
CCTV	35,000
	270,000
Cycling	
Cycling Infrastructure	180,000
Cycle Parking	20,000
	200,000
Sustainable Choices	
Dropped Crossings	40,000
Footways 17-18	40,000
Active Travel Communities Project	20,000
Travelwise Commuter Plan	20,000
Doncaster Bus Partnership Infrastructure/ Activities	60,000
Minor Works Package/ Monitoring	100,000
	280,000
IT Block Total	1,050,000

* Specific schemes will be confirmed as projects are developed and prioritised.

The following are LTP grant contributions to Major Schemes which are already represented in the capital programme or the subject of other Capital 1 forms for 2018/19. The values have been excluded from this Capital 1 Form to avoid double counting but are mentioned below to demonstrate the balance to the total £1.35m indicative LTP grant allocation: -

Major Schemes	
West Moor Link	200,000
Quality Streets	100,000
	300,000
IT Grant Total	1,350,000

Funded from LTP IT Block

Doncaster Children's Services Trust Programme

CR12 DCST 01 Care leavers Housing provision Sheltered accommodation £0.2m (£0.9m)

Construction of three, Care Leavers semi supported Housing for 16 to 18 year old homes.

There is an estimated 82 children over the next two years to be reviewed, and potential placed in a new home, although around half will stay in foster care.

Funded from capital receipts

CR13 DCST 02 Care leavers Housing provision 16 -18year olds £0.2m (£0.9m)

Acquisition of accommodation for 6, 16 to 18 year old care leavers homes.

Funded from capital receipts

Ref for New Bids	Project name	Project Details	Spend Profile					
			2018/19	2019/20	2020/21	2021/22	Total Four Year Programme	Later Years

Section 3a - Approved Committed Projects - Capital Receipts**Adults, Health and Well-Being**

Q0189	Doncaster Cultural & Leisure Trust	Funding for proposed capital expenditure requirements over the programme to enable centres to be 'fit for purpose'	605					605	
Sub Total - Adults, Health and Well-Being			605	0	0	0	0	605	0

Corporate Resources

Q0556	Financial Systems (ERP) Upgrade & Enhancements	Upgrades and enhancements will be required to ensure that ERP meets service requirements	170					170	
Q1809	Doncaster Integrated People Solution (DIPS)	Replacement of a number of childrens and adults related IT systems with one integrated solution	1,610					1,610	
Q1200	ICT Strategy 2014-17	A number of schemes identified in the approved ICT Strategy	250					250	
	Virtualised Oracle Environment		97					97	
	Enter Data Storage & Brocade	To address a potential lack of expansion in storage capacity	170					170	
Sub Total - Corporate resources			2,297	0	0	0	0	2,297	0

Regeneration and Environment

Q1161	Mexborough Market Improvements	Replacement of fire alarm, emergency lighting and electrical distribution boards and improvement to roof, all on health and safety grounds	174					174	
Q1298	Corn Exchange Roof & Façade	The scheme will refurbish the roof and external façade of the Corn Exchange.	841	313				1,154	
Q1372	Market Café ventilation & extraction system	Upgrade to the existing dated ventilation systems in place and will also provide a full installation to establishments that currently have no ventilation in place.	100					100	
Q0399	Street Lighting Improvement Programme	Existing street lamps are being replaced with new LED (Light Emitting Diode) lamps which will result in better quality lighting, a reduced carbon footprint and financial savings.	334	334	334			1,002	
	CCQ Cinema Infrastructure	Delivery of a state-of-the-art cinema and restaurant complex for the Civic and Cultural Quarter	3,150	100				3,250	
Sub Total - Regeneration and Environment			4,599	747	334	0	0	5,680	0

Total Section 3a - Approved Committed Schemes - Capital Receipts

7,501	747	334	0	0	8,582	0
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Section 3b - Approved Un-Committed Projects - Capital Receipts**Adults, Health and Well-Being**

Q1352	Extra Care Housing Project	Capital contribution to enable development of an extra care facility at Askern and Rossington						0	2,000
Sub Total - Finance and Corporate Services			0	0	0	0	0	0	2,000

Corporate Resources

Q1418	Council Wide Systems	To enable the replacement of key Council systems (in line with the ICT Strategy) together with the procurement of essential new systems, following consideration by the Council's ICT Governance Board. This will enable continued progress towards becoming a modern digital authority and ensure service delivery is not affected by the loss of or ageing technology.	645	572				1,217	
Q1417	ICT Corporate Storage	The current ICT Corporate Storage (SAN) infrastructure which includes physical servers, storage disks and various other hardware at both the Civic Office and the Colonnades (cross-site resilience) will be five years old in 2018/19, the hardware will no longer be under support by the vendors as it is end of life and therefore will need replacing. This capital bid is to replace the aging unsupported hardware in 2018/19 and to addresses any potential lack of expansion capacity moving forward.	1,000					1,000	
Q1414	ICT Desktop & Mobile Device Upgrade	To implement an ICT solution to accurately manage the Council's mobile assets, identifying location, licences and the ability to remotely wipe data from lost or stolen devices. This bid is in addition to the existing capital programme. To also ensure Council staff are using the latest desktop and mobile devices and have the ability to securely connect and access Council systems when working remotely. This allows staff to be able to work more mobile and agile.	150		220			370	
Q1415	Networking	This bid covers a range of network related contracts that will be coming to an end in the coming years such as the corporate WAN contract, the Fixed/Mobile contract that includes the core Unified Communication Infrastructure and the Email and Web filtering devices. The bid also addresses the requirement to upgrade essential core network equipment, and to also do a feasibility study to see the viability to expand the existing Council owned Fibre infrastructure	500	180	140			820	
Q1416	Physical Servers	ICT hardware has a general life expectancy of approximately five years, after that time the chance of failure increases significantly and the probability of performance issues and services outages greatly increases. This capital bid is to refresh and upgrade end of life ICT server hardware coming to the end of their five year cycle. This include 20 plus Terminal Servers used for Remote Desktop Access to run Council applications.		40	40			80	

Ref for New Bids	Project name	Project Details	Spend Profile						
			2018/19	2019/20	2020/21	2021/22	Total Four Year Programme	Later Years	
Q1419	Vmware (Virtual Servers)	<p>Replace the existing corporate servers hosting DMBC virtual servers at the Civic Office and the Colonnades (cross-site resilience). We have around 450 virtual servers currently running on the 13 physical servers that need replacing (split between production services and disaster recovery services).</p> <p>Physical servers require replacement every five years to stay within the support life cycles. This is an industry standard for all physical servers.</p> <p>In simple terms the VMware technology allows 450 servers to share their resource and be consolidated down to 13 servers, hence 437 servers do not have to be purchased. This also provides full cross site resilience for the virtual servers, to protect them against the failure of physical equipment.</p>		200				200	
Q1204	Capital Reserve Fund		4,425	0	938	1,141		6,504	
Sub Total - Corporate Resources			6,720	992	1,338	1,141		10,191	0

Regeneration and Environment

Q1413	Sykehouse Slope Stabilisation	Slope Stabilisation projects, Sykehouse Road, West Lane and Rudgate Lane. The banks of the watercourse immediately adjacent to the carriageway have shown clear slip failures, which in turn has caused the carriageway to crack and also slip, causing significant depressions on the surface. If these failures are not repaired, it could progress to more significant failures which pose a serious safety risk to road users that could lead to closure. There are a number of services that are located within the slopes adjacent to the carriageways. These services include BT telephone cables, Yorkshire water mains and other unknown services, which could also be affected by the slope failures.	110	400				510	
Q1358	SCRIF - Urban Centre Doncaster Market Phase 1	Includes alterations to the car park and Irish Middle Market; conversion of the Wool Market to include new food outlets and events space; refurbishment of the Corn Exchange and further enhancements to the public realm. As one of the town's key assets the vision aims to ensure the area is vibrant not just on current market days, but all week long.	200					200	
Q1360	SCRIF - Urban Centre Quality Streets	Refurbishment of public realm and footways around Hallgate, Silver Street and Cleveland Street in the town centre, to complement three earlier phases of investment, and to support the town's evening economy offer (Corporate Resource element)	100					100	
Q1362	SCRIF - Urban Centre Waterfront East	Land assembly and site enabling works for the wider site including internal access roads, utilities, remediation and public realm for a new residential led mixed use on the vacant 15 hectare site (Corporate Resource element)						0	600
Q1364	SCRIF - North Doncaster A1-A19 Link Road	Provision of new highway between A1 Redhouse and A19 Toll Bar to enable regeneration and improve access to Adwick and Carcroft						0	2,320
Q1366	Retained Buildings Condition Improvement Works	Investment to address condition related projects to ensure buildings remain fit for purpose, operation and safe	600	420	305			1,325	
Q1807	Graveyard Boundary Walls	<p>Repair work is required at various closed and current graveyards across the borough to prevent further damage to walls and risk of accidents as the sections of wall requiring attention get worse.</p> <p>The work across the different sites has been profiled across the years according to the risk of further damage. Some small repairs may be required from revenue to make collapsed sections safe.</p>	65	55	13			133	
Q1346	Property Investment Fund	Create a fund to acquire property to generate a revenue income stream with a target return of 7% per annum	659					659	
Q1362	Markets Development – Outer Stall Reconfiguration	Create a new layout of the stalls with necessary readjustments	175					175	
Sub Total - Regeneration and Environment			1,909	875	318	0		3,102	2,920

Total Section 3b - Approved Un-Committed Schemes - Capital Receipts

8,629	1,867	1,656	1,141		13,293	4,920
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Section 3c - New Requests for Approval - Capital Receipts**Adults, Health and Well-Being**

AHWB1	Doncaster Leisure Trust (extension to existing)	Doncaster Leisure Trust to enable delivery of key outcomes of Growing Doncaster Together and prevent dilapidation of Leisure Facilities.	645	1,000	1,000			2,645	
AHWB2	Urgent updates to infrastructure in two branch libraries	Hatfield community library and Scawthorpe community library works required	106					106	
AHWB3	Community Infrastructure - supporting Customer journey CLS	The proposal is to integrate 3 tiers of 'Your Life Local' into communities throughout the borough. We will develop the approach incrementally and proportionately using the learning from each area so the model can evolve organically. Ultimately a 'hub and spoke' type model will be in place, that is led and run by the community alongside key partners, meaning only limited resource from DMBC is required to oversee and co-ordinate activity.	250	250				500	
Sub Total - Adults, Health and Well-Being			1,001	1,250	1,000	0		3,251	0

Ref for New Bids	Project name	Project Details	Spend Profile						
			2018/19	2019/20	2020/21	2021/22	Total Four Year Programme	Later Years	
Corporate Resources									
CORP RES 1	HR/Payroll System	To increase the funding allocated for the replacement of key Council systems (in line with the ICT Strategy) to include provision for the HR/payroll system, which will deliver service improvements and efficiencies.	503					503	
Sub Total - Corporate Resources			503	0	0	0	0	503	0
Learning & Opportunities - Children & Young People									
LOCYP 3	Big Picture Learning	To establish a modular building and associated work for Big Picture Learning as a new model for alternative behaviour provision in Doncaster. The Local Authority are working in partnership with the Innovation Unit called Big Picture Learning. We are seeking to set up a social impact bond to deliver this, and are due to hear if we have been successful by the end of January 18. This would introduce a new mode of learning for young people who are disengaged from school, and fulfil a set of eligibility criteria to direct the provision towards those who would benefit most from it. It is based around a '1 learner at a time' approach, whereby the curriculum is shaped to the interests of individual children, and at Key Stage 4 is complemented by 2 days a week in community or work based settings to apply their knowledge to real world setting. It is scheduled to open in September 2018	805					805	
Sub Total - Learning & Opportunities - Children & Young People			805	0	0	0	0	805	0
Regeneration and Environment									
R&E 2	Quality Streets: Hall Gate & Silver Street	The works to Hall Gate include narrowing the existing carriageway to one way, upgrading the footways to creating wider pedestrian areas and more attractive streetscapes increased outdoor business space and alfresco areas. The works to Silver Street are as Hall Gate with additional specialist lighting to encourage and enhance the night time economy.	367					367	
R&E 3	Doncaster Growing Together – Town Centre Programme – Footway Enhancement	Works will consist of: Replace patching (black top), carry out levelling works, replace broken slabs and reparation to pointing on footways and pedestrian areas within the town centre.	128	61	62			251	
R&E 4	Doncaster Growing Together – Town Centre Programme – Welcome & Wayfinding	Modernises and makes signage consistent and clear. It will create a new and welcoming feel to the town and arrival points. Improves welcome points and clarity of town centre offer for town centre visitors/users and directs people to key locations/zones. The existing system focuses on wayfinding only. When on street there is no promotion of places of interest nor is there any interpretive material to help you understand the Town's history or its current and future development. Works will include: replacement and rationalisation of existing street signage from key arrival points through the town centre to major assets, developments and historical assets.		250				250	
R&E 5	Holmesarr Centre – Security Improvements	Essential Security improvement works to security access, crime detection, crime prevention at the Holmesarr Centre. The improvement works will future proof the Holmesarr Centre as a valuable community asset and ensures that it is retained as an asset to Doncaster in line with the Asset Transformation Plan.	42					42	
R&E 6	Mary Woollett Centre – Door Access Upgrade	A complete upgrade to the buildings door entry system is required. To keep in line with other Facilities Management buildings, the system requires upgrading from the current and very outdated PAC system to SALTO.	40					40	
R&E 7	Mary Woollett Centre – Installation of Fire Alarm System	The fire alarm system at Mary Woollett Centre requires a full replacement. The current system is over 12 years old and due to parts now being obsolete it is causing issues when the system breaks down. The installation of new system is essential to ensure that fire safety regulations are met.	109					109	
R&E 8	Doncaster Parks and Open Spaces – Footpath repair work project	This bid is to fund the essential resurfacing works at 11 of the Doncaster's Parks and Open Spaces. The current condition of these footpaths is very poor with only urgent reactive patching works are being carried out as and when needed, to keep these areas as safe and accessible as possible. Over the last 5 years the council has paid out over £51k in 4 public liability claims in Parks and Open Spaces. The council has a duty of care to all of the users at these sites.	388					388	

Ref for New Bids	Project name	Project Details	Spend Profile					
			2018/19	2019/20	2020/21	2021/22	Total Four Year Programme	Later Years
R&E 9	Replacement of deteriorating Air Quality Monitoring Stations (AQMSs).	This bid is part of our continuous evidence gathering for the secretary of state, to prove that Doncaster air quality is below levels where further Government intervention may be required across the borough. Furthermore, the units are used to provide daily air quality data to the public and also for joint working with the Public Health partnerships, in line with the Mayor's new Doncaster Growing Together 4 year strategy. The data obtained from the units was recently used to prove to DEFRA that the air quality linked to the St Georges Bridge "Clean Air Zone" investigation was below the required Air Quality Regulation Objectives (2000 as amended 2002).	23	50			73	200
Sub Total - Regeneration and Environment			1,097	361	62	0	1,520	200
Doncaster Children's Services Trust								
DCST 01	Care leavers Housing provision Sheltered accommodation	Construction of three, Care Leavers semi supported Housing for 16 to 18 year old homes. There is an estimated 82 children over the next two years to be reviewed, and potential placed in a new home, although around half will stay in foster care.	179	298	298	119	894	
DCST 02	Care leavers Housing provision 16-18 year olds	Acquisition of accommodation for 6, 16 to 18 year old care leavers homes.				660	660	
Sub Total - Doncaster Children's Services Trust			179	298	298	779	1,554	0
Total Section 3c - New Requests for Approval - Capital Receipts			3,585	1,909	1,360	779	7,633	200
Total Capital Receipts Required			19,715	4,523	3,350	1,920	29,508	5,120



Doncaster Council

Report

Date: 5 March 2018

To the Chair and Members of Council

Housing Revenue Account Budget 2018/19

Relevant Cabinet Member(s)	Wards Affected	Key Decision
Councillor Glyn Jones	ALL	Yes

EXECUTIVE SUMMARY

1. This report sets out the Mayor's proposals for the 2018/19 Housing Revenue Account (HRA) Budget. The report focuses on the following key areas:-
 - the level of the Council's housing rents for 2018/19; current average rent is £70.54 per week which is the lowest within all Metropolitan District Councils, rents will decrease by 1%
 - the Housing Revenue Account (HRA) budget proposals for 2018/19
 - the medium term financial forecast for the HRA which includes estimated budgets for 2019/20, 2020/21 and 2021/22; the HRA budget follows the budget strategy as set out in previous years and maintains a reserve of £4.0m within the HRA, the budget for 2018/19 is a balanced budget (income equals expenditure) and
 - the level of fees and charges for 2018/19 as detailed in paragraph 13.

EXEMPT REPORT

2. Not applicable.

RECOMMENDATIONS

3. Council is asked to approve the Housing Revenue Account budget proposals as set out in this report and including:-
 - i. Rents are reduced from 2nd April 2018, by 1.0% as detailed in paragraphs 7 to 10 in line with Government policy. This will result in an average weekly rent

decrease of £0.71, resulting in an average rent of approximately £69.83 per week. Rents will be charged every week of the year.

- ii. The budget proposals for the HRA for 2018/19 which are contained in Appendix A. These proposals set a balanced budget for the HRA and maintain a reserve of £4.0m;
- iii. Fees and charges set out in paragraph 13.

WHAT DOES THIS MEAN FOR THE CITIZENS OF DONCASTER?

4. The Council provides housing to over 20,000 residents through the housing stock within the HRA. The majority of these properties are let at social rents which are currently the lowest within all Metropolitan District Councils and they will continue to be after this decrease. The proposals within this report mean that the existing tenants of these properties will pay lower rents in 2018/19. Reducing the rents does not prevent the Council from continuing to provide quality social housing maintained to the Doncaster decency standard but significantly reduces the number of new properties which will be built in the future in order to provide additional accommodation for those in need of social housing.

BACKGROUND

5. The Housing Revenue Account budget for 2017/18 was approved on 2nd March 2017. Since then the regular financial management reports to Cabinet have provided the latest projections of the 2017/18 financial position.

The underlying principles for HRA budgets had been agreed in previous years,

- that rents would remain at affordable levels;
- where properties are relet it is at target rent; and
- that surplus resources within the HRA would be used to provide additional council housing, either new build or acquisitions.

This would have continued if it had not been for the announcements in the Government's Summer Budget, 8 July 2015. The major change which was implemented in 2016/17 is a 1% rent reduction for four years, from 2016/17 to 2019/20. There are two other potentially major impacts;

- The sale of vacant higher value local authority housing stock (not now an issue until 2019/20 at the earliest) and
- Changes to welfare benefits

The full details and financial implications of these proposals are still unknown. Further commentary is provided in paragraph 14.

BUDGET PROPOSALS 2018/19

6. The Budget proposals for 2018/19 are shown in detail at Appendix A. The key features are as follows:-
 - a) A reduction in rents of 1.0% with effect from 2 April 2018 (see paragraphs 7 to 10 for more details);

- b) Dwelling rent income is expected to be £0.666m lower at £72.314m due to the effect of the rent reduction and the projected number of Right to Buy sales, new build properties, acquisitions and void (empty) properties;
- c) As and when properties become empty they will be advertised and relet at target rent (see paragraph 11 for definitions of rent) minus 1.0% (2016/17 reduction) minus a further 1% (2017/18 reduction) and a further 1% (2018/19 reduction) based on target rent calculations as at July 2015.
- d) The majority of HRA services are provided by St. Leger Homes of Doncaster (SLHD). The management fee paid to SLHD is increased by £1.2m to reflect increases in salaries (pay award), increased employer pension costs, inflationary increases and virements from other HRA budgets. Efficiency savings have been made from within SLHD to fund the additional costs of services required to collect rent and sustain tenancies following the rollout of full service for universal credit on 11 October 2017. It is estimated that when universal credit is fully rolled out in 2021 7,500 tenants will be affected and an additional £23.8m of rent income will need to be collected which was previously paid directly to the HRA from housing benefit. The details of the SLHD management fee calculations are shown at Appendix B. The management fee paid from the HRA for 2018/19 is £28.828m;
- e) It is estimated that the additional resources required to collect the rent and sustain tenancies following the full rollout of universal credit is £0.5m, SLHD are required to find efficiencies and savings to fund these additional costs over the three year period 2018/19 to 2020/21. In 2018/19 £0.194m of efficiencies and savings have been identified and reinvested into a new Tenancy Sustainment team;
- f) There is a budget of £0.561m within the HRA to assist tenants to deal with changes as a result of welfare benefit reform. The sustainable tenancy policy was reviewed in August 2017 and both the usage and the policy will be kept under review as further changes to welfare benefits are rolled out;
- g) The number of Right to Buy sales continues to be monitored and total sales to the 31st December 2017 were 126 giving an average of 3.23 sales per week (compared to 3.0 per week as of December 2016). A projected level of 175 sales, 86 new build and/or acquisitions and a void rent loss percentage of 1.25% have been used for calculating the rental income budget for 2018/19;
- h) Housing Subsidy (the historic Government grant system for the HRA) was abolished with effect from 1 April 2012 and the HRA became self-financing. A self-financing HRA needs to fund both its revenue services and deliver the investment/capital programme from rent income;
- i) Following the introduction of self-financing for the HRA a longer term view (30 years) is taken on HRA budgets to ensure that there is sufficient funding available in future years to maintain the properties at the Doncaster decency standard, deliver other investment needs and day to day services;
- j) A prudent level of balances for the HRA is considered to be £4.0m. Any surplus funds in excess of this, £1.660m, has been transferred to the housing public sector capital programme to fund investment in the housing stock and the programme for building more council houses.

A balanced budget will be set for 2018/19. This will maintain an estimated reserve within the HRA of £4.0m by 31 March 2019.

RENT LEVELS

7. On 8 July 2015 the Government held a Summer Budget and announced in that Budget that all social housing rents would reduce by 1% a year for the next four years from 2016/17 to 2019/20. This announcement is being forced upon Local Authorities through the Welfare Reform and Work Act 2016. Over the four year period 2016/17 to 2019/20 there will be £25.9m less resources available compared to the previously budgeted figures and over the 30 year business plan there will be £310m less resources available.
8. Previous assumptions in the 30 year business plan were that any resources in excess of the minimum level of balances required would be invested into the capital programme, these excess resources were estimated at £310m and the majority of these resources would have been invested in new build council houses and these houses would have generated additional rent income. The total estimated reduction in resources available over a 30 year period is £457m.
9. Rents in Doncaster are the lowest of all Metropolitan District Councils based on the 2016/17 financial year (according to the latest published figures from CIPFA, Chartered Institute of Public Finance and Accountancy).
10. There are five different ways in which rents will change during 2018/19, (different types of rents are defined in paragraph 11);

Existing tenants (social rent) – rent will be reduced by 1%;

New tenants into existing (social rent) housing stock – if the tenancy changes during 2018/19 the property will be relet at the 2015/16 target rent for that property minus 1% (2016/17 reduction), minus a further 1% (2017/18 reduction), minus a further 1% (2018/19 reduction);

New council housing, either new build or acquisitions (funded wholly from Council resources, social rent) – when new properties are completed the target rent will be calculated for each property and then adjusted for minus 1% (2016/17 reduction), a further 1% (2017/18 reduction) and a further 1% (2018/19 reduction);

New council housing, either new build or acquisitions (funded with an element of Government grant, affordable rent) – when new properties are handed over both the target rent and affordable rent will be calculated for these properties, the rent charged will be the higher of the of the two figures (this is a condition of the grant funding) and

Affordable rent properties – the rent will be reduced by 1% for existing tenants. If there is a change of tenancy a new affordable rent calculation will be carried out and the new rent will be the affordable rent (1% reductions are not required).

RENT DEFINITIONS

11. Target rent (sometimes referred to as formula rent) for each property is calculated using the following formula;

- 70% of the national average rent (April 2000) multiplied by relative county earnings (1999 levels) multiplied by a bedroom weighting (higher weighting for larger properties) plus 30% of the national average rent (April 2000) multiplied by relative property value (January 1999).

This calculation gives a target rent figure for the financial year 2000/01. It has then been updated each year by R.P.I plus 0.5% up until 2014/15. In 2015/16 the target rent was increased by CPI plus 1% (2.2%), the average target rent in Doncaster for 2015/16 was £75.26 per week. The target rent figure for 2015/16 is the base figure used for calculating target rent in future years. The 2016/17 target rent figure (£74.65 per week) is 2015/16 minus 1%, the 2017/18 figure (£73.91 per week) is reduced by a further 1% and the 2018/19 figure (£73.17 per week) is reduced by a further 1%.

12. Affordable rents – Affordable rent is defined as 80% of open market rent. These figures are calculated on an individual basis for each property by a qualified surveyor. A number of properties which are now in the HRA have either been built or acquired with the assistance of some grant funding from the Homes and Communities Agency (HCA). One of the grant conditions for these properties is that they are let at affordable rents (or target rent if that is higher). The current average rent for these tenancies is £91.83 per week and this will reduce to £90.91 per week in 2018/19.

FEES AND CHARGES

13. The following recommendations are proposed in respect of fees and charges for 2018/19:-

- a) That the charges for garages and garage sites are increased by 3.9%.
- b) The bio mass district heating boiler at Ennerdale provides heating and hot water to the bungalows at Ennerdale and the properties in Jubilee Court. The current charge for heating at this scheme is 5.80p per unit (plus VAT). This scheme is forecast to breakeven in 2018/19. It is therefore recommended that the charges remain the same. The average annual charge for these properties is £327.
- c) The district heating charge for properties on the Balby Bridge estate will remain at 6.09p per unit (inclusive of VAT) which is equivalent to 5.80p per unit plus VAT. The average annual charge for these properties is £198.
- d) The 41 properties at Milton Court do not have individual meters, the current charge is between £6.40 and £6.80 per property per week and these charges will remain unchanged.

- e) The current enclosed garden charge is between £2.42 and £4.00 (inclusive of VAT) per week dependent on the size of the garden and will increase by 3.9%. This is available as a whole year service (charged every week) or as a part year service (charged for 34 weeks). This service is an optional service, it is not eligible for housing benefit and it is available to all tenants.
- f) That the service charges to leaseholders are calculated based on the actual costs of providing the services and repairs and maintenance to the property.

The table below summarises the fees and charges that are included within the HRA budget assumptions.

Fee	Current Charge 2017/18	Proposed Charge 2018/19	Budget Implication
Garages	£5.14 per week (charged every week)	£5.34 per week (charged every week)	£5,106
Garage charges to non tenants	£6.17 per week (charged every week)	£6.41 per week (charged every week)	£5,626
Garage Sites	£0.88 per week	£0.91 per week	£53
Garage site charges to non tenants	£1.06 per week	£1.10 per week	£342
District Heating - Balby Bridge	5.80p per unit (excluding VAT) 6.09p per unit (inclusive of VAT)	5.80p per unit (excluding VAT) 6.09p per unit (inclusive of VAT)	Income dependant on usage
District Heating – Milton Court Bedsits One bedroom Three bedroom	£6.40 per week £6.50 per week £6.80 per week	£6.40 per week £6.50 per week £6.80 per week	Nil
District Heating - Ennerdale	5.80p per unit 6.09p per unit (inclusive of VAT)	5.80p per unit 6.09p per unit (inclusive of VAT)	Income dependant on usage
Enclosed Garden Service (inclusive of VAT)	£2.42 £3.04 £3.29 £3.74 £4.00 (charged every week or 34 weeks)	£2.51 £3.16 £3.42 £3.89 £4.16 (charged every week or 34 weeks)	£2,691

14. Other announcements within the Summer Budget 2015 were;

The sale of Vacant Higher Value Local Authority Housing Stock – the Housing and Planning Act 2016 gives the Government a mechanism to collect a payment from Local Authorities which they will then use to fund the Right to Buy (RTB) in Housing Associations. There are no details within the Act about how this payment will be calculated and it is therefore very difficult to plan for the impact of this, the earliest likely introduction date is during the 2019/20 financial year. Currently the majority of resources within the HRA are committed to either revenue or capital budgets, therefore whatever the size of this payment which has to be made to the Government it is likely that it will have to be funded from the sale of vacant properties (not necessarily high value ones) or from the sale of surplus HRA land or other assets.

Welfare Benefit Changes and Potential financial impact

Changes to Welfare benefits have a direct impact on individual tenants which usually results in them having less income. This can impact on their ability to pay their rent, this can also lead to an increase in rent arrears and then eventually increased property turnover and associated costs.

A number of welfare benefit changes have already been made;

Under occupation or “bedroom tax” – this was introduced on 1 April 2013. This policy means that if working age tenants (pensioners were excluded from this change) are deemed to be under occupying their property then their housing benefit is reduced by 14% if they have one under occupied bedroom, or 25% if they have two or more under occupied bedrooms. This change currently affects 2,699 of tenants and their housing benefit has been reduced by £1.66m, which needs to be collected. Bedroom tax still applies to tenants that claim Universal Credit (UC) however as DWP do not share information with us, we are unable to obtain accurate information as to how many of our tenants are affected under UC.

Benefit Cap – this is the maximum amount of benefit that an individual or household can receive. This was set at £26k in July 2013 and has been further reduced to £20k for families and £13,400 for single claimants with effect from 9 January 2017. This affects 110 Council tenancies.

Further rollout of universal credit (UC) – Tenants claiming universal credit receive their housing costs (the equivalent of Housing benefit) paid direct to themselves rather than paid to the landlord, with the tenant then responsible for paying their own rent.

Universal credit was rolled out in Doncaster in September 2015 and applied to single people with no dependents, in October 2017 full service for UC started (all new and changes to tenancies will claim UC) there are currently 755 UC claimants in the Council’s housing stock. At some point between 2019 and 2021, when UC is fully rolled out, it is expected that all working age tenants will be paid their benefits via UC. This will apply to approximately 7,500 Council tenancies and will require approximately £23.8m of rent to be collected from tenants which has previously been paid directly to the HRA.

No automatic entitlement to housing costs for 18 to 21 year olds – this affects anyone in this age group who is single and without dependants. It removes the automatic entitlement to those who are claiming Universal Credit and only applies in Full Service areas – it has applied in Doncaster since October 2017. We are not aware of any tenants in this age group who are currently affected by this change, but this is likely to become problematic for our younger tenants as more UC Full Service claims are made. There are a significant number of exemptions to this part of the policy.

The changes to welfare benefits will have a significant impact on housing services within Doncaster. Tenants are informed of the changes on a regular basis via Houseproud (the tenants' newsletter) and both the Council and St Leger Homes' website. Members are kept up to date of these changes through Members Briefings.

ACCOUNTING CHANGES

15. Self-financing was implemented for the HRA with effect from 1 April 2012. One of the requirements following this change was that Local Authorities were required to provide sufficient funding through depreciation to ensure that their stock did not fall below the Government's decent homes standard. For the first five years following self-financing Local Authorities were allowed to use a calculation for depreciation based on a formula provided by Government. This transitional period has now ended and a depreciation figure has been calculated based on the need to spend, based on International Accounting Standard (IAS) 16 componentisation in accordance with proper accounting practices. Additional monies can be transferred to the major repairs reserve using revenue contributions to capital outlay (RCCO). The amount of money transferred to the capital programme has not decreased following this accounting change as it is calculated that £22.1m is required each year for the next 30 years to maintain the existing stock at the Doncaster decency standard. £22.1m will be transferred to the major repairs reserve to fund capital investment, this is funded from £17.5m of depreciation and £4.6m of RCCO. Any RCCO in excess of the amount required to maintain the decency standard of the existing housing stock is spent on other capital expenditure, for example council house new build and aids and adaptations.

OPTIONS CONSIDERED

16. The Welfare Reform and Work Act 2016 defines how Local Authority rents will be set over the period 2016/17 to 2019/20. No other options were considered.

REASONS FOR RECOMMENDED OPTION

17. The Authority is required to approve the Housing Revenue Account budget for each financial year and monitor spending throughout the year. HRA budget information is reported quarterly to Cabinet. The HRA must make a provision for depreciation on Council dwellings as specified by the Government (as a minimum). It must also pay the necessary charges due on all its loans (loan charges - interest), any expenditure over and above this is discretionary but all expenditure must be funded from rent income. The recommended rent decrease of 1.0% allows the Council to fulfill its financial obligations in relation to the HRA.

IMPACT ON THE COUNCIL'S KEY OUTCOMES

18.

	Outcomes	Implications
	<p>Doncaster Working: Our vision is for more people to be able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future;</p> <ul style="list-style-type: none"> • Better access to good fulfilling work • Doncaster businesses are supported to flourish • Inward Investment 	<p>All staff employed by SLHD are paid at or above the Living Wage. SLHD has an established apprenticeship programme and all entry level roles are reviewed to see if they can be converted to an apprenticeship role.</p>
	<p>Doncaster Living: Our vision is for Doncaster's people to live in a borough that is vibrant and full of opportunity, where people enjoy spending time;</p> <ul style="list-style-type: none"> • The town centres are the beating heart of Doncaster • More people can live in a good quality, affordable home • Healthy and Vibrant Communities through Physical Activity and Sport • Everyone takes responsibility for keeping Doncaster Clean • Building on our cultural, artistic and sporting heritage 	<p>All Council homes are improved and maintained to the Doncaster Decency standard. Resources have been identified to build new Council houses and to improve the energy efficiency of the existing ones.</p>
	<p>Doncaster Learning: Our vision is for learning that prepares all children, young people and adults for a life that is fulfilling;</p> <ul style="list-style-type: none"> • Every child has life-changing learning experiences within and beyond school • Many more great teachers work in Doncaster Schools that are good or better • Learning in Doncaster prepares young people for the world of work 	<p>A safe and warm living environment is an excellent foundation from which children, young people and adults can prosper.</p>

	<p>Doncaster Caring: Our vision is for a borough that cares together for its most vulnerable residents;</p> <ul style="list-style-type: none"> • Children have the best start in life • Vulnerable families and individuals have support from someone they trust • Older people can live well and independently in their own homes 	<p>SLHD works closely with the Council's stronger families team. A tenancy sustainment team has recently been established. A significant amount of investment is made each year in aids and adaptations to people's homes to enable them to live there in a safe and comfortable environment.</p>
	<p>Connected Council:</p> <ul style="list-style-type: none"> • A modern, efficient and flexible workforce • Modern, accessible customer interactions • Operating within our resources and delivering value for money • A co-ordinated, whole person, whole life focus on the needs and aspirations of residents • Building community resilience and self-reliance by connecting community assets and strengths • Working with our partners and residents to provide effective leadership and governance 	<p>Housing services in Doncaster are excellent value for money with 93.6% of tenants saying that they are satisfied that their rent provides value for money. St Leger Homes is a key partner in Team Doncaster and in delivering Doncaster Growing Together.</p>

RISKS AND ASSUMPTIONS

19. The table below identifies the main quantifiable risks, which might result in the actual income and expenditure in 2018/19 being significantly different from the estimates and proposed actions to manage/mitigate them;

Risk/Assumption	Probability	Impact	Proposed Action
Increase in rent arrears as a result of welfare benefit reform (under occupation criteria)	Medium	£1.66m of direct housing benefit payments will be lost by tenants during 2018/19.	Increased focus on rent collection and financial advice. Bad debt provision of £1.390m and £0.561m assistance fund.

Increase in rent arrears as a result of the introduction of universal credit.	Medium	Estimated that 2,100 new tenants will receive universal credit in 2018/19 (£3.8m), instead of housing benefit paid direct to the landlord.	Increased focus on rent collection and financial advice. Bad debt provision of £1.390m and £0.561m assistance fund
Interest rates increase by 0.5%	Medium	Increased costs of £1.3m	A prudent estimate has been assumed for interest rates, a large proportion of loans are at fixed rates of interest.
Dwelling rent voids exceed the assumed level of 1.25% of the rent debit (£0.904m income reduction for the year) by 0.25% of rent debit.	Medium	Income reduction £0.181m	Regular monitoring of voids, reduce re-let periods, review strategy for long term voids. Demand for most properties remains high.
Dwelling rent voids exceed the assumed level of 1.25% of the rent debit (£0.915m income reduction for the year) by 1.0% of rent debit	Low	Income reduction £0.723m	Regular monitoring of voids, reduce re-let periods, review strategy for long term voids. Demand for most properties remains high.
Inflation in future years is higher than anticipated. An additional 1% on CPI would create cost pressures at a time when income is reducing.	Medium	£0.053m of potential inflationary costs.	Inflationary projections for future years are expected to remain low, the figures will be monitored on a regular basis.
Changes to welfare benefit – no automatic entitlement to Housing costs for claimants aged 18 to 21.	Low	Although not yet seeing the impacts of this policy, £0.210m of potential rent not received by tenants due to benefit changes.	Increased focus on rent collection and financial advice. Bad debt provision of £1.390m and £0.561m assistance fund. General reserve increased to £4.0m. A significant number of exemptions to this part of the policy.
Sale of vacant high value social housing stock	Low	Potentially up to £15.0m a year.	Check and challenge any consultation documents which are issued by the Government. Keep sufficient resources available to make the initial payments. Stress test the 30 year business plan.

LEGAL IMPLICATIONS [Officer Initials: SF Date: 24 January 2018]

20. The Council is required by s74 of the Local Government and Housing Act 1989 to keep a Housing Revenue Account (HRA) which records all revenue expenditure and income relating to the provision of council dwellings and related services. This includes formulating proposals relating to income from rent and charges, expenditure on repairs, maintenance, supervision and management, capital expenditure and any other prescribed matters in respect of the HRA. In formulating these proposals using best estimates and assumptions, the Authority must set a balanced account. In its role as landlord, the Council has statutory and contractual obligations to maintain the structure of, and installations in, its housing stock. The HRA Budget will assist the council in fulfilling those obligations
21. Referring to paragraph 7 above, the Welfare Reform and Work Act 2016 requires registered providers of social housing to secure that the amount of rent payable in respect of that relevant year by a tenant of their social housing in England is at least 1% less than the amount of rent that was payable by the tenant in respect of the preceding 12 months. The relevant year in relation to a registered provider is a year beginning on 1 April 2017, 1 April 2018 or 1 April 2019. The Secretary of State may issue a direction in relation to the amount of rent payable in respect of a local authority if he considers that the local authority would be unable to avoid serious financial difficulties if it were to comply with the reduction in rent.
22. With regard to paragraph 14 above, under the Housing and Planning Act 2016 the Secretary of State may make a determination requiring a local housing authority in England to make a payment to him representing an estimate of the market value of the authority's interest in any high value housing that is likely to become vacant during the year less any costs or other deductions of a kind described in the determination in respect of a financial year. Before making a declaration the Secretary of State must consult and be made before the financial year to which it relates.
23. Further, under this Act a local housing authority that keeps a HRA must consider selling its interest in any high value housing that has become vacant. In discharging its duty a local housing authority must have regard to any guidance given by the Secretary of State.
24. The decision maker must be aware of their obligations under section 149 Equality Act 2010, the Public Sector Equality Duty (PSED). It obliges public authorities, when exercising their functions, to have 'due regard' to the need to:
 - a. Eliminate discrimination, harassment and victimisation and other conduct which the Act prohibits;
 - b. Advance equality of opportunity; and
 - c. Foster good relations between people who share relevant protected characteristics and those who do not.

The relevant protected characteristics under the Equality Act are age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex and sexual orientation. The duty also covers marriage and civil partnerships, but only in respect of eliminating unlawful discrimination.

The decision maker must ensure that they have seen the due regard statement. The duty must be exercised in substance, with rigour, and with an open mind and is not a

question of ticking boxes. It is for the decision-maker to decide how much weight should be given to the various factors informing the decision, including how much weight should be given to the PSED itself. The duty is a continuing one and there should be a record/audit trail of how due regard has been shown. It is not sufficient for due regard to be a “rear-guard action” following a concluded decision. The decision maker must also pay regard to any countervailing factors and decide the weight to be given to these, which it is proper and reasonable to consider; budgetary pressures, economics and practical factors will often be important.

FINANCIAL IMPLICATIONS [Officer Initials: JC Date: 19 January 2018]

25. These are contained within the body of the report.

HUMAN RESOURCES IMPLICATIONS [Officer Initials: MLV Date: 24 January 2018]

26. While there appear to be no specific HR implications related to the content of this report there is reference in paragraph 6e to the need to “find efficiencies and savings to fund additional costs over the three year period 2018/19 to 2020/21”. If this includes looking at staff numbers appropriate policies must be followed and full consultation with staff and trade unions must take place before any changes are made. Every effort to minimise the possibility of compulsory redundancies, including redeployment, should be made.

TECHNOLOGY IMPLICATIONS [Officer Initials: PW Date: 22 January 2018]

27. There are no direct technology implications as a result of this report. SLHD are represented on the council & partners ICT Governance Board and submit any technology requirements to be considered to ensure wise investment, maximisation of existing systems across partners and rationalisation where possible & appropriate.

HEALTH IMPLICATIONS [Officer Initials SH Date 06/02/2018]

28. This report sets out the Mayor’s proposals for the 2018/19 Housing Revenue Account (HRA) Budget and outlines rent reductions and proposed fees. The choices the council makes in both raising and allocating budgets will impact on the health of the population. In general 20% of what contributes to health is due to clinical care, 30% due to behavioural factors, 40% due to socio-economic factors and 10% due to the built environment (including housing).

The decisions within this budget report have positive implications for health: specifically, around the proposed rent reduction, maintenance of the Doncaster decency standard, and work to ensure access to warm homes. The Housing Strategy 2015-25 is informed by health outcomes and these are also incorporated in the council’s corporate plan. In addition, the due regard statement addresses the implications of the impact of welfare reform in terms of equality issues.

However, the report also identifies that these revenue decisions as a result of changes to Government policy significantly reduce the number of new properties which will be built in the future (see paragraph 8). Reduced access to housing is costly to health and has implications in terms of increased health inequalities. This needs to be considered during the implementation phase so that health inequalities are addressed, and monitored.

Where further cabinet reports are required report authors should consider the need for formal health impact assessments or early involvement of the public health team to minimise unintended impacts on health. Health impacts should also be addressed in the due regard statements that are developed alongside these further reports. Consideration could also be given to further utilising the considerable guidance on Housing for Health (<https://www.gov.uk/government/collections/housing-for-health>).

EQUALITY IMPLICATIONS [Officer Initials: JC Date: 19 January 2018]

29. A Public Sector Equality Duty assessment is attached at Appendix C. There will be implications for individuals as a result of reducing rents but fundamentally rents are set based on the type and location of the property not with regard to the tenant.

CONSULTATION

30. Full Council were informed of the key points on 21 December 2017 and Cabinet have considered these matters in February 2018.

31. Members have been consulted at meetings between November 2017 and February 2018; this included Labour Group and representatives from other parties.

32. The proposed HRA budget and changes to rent and service charges have been the subject of formal consultation with members of the Tenants and Residents Involvement Panel (TRIP) and representatives from Tenants and Residents Associations (TARAs) on 18 January 2018.

33. Tenants think that Council rents in Doncaster are excellent value for money (this is reflected in Tenant surveys, 93.6% are satisfied that their rent provides value for money). They thought that the increases in fees and charges were reasonable and that the increases were very small weekly changes. They also responded to say that many tenants were seeing decreases in their fuel bills following investment in energy efficiency improvements.

34. Tenants are happy with the condition of their homes and the services that they receive, they could not identify any service improvements or investment needs which were not being met by the proposed budgets. They were particularly pleased to see the resources to be invested in fire safety work and felt that the Council had acted quickly to address fire safety issues.

35. SLHD consult with their staff and unions on the proposals within the HRA budget which directly affect them.

This report has significant implications in terms of the following:

Procurement	N/A	Crime & Disorder	N/A
Human Resources	N/A	Human Rights & Equalities	N/A
Buildings, Land and Occupiers	N/A	Environment & Sustainability	N/A
ICT	N/A	Capital Programme	Yes

BACKGROUND PAPERS

36. Welfare Reform and Work Act 2016

REPORT AUTHOR & CONTRIBUTORS

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Housing Revenue Account Budget 2018/19 to 2021/22

Description	Annual Budget	Annual Budget	Annual Budget	Annual Budget
	OE	OE	OE	OE
	2018/19	2019/20	2020/21	2021/22
	£000s	£000s	£000s	£000s
Expenditure				
Management and Maintenance				
Insurances	783	800	820	840
General Management	3,689	3,439	3,139	3,139
Special Services	234	234	234	234
Management Fee to St Leger Homes	28,828	29,642	30,416	31,290
Tenancy Sustainment Fund	561	411	111	111
Debt Management Expenses	20	20	20	20
Rent, Rates, Taxes & Other Charges	250	250	250	250
Capital Charges				
Depreciation on Council Dwellings	17,200	17,200	17,200	17,200
Depreciation on non dwellings	307	307	307	307
Provision For Bad or Doubtful Debts	1,390	1,500	1,700	1,700
Total Expenditure	53,262	53,803	54,197	55,091
Income				
Rent Income				
Dwelling Rents	-72,314	-71,473	-72,773	-74,294
Non-dwelling Rents	-775	-750	-725	-700
Charges For Services and Facilities	-141	-141	-141	-141
Contributions Towards Expenditure	-278	-200	-200	-200
Income from Solar Panels	-200	-200	-200	-200
Total Income	-73,708	-72,764	-74,039	-75,535
Net Income from Services	-20,446	-18,961	-19,842	-20,444
Capital Charges				
Loan Charges - Interest	11,946	12,271	12,215	12,215
Interest Receivable	-36	-15	-15	-15
Net Operating Income	-8,536	-6,705	-7,642	-8,244
Appropriations				
Revenue Contribution To Capital Outlay	10,196	6,705	7,642	8,244
Transfer to / from Reserves	-1,660	0	0	0
Surplus (-) / Deficit for Year	0	0	0	0
HRA A/C BALANCE BF	5,660	4,000	4,000	4,000
Transfer to/from balances	-1,660	0	0	0
HRA A/C BALANCE CF	4,000	4,000	4,000	4,000

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SLHD Management Fee

	2018/19 £000s	2019/20 £000s	2020/21 £000s	2021/22 £000s
Management fee	27,629	28,828	29,642	30,416
Growth items				
Legislation changes	5			
Inflationary increases				
Pay award	543	500	500	500
Superannuation	137	137	137	137
Increments	23	25	25	25
Inflation	212	212	212	212
Removal of 5 year temporary funding		-60	-100	
SLHD Efficiencies				
Efficiencies delivered	-194			
Reinvestment in services	194			
Future efficiency target		-206	-100	
Future investment in services		206	100	
Virements				
Energy efficiency certificates	84			
Welfare Reform Fund	195			
Total Management Fee	28,828	29,642	30,416	31,290

Details of the growth bids are;

Legislation Changes - Energy Performance Certificates (EPCs) are now required for shops

Pay award - 2% pay award with effect from 1 April 2018

Superannuation - The pension fund was revalued in 2016. Employers contribution increases from 12.4% in 2017/18 to 13.2% in 2018/19 and 13.9% in 2019/20. It has been assumed that this will increase to 14.5% in 2020/21 and 15.2% in 2021/22, these figures are subject to the next triannual valuation in 2019. Auto enrolment was also introduced with effect from 1 October 2017.

Increments - the overall net increase paid to staff.

Inflation - the increase cost for contracted goods and services. .

Removal of 5 year temporary funding - on 18 June 2014 Cabinet agreed a five year increase of £160k per annum in resources to fund the refurbishment costs of St Leger House (formerly Concorde House). This funding has been removed from the management fee as the refurbishment costs are written off.

SLHD Efficiencies

Efficiencies delivered - the following staffing posts have been deleted; one head of service, two service managers and two back office posts (total savings £171k). A contract with an external supplier is now being delivered in-house which saves £23k. These savings have been reinvested into front line services to sustain tenancies and collect rent and also into the improvement of our digital services.

Future Savings and Efficiencies - St Leger homes have estimated that when universal credit (UC) has been fully implemented an additional £23.8m will need to be collected from tenants each year which has previously been paid directly from housing benefit. It is estimated that the collection of this rent will require an additional 20 staff, the appointment of these staff will be phased in to match the rollout of UC. St Leger homes will deliver efficiencies through improved working practices and cost savings to fund these additional staff.

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HRA Budget Report

1	<p>Name of the ‘policy’ and briefly describe the activity being considered including aims and expected outcomes. This will help to determine how relevant the ‘policy’ is to equality.</p>	<p>HOUSING REVENUE ACCOUNT BUDGET 2018/19 The Impact of Decreasing Dwelling Rents for the Council’s Housing Stock.</p> <p>Doncaster Council is landlord to 20,471 properties of which 20,209 are socially rented and 262 are leasehold. The Housing Management responsibility for the stock has been delegated to St Leger Homes of Doncaster (SLHD) under a management agreement. On 8 July 2015 the Government held a summer budget and announced in that budget that all social housing rents would reduce by 1% a year for the next four years from 2016/17 to 2019/20. This announcement is being forced upon local authorities through the Welfare Reform and Work Act 2016. For 2018/19 the rent reduction will be 1.0% for all tenants meaning that the average rent will be £69.83 per week.</p> <p>This due regard statement seeks to identify those groups noted in the protected characteristics (section 3) that may be affected positively or negatively by the reducing of rents and sets out the measures to mitigate the impact on those groups. The rent decrease of 1.0% will be applied to all properties irrespective of the tenant.</p>
2	<p>Service area responsible for completing this statement.</p>	<p>St Leger Homes of Doncaster.</p>
3	<p>Summary of the information considered across the protected groups.</p> <p>Service users/residents</p> <p>Doncaster Workforce</p>	<p>Age The rent decrease of 1.0% will apply to all properties, regardless of the resident’s age. However, other specific welfare reform measures may have an impact as a result of the age of the tenant , these are as follows;</p> <p>Social Sector Size Criteria (bedroom tax); Introduced 1 April 2013, this welfare reform only applies to working age households and currently affects 2,699 tenants claiming HB and 87 tenants who are claiming Universal Credit. 2,151 tenants have to make up a 14% shortfall for their rent and 548 have a shortfall of 25% to make up. The average age of tenants affected by under occupation charge is 46. We are not aware of the number of</p>

households who under occupy but do not claim housing benefit. Bedroom tax still applies to tenants that claim Universal Credit however we are unable to obtain accurate information as to how many are affected so the figures quoted above do not provide the full picture of the impact.

Benefits Cap: A Cap on the total amount of benefits a household can receive was introduced in July 2013, which affected 20 DMBC tenants. A further, lower cap was fully implemented on 9th January 2017 (£20k for families and £13,400 for single claimants). The lower cap currently affects 110 families in Council accommodation, although previous data would indicate that this is likely to change as cases are reassessed. For DMBC tenants, the reduced cap will largely apply to single parent households with 4 or more children or couples with 3 or more children.

No automatic entitlement to Housing costs for 18-21 year olds: This policy removes the automatic entitlement to help with housing costs for anyone in this age group who makes a claim for Universal Credit (UC), only applies in UC Full Service areas and it has applied in Doncaster since October 2017. We are not aware of any tenants in this age group who are currently affected by this change, but this is likely to become problematic for our younger tenants as more UC Full Service claims are made.

Full Service roll out of Universal Credit from October 2017

Universal Credit '*Full Service*' was implemented in Doncaster on 11 October 2017 and replaced the Universal Credit *Live Service* which had been operational since September 2015. From this point all new claims from working age tenants for any of the 6 former benefits (Job Seeker's Allowance, Employment and Support Allowance, Income Support, Child Tax Credit, Working Tax Credit and Housing Benefit) will automatically go onto Universal Credit and these former benefits will be closed to new claims. Based on new claims to UC made since 11 October 2017 where the rent costs has been verified by St Leger Homes, we are anticipating over 2,100 tenants will move onto UC in 2018/19. It is however, worth noting that claimants with 3 or more children are not currently eligible to claim Universal Credit. From January 2019, the policy will change and these families will

also be required to claim Universal Credit which is likely to increase the projected numbers of claimants moving to UC.

As at January 2018, there are approximately 38% pension age tenants and 62% working age. 5,207 (25.9%) pension age tenants and 6,796 (33.7%) working age tenants are in receipt of Housing Benefit or the Housing Element of Universal Credit. Currently there are 755 (3.8%) tenants claiming Universal Credit, all of which are working age.

Disability

Out of the main tenants on our Universal Housing system, 6,858 have identified as disabled – which equates to 34.19%. This compares to a national figure of 8.3% across the whole population in England (2011 Census data). It is not anticipated that the decrease in rents will adversely affect individuals based on their disability. The Housing Revenue Account also has a specific budget of £1.7m for adaptations to the homes of disabled residents.

Ethnicity

16,771 of our tenants identify as White British – which equates to 83.62%. 1,285 (6.41%) identify as belonging to black, Asian or minority ethnic groups. A report by Department for Work and Pensions in June 2012 also tells us that working age adults living in households headed by someone from an ethnic minority were more likely to live in low-income households. This was particularly the case for households headed by someone of Pakistani or Bangladeshi ethnic origin. Translation services are offered in exceptional cases, but every effort is made to ensure that tenants understand their tenancy agreements and any other legal documents.

Gender

It is not anticipated that the decrease in rents or under occupation charge will adversely affect individuals based on their gender. Male and Female residents will be equally affected. 12,683 of our tenants have identified as Female (63.23%) and 7,327 (36.53%) as Male. 28 tenants have identified as transgender.

		<p>Sexual Orientation It is not anticipated that the decrease in rents will adversely affect individuals based on their sexual orientation.</p> <p>Religion and Belief It is not anticipated that the decrease in rents will adversely affect individuals as a result of any specific religion or belief they may have.</p> <p>Maternity and Pregnancy It is not envisaged that those residents who happen to be pregnant or on maternity leave will be adversely affected by the rent decrease as a result of their pregnancy or maternity leave.</p> <p>Gender Reassignment It is not anticipated that the decrease in rents will adversely affect individuals who have undergone gender reassignment.</p> <p>Marriage and Civil Partnership It is not anticipated that the decrease in rents will adversely affect individuals if they are married or in civil partnerships, more so than non-married residents or those not in civil partnerships.</p>
4	Summary of the consultation/engagement activities	<p>Directors and Cabinet have considered these matters at several meetings between December 2017 and February 2018.</p> <p>Members have been consulted at meetings between November 2017 and February 2018; this included Labour Group and representatives from other parties.</p> <p>The proposed HRA budget and changes to rent and service charges have been the subject of formal consultation with members of the Tenants and Residents Involvement Panel (TRIP) and representatives from Tenants and Residents Associations (TARAs) on 18 January 2018.</p> <p>Tenants think that Council rents in Doncaster are excellent value for money (this is reflected in Tenant surveys, 93.6% are satisfied that their rent provides value for money).</p>

		<p>They thought that the increases in fees and charges were reasonable and that the increases were very small weekly changes. They also responded to say that many tenants were seeing decreases in their fuel bills following investment in energy efficiency improvements.</p> <p>Tenants are happy with the condition of their homes and the services that they receive, they could not identify any service improvements or investment needs which were not being met by the proposed budgets. They were particularly pleased to see the resources to be invested in fire safety work and felt that the Council had acted quickly to address fire safety issues.</p> <p>SLHD consult with their staff and unions on the proposals within the HRA budget which directly affect them.</p> <p>Once a decision has been made by Council on 5 March, a letter will be sent to all Council tenants which will give details of the rent change with effect from 2 April 2018.</p>
5	<p>Real Consideration:</p> <p>Summary of what the evidence shows and how has it been used</p>	<p>All Council tenants will benefit from the rent reduction. But some tenants will continue to be impacted by the under occupation charge and/or benefit cap, however it is likely that some groups will be affected to a greater extent because of their lower income.</p> <p>The changes to welfare reform currently only impact on tenants that are deemed to be of “working age”.</p> <p>SLHD have a tenancy sustainment team to help assist tenants in financial difficulties and to help to sustain tenancies. This team was reconfigured and significantly strengthened to 17 staff members during 2017/18 to help the increasing numbers of tenants in rent arrears as a result of welfare reform changes. SLHD staff and staff from the Council’s benefit team work together with tenants to assist them to claim discretionary housing benefit (DHP) where this is appropriate. The Council has identified a budget of up to £0.561m to assist tenants impacted by welfare reform, a revised policy was approved which detailed how this money could be spent in August 2017, as further welfare benefit reform changes are made this policy may need to be updated again.</p> <p>The rent reduction is the same percentage reduction for all properties, the rent is calculated based on the characteristics of the property and not with regard to the tenant.</p>

6	Decision Making	This due regard statement has been made available to Members in advance of making any decisions on rent decreases. The HRA Budget 2018/19 report to Council on 5 March asks the Council to agree the rent reduction for the 2018/19 financial year with effect from 2 April 2018.
7	Monitoring and Review	The HRA budget is monitored on a quarterly basis by Cabinet, performance information for SLHD is monitored every 3 months by Cabinet and includes information on rent arrears. SLHD management team and Board monitor performance on a regular basis and there is a focus on rent arrears as a result of the recent welfare reform changes. Financial assistance is offered to all tenants who are in rent arrears, the number of evictions due to rent arrears is also monitored in detail to identify if any trends are emerging. Payments made from the welfare benefit reform fund are monitored and these details include the protected characteristics of the tenants.
8	Sign off and approval for publication	Julie Crook, Director of Corporate Services, St Leger Homes of Doncaster.



Doncaster Council

Report

**To the Chair and Members of the
COUNCIL**

Date: 5th March 2018

THE TREASURY MANAGEMENT STRATEGY STATEMENT 2018/19 – 2021/22

Relevant Cabinet Member(s)	Wards Affected	Key Decision
Mayor Ros Jones	All	Yes

EXECUTIVE SUMMARY

1. This report details the strategy for management of the council finances and provides a framework for the operation of the treasury management function within the Council. Treasury management makes sure that sufficient cash is available to meet service delivery in line with the approved Capital and Revenue Budgets. Key prudential indicators relating to borrowing limits are contained in the body of the report, with the prudential indicators relating to affordability in **Appendix A**. The key messages are:
 - a. Borrowing – total borrowing requirement will increase during the period covered by this report but the Council will remain under-borrowed against its borrowing requirement to avoid the higher cost of carrying debt. As borrowing rates are forecast to only rise gently over the next 4 years, the primary borrowing strategy for new and replacement debt will be to take cheaper short term loans to maximise interest savings over the period of the report. The borrowing strategy is detailed in **paragraphs 12 – 59**.
 - b. Investments – securing the return of investment funds remains paramount when selecting counterparties and the strategy reflects this. The Investment Strategy will continue to manage the balances available and support cash flow requirements. The Investment Strategy, which includes a low risk policy to protect the Council from losses caused by financial institutions failing to repay investments when due. This policy allows the Council to spread the risk amongst a number of approved lenders, and financial instruments as outlined in **paragraphs 60 – 89**.
2. The Council has to approve the local policy for approach to debt repayment (Minimum Revenue Provision – MRP) which is detailed in **Appendix B**.

EXEMPT REPORT

3. Not applicable.
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RECOMMENDATIONS

4. Council is asked to approve, the Treasury Management Strategy Statement 2018/19 – 2021/22 report and the Prudential Indicators included.
5. Council is asked to approve the Minimum Revenue Provision (MRP) policy as set out in **paragraphs 26 - 27** (details in **Appendix B**).

WHAT DOES THIS MEAN FOR THE CITIZENS OF DONCASTER?

6. This Strategy ensures that the Council's Capital Programme borrowing requirement is affordable and takes advantage of historically low short term interest rates to deliver savings for the Council. By ensuring that the treasury management function is effective we can ensure that the right resources are available at the right time to enable the delivery of services.

BACKGROUND

7. The Chartered Institute of Public Finance and Accounting (CIPFA) defines treasury management as: "The management of the local authority's borrowing, investments and cash flows, its banking, money market and capital market transactions; the effective control of the risks associated with those activities; and the pursuit of optimum performance consistent with those risks". For the Council to produce a strategy which is compliant with the statutory guidelines, a number of acts and guidance have to be taken into account.
8. The Council is required to operate a balanced budget, which broadly means that cash raised during the year will meet cash expenditure. Part of the treasury management function is to ensure that this cash flow is adequately planned, with cash being available when it is needed. Surplus monies are invested in low risk financial institutions and instruments in line with the Council's low risk appetite, providing adequate liquidity before considering investment return.
9. The second main function of treasury management is the funding of the Council's Capital Programme. The Capital Programme provides a guide to the borrowing need of the Council and the longer term cash flow planning to ensure that the Council can meet its capital spending obligations. This management of longer term cash may involve arranging long or short term loans, or using longer term cash flow surpluses.
10. The Treasury Management strategy for 2018/19 – 2021/22 covers two main areas:

Capital Issues

- a) the Capital Programme and the prudential indicators;
- b) the Minimum Revenue Provision (MRP) policy.

Treasury Management Issues

- a) the current treasury position;
- b) treasury indicators which limit treasury risk and activities of the Council;
- c) prospects for interest rates;
- d) the borrowing strategy;
- e) policy on borrowing in advance of need;
- f) debt rescheduling;
- g) the investment strategy;
- h) creditworthiness policy; and
- i) policy on use of external service providers.

11. These elements cover the requirements of the Local Government Act 2003, CIPFA Prudential Code, CLG MRP guidance, the CIPFA Treasury Management Code and the CLG Investment Guidance.

The Capital Programme Prudential Indicators 2018/19 – 2021/22

12. The Council's Capital Programme is the key driver of treasury management activity. The Prudential Indicators are designed to assist members' overview and confirm capital expenditure plans.

Capital Expenditure

13. The first prudential indicator is a summary of the Council's Capital Programme expenditure plans and funding. It includes existing expenditure commitments, and those included in the 2018/19 – 2021/22 budget cycle.

	Actual	Estimates				
	2016/17 £k	2017/18 £k	2018/19 £k	2019/20 £k	2020/21 £k	2021/22 £k
Capital Expenditure						
General Fund (GF)	52,579	81,994	91,736	68,988	35,846	28,919
HRA	35,373	33,222	37,226	29,072	28,216	27,198
Total	87,952	115,216	128,962	98,060	64,062	56,117
Financing of Capital Expenditure						
Capital Receipts	8,910	10,406	30,428	6,019	5,468	4,038
Capital Grants	33,032	42,133	39,479	42,421	17,806	12,381
Capital Reserves	765	640	327	0	0	0
Revenue	38,484	29,925	37,004	31,425	27,216	27,198
Unfinanced*	-1,049	1,088	-4,514	100	0	0
Sub Total	80,142	84,192	102,724	79,965	50,490	43,617
Borrowing Need	7,810	31,024	26,238	18,095	13,572	12,500
Total	87,952	115,216	128,962	98,060	64,062	56,117

*Some Capital schemes are financed by capital receipts. The timing of the receipts varies and may result in some expenditure showing as unfinanced at the year-end until the receipts are generated. The forecast figures above reflect the in-year movement including the £4.5m shortfall in capital receipts for 2017/18.

The Council's Total Capital Financing Requirement (CFR)

14. The second prudential indicator is the Council's Capital Financing Requirement (CFR). The CFR is total historic outstanding capital expenditure which has not been fully funded. It is a measure of the Council's underlying borrowing need.

15. The CFR includes any other long-term liabilities (e.g. PFI schemes, finance leases). Whilst these increase the CFR, and therefore the Council's borrowing requirement, these types of scheme include a borrowing facility by the PFI, PPP lease provider and so the Council is not required to separately borrow for these schemes. The Council currently has £52.2m of such schemes.

16. The CFR does not increase indefinitely, as minimum revenue provision (MRP) is a statutory annual revenue charge which broadly reduces the borrowing need in line with each assets life.

Capital Financing Requirement	Actual	Estimates				
	2016/17 £k	2017/18 £k	2018/19 £k	2019/20 £k	2020/21 £k	2021/22 £k
GF	253,791	276,869	295,980	307,810	314,588	319,121
HRA	265,045	266,069	267,069	267,069	267,069	267,069
Total CFR	518,836	542,938	563,049	574,879	581,657	586,190
Movement in CFR	3,170	24,102	20,111	11,830	6,778	4,533
Represented by	Actual	Estimates				
	2016/17 £k	2017/18 £k	2018/19 £k	2019/20 £k	2020/21 £k	2021/22 £k
Borrowing need (above)	7,810	31,024	26,238	18,095	13,572	12,500
Less MRP/Other financing adj.	-4,640	-6,922	-6,127	-6,265	-6,794	-7,967
Movement in CFR	3,170	24,102	20,111	11,830	6,778	4,533

17. The Council is forecast to have borrowed £528.2m as at 31/03/18 against a CFR (borrowing requirement) of £542.9m which means that the Council is currently forecast to be under-borrowed (see paragraphs 19 – 21) by £14.7m. This minimises interest costs, but may not be sustainable long term.

18. In 2017/18 the Council borrowed £56.5m to prepay its pension deficit obligations and 80% of the future service rate (FSR) for the following 3 years, which saved the Council £0.6m after borrowing costs. Whilst the borrowing for the pension prepayment is not for capital purposes and therefore does not feed into the Capital Financing Requirement as no asset is purchased, it will temporarily reduce the under-borrowed position for reporting purposes, for the three years during which the loans are outstanding.

Under- Borrowing

19. As detailed above, the Council is currently maintaining an under-borrowed position. This means that the level of actual debt is below the Capital Financing Requirement (the amount the authority needs to borrow for capital purposes) and therefore the Council has to use internal resources such as earmarked reserves, unapplied grants and capital receipts, cash balances, etc. to fund some of its unfinanced capital expenditure.

20. This strategy is beneficial because external debt payments are minimised and funds available for investments are reduced at a time when investment returns are low.

21. This position cannot be sustained in the long term. The reserves and balances will be needed and as a consequence the need to borrow will increase. This could be short-term or long-term borrowing. The Council have used short-term borrowing opportunities from other authorities as they have restricted lending lists which means they lend at rates much lower than PWLB rates.

Short-Term Borrowing

22. The use of short-term borrowing can make the borrowing portfolio volatile in terms of interest rate and refinancing risk. The benefit to the Council is low interest costs which has enabled the treasury management function to generate savings, which have been re-prioritised to service delivery. The risk inherent to using this approach has to be balanced against the need to find savings and produce a balanced budget.

23. There is a risk associated with a short-term borrowing strategy. As interest rates are likely to rise in future years, long-term borrowing will be more expensive than it is currently. By deferring long term borrowing until later years it is likely that additional costs will be incurred. We are balancing long term stable interest costs against short term interest savings.
24. The under-borrowing position is lower than previous years due to the Council borrowing £56.5m to prepay its pension liabilities for 2017/18 to 2019/20 (see paragraph 18). This position will change by approx. £20m per year when the borrowing is repaid and under-borrowing position increases by the same amount.
25. Unless new resources are identified e.g. grants, asset sales, etc. funding the Capital Programme from balances will decrease investment balances and hence reduce investment income levels, but the loss, is currently, more than offset by the interest savings generated by not taking on the full borrowing requirement.

The Minimum Revenue Provision (MRP)

26. The Council is required by statute to charge MRP to the General Fund Revenue Account each year for the repayment of debt. The MRP charge is the means by which capital expenditure which has been funded by borrowing is paid for by council tax payers.
27. The Council's MRP policy is detailed at **Appendix B**. The selected methods are those which are most beneficial in each case and comply with Ministry of Housing, Communities & Local Government (MHCLG) regulations.

Core funds and expected investment balances

28. The application of resources (capital receipts, reserves etc.) to either finance capital expenditure or other budget decisions to support the revenue budget will have an ongoing impact on investments unless resources are supplemented each year from new sources (asset sales etc.). Detailed below are estimates of the year end balances for each resource and anticipated day to day cash flow balances. It should be noted that the use of resources is difficult to predict and a cautious approach is taken.

Year End Resources	Actual	Estimates				
	2016/17 £k	2017/18 £k	2018/19 £k	2019/20 £k	2020/21 £k	2021/22 £k
Reserves balances	74,464	55,595	42,602	36,289	32,168	30,168
Capital receipts GF	8,910	10,406	30,428	6,019	5,468	4,038
Provisions	15,498	14,544	14,544	14,544	14,544	14,544
Capital Grants Unapplied	11,676	5,904	5,904	5,904	5,904	5,904
Total core funds	110,548	86,449	93,478	62,756	58,084	54,654
Working capital	22,353	22,353	22,353	22,353	22,353	22,353
Under/over borrowing	77,740	14,721	39,346	62,827	87,590	91,827
Expected investments	40,000	52,000	50,000	50,000	50,000	50,000

Current Portfolio Position

29. There are a number of key prudential indicators to ensure that the Council operates within well-defined limits. One of these is that the Council needs to ensure that its total borrowing does not, except in the short term, exceed the total of the Capital Financing Requirement (CFR). This helps to ensure that over the medium term borrowing is not undertaken for revenue purposes.

30. The Chief Financial Officer & Assistant Director of Finance reports that the Council complied with this prudential indicator in the current year and does not envisage difficulties for the future. This view takes into account current commitments, existing plans, and the proposals in this report. As previously stated the Council's external borrowing at 31 March 2018 is expected to be £528.2m. Split across two pools as below. The borrowing need (total CFR) is £542.9m which highlights that the Council will be under-borrowed by £14.7m. (see paragraphs 19 -21 above).

Portfolio Position	Actual	Estimates				
	2016/17 £k	2017/18 £k	2018/19 £k	2019/20 £k	2020/21 £k	2021/22 £k
CFR GF	253,791	276,869	295,980	307,810	314,588	319,121
External Borrowing GF	198,853	284,950	279,437	267,785	249,800	250,096
Under-borrowed Position GF	54,938	-8,081	16,544	40,025	64,788	69,025
Ave. Interest Rate GF	3.69%	2.91%	3.00%	3.35%	3.77%	3.83%
PORTFOLIO POSITION	Actual	Estimates				
	2016/17 £k	2017/18 £k	2018/19 £k	2019/20 £k	2020/21 £k	2021/22 £k
CFR HRA	265,045	266,069	267,069	267,069	267,069	267,069
External Borrowing HRA	242,243	243,267	244,267	244,267	244,267	244,267
Under-borrowed Position HRA*	22,802	22,802	22,802	22,802	22,802	22,802
Average Interest Rate HRA	4.83%	4.89%	4.87%	4.89%	4.87%	4.81%
Total CFR	518,836	542,938	563,049	574,879	581,657	586,190
Total External debt	441,096	528,217	523,703	512,052	494,067	494,363
Total Under-borrowing	77,740	14,721	39,346	62,827	87,590	91,827

*As there is no requirement to apply MRP to HRA borrowing, its under-borrowed position would only change as a result of a strategic change in the Council's external borrowing position, e.g. increase/decrease in external debt.

31. Both debt pools have relatively low interest rates which are expected to stay flat between 2018/19 and 2021/22. The average rate on GF increases slightly as the short term pension prepayment loans, which have an average interest rate of sub 1%, are repaid. The average interest rate on HRA debt is higher than the GF debt as the pool contains a higher proportion of older, or longer term, debt taken out at higher interest rates.

32. Treasury management decisions on the structure and timing of borrowing will be made independently for the GF and HRA. Interest on loans will be calculated in accordance with proper accounting practices. This will require interest

expenditure on external borrowing attributed to HRA loans being allocated to the HRA. Interest expenditure on external borrowing attributed to the GF will be allocated to the GF.

Treasury Indicators: Limits to Borrowing Activity

33. These are the 2 overall controls for treasury management external borrowing:

- The 'operational boundary' for external borrowing; and
- The 'authorised limit' for external borrowing.

Operational Boundary for external borrowing

34. This is the normally expected limit for external borrowing. For 2018/19 the limit is **£567.8m**. In most cases, this would be a similar figure to the Capital Financing Requirement (CFR). However, Doncaster Council's operational boundary adds our 'other long term liabilities' (which is Metropolitan Debt transferred from South Yorkshire County Council).

Operational Boundary	Actual	Estimates				
	2016/17 £k	2017/18 £k	2018/19 £k	2019/20 £k	2020/21 £k	2021/22 £k
CFR/Borrowing	518,836	542,938	563,049	574,879	581,657	586,190
Other long-term liabilities Met. Debt	8,302	6,513	4,800	3,100	1,400	0
Total	527,138	549,451	567,849	577,979	583,057	586,190

The Authorised Limit for external borrowing

35. A further key prudential indicator is a control on the maximum level of borrowing. This represents a limit beyond which external borrowing is prohibited, and this limit needs to be set or revised by the full Council.

36. It reflects the level of external borrowing which, while not desired, could be afforded in the short term, but is not sustainable in the longer term.

37. This allows the Council to borrow in advance (up to 3 years) of need for future planned expenditure – relating solely to unfinanced capital expenditure in any future 3 year period. Doncaster Council do not borrow in advance and this would only be considered where interest rates were preferential and to avoid future interest rate risk. For 2018/19 the limit is **£613.0m**.

Authorised limit	Actual	Estimate				
	2016/17 £k	2017/18 £k	2018/19 £k	2019/20 £k	2020/21 £k	2021/22 £k
Borrowing	518,836	542,938	563,049	574,879	581,657	586,190
Other long-term liabilities – 'Met. Debt'	8,302	6,513	4,800	3,100	1,400	0
Theoretical amount *	74,553	59,354	45,133	26,555	12,500	0
Total	601,691	608,805	612,982	604,534	595,557	586,190

* This figure includes an allocation for the Improvement and Modernisation Fund, which will only be taken as and when individual schemes receive separate approval.

38. Separately, the Council is also limited to a maximum HRA Capital Financing Requirement (CFR) through the self-financing regime. This is called the HRA debt limit and equates to **£269.9m** through to the end of 2021/22.

Treasury Management Limits on activity

39. There are three debt related treasury activity limits, see **Appendix A**, The purpose of these are to keep the activity of the treasury function within certain limits, thereby managing risk and reducing the impact of any adverse movement in interest rates. However, if these are set to be too restrictive they will impair the opportunities to reduce costs / improve performance.

Prospects for Interest Rates

40. Link Asset Services Treasury Solutions, are the Council's treasury advisor and part of their service is to assist the Council to formulate a view on interest rates. **Appendix D** draws together a number of current City forecasts for short term (Bank Rate) and longer term fixed interest rates.
41. Economic and interest rate forecasting remains difficult with so many external influences weighing on the UK. The above forecasts and Monetary Policy Committee (MPC) decisions will be liable to further amendment depending on how economic data and developments in financial markets transpire over the next year. The overall balance of risks to economic recovery in the UK is probably to the downside, particularly with the current level of uncertainty over the final terms of Brexit. There are a number of downside risks to current forecasts for UK gilt yields and PWLB rates e.g. an increase in the Bank Rate causes UK economic growth, and increases in inflation, to be weaker than we currently anticipate.
42. There is also the potential for upside risks to current forecasts for UK gilt yields and PWLB rates, especially for longer term PWLB rates e.g. the bank rate raises too slowly and, therefore, allows inflation pressures to build up too strongly within the UK economy, which then necessitates a later rapid series of increases in Bank Rate faster than we currently expect.
43. Our target borrowing rate for 2018/19 is 1.5%. Whilst our borrowing rates have taken account of all known factors including the advice of our treasury management advisors it is possible that rates could change unexpectedly. A significant rise in short term interest rates could expose the Council to additional interest costs. A 1% increase in interest on loans due to mature within the next 12 months would cost the General Fund an additional £286k in 2018/19 rising to £572k per annum (full year effect).

Borrowing Strategy

44. Effective treasury management makes sure that the Council's cash is organised in accordance with the relevant professional codes, so that sufficient cash is available to meet the Council's service activity in line with the Capital Programme. This will involve both the organisation of the cash flow and, where capital plans require, the arrangement of borrowing facilities. Total borrowing at the beginning of 2018/19 is forecast to be £528.2m with an additional estimated borrowing in year of £26.2m re Capital Programme and £37.5m to replace GF and HRA loans expiring during the year. It is planned that all the loans expiring will be re-borrowed unless additional resources become available. The Council also has £39m of loans, which potentially, we could be asked to repay during the financial year. However, based on the current and forecast levels of interest rates this risk is considered very low and replacement of the loans has not been

included within this strategy. The Council's current Loans and Investment portfolios are shown in **Appendix C**.

45. The borrowing strategy is a continuation of the recent successful strategy that has generated large interest savings. The savings are generated in two ways, being under-borrowed (see paragraphs 19 - 21) and borrowing short term (see paragraphs 22 - 25). As interest rates are forecast to increase gently over the term of this strategy we propose to continue to borrow short term rather than lock into the historically low long term interest rates. Where it is considered prudent to do so borrowing for specific capital schemes may be taken over the same term as the actual life of the scheme.
46. The savings, which are very sensitive to a movement in interest rates, assume that the under-borrowing will continue. There remains risks associated with the under-borrowing, but these will probably be eroded over time through the application of MRP.
47. The strategy delays some borrowing as long as possible to generate interest saving. For example at today's interest rates, if we were to borrow the £39.3m (forecast under-borrowed amount as at 31st March 2019) from the Public Works Loan Board (PWLB) over 5 years interest would cost £691k per annum and over 25 years to 50 years it would cost £1.1m per annum.
48. It is normally prudent to borrow long term to support the Capital Programme; however, we have had unusual market conditions that we have used to generate short term savings. Those market conditions are forecast to start to normalise gradually during the strategy term, however, the new normal is forecast to be much lower borrowing rates than in previous economic cycles. Also, 48% of the Councils borrowings are for terms between 30 and 50 years, which brings certainty of cost and minimises interest rate risk on almost half the portfolio.
49. It is possible that the Municipal Bond Agency will be offering loans to local authorities in the future. The Agency hopes that the borrowing rates will be lower than those offered by the PWLB. This Authority may make use of this new source of borrowing as and when appropriate. We will also consider any other sources of borrowing, which shows better value for money compared to the PWLB.

Risk Strategy

50. The strategies of internal and short-term borrowing generate immediate savings but are not sustainable in the long term given the level of interest rate risk within the portfolio. Three distinct risks have been identified:
 - a) The increased use of reserves and provisions reduces the funds currently financing the under-borrowing. To mitigate this risk the Council will monitor its use of resources and if necessary undertake additional external borrowing, within approved limits.
 - b) Short-term interest rates increase making the short-term borrowing strategy more expensive than a long-term alternative.
 - c) There is an on-going risk that long-term interest rates rise significantly so that the switch from short-term borrowing becomes very costly.
51. Against this background and the risks within the economic forecast, caution will continue to be adopted with the 2018/19 treasury operations. The Chief Financial Officer & Assistant Director of Finance will monitor interest rates in financial markets and adopt a pragmatic approach to changing circumstances:
 - if it was felt that there was a significant risk of a sharp fall in long and short term rates, e.g. due to a marked increase of risks around relapse

into recession or of risks of deflation, then long term borrowings will be postponed, and potential rescheduling from fixed rate funding into short term borrowing will be considered.

- if it was felt that there was a significant risk of a much sharper rise in long and short term rates than that currently forecast, perhaps arising from a greater than expected increase in world economic activity or a sudden increase in inflation risks, then the portfolio position will be re-appraised with the likely action that long term fixed rate funding will be drawn whilst interest rates were still relatively cheap. We are already seeing an increase in inflation in line with Bank of England forecasts due to the fall in sterling post Brexit. However, over 12 months on, this impact should start to reverse and inflation should start to fall. The current levels do not yet justify a sharper than forecast increase in interest rates.

Transfer of Loans between Debt Pools

52. The Council's policy on transferring loans between the HRA and GF debt pools is as follows:

- In the case of the HRA/General Fund having a requirement to fund its Capital Financing Requirement (CFR), then one debt pool may be used to subsidise another to reduce either the GF or the HRA external borrowing requirement.
- If this happens, then loans will be transferred between the pools without the need to recognise an internal premium or discount.
- Similarly, if the HRA and General Fund wish to swap loans as a result of strategic decisions, this loan swap would also be undertaken at no internal premium or discount.

53. Where the HRA or General Fund has surplus cash balances which allow either account to fund internal, the rate charged on this internal borrowing will be based on the average external rate of interest on the applicable pool at the end of the financial year. This is a reasonable approach providing certainty of charging, protection against short term increases in market rates and reflects the fact that strategic borrowing decisions will generally be made on an annual basis.

Policy on Borrowing in Advance of Need

54. The Council will not borrow more than, or in advance of its needs, purely in order to profit from the investment of the extra sums borrowed. Any decision to borrow in advance will be within forward approved Capital Financing Requirement estimates, and will be considered carefully to ensure that value for money can be demonstrated and that the Council can ensure the security of such funds. At present Doncaster Council do not borrow in advance.

55. Borrowing in advance will be made within the constraints that:

- It will be limited to no more than 100% of the expected increase in borrowing need (Capital Financing Requirement) over a three year planning period; and
- Would not look to borrow more than 36 months in advance of need.

56. Risks associated with any borrowing in advance activity will be subject to prior appraisal and subsequent reporting through the mid-year or annual reporting mechanism.

Debt Rescheduling

57. As short term borrowing rates will be considerably cheaper than longer term fixed interest rates, there may be potential opportunities to generate savings by switching from long term debt to short term debt. However, these savings will need to be considered in the light of the current treasury position and the size of the cost of debt repayment (premiums incurred).
58. Consideration will also be given to identify if there is any residual potential for making savings by running down investment balances to repay debt prematurely as short term rates on investments are likely to be lower than rates paid on current debt.
59. All rescheduling activity will be reported in the next Finance & Performance Improvement Report to Cabinet.

Annual Investment Strategy

Investment Policy

60. The Council's investment policy has regard to the CLG's Guidance on Local Government Investments ("the Guidance") and the CIPFA Treasury Management in Public Services Code of Practice and Cross Sectoral Guidance Notes 2017 ("the CIPFA TM Code"). The Council's investment priorities will be security first, portfolio liquidity second, then return.
61. In order to minimise the risk to investments, the Council has stipulated the minimum acceptable credit quality of financial institutions for inclusion on its lending list. The methodology used to create the lending list takes account of the ratings and watches published by all three ratings agencies, Fitch, Moody's and Standard & Poors, with a full understanding of what the ratings reflect in the eyes of each agency. Using the Link Asset Services Treasury Solutions, ratings service, banks' ratings are monitored on a real time basis with knowledge of any changes notified electronically as the agencies notify modifications.
62. The aim of the policy is to generate a list of highly creditworthy financial institutions/products which will also enable diversification and thus avoidance of concentration risk.
63. The primary intention of the policy is to provide security of investment and minimisation of risk.
64. Where the HRA or GF has surplus cash balances invested the interest shall be credited based on the relative proportions of the balances. Where an investment is impaired the charge shall also be shared based on the relative proportions of the balances.

65. Long Term Credit Rating Equivalents and Definitions:-

Fitch	Moody's	Standard and Poor's
<p>AAA Highest credit quality. "AAA" ratings denote the lowest expectation of credit risk. They are assigned only in the case of exceptionally strong capacity for payment of financial commitments. This capacity is highly unlikely to be adversely affected by foreseeable events.</p>	<p>Aaa Obligations rated Aaa are judged to be of the highest quality, with minimal credit risk.</p>	<p>AAA An obligator rated "AAA" has extremely strong capacity to meet its financial commitments. "AAA" is the highest issuer credit rating assigned by S&P.</p>
<p>AA (+/-) Very high credit quality. "AA" ratings denote expectations of very low credit risk. They indicate very strong capacity for payment of financial commitments. This capacity is not significantly vulnerable to foreseeable events.</p>	<p>Aa (1/2/3) Obligations rated Aa are judged to be of high quality and are subject to very low credit risk.</p>	<p>AA (+/-) An obligator rated "AA" has very strong capacity to meet its financial commitments. It differs from the highest rated obligators only by a small degree.</p>
<p>A (+/-) High credit quality. "A" ratings denote expectations of low credit risk. The capacity for payment of financial commitments is considered strong. This capacity may, nevertheless, be more vulnerable to changes in circumstances or in economic conditions than is the case for higher ratings.</p>	<p>A (1/2/3) Obligations rated A are considered upper-medium grade and are subject to low credit risk.</p>	<p>A (+/-) An obligator rated "A" has strong capacity to meet its financial commitments but is more susceptible to the adverse effects of changes in circumstances and economic conditions than obligators in higher rated categories.</p>
<p>There are 1 investment grade and 18 sub investment grade ratings below this level but this Council will not deal in financial instruments rated below the above levels, or equivalent.</p>		

66. Further, the Council's officers recognise that ratings should not be the sole determinant of the quality of an institution and that it is important to continually assess and monitor the financial markets in which institutions operate. The assessment will also take account of information that reflects the opinion of the markets.

67. Other information sources used will include the financial press, share price and other such information pertaining to the banking sector in order to establish the most robust scrutiny process on the suitability of potential investments.

68. Investment instruments identified for use within the financial year are listed in **Appendix E** under the "Specified" and "Non-specified" investment categories.

69. The CLG Guidance defines Specified Investments as those:

- Denominated in sterling
- Due to be repaid within 12 months of the arrangement
- Not defined as Capital Expenditure by legislation and invested with one of:-
 - I. The UK Government
 - II. A UK local authority, parish council, or community council, or
 - III. A body or investment scheme of “high credit quality”

70. Non-Specified Investments are any that do not meet the above criteria.

Credit Risk Policy

71. The Council has determined that it will only use approved counterparties from countries with a minimum sovereign credit rating equal to the UK’s sovereign rating (minimum rating as confirmed by at least two agencies). The list of countries that qualify using this credit criteria as at the date of this report are shown in **Appendix E**. This list will be amended by officers should ratings change in accordance with this policy. Any changes will be approved by the Councils Section 151 Officer, or Deputy. Not all counterparties will be active in the market at all times, therefore it is important to have a good spread of available organisations.

72. The Council applies the credit risk assessment service provided by Link Asset Services Treasury Solutions.

73. This service employs a sophisticated modelling approach utilising credit ratings from the three main credit rating agencies. The credit ratings of financial institutions are supplemented with the following overlays:

- credit watches and credit outlooks from credit rating agencies;
- Credit Default Swaps (CDS) spreads to give early warning of likely changes in credit ratings;
- Sovereign ratings to select financial institutions from only the most creditworthy countries.

74. The model is a series of bands which indicate the relative creditworthiness of financial institutions. This is used by the Council to determine the duration of investments. The model will also be used to select institutions with a high level of creditworthiness, based on the following bands. The Council will therefore use financial institutions within the following durational bands.

Y	Pi1	Pi2	P	B	O	R	G	N/C
1	1.25	1.5	2	3	4	5	6	7
Up to 5yrs	Up to 5yrs	Up to 5yrs	Up to 2yrs	Up to 1yr	Up to 1yr	Up to 6mths	Up to 100days	No Colour

Colour	Maximum Term
Yellow	5 Years
Dark pink	5 years for Enhanced money market funds (EMMFs) with a credit score of 1.25
Light Pink	5 years for Enhanced money market funds (EMMFs) with a credit score of 1.5
Purple	2 Years
Blue	1 year (applies to nationalised or semi nationalised UK Banks)
Orange	1 Year
Red	6 Months
Green	100 Days
No Colour	Not to be used

	Colour (and long term rating where applicable)	Money and/or % Limit	Time Limit
Banks	Yellow	£50m	5 years
Banks	Purple	£40m	2 years
Banks	Orange	£30m	1 year
Banks – part nationalised	Blue	£30m	1 year
Banks	Red	£20m	6 months
Banks	Green	£10m	100 days
Banks	No colour	Not to be used	
Council's banker (Lloyds Bank)	One colour band upgrade	As per relevant band	As per relevant band
Other institutions limit	-	£20m	1 year
DMADF	UK sovereign rating	unlimited	6 months
Local authorities	n/a	£30m	5 years
	Fund rating	Money and/or % Limit	Time Limit
Money market funds	AAAmmf	£20m	liquid
Enhanced money market funds with a credit score of 1.25	Dark pink / AAA	£10m	liquid
Enhanced money market funds with a credit score of 1.5	Light pink / AAA	£10m	liquid

75. This methodology is even more cautious than the approach suggested by CIPFA of using the lowest rating from all three rating agencies to determine creditworthy financial institutions. The Link Asset Services Treasury Solutions, creditworthiness service uses a wider array of information in addition to the primary ratings and by using a risk weighted scoring system, does not give undue weighting to one agency's ratings.
76. Typically the minimum credit ratings criteria the Council use will be a short term rating (Fitch or equivalents) of F1 and a long term rating of A-. There may be occasions when another rating agency's counterparty ratings may be used that are marginally lower than Fitch's counterparty ratings, but in such instances consideration will be given to the whole range of ratings available, or other topical market information, to support their use.
77. All credit ratings are monitored daily and changes to ratings are notified to us by Link Asset Services Treasury Solutions, creditworthiness service.
78. If a downgrade results in the financial institution/ investment scheme no longer meeting the Council's minimum criteria, its further use as a new investment will be withdrawn immediately.
79. In addition to the use of credit ratings the Council will be advised of information in movements in Credit Default Swap against the iTraxx benchmark and other market data on a weekly basis. Extreme market movements may result in downgrade of an institution or removal from the Council's lending list.

Investment Strategy

80. Investments will be made with reference to the core balance and cash flow requirements and the outlook for short-term interest rates (i.e. rates for investments up to 12 months).
81. Bank Rate is forecast to stay flat at 0.50% before starting to rise from quarter 2 of 2018 and are unlikely to rise above 1.50% by quarter 1 2021. Bank Rate forecasts for financial year ends (March) are:

Financial Year	Rate
2017/18	0.50%
2018/19	1.00%
2019/20	1.25%
2020/21	1.50%
Estimates after 2020/21 are not available	

82. Policy rates are not expected to tighten for some considerable time, so some of the longer dated deals on offer continue to present some potential advantage.
83. The suggested budgeted investment earnings rates for returns on investments placed for periods of up to 6 months during each financial year for the next 3 years are as above. These rates, plus a small margin to stretch performance, have been used to estimate investment interest, over the strategy term.
84. The Council will use the 7 day London Interbank Bid Rate (LIBID) as its investment benchmark. We will also continue to use the investment benchmarking service offered by Link Asset Services Treasury Solutions, to compare our performance against our peers.
85. There is an unlikely risk that rates will increase later than forecast if inflation prospects start to reduce. However, should the pace of inflation pick up more sharply than expected the rates could increase earlier, particularly if Bank of England inflation forecasts for two years ahead exceed the Bank of England's 2% target rate. The Bank of England's forward guidance should be a good indicator of where interest rates are going.
86. To bring balance to the portfolio funds generated through the Council's cash flow will be invested on the following basis:-

Liquid Funds (approx. £20m)

This part of the portfolio should be managed at around £20m. This allows for the payment of payroll on dates within the year when grants are delayed due to the 15th being on a weekend (April 2018, July 2018, September 2018 and December 2018).

For example this part of the portfolio should be invested in:-

- bank deposits (main accounts, call accounts, notice accounts); and
- potentially Money Market Funds (subject to due diligence and selection process).

Other Specified Investments (approx. £40m)

Once the liquid funds are in place the Council should continue to invest in other slightly less liquid, but still secure assets, up to a maximum of 365 days. Examples of these assets are:-

- UK Government Treasury Bills, which will have a maturity date of less than 6 months (the maximum term).
- High quality Certificates of Deposit (rank equally with bank deposits re bail in) which provides access to a wider range of higher rated banks.
- High quality bonds issued by banks, with a maturity date of less than 12 months.
- Other Corporate Bonds that meet its minimum investment criteria, with a maturity of less than 12 months.
- Collateralised Deposits (repurchase/Reverse Repurchase) arrangements utilising its existing custodial arrangements with King & Shaxson brokers. This is a method of secured deposit with a bank.

Repo/Reverse Repo is accepted as a form of securitised lending and should be based on the GMRA 2000 (Global Master Repo Agreement). Should the counterparty not meet our senior unsecured rating then a 102% collateralisation would be required. The acceptable collateral is as follows:

Index linked Gilts
Conventional Gilts
UK Treasury bills
Corporate bonds

Non-Specified Investments (Maximum £20m)

Any core funds that are identified as being available longer term, e.g.reserves should continue to be invested in suitable longer term assets, examples of which are:-

- fixed deposits with banks.
- High quality Certificates of Deposit with a maturity date in excess of 12 months.
- High quality bonds issued by banks, with a maturity date in excess of 12 months.
- Other Corporate Bonds that meet its minimum investment criteria, with a maturity in excess of 12 months.

87. A full list of Specified and Non-Specified investments is in **Appendix E**.

88. Any new Non-Specified investment will require authorisation by the Councils Section 151 Officer, or Deputy. Details of minimum criteria and any additional due diligence required can also be seen in **Appendix E**.

89. Investment treasury indicator and limit - total principal funds invested for greater than 365 days. These limits are set with regard to the Council's liquidity requirements and to reduce the need for early sale of an investment, and are based on the availability of funds after each year-end.

Maximum principal sums invested > 365 days			
£m	2018/19	2019/20	2020/21
Principal sums invested > 365 days	£20m	£20m	£20m

End of year investment report

90. At the end of the financial year, the Council will report on its investment activity as part of its Annual Treasury Report.

Policy on the use of external service providers

91. Following a competitive tender process the Council has re-awarded its Treasury Consultancy contract to Link Asset Services Treasury Solutions, as its external treasury management advisors until December 2021.

92. The Council recognises that responsibility for treasury management decisions remains with the organisation at all times and will ensure that undue reliance is not placed upon our external service providers.

93. It also recognises that there is value in employing external providers of treasury management services in order to acquire access to specialist skills and resources. The Council will ensure that the terms of their appointment and the methods by which their value will be assessed are properly agreed and documented, and subjected to regular review.

94. The CIPFA Code requires the responsible officer to ensure that members with responsibility for treasury management receive adequate training in treasury management. Training has been undertaken by members and further training will be arranged as required. The training needs of treasury management officers are periodically reviewed.

OPTIONS CONSIDERED

95. Other options that have been considered and members need to be aware of, when compiling this report, that would affect the investments and borrowing decisions are as follows:-

Options	Likely impact on Income and Expenditure	Likely impact on risk management
Invest in a narrower range of institutions and shorter terms	Interest income will be lower	Reduced risk of losses from credit related defaults, but any single loss could be magnified.
Borrow additional sums at long term fixed interest rates	Debt interest costs will rise, this is unlikely to be offset by higher investment income	Reduced interest rate risk. But higher investment balance could lead to a higher impact in the event of a default.
Reduce level of borrowing	Saving on debt interest is likely to exceed lost investment income. Premium to be paid if debt paid down (avoided if the reduction is done by not replacing maturing debt).	Reduced investment balance leading to a lower impact in the event of default, however long term interest costs become less certain.
Increase level of borrowing	Additional cost of debt interest is likely to exceed additional investment income received.	Under-borrowing uses a combination of reserves and working capital. Any adverse changes to either could lead to cash not being available to fund expenditure. Leading to increased levels of borrowing.

Options	Likely impact on Income and Expenditure	Likely impact on risk management
Borrow sufficient funds for under-borrowed position	Additional interest costs of up to £1.1m per annum. It should be noted that a proportion of the under-borrowed position has been used to prepay the pension contribution.	Reduced interest rate risk, but significantly higher costs. In addition the higher investment balance could lead to a higher impact in the event of a default.

Prudential Code Update/MHCLG revised guidance

96. In December 2017, CIPFA issued revised Prudential and Treasury Management Codes. All local authorities will be required to prepare an additional report (Capital Strategy report) from 2019/20 onwards, which is intended to provide the following: -

- a high-level overview of how capital expenditure, capital financing and treasury management activity contribute to the provision of services.
- an overview of how the associated risk is managed.
- the implications for future financial sustainability.

The aim of this report is to ensure that all elected members fully understand the overall strategy, governance procedures and risk appetite entailed by this Strategy.

97. In February 2018 the MHCLG issued revised investment guidance to include non-treasury investments within the Treasury Management framework. The changes to the codes and guidance will increase the responsibilities of the Section 151 Officer, especially in respect of non-financial (non-treasury management) investments. The Financial Procedure Rules will be updated during 2018/19 in preparation for 2019/20.

REASONS FOR RECOMMENDED OPTION

98. The strategy provides a good balance between our existing, predominantly long maturity profile, to produce additional savings to support front line budgets and service provision. Remaining under-borrowed also reduces the risk of losses from failed investments.

IMPACT ON THE COUNCIL'S KEY OUTCOMES

Outcomes	Implications
<p>Doncaster Working: Our vision is for more people to be able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future;</p> <ul style="list-style-type: none"> • Better access to good fulfilling work • Doncaster businesses are supported to flourish • Inward Investment 	<p>Treasury Management impacts on all the outcomes; it makes sure that the Council's cash is organised in accordance with the relevant professional codes, so that sufficient cash is available to meet the Council's service activity in line with the Capital Programme.</p>
<p>Doncaster Living: Our vision is for Doncaster's people to live in a borough that is vibrant and full of opportunity, where people enjoy spending</p>	<p>The Council is required to operate a balanced budget, which broadly</p>

<p>time;</p> <ul style="list-style-type: none"> • The town centres are the beating heart of Doncaster • More people can live in a good quality, affordable home • Healthy and Vibrant Communities through Physical Activity and Sport • Everyone takes responsibility for keeping Doncaster Clean • Building on our cultural, artistic and sporting heritage 	<p>means that cash raised during the year will meet cash expenditure. Part of the treasury management operation is to ensure that this cash flow is adequately planned, with cash being available when it is needed. Surplus monies are invested in low risk financial institutions or instruments in line with the Council's low risk appetite, providing adequate liquidity before considering investment return.</p>
<p>Doncaster Learning: Our vision is for learning that prepares all children, young people and adults for a life that is fulfilling;</p> <ul style="list-style-type: none"> • Every child has life-changing learning experiences within and beyond school • Many more great teachers work in Doncaster Schools that are good or better • Learning in Doncaster prepares young people for the world of work 	
<p>Doncaster Caring: Our vision is for a borough that cares together for its most vulnerable residents;</p> <ul style="list-style-type: none"> • Children have the best start in life • Vulnerable families and individuals have support from someone they trust • Older people can live well and independently in their own homes 	
<p>Connected Council:</p> <ul style="list-style-type: none"> • A modern, efficient and flexible workforce • Modern, accessible customer interactions • Operating within our resources and delivering value for money • A co-ordinated, whole person, whole life focus on the needs and aspirations of residents • Building community resilience and self-reliance by connecting community assets and strengths • Working with our partners and residents to provide effective leadership and governance 	

RISKS AND ASSUMPTIONS

Unable to borrow when funding required due to adverse market conditions and/or budgetary constraints

Current Risk	Mitigating Actions -	Target Risk
<p>12</p>	<p>Maintain sufficient easily accessible resources. Further mitigating actions would be scaling back or re-profiling capital expenditure plans if necessary.</p>	<p>2</p>

An institution with Council Investment becomes insolvent.

Current Risk	Mitigating Actions -	Target Risk
<p>12</p>	<p>Continually monitor credit ratings of approved institutions and spread investment over a number of financial institutions. Actions in paragraphs 78 – 86 to further mitigate the risks.</p>	<p>3</p>

Lower than forecast returns on investment portfolio.

Current Risk	Mitigating Actions -	Target Risk
<p>6</p>	<p>Monitor Economic forecasts and consult with the Council's Treasury Advisor and adjust strategy as appropriate.</p>	<p>6</p>

Increased use of reserves and provisions reduces the funds currently financing the under-borrowing.

Current Risk	Mitigating Actions:	Target Risk
<p>6</p>	<p>Monitor use of reserves, cash flow forecast and interest rates to manage the borrowing to minimise any impact on the forecast savings</p>	<p>2</p>

Interest Rates higher than forecast for new borrowing

Current Risk	Mitigating Actions -	Target Risk
<p>6</p>	<p>Monitor Economic forecasts and consult with the Council's Treasury Advisor and adjust strategy as appropriate.</p>	<p>6</p>

A financial institution does not repay an investment at maturity date due to an administration error (not insolvency)

Current Risk	Mitigating Actions -	Target Risk
<p>4</p>	<p>Record all deals undertaken to eliminate administration errors. Ensure adequate borrowing facilities exist to cover temporary cash flow shortfall</p>	<p>1</p>

LEGAL IMPLICATIONS [Officer Initials SF Date 17/01/18]

99. The Council's Treasury Management activities are regulated by a variety of professional codes, statutes and guidance:-
- Chapter 1 Part 1 of the Local Government Act 2003 (the Act) provides the powers to borrow as well as providing controls and limits on such capital finance and accounts;
 - the Local Authorities (Capital Finance and Accounting) (England) Regulations 2003, as amended, develops the controls and powers within the Act;
 - the Regulations require local authorities to have regard to the code of practice entitled the Prudential Code for Capital Finance in Local Authorities published by CIPFA when determining their affordable borrowing limit;
 - the Regulations also require local authorities to operate its overall treasury function having regard to the code of practice contained in the document entitled Treasury Management in the Public Services: Code of Practice and Cross-Sectoral Guidance Notes published by CIPFA;
 - the Regulations require local authorities, for each financial year, to make a minimum revenue provision, which they consider to be prudent, in respect of the financing of capital expenditure incurred in that and previous years.

The Treasury Management function is included in the Chief Financial Officer's duties under Section 151 of the Local Government Act 1972 to administer the Council's financial affairs.

FINANCIAL IMPLICATIONS [Officer Initials AT Date 25/01/2018]

100. The treasury management budget required for 2018/19 has been reviewed and analysed over the following headings:

	General Fund £'m	HRA £'m
<u>Costs</u>		
Existing External Borrowing	6.984	10.012
Replacement External Borrowing	0.288	0.822
Pension Prepayment	0.364	0.000
New External Borrowing	0.380	0.008
Total Borrowing Costs	8.016	10.842
2017/18 approved schemes Interest & MRP*	1.579	0.000
Premiums	0.091	0.000
Treasury Management Expenditure	0.033	0.000
Total Costs	9.719	10.842
<u>Income</u>		
HRA recharge	-1.014	1.014
Investment Interest	-0.376	0.000
Net Costs	8.329	11.856

*Any new schemes approved through the IMF process will require additional budget to cover any interest and MRP costs. This budget will be allocated during the budget setting process.

HUMAN RESOURCES IMPLICATIONS [Officer Initials KM Date 19/01/2018]

101. No staffing implications.

TECHNOLOGY IMPLICATIONS [Officer Initials ET Date 22/01/2018]

102. None.

HEALTH IMPLICATIONS [Officer Initials RS Date 25/01/2018]

103. Treasury management is unlikely to have direct health impacts. However both the borrowing and the investment strategies should take account of any indirect or unintended health impacts. These may arise from investing or borrowing in or from ventures that themselves have health impacts or are linked with other organisations that impact health. The most obvious case is that the council should protect its tobacco control work from the commercial and vested interests of the tobacco industry by not accepting any partnerships, payments, gifts and services, monetary or in kind or research funding offered by the tobacco industry.

EQUALITY IMPLICATIONS [Officer Initials CY Date 18/01/18]

104. The Council must consider and have due regard to the three aims of the general equality duty, when developing and implementing the Treasury Management Strategy. By ensuring that the Treasury Management function is effective we can ensure that the right resources are available at the right time to enable the delivery of services. The equality implications for the revenue and capital budgets are detailed in the respective reports within the agenda papers.

CONSULTATION

105. The Council obtains advice from specialist organisations in respect of its treasury management activities. The impact of this is then assessed for its effect on the Council and appropriate action taken as necessary. Consultation has taken place with key financial managers and Executive Board.

106. This report has significant implications in terms of the following:

Procurement		Crime & Disorder	
Human Resources		Human Rights & Equalities	
Buildings, Land and Occupiers		Environment & Sustainability	
ICT		Capital Programme	X

BACKGROUND PAPERS

Link Asset Services Treasury Solutions TMSS template (Revised 2017).
 C.I.P.F.A. Treasury Management in the Public Services (Revised 2017).
 The Prudential Code for Capital Finance in Local Authorities (Revised 2017).
 Localism Act 2011.
 Department for Communities and Local Government – Guidance on Local Government Investments, April 2010.
 The Local Authorities (Capital Finance and Accounting) (England) (Amendment) Regulations 2010 [SI 2010/454].
 Audit Commission Risk and Return – English Local Authorities and the Icelandic Banks, March 2009.
 The Local Authorities (Capital Finance and Accounting) (England) (Amendment) Regulations 2008 [SI 2008/414].
 The Local Authorities (Capital Finance and Accounting) (England) Regulations 2003 [SI 2003/3146, as amended]
 Local Government Investments – Guidance under Section 15 (1) of the Local Government Act 2003.

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Chief Financial Officer & Assistant Director of Finance

THE CAPITAL PRUDENTIAL AND TREASURY INDICATORS 2018/19 – 2020/21

1. The Council's capital expenditure plans are the key driver of treasury management activity. The output of the capital expenditure plans is reflected in the prudential indicators, which are designed to assist members' overview and confirm capital expenditure plans.

Capital Expenditure	2016/17	2017/18	2018/19	2019/20	2020/21	2021/22
£m	Actuals	Estimates				
Adults, Health & Wellbeing	3,893	4,517	5,887	4,581	4,330	3,330
Finance & Corporate	1,693	3,549	22,120	14,272	13,838	13,641
Learning & Opportunity – Children	6,625	9,630	11,261	12,322	6,497	6,130
Regeneration & Environment	40,368	64,298	52,468	37,813	11,181	5,818
NON-HRA	52,579	81,994	91,736	68,988	35,846	28,919
HRA	35,373	33,222	37,226	29,072	28,216	27,198
TOTAL	87,952	115,216	128,962	98,060	64,062	56,117

2. Affordability prudential indicators

The previous sections cover the overall capital and control of borrowing prudential indicators, but within this framework prudential indicators are required to assess the affordability of the capital investment plans. These provide an indication of the impact of the capital investment plans on the Council's overall finances.

3. Ratio of financing costs to net revenue stream

This indicator identifies the trend in the cost of capital (borrowing and other long term obligation costs net of investment income) against the net revenue stream.

%	2016/17 Actual	2017/18 Estimate	2018/19 Estimate	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate
Non-HRA	6.48%	6.80%	7.53%	7.81%	7.28%	6.99%
HRA	15.68%	15.89%	16.22%	16.52%	16.18%	15.66%

The estimates of financing costs include current commitments and the proposals in this budget report.

HRA ratios

£	2016/17 Actual	2017/18 Estimate	2018/19 Estimate	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate
HRA debt cap £k	269,904	269,904	269,904	269,904	269,904	269,904
HRA debt £k	242,243	243,267	244,267	244,267	244,267	244,267
HRA revenues £k	75,154	74,252	73,089	72,223	73,498	74,994
Ratio of debt to revenues %	31.02%	30.52%	29.92%	29.57%	30.09%	30.70%

£	2016/17 Actual	2017/18 Estimate	2018/19 Estimate	2019/20 Estimate	2020/21 Estimate	2021/22 Estimate
HRA debt £k	242,243	243,267	244,267	244,267	244,267	244,267
Number of HRA dwellings	20,338	20,238	20,133	19,958	19,783	19,608
Debt per dwelling £	11,911	12,020	12,133	12,239	12,347	12,458

4. Treasury indicators for debt

There are three debt related treasury activity limits. The purpose of these are to restrain the activity of the treasury function within certain limits, thereby managing risk and reducing the impact of any adverse movement in interest rates. However, if these are set to be too restrictive they will impair the opportunities to reduce costs / improve performance. The indicators are:

- Upper limits on variable interest rate exposure. This identifies a maximum limit for variable interest rates based upon the debt position net of investments;
- Upper limits on fixed interest rate exposure. This is similar to the previous indicator and covers a maximum limit on fixed interest rates;
- Maturity structure of borrowing. These gross limits are set to reduce the Council's exposure to large fixed rate sums falling due for refinancing, and are required for upper and lower limits.

£m	2017/18	2018/19	2019/20	2020/21	2021/22
Interest rate exposures					
	Upper	Upper	Upper	Upper	Upper
Limits on fixed interest rates based on net debt	100%	100%	100%	100%	100%
Limits on variable interest rates based on net debt	30%	30%	30%	30%	30%
Maturity structure of fixed interest rate borrowing 2018/19					
	Lower	Upper	Actuals £k	Actuals %	
Under 12 months	0%	30%	13,500	2.56%	
12 months to 2 years	0%	50%	75,687	14.33%	
2 years to 5 years	0%	50%	68,367	12.94%	
5 years to 10 years	0%	75%	35,101	6.65%	
10 years and above	10%	95%	335,562	63.53%	
Total			528,217	100%	
Maturity structure of variable interest rate borrowing 2018/19					
	Lower	Upper	Actuals £k	Actuals %	
Under 12 months	0%	30%	0	0	
12 months to 2 years	0%	30%	0	0	
2 years to 5 years	0%	30%	0	0	
5 years to 10 years	0%	30%	0	0	
10 years and above	0%	30%	0	0	
Total			0	0%	

Minimum Revenue Position (MRP) Policy Statement

The Council has an annual duty to charge an amount of MRP to the General Fund Revenue Account which it considers to be a prudent provision. The MRP charge is the means by which capital expenditure which has been funded by borrowing is paid for by council tax payers. The guidance on MRP allows different options for the calculation of MRP as below:

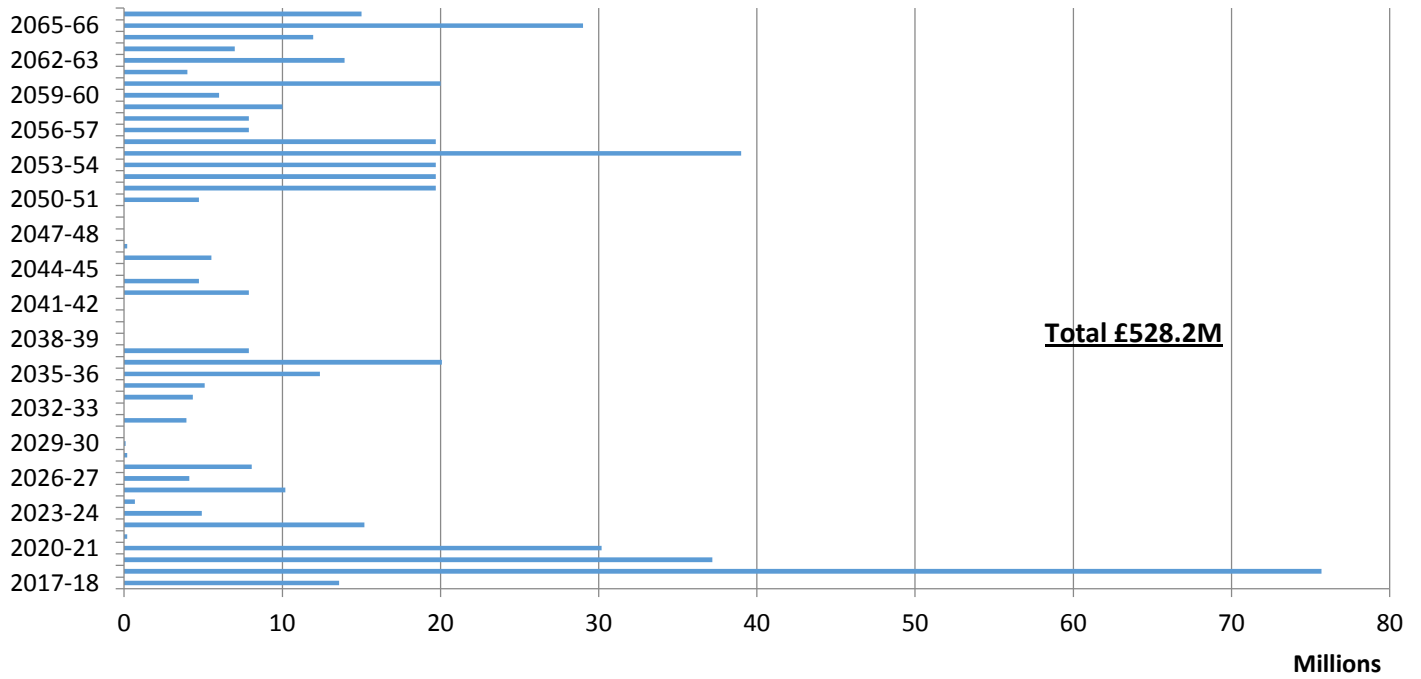
- 1) Regulatory method
- 2) CFR method
- 3) Asset Life method, using either
 - a) Equal instalment method
 - b) Annuity method
- 4) Depreciation method

Doncaster Council 2018/19 MRP Policy

The Council adopts the most appropriate method of calculating and charging MRP for the specific asset. Methods used include either:

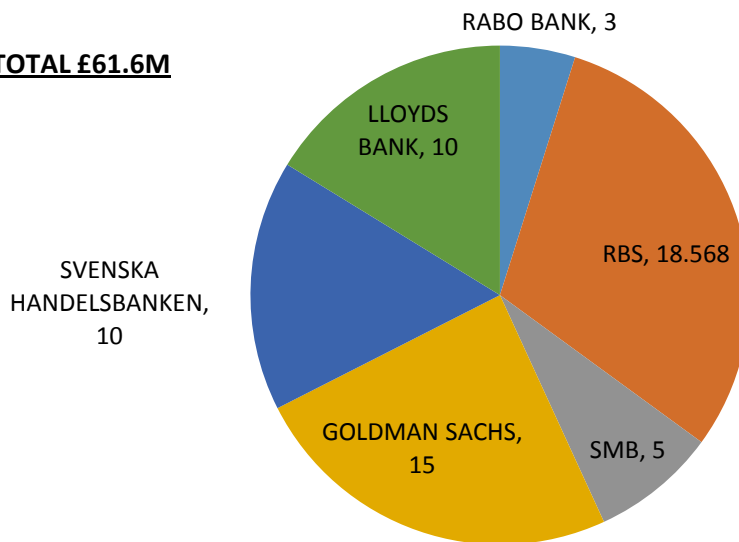
- **Asset Life method – Equal instalment method** (option 3a); or
- **Asset Life method - Annuity method** (option 3b); or
- **Depreciation method** (option 4).

DONCASTER MBC FORECAST BORROWING PORTFOLIO AS AT 31/03/18



INVESTMENTS HELD AS AT 31 DECEMBER 2017 (£M'S)

TOTAL £61.6M



Interest Rate Forecasts

Bank Rate	Now	Mar 2018	Mar 2019	Mar 2020	Mar 2021
Actual FEB 18	0.50%	-	-	-	-
Link Asset Services view.	0.50%	0.50%	1.00%	1.25%	1.50%
Capital Economics(CE)	0.50%	0.50%	1.25%	2.00%	2.25%
5Yr PWLB Rate	PWLB rates and forecasts below take into account the 0.20% Certainty rate deduction effective as of 01/11/12.				
Actual FEB 18	1.91%	-	-	-	-
Link Asset Services view.	1.90%	1.90%	2.20%	2.40%	2.60%
CE	1.91%	1.70%	2.40%	2.40%	2.90%
10Yr PWLB Rate	PWLB rates and forecasts below take into account the 0.20% Certainty rate deduction effective as of 01/11/12.				
Actual FEB 18	2.41%	-	-	-	-
Link Asset Services view.	2.41%	2.50%	2.70%	3.00%	3.20%
CE	2.41%	2.20%	2.80%	2.80%	3.30%
25Yr PWLB Rate	PWLB rates and forecasts below take into account the 0.20% Certainty rate deduction effective as of 01/11/12.				
Actual FEB 18	2.82%	-	-	-	-
Link Asset Services.	2.82%	2.80%	3.20%	3.40%	3.60%
CE	2.82%	2.60%	3.30%	3.35%	3.80%
50Yr PWLB Rate	PWLB rates and forecasts below take into account the 0.20% Certainty rate deduction effective as of 01/11/12.				
Actual FEB 18	2.52%	-	-	-	-
Link Asset Services.	2.52%	2.60%	3.00%	3.20%	3.40%
CE	2.52%	2.50%	2.90%	3.15%	3.65%

TREASURY MANAGEMENT PRACTICE (TMP1) – CREDIT AND COUNTERPARTY RISK MANAGEMENT OPTION 1

SPECIFIED INVESTMENTS: All such investments will be sterling denominated, with maturities up to maximum of 1 year, meeting the minimum ‘high’ quality criteria where applicable.

NON-SPECIFIED INVESTMENTS: These are any investments which do not meet the specified investment criteria. A maximum of 30% of the investment pool will be held in aggregate in non-specified investment

A variety of investment instruments will be used, subject to the credit quality of the institution, and depending on the type of investment made it will fall into one of the above categories.

The criteria, time limits and monetary limits applying to institutions or investment vehicles are:

SPECIFIED INVESTMENTS:

(All such investments will be sterling denominated, with maturities up to maximum of 1 year, meeting the minimum ‘high’ rating criteria where applicable)

	Minimum ‘High’ Credit Criteria	Maximum % of total investments or maximum Amount per institution	Max. maturity period
Term deposits and other instruments with local authorities	N/a	£30m (maximum of £5m per authority)	12 months
Term deposits with banks and building societies	Yellow Purple Orange Red Green No Colour	£50m £40m £30m £20m £10m nil	12 months 12 months 12 months 6 months 100 days Not for use
Term Deposits with UK part nationalised banks	Blue	£30m	12 months
Certificates of Deposit or corporate bonds with banks and building societies	Yellow Purple Orange Blue Red Green No Colour	£50m £40m £30m £30m £20m £10m nil	12 months 12 months 12 months 12 months 6 months 100 days Not for use
Bonds issued by multilateral development banks	UK sovereign rating	100%	12 months
UK Government Gilts	UK sovereign rating	100%	12 months
UK Government Treasury Bills	UK sovereign rating	100%	6 months

Debt Management Agency Deposit Facility	--	100%	6 months
Collateralised deposit (Reverse Repurchase) (see note 2)	UK sovereign rating	100%	12 months
Bond issued by a financial institution which is explicitly guaranteed by the UK Government e.g. National Rail	UK sovereign rating	100%	12 months
Sovereign bond issues (other than the UK govt)	UK sovereign	100%	12 months
Collective Investment Schemes structured as Open Ended Investment Companies (OEICs): -			
Government Liquidity Funds	AAA MMF rating	100%	Liquid
Money Market Funds	AAA MMF rating	100%	Liquid
Enhanced Cash Funds with a credit score of 1.25	Fitch rating Short term F1, long Term A- or equivalent	100%	Liquid
Enhanced Cash Funds with a credit score of 1.5	Fitch rating Short term F1, long Term A- or equivalent	100%	Liquid

Note 1. If forward deposits are to be made, the forward period plus the deal period should not exceed one year in aggregate.

N.B. buy and hold may also include sale at a financial year end and repurchase the following day in order to accommodate the requirements of the Accounting Code of Practice.

Note 2. As collateralised deposits are backed by collateral such as UK Gilts, corporate bonds, etc. this investment instrument is regarded as being a AA rated investment as it is equivalent to lending to the UK Government.

Accounting treatment of investments. The accounting treatment may differ from the underlying cash transactions arising from investment decisions made by this Council. To ensure that the Council is protected from any adverse revenue impact, which may arise from these differences, we will review the accounting implications of new transactions before they are undertaken.

NON-SPECIFIED INVESTMENTS: A maximum of 30% will be held in aggregate in non-specified investment

Maturities in excess of 1 year

	Minimum 'High' Credit Criteria	Maximum % of total investments or maximum Amount per institution	Max. maturity period
Term deposits and other instruments with local authorities	N/a	£30m (maximum of £5m per authority)	5 years
Term deposits with banks and building societies	Yellow Purple Orange Red Green	£50m £40m £30m £20m £10m	5 years 4 years 3 years 2 years 1 year
Term Deposits with UK part nationalised banks	Blue	£30m	5 years
Certificates of Deposit or corporate bonds with banks and building societies	Yellow Purple Orange Blue Red Green	£50m £40m £30m £30m £20m £10m	5 years 4 years 3 years 5 years 2 years 1 year
Bonds issued by multilateral development banks	UK sovereign rating	£5m	5 years
UK Government Gilts	UK sovereign rating	100%	50 years
Collateralised deposit (Reverse Repurchase)	UK sovereign rating	100%	5 years
Bond issuance issued by a financial institution which is explicitly guaranteed by the UK Government e.g. National Rail	UK sovereign rating	£5m	10 years
Sovereign bond issues (other than the UK govt)	UK sovereign	£5m	5 years
Bond Funds	Fitch rating Short term F1, long Term A- or equivalent	£5m	10 years
Gilt Funds	Fitch rating Short term F1, long Term A- or equivalent	£5m	10 years
Municipal Bonds	UK sovereign rating	£5m	5 years
Floating Rate Notes	Fitch rating Short term F1, long Term A- or equivalent	£5m	5 years
Covered Bonds	Fitch rating Short term F1, long Term A- or	£5m per bond	10 years

	Minimum 'High' Credit Criteria	Maximum % of total investments or maximum Amount per institution	Max. maturity period
	equivalent		
Unrated Bonds	**Non-rated internal due diligence	£5m per bond	10 years
Loans to Third Parties	**Non-rated internal due diligence	£5m	50 years
Churches, Charities and Local Authorities (CCLA) Property Fund	**Non-rated internal due diligence	£5m	10 years
Property Funds*	**Non-rated internal due diligence	£5m	10 years

*The use of these instruments can be deemed capital expenditure, and as such will be an application (spending) of capital resources. This Authority will seek guidance on the status of any fund it may consider using. Appropriate due diligence will also be undertaken before investment of this type is undertaken.

**Due Diligence will include the following, if available, however the list isn't intended to be exhaustive:-

- Capitalisation of the organisation,
- Revenue profits and margin trends,
- Competitors and industry,
- Valuation multiples e.g. price/earnings ratio,
- Management and share ownership and track records,
- Balance sheet analysis,
- Examination of future plans and expectations,
- Stock options and dividend policy.

APPROVED COUNTRIES FOR INVESTMENTS

This list is based on those countries which have sovereign ratings equivalent to the UK higher (based on two out of three ratings from the agencies Fitch, Moody's and S&P) and have banks operating in sterling markets which have credit ratings of green or above in the Link Asset Services Treasury Solutions credit worthiness service.

<u>AAA</u>	<u>AA+</u>	<u>AA</u>
Australia	Finland	Abu Dhabi (UAE)
Canada	Hong Kong	France
Denmark	USA	UK
Germany		
Luxembourg		
Netherlands		
Norway		
Singapore		
Sweden		
Switzerland		



Doncaster Council

Report

To the Chair and Members of the
COUNCIL

Date: 5th March 2018

COUNCIL TAX SETTING AND STATUTORY RESOLUTIONS 2018/19

Relevant Cabinet Member(s)	Wards Affected	Key Decision
Mayor Ros Jones	All	Yes

EXECUTIVE SUMMARY

1. This report sets out how the Council Tax is calculated and makes recommendations regarding Doncaster's Council Tax requirement for 2018/19.
2. It is proposed that Doncaster Council's element of the Band D Council Tax charge is increased by 3.99% to £1,287.20 (£858.13 for a Band A, which is an increase of £0.63 per week).
3. The increase in Council Tax is made up of 2 elements – the core Council Tax and the Adult Social Care Precept.
4. The proposals include an increase in the core Council Tax of 1.99%, as set out in the 2018/19 Revenue Budget report to cover other expenditure.
5. In the 2015 Autumn Statement, former Chancellor George Osborne allowed authorities with Adult Social Care responsibilities to increase its relevant basic amount of Council Tax from April 2016 by up to an additional 2% without holding a referendum, to assist it in meeting expenditure on Adult Social Care functions. The proposals include an increase in the Adult Social Care precept of 2.00%, which equates to £2.0m in additional income for the Council. This will contribute towards the pressures for Adults, Health & Wellbeing including price inflation, pay inflation, investment in the care ladder and growth in the number of clients from projected changes in the population.
6. The overall increase will mean an additional £49.39 for Band D Council Tax per annum, £0.95 per week (£32.93 for Band A per annum, £0.63 per week).

EXEMPT REPORT

7. Not applicable.

RECOMMENDATIONS

- Council is requested to approve a Band D Council Tax for 2018/19 of £1,287.20 for Doncaster Council services. Council is also requested to pass the appropriate Statutory Resolutions, as set out and recommended at Appendix B, which incorporate the Council Taxes of the Joint Authorities and which, taken together with Doncaster's 3.99% increase, represent a 4.33% increase from the 2017/18 Council Tax for Doncaster residents.

WHAT DOES THIS MEAN FOR THE CITIZENS OF DONCASTER?

- The citizens of Doncaster can expect to see their Council Tax for Council services increase by 3.99%. The Police and Fire increases are 7.59% and 2.97% respectively, making an overall increase of 4.33% (see table at paragraph 25).
- The average Parish Council Tax across the whole Doncaster Council area has increased by 7.06%.
- The Government have indicated that there will be no referendum principles for Parish Councils for 2018/19 but that these could be introduced for future years if necessary, to 'provide protection for local taxpayers'.

BACKGROUND

- The Council, under the Local Government Finance Act 1992, is required to set the Council Tax for its area. The amount is based upon the capital value of each dwelling calculated by reference to their capital value at 1st April, 1991 prices. Properties are placed in one of eight valuation bands by the Valuation Office Agency which is part of Her Majesty's Revenues and Customs: -

	Open Market Value as at 1st April 1991
Band A	Not exceeding £40,000
Band B	Over £40,000 but no exceeding £52,000
Band C	Over £52,000 but not exceeding £68,000
Band D	Over £68,000 but not exceeding £88,000
Band E	Over £88,000 but not exceeding £120,000
Band F	Over £120,000 but not exceeding £160,000
Band G	Over £160,000 but not exceeding £320,000
Band H	Exceeding £320,000

- When Council Tax proposals were first issued by the Government in April, 1991 it was estimated that the average property value in England was about £80,000. Such a property would be in Band D and as a result, many of the calculations are carried out by reference to Band D. For example, when the level of Council Tax is calculated, a Band D Tax is calculated initially and the taxes for all other bands are then calculated as proportions of that. Council Tax is based on two or more adult occupants occupying the property as their sole or main residence. In appropriate circumstances, where a single adult occupies a property as their sole or main residence, a 25% single person discount can be awarded.

14. The table below shows, for Doncaster, the number and percentage of dwellings in each band which were shown in the Valuation List as at the 1st December 2017 when the Tax Base was calculated: -

	Number	Percentage
Band A	80,138	58.86
Band B	24,523	18.01
Band C	14,847	10.91
Band D	9,087	6.67
Band E	4,470	3.28
Band F	2,062	1.52
Band G	896	0.66
Band H	127	0.09
Total	136,150	100.00

15. As such a high percentage of dwellings in Doncaster are in the lower bands (87.78% are banded below the average Band of D), this has the effect of considerably reducing the amount of income the Council can achieve from Council Tax.

Council Tax Calculation – Doncaster MBC Services

16. Doncaster Council is a “billing authority”; this means the Council is responsible for preparing the Council Tax Base, setting the Council Tax, billing and collection of Council Tax and maintaining the Collection Fund.
17. The Police and Fire authorities and Parishes calculate and set their own elements and Doncaster, as the billing authority, then formally sets the overall tax by adding the elements together.
18. The billing authority has to maintain a Collection Fund; this is a separate statutory account from the General Fund. The Collection Fund receives Council Tax and Business Rates income and pays out the demands and precepts made upon it by the Council, the Police and Crime Commissioner, the South Yorkshire Fire and Rescue Authority, Central Government and Parish Councils for Council Tax and Business Rates.
19. The Council Tax Base of an equivalent of 80,672 Band D properties for 2018/19 was approved by the Chief Financial Officer and Assistant Director of Finance on 10th January 2018; this is recorded in an Officer Decision Record. This is an increase of 1,577 Band D equivalent properties to the Tax Base, which delivers £2.0m additional income in 2018/19.
20. The financial year 2018/19 is the sixth year since major changes to the funding arrangements for Local Government came into effect. The changes affected the way Council Tax bases were calculated and removed certain discounts and exemptions and replaced them with discretionary powers to grant discounts and charge premiums on long term empty properties and brought local Council Tax Support into the calculation of the Tax Base.
21. The gross revenue expenditure budget for 2018/19 will be £477.6m, which covers all funding sources, including Retained Business Rates, Government Top-Up Grant, Revenue Support Grant, Council Tax, Collection Fund surplus, Specific Grants, Customer and Client Receipts and other income. The figure provided for the Collection Fund surplus for Council Tax is in accordance with legislative requirements to return surpluses on the Collection Fund to taxpayers and precepting authorities.

22. Appendix A shows how the Council Tax is calculated for the Council's services, based on a gross revenue budget of £477.6m. The Government Top-Up Grant and Revenue Support Grant income included in the calculation is that notified to the Council by the Government on 6th February 2017.
23. Dividing the Council Tax Base into the net amount required from Council Tax payers, excluding Parish Precepts, gives a Council Tax (Band D) for the Council's own services of £1,287.20, a 3.99% increase (£1,237.81 in 2017/18).

Joint Authority Precepts and Council Taxes

24. The South Yorkshire Fire and Rescue Authority met on 19th February 2018 to set its precept and Council Tax. It has notified the Council of a Band D Council Tax of £71.01 for 2018/19 which equates to an increase of £2.05 from 2017/18 (a 2.97% increase). The South Yorkshire Police and Crime Commissioner met on the 8th February 2018 to set its precept and Council Tax. It has notified the Council of a Band D Council Tax of £170.16 for 2018/19 which equates to an increase of £12.00 from 2017/18 (a 7.59% increase which, although more than the general referendum limit, will not trigger a referendum. This is because the Government has made an exception for Police and Crime Commissioners to increase their precept by up to £12.00 and the South Yorkshire Police & Crime Commissioner qualifies under this rule). The increases notified and proposed by the Joint Authorities have been included in the resolutions set out at Appendix B.
25. The table below shows the total Council Tax for Doncaster residents is £1,528.37 (£1,464.93 in 2017/18) for a Band D property, assuming the Council approves the Council Tax of £1,287.20 for Doncaster Council services. When the Joint Authority Council Tax increases are combined with the 3.99% increase for Doncaster Council, this represents a 4.33% increase from the 2017/18 Council Tax for Doncaster residents.

	2017/18 Band D £	2018/19 Band D £	Increase %	Annual Increase Band A £	Annual Increase Band D £
Doncaster	1,237.81	1,287.20	3.99	32.93	49.39
S.Y. Police	158.16	170.16	7.59	8.00	12.00
S.Y. Fire	68.96	71.01	2.97	1.37	2.05
Total	1,464.93	1528.37	4.33	42.30	63.44

Localisation of Council Tax Support and Parish Council Taxes

26. The Council Tax Benefit system was abolished and replaced with a Localised Council Tax Support (LCTS) Scheme from April 2013, which is now classed as a Council Tax discount in the Tax Base, similar to the single person's discount. This has had the effect of reducing the Council Tax Base. Under this Scheme each Council in 2013/14 received a fixed grant to partly compensate for the reduction in Council Tax income resulting from the lower Council Tax Base due to this new discount. Government figures show that the Council received grant funding of £17.1m (£16.8m for the Council and £0.3m for parishes) to fund this in 2013/14, although the grant only covered 90% of the 2012/13 benefits and protected pensioners. This grant funding formed part of the Council's Baseline Funding for 2013/14, comprising Retained Business Rates, Revenue Support Grant and Top-Up Grant. Since the 2013/14 Finance Settlement the Government has not published revised grant allocations for these headings and does not intend to in future, even though Central Government funding for local authorities has continued to reduce significantly.

27. Changes to Parish Council Taxes are included in Appendix C below and a summary of increases is set out in the table below. The average Band D Parish Council Tax across the whole Doncaster Council area has increased from £25.50 in 2017/18, to £27.30 in 2018/19, an increase of 7.06%. The Government have confirmed that the referendum principles applying to local authorities and major preceptors, will not apply to Parish Councils until at least 2020/21.

28. Council approved an annual reduction in the grant it distributes to Parish Councils of 10% in 2015/16 and 2016/17, having made no reduction in 2014/15 when the Council's grant was cut by 10% (Revenue Budget 2015/16 Report – agenda item 7) or in 2017/18. The remainder of the grant will be phased out from its current level of £252k in 2017/18 to zero in 2020/21 – reductions of £64k in 2018/19 and £94k in both 2019/20 and 2020/21.

29. A summary of the increases in Parish precepts for 2018/19 is shown in the table below: -

Percentage Increase	No. of Parishes	% of the Total
Freeze or Reduction	9	23.1
0% - 5%	20	51.3
5% - 10%	4	10.2
10% - 20%	1	2.6
More than 20%	5	12.8
Total	39	100.0

Statutory Resolutions

30. The Statutory Resolutions at Appendix B are set out for Council approval in accordance with the requirements of the Local Government Finance Act 1992.

OPTIONS CONSIDERED & REASONS FOR RECOMMENDED OPTION

31. Are covered in the Budget report from paragraph 47 on the agenda item ahead of this report.

IMPACT ON THE COUNCIL'S KEY OUTCOMES

32. These are detailed in the table below: -

	Outcomes	Implications
	<p>Doncaster Working: Our vision is for more people to be able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future;</p> <ul style="list-style-type: none"> • Better access to good fulfilling work • Doncaster businesses are supported to flourish • Inward Investment 	<p>Council Tax is a key element of the Council's budget which impacts on all priorities.</p>
	<p>Doncaster Living: Our vision is for Doncaster's people to live in a borough that is vibrant and full of opportunity, where people enjoy spending time;</p> <ul style="list-style-type: none"> • The town centres are the beating heart of Doncaster • More people can live in a good quality, affordable home • Healthy and Vibrant Communities through Physical Activity and Sport • Everyone takes responsibility for keeping Doncaster Clean • Building on our cultural, artistic and sporting heritage 	

	<p>Doncaster Learning: Our vision is for learning that prepares all children, young people and adults for a life that is fulfilling;</p> <ul style="list-style-type: none"> • Every child has life-changing learning experiences within and beyond school • Many more great teachers work in Doncaster Schools that are good or better • Learning in Doncaster prepares young people for the world of work 	
	<p>Doncaster Caring: Our vision is for a borough that cares together for its most vulnerable residents;</p> <ul style="list-style-type: none"> • Children have the best start in life • Vulnerable families and individuals have support from someone they trust • Older people can live well and independently in their own homes 	
	<p>Connected Council:</p> <ul style="list-style-type: none"> • A modern, efficient and flexible workforce • Modern, accessible customer interactions • Operating within our resources and delivering value for money • A co-ordinated, whole person, whole life focus on the needs and aspirations of residents • Building community resilience and self-reliance by connecting community assets and strengths • Working with our partners and residents to provide effective leadership and governance 	

RISKS AND ASSUMPTIONS

33. Not applicable.

LEGAL IMPLICATIONS [Officer Initials SRF Date 21st FEBRUARY 2018]

34. The Local Government Finance Act 1992 places a duty on Local Authorities to set an amount of Council Tax on or before 10th March, in the financial year preceding that for which it is set. The setting of the Tax involves a series of processes and calculations resulting in a separate amount of Tax for properties in each of the eight bands (A to H) in which properties have been valued under the 1992 Act.

35. The Localism Act 2011 introduced a new Chapter into the Local Government Finance Act 1992 which makes provision for Council Tax referendums to be held if an authority increases its Council Tax by an amount exceeding principles determined by the Secretary of State. The Local Government Finance Act 1992 together with The Referendums relating to Council Tax Increases (Principles) (England) Report 2018/19 allow local Authorities to increase Council Tax by 6% (3% on Adult social Care and 3% on all other expenditure) without the need to hold a referendum on the increase. The amount suggested by Doncaster MBC falls below the maximum increase allowed for.

FINANCIAL IMPLICATIONS [Officer Initials...RI... Date...23.02.18]

36. These are contained within the body of this report.

HUMAN RESOURCES IMPLICATIONS [Officer Initials...MLV Date...21/02/18]

37. There are no specific HR implications related to the content of this report.

TECHNOLOGY IMPLICATIONS [Officer Initials... PW Date 21/02/18]

38. There are no technology implications in relation to this report.

HEALTH IMPLICATIONS [Officer Initials RS Date 20/02/2018]

39. The choices the council makes in raising revenue will impact on the health of the population. Decision makers should balance the requirement to raise resource as part of the overall funding of council activities and the health benefits that may arise through the use of council tax across a range of service areas (bearing in mind in general 20% of what contributes to health and wellbeing is due to clinical care, 30% due to behavioural factors, 40% due to socio-economic factors and 10% due to the built environment) with the health benefits that directly arise from improving the standard of living for Doncaster residents through ensuring the local council tax burden is fairly distributed.

EQUALITY IMPLICATIONS

40. In taking this decision, Members must be aware of their obligations under Section 149 of the Equality Act 2010. This Section contains the Public Sector Equality Duty (PSED). It obliges public authorities, when exercising their functions, to have 'due regard' to the need to:-

- a. eliminate discrimination, harassment and victimisation and other conduct which the Act prohibits;
- b. advance equality of opportunity;
- c. foster good relations between people who share relevant protected characteristics and those who do not; and
- d. the relevant protected characteristics under the Equality Act are age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex and sexual orientation.

41. In setting out the recommendation, it is essential that Members keep an open mind. A final decision can only be made when the decision-makers fully understand and have 'due regard' to the potential impact of their decision on people with relevant protected characteristics under the Public Section Equality Act Duty. The decision-makers must consciously and actively consider the relevant matters in such a way that it influences the decision-making.

CONSULTATION

42. The report follows on from the Revenue Budget 2018/19 report and deals primarily with mathematical calculations to approve the Council Tax and the Council Tax requirement as set out in legislation.

Referenda

43. The Localism Act 2011 made significant changes to the Local Government Finance Act 1992 and requires the Council to set a Council Tax requirement for 2018/19. This requirement is to help the Council to determine if it has set an excessive Council Tax increase that would in turn trigger a local referendum.

44. Authorities are required to seek approval of their electorate in a referendum if any proposed tax increase exceeds the principles set by Parliament. The Government have confirmed the Council Tax Referendum Cap at 6.0% for 2018/19 for all authorities who have decided to implement up to the maximum 3.0% increase ring fenced precept to fund Adult Social Care. The referendum cap would apply on the Band D Tax of the Authority without any adjustments being made for levying bodies such as the Sheffield City Region Combined Authority Transport Levy.
45. Section 52ZB(a) of the Local Government Finance Act 1992 provides for the holding of a referendum where a Local Authority in England sets an excessive increase in its relevant basic amount of Council Tax for a financial year. The set of principles determined by the Secretary of State on whether the Council Tax is excessive for the financial year beginning 1st April 2018 is provided for in section 52ZC(1) of the Local Government Finance Act 1992. The arrangements for any referendum is contained in section 52Z(g). The change to the use of the actual Band D Tax of the Authority is provided for by Section 41 of the Local Audit and Accountability Act 2014 which came into force on the 30th January 2014 and the Local Authorities (Conduct of Referendums) (Council Tax Increases) (England) Regulations 2012 as amended. The Referendums relating to Council Tax Increases (Principles) (England) Report 2018/19 sets the referendum limit at 6% for an Adult Social Care authority.
46. The Government have indicated that there will be no referendum principles for Parish Councils for 2018/19 but that these could be introduced for future years if necessary, to 'provide protection for local taxpayers'.
47. The proposed Council Tax increase of 3.99% for this year presents no risk of a referendum being required. Details of the calculation are set out below:-

Tax Base 2017/18 (79,095 Properties) Tax Base 2018/19 (80,672 Properties)	2017/18 £M	2017/18 Amount per Band D Property £	2018/19 £M	2018/19 Amount per Band D Property £
Total Council Tax Requirement	97.905	1,237.81	103.841	1,287.20
% Change in Council Tax for Referendum Assessment	3.99			

48. The Ministry of Housing, Communities & Local Government (MHCLG) have laid regulations on the 12th January 2017. The Council Tax (Demand Notices) (England) (Amendment) Regulations 2017, which amend the 2011 regulations, specify the detail they require to be shown on the Council Tax bill to cover the details of the new Social Care precept and what is required in supporting information. The regulations which came into force on the 10th February 2017 specify that any increase, when compared to the previous year, must be shown to one decimal place. This means that a % increase of 3.95% or above would be shown as 4.0% on the face of the Council Tax bill. This is purely a rounding issue and presents no risk of a referendum being required.

BACKGROUND PAPERS

- The Local Authorities (Conduct of Referendums) (Council Tax Increases) (England) Regulations 2012 as amended by SI 2013/409 and SI 2014/231
- Local Government Finance Act 1992, chapter 4ZA, Sections 52Z(b) to 52Z(g) chapter 4ZA

- The Local audit and Accountability Act 2014
- The Referendums Relating to Council Tax Increases (Principles) (England) Report 2018/19
- The Council Tax (Demand Notices) (England) Regulations 2011 as amended by SI 2017/13
- The Council Tax (Demand Notice) (Amendment) Regulations 2017

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CALCULATION OF COUNCIL TAX FOR COUNCIL SERVICES

	2017/18		2018/19	
	Total £million	Per Band D Equivalent £	Total £million	Per Band D Equivalent £
Gross Budget	479.656	6,064.29	477.623	5,920.55
Less:				
<i>Gross Retained Business Rates</i>	<i>44.545</i>		<i>46.740</i>	
<i>Adjustment for Business Rates Collection Fund Deficit</i>	<i>-0.488</i>		<i>-0.303</i>	
Net Retained Business Rates	44.057	557.01	46.437	575.63
Revenue Support Grant	36.150	457.05	28.131	348.71
Government Top Up Grant	32.805	414.75	33.527	415.60
Housing Benefit Grant	86.546	1,094.20	63.175	783.11
Public Health Grant	24.437	308.96	23.809	295.13
Specific Grants	45.956	581.02	64.066	794.15
Customer and Client Receipts	47.265	597.57	50.387	624.59
Other Income ¹	59.981	758.34	61.395	761.04
Council Tax Collection Fund Surplus	2.527	31.95	2.855	35.39
Use of one-off Uncommitted Reserves	2.027	25.63	0.000	0.00
Council Tax Payers (Council Tax Requirement)	97.905	1,237.81	103.841	1,287.20

Note that figures are subject to rounding.

¹ Other income includes income from Continuing Health Care Contributions from the NHS and Section 256 and Section 75 Agreements with the NHS (Better Care Fund), income from Other Local Authorities (OLAs) such as Rotherham MBS in respect of Waste PFI credits and the Coroners Service and from OLAs where their children are placed in schools maintained by Doncaster MBC, as well as income from charges made to schools (including academies), the Housing Revenue Account, St Leger Homes, Housing Associations and the Children's Services Trust.

COUNCIL TAX 2018/19

Recommended:-

1.

(a) That it be noted that the Council has calculated the amount of **80,672** as its Council Tax Base for the year 2018/2019 in accordance with Item T of the formula in Section 31B of the Local Government Finance Act 1992, as amended, and Regulation 3 of the Local Authorities (Calculation of Council Tax Base) Regulations 1992 as amended:-

(b)

<u>Part of the Council's Area</u>	<u>2018/19 Tax Base</u>
Adwick on Dearne	112
Armthorpe	3,807
Askern	1,291
Auckley	1491
Austerfield	216
Barnburgh and Harlington	638
Barnby Dun with Kirk Sandall	2,668
Bawtry	1,354
Blaxton	440
Braithwell with Micklebring	441
Brodsworth	752
Burghwallis	135
Cantley with Branton	1,165
Clayton with Frickley	93
Conisbrough Parks	118
Denaby	126
Edenthorpe	1,460
Edlington	1,772
Finningley	708
Fishlake	252
Hampole and Skelbrooke	82
Hatfield	4,075
Hickleton	107
High Melton	107
Hooton Pagnell	96
Loversall	57
Moss and District	304
Norton	1,367
Owston	64
Rossington	3,371
Sprotbrough and Cusworth	3,850
Stainforth	1,313
Stainton	118
Sykehouse	190
Thorne – Moorends	4,190
Thorpe in Balne	74
Tickhill	2,076
Wadworth	383
Warmsworth	1,121

being the amounts calculated by the Council, in accordance with Regulation 6 of the Regulations, as the amounts of its Council Tax Base for the year for dwellings in those parts of its area to which one or more Parish precepts relate.

2. Calculate that the Council Tax requirement for the Council's own purposes for 2018/19 (excluding Parish precepts) is £103,840,998.

3. That the following amounts be now calculated by the Council for the year 2018/19 in accordance with Sections 30 to 36 of the Local Government Finance Act 1992: -
- (a) **£640,597,391** being the aggregate of the amounts which the Council estimates for the items set out in Section 31A (2) of the Act taking into account all Parish precepts;
(Gross expenditure of the Council, including schools, the Housing Revenue Account and Parishes)
 - (b) **£534,554,002** being the aggregate of the amounts which the Council estimates for the items set out in Section 31A (3) of the Act;
(Gross expenditure of the Council, including schools, the Housing Revenue Account and Parishes)
 - (c) **£106,043,389** being the amount by which the aggregate at 3(a) above exceeds the aggregate at 3(b) above, calculated by the Council in accordance with Section 31A (4) of the Act, as its Council Tax requirement for the year;
(Item R in the formula in Section 31B of the Act)
(Council Tax requirement including Parishes)
 - (d) **£1,314.50** being the amount at 3(c) above, (Item R) all divided by (Item T) 1(a) above, calculated by the Council in accordance with Section 31B of the Act, as the basic amount of its Council Tax for the year;
(Including Parish Precepts)
 - (e) **£2,202,391** being the aggregate amount of all special items (Parish Precepts) referred to in Section 34(1) of the Act. (Appendix C)
 - (f) **£1,287.20** being the amount at 3(d) above less the result given by dividing the amount at 3(e) above by Item T at 1(a) above, calculated by the Council in accordance with Section 34(2) of the Act as the basic amount of its Council Tax for the year for dwellings in those parts of its area to which no Parish Precept relates;
(Council Tax at Band D for Doncaster MBC services)

(g)

<u>Part of the Council's Area</u>	<u>2018/19</u> <u>£</u>
Adwick on Dearne	1324.66
Armthorpe	1343.20
Askern	1349.95
Auckley	1311.85
Austerfield	1327.25
Barnburgh and Harlington	1331.27
Barnby Dun with Kirk Sandall	1320.63
Bawtry	1313.79
Blaxton	1340.27
Braithwell with Micklebring	1298.83
Brodsworth	1327.43
Burghwallis	1327.52
Cantley with Branton	1314.83
Clayton with Frickley	1336.44
Conisbrough Parks	1321.28
Denaby	1300.33
Edenthorpe	1314.68
Edlington	1353.86
Finningley	1324.01
Fishlake	1423.57
Hampole and Skelbrooke	1296.08
Hatfield	1334.41
Hickleton	1342.96
High Melton	1314.22
Hooton Pagnell	1329.11
Loversall	1308.32
Moss and District	1306.82
Norton	1326.32
Owston	1302.83
Rossington	1340.05
Sprotbrough and Cusworth	1332.43
Stainforth	1401.20
Stainton	1309.87
Sykehouse	1326.28
Thorne – Moorends	1400.56
Thorpe in Balne	1307.12
Tickhill	1315.54
Wadworth	1331.59
Warmsworth	1329.98

being the amounts given by adding the amount at 3(f) above the amounts of the Parish Precepts relating to dwellings in those parts of the Council's area mentioned above, divided in each case by the amount at 1(b) above, calculated by the Council, in accordance with Section 34(3) of the (Local Government Finance Act 1992) as the basic amounts of its Council Tax for the year for dwellings in those parts of its area to which Parish Precepts relate.

(h)

<u>Part of the Council's Area</u>	BAND A	BAND B	BAND C	BAND D	BAND E	BAND F	BAND G	BAND H
	£	£	£	£	£	£	£	£
DONCASTER	858.13	1001.16	1144.18	1287.20	1573.24	1859.29	2145.33	2574.40
(except where specified below)								
Adwick on Dearne	883.10	1030.30	1177.48	1324.66	1619.02	1913.40	2207.76	2649.32
Armthorpe	895.46	1044.72	1193.96	1343.20	1641.68	1940.18	2238.66	2686.40
Askern	899.96	1049.97	1199.96	1349.95	1649.93	1949.93	2249.91	2699.90
Auckley	874.56	1020.33	1166.09	1311.85	1603.37	1894.90	2186.41	2623.70
Austerfield	884.83	1032.31	1179.78	1327.25	1622.19	1917.14	2212.08	2654.50
Barnburgh and Harlington	887.51	1035.44	1183.35	1331.27	1627.10	1922.95	2218.78	2662.54
Barnby Dun with Kirk Sandall	880.42	1027.16	1173.90	1320.63	1614.10	1907.58	2201.05	2641.26
Bawtry	875.86	1021.84	1167.82	1313.79	1605.74	1897.70	2189.65	2627.58
Blaxton	893.51	1042.44	1191.35	1340.27	1638.10	1935.95	2233.78	2680.54
Braithwell with Micklebring	865.88	1010.21	1154.52	1298.83	1587.45	1876.09	2164.71	2597.66
Brodsworth	884.95	1032.45	1179.94	1327.43	1622.41	1917.40	2212.38	2654.86
Burghwallis	885.01	1032.52	1180.02	1327.52	1622.52	1917.53	2212.53	2655.04
Cantley with Branton	876.55	1022.65	1168.74	1314.83	1607.01	1899.20	2191.38	2629.66
Clayton with Frickley	890.96	1039.46	1187.95	1336.44	1633.42	1930.41	2227.40	2672.88
Conisbrough Parks	880.85	1027.67	1174.47	1321.28	1614.89	1908.52	2202.13	2642.56
Denaby	866.88	1011.37	1155.85	1300.33	1589.29	1878.26	2167.21	2600.66
Edenthorpe	876.45	1022.53	1168.61	1314.68	1606.83	1898.98	2191.13	2629.36
Edlington	902.57	1053.01	1203.43	1353.86	1654.71	1955.58	2256.43	2707.72
Finningley	882.67	1029.79	1176.90	1324.01	1618.23	1912.46	2206.68	2648.02
Fishlake	949.04	1107.23	1265.40	1423.57	1739.91	2056.27	2372.61	2847.14
Hampole and Skelbrooke	864.05	1008.07	1152.07	1296.08	1584.09	1872.12	2160.13	2592.16
Hatfield	889.60	1037.88	1186.14	1334.41	1630.94	1927.48	2224.01	2668.82
Hickleton	895.30	1044.53	1193.74	1342.96	1641.39	1939.83	2238.26	2685.92
High Melton	876.14	1022.18	1168.20	1314.22	1606.26	1898.32	2190.36	2628.44
Hooton Pagnell	886.07	1033.76	1181.43	1329.11	1624.46	1919.83	2215.18	2658.22
Loversall	872.21	1017.59	1162.95	1308.32	1599.05	1889.80	2180.53	2616.64
Moss and District	871.21	1016.42	1161.62	1306.82	1597.22	1887.63	2178.03	2613.64
Norton	884.21	1031.59	1178.95	1326.32	1621.05	1915.80	2210.53	2652.64
Owston	868.55	1013.32	1158.07	1302.83	1592.34	1881.87	2171.38	2605.66
Rossington	893.36	1042.27	1191.16	1340.05	1637.83	1935.63	2233.41	2680.10
Sprotbrough and Cusworth	888.28	1036.34	1184.38	1332.43	1628.52	1924.62	2220.71	2664.86
Stainforth	934.13	1089.83	1245.51	1401.20	1712.57	2023.96	2335.33	2802.40
Stainton	873.24	1018.79	1164.33	1309.87	1600.95	1892.04	2183.11	2619.74
Sykehouse	884.18	1031.56	1178.92	1326.28	1621.00	1915.74	2210.46	2652.56
Thorne - Moorends	933.70	1089.33	1244.94	1400.56	1711.79	2023.03	2334.26	2801.12
Thorpe in Balne	871.41	1016.65	1161.89	1307.12	1597.59	1888.06	2178.53	2614.24
Tickhill	877.02	1023.20	1169.37	1315.54	1607.88	1900.23	2192.56	2631.08
Wadworth	887.72	1035.69	1183.64	1331.59	1627.49	1923.41	2219.31	2663.18
Warmsworth	886.65	1034.43	1182.21	1329.98	1625.53	1921.08	2216.63	2659.96

being the amounts given by multiplying the amounts at 3(f) and 3(g) above by the number which, in the proportion set out in Section 5 (1) of the (Local Government Finance Act 1992), is applicable to dwellings listed in a particular valuation band divided by the number which in that proportion is applicable to dwellings listed in valuation Band D, calculated by the Council, in accordance with Section 36 (1) of the Act, as the amounts to be taken into account for the year in respect of categories of dwellings listed in different valuation bands.

4. that it be noted for the year 2018/19 the South Yorkshire Police and Crime Commissioner and the South Yorkshire Fire and Civil Defence Authority have stated the following amounts in precepts issued to the Council, in accordance with Section 40 of the Local Government Finance Act 1992, for each of the categories of dwellings shown below:-

<u>Precepting Authority</u>	Band A	Band B	Band C	Band D	Band E	Band F	Band G	Band H
	£	£	£	£	£	£	£	£
South Yorkshire Police and Crime Commissioner	113.44	132.35	151.25	170.16	207.97	245.79	283.60	340.32
South Yorkshire Fire & Civil Defence Authority	47.34	55.23	63.12	71.01	86.79	102.57	118.35	142.02

5. that, having calculated the aggregate in each case of the amounts at 3(h) and 4 above, the Council, in accordance with Section 30 and 36 of the Local Government Finance Act 1992, hereby sets the following amounts as the amounts of Council Tax for the year 2018/19 for each of the categories of dwellings shown below:-

	BAND A	BAND B	BAND C	BAND D	BAND E	BAND F	BAND G	BAND H
<u>Part of the Council's Area</u>	£	£	£	£	£	£	£	£
DONCASTER	1018.91	1188.74	1358.55	1528.37	1868.00	2207.65	2547.28	3056.74
(except where specified below)								
Adwick on Dearne	1043.88	1217.88	1391.85	1565.83	1913.78	2261.76	2609.71	3131.66
Armthorpe	1056.24	1232.30	1408.33	1584.37	1936.44	2288.54	2640.61	3168.74
Askern	1060.74	1237.55	1414.33	1591.12	1944.69	2298.29	2651.86	3182.24
Auckley	1035.34	1207.91	1380.46	1553.02	1898.13	2243.26	2588.36	3106.04
Austerfield	1045.61	1219.89	1394.15	1568.42	1916.95	2265.50	2614.03	3136.84
Barnburgh and Harlington	1048.29	1223.02	1397.72	1572.44	1921.86	2271.31	2620.73	3144.88
Barnby Dun with Kirk Sandall	1041.20	1214.74	1388.27	1561.80	1908.86	2255.94	2603.00	3123.60
Bawtry	1036.64	1209.42	1382.19	1554.96	1900.50	2246.06	2591.60	3109.92
Blaxton	1054.29	1230.02	1405.72	1581.44	1932.86	2284.31	2635.73	3162.88
Braithwell with Micklebring	1026.66	1197.79	1368.89	1540.00	1882.21	2224.45	2566.66	3080.00
Brodsworth	1045.73	1220.03	1394.31	1568.60	1917.17	2265.76	2614.33	3137.20
Burghwallis	1045.79	1220.10	1394.39	1568.69	1917.28	2265.89	2614.48	3137.38
Cantley with Branton	1037.33	1210.23	1383.11	1556.00	1901.77	2247.56	2593.33	3112.00
Clayton with Frickley	1051.74	1227.04	1402.32	1577.61	1928.18	2278.77	2629.35	3155.22
Conisbrough Parks	1041.63	1215.25	1388.84	1562.45	1909.65	2256.88	2604.08	3124.90
Denaby	1027.66	1198.95	1370.22	1541.50	1884.05	2226.62	2569.16	3083.00
Edenthorpe	1037.23	1210.11	1382.98	1555.85	1901.59	2247.34	2593.08	3111.70
Edlington	1063.35	1240.59	1417.80	1595.03	1949.47	2303.94	2658.38	3190.06
Finningley	1043.45	1217.37	1391.27	1565.18	1912.99	2260.82	2608.63	3130.36
Fishlake	1109.82	1294.81	1479.77	1664.74	2034.67	2404.63	2774.56	3329.48
Hampole and Skelbrooke	1024.83	1195.65	1366.44	1537.25	1878.85	2220.48	2562.08	3074.50
Hatfield	1050.38	1225.46	1400.51	1575.58	1925.70	2275.84	2625.96	3151.16
Hickleton	1056.08	1232.11	1408.11	1584.13	1936.15	2288.19	2640.21	3168.26
High Melton	1036.92	1209.76	1382.57	1555.39	1901.02	2246.68	2592.31	3110.78
Hooton Pagnell	1046.85	1221.34	1395.80	1570.28	1919.22	2268.19	2617.13	3140.56
Loversall	1032.99	1205.17	1377.32	1549.49	1893.81	2238.16	2582.48	3098.98
Moss and District	1031.99	1204.00	1375.99	1547.99	1891.98	2235.99	2579.98	3095.98
Norton	1044.99	1219.17	1393.32	1567.49	1915.81	2264.16	2612.48	3134.98
Owston	1029.33	1200.90	1372.44	1544.00	1887.10	2230.23	2573.33	3088.00
Rossington	1054.14	1229.85	1405.53	1581.22	1932.59	2283.99	2635.36	3162.44
Sprotbrough and Cusworth	1049.06	1223.92	1398.75	1573.60	1923.28	2272.98	2622.66	3147.20
Stainforth	1094.91	1277.41	1459.88	1642.37	2007.33	2372.32	2737.28	3284.74
Stainton	1034.02	1206.37	1378.70	1551.04	1895.71	2240.40	2585.06	3102.08
Sykehouse	1044.96	1219.14	1393.29	1567.45	1915.76	2264.10	2612.41	3134.90
Thorne - Moorends	1094.48	1276.91	1459.31	1641.73	2006.55	2371.39	2736.21	3283.46
Thorpe in Balne	1032.19	1204.23	1376.26	1548.29	1892.35	2236.42	2580.48	3096.58
Tickhill	1037.80	1210.78	1383.74	1556.71	1902.64	2248.59	2594.51	3113.42
Wadworth	1048.50	1223.27	1398.01	1572.76	1922.25	2271.77	2621.26	3145.52
Warmsworth	1047.43	1222.01	1396.58	1571.15	1920.29	2269.44	2618.58	3142.30

6. The Council has determined that its relevant basic amount of Council Tax for 2018/2019 is not excessive in accordance with principles approved under Section 52ZB of the Local Government Finance Act 1992 as amended by Section 41 of the Local Audit and Accountability Act 2014. For 2018/19 Government has determined that the relevant basic amount of Council Tax for an authority with Adult Social Care functions is only excessive if the authority's relevant basic amount of Council Tax for 2018/19 is 6% (comprising 3% for expenditure on Adult Social Care and 3% for other expenditure) or more than 6%, greater than its relevant basic amount of Council Tax for 2017/18. As the billing authority, the Council has not been notified by a major precepting authority that its relevant basic amount of Council Tax for 2018/2019 is excessive and that the billing authority is not required to hold a referendum in accordance with Section 52ZK of the Local Government Finance Act 1992.

Parish Council Taxes

Parish	2018/2019			2017/2018			% Band D Increase
	Tax base	Precept £	Band D Precept £	Tax base	Precept £	Band D Precept £	
Adwick on Dearne	112	4,195.00	37.46	114	3,926.00	34.44	8.77
Armthorpe	3,807	213,192.00	56.00	3,764	207,020.00	55.00	1.82
Askern	1,291	81,015.00	62.75	1,210	87,195.00	72.06	-12.92
Auckley	1,491	36,760.00	24.65	1,419	35,023.00	24.68	-0.12
Austerfield	216	8,650.00	40.05	216	8,480.00	39.26	2.01
Barnburgh and Harlington	638	28,119.00	44.07	636	28,119.00	44.21	-0.32
Barnby Dun with Kirk Sandall	2,668	89,191.00	33.43	2,638	88,201.00	33.43	0.00
Bawtry	1,354	36,000.00	26.59	1,312	27,061.00	20.63	28.89
Blaxton	440	23,351.00	53.07	424	23,351.00	55.07	-3.63
Braithwell with Micklebring	441	5,131.00	11.63	439	5,057.00	11.52	0.95
Brodsworth	752	30,256.00	40.23	759	29,518.00	38.89	3.45
Burghwallis	135	5,443.00	40.32	135	5,424.00	40.18	0.35
Cantley with Branton	1,165	32,184.00	27.63	1,152	31,507.00	27.35	1.02
Clayton with Frickley	93	4,579.00	49.24	91	4,385.00	48.19	2.18
Conisbrough Parks	118	4,021.00	34.08	119	3,894.00	32.72	4.16
Denaby	126	1,654.00	13.13	126	1,605.00	12.74	3.06
Edenthorpe	1,460	40,128.00	27.48	1,437	38,823.00	27.02	1.70
Edlington	1,772	118,125.00	66.66	1,704	111,350.00	65.35	2.00
Finningley	708	26,059.00	36.81	666	24,525.00	36.82	-0.03
Fishlake	252	34,365.00	136.37	247	33,692.00	136.40	-0.02
Hampole and Skelbrooke	82	728.00	8.88	84	373.00	4.44	100.00
Hatfield	4,075	192,371.00	47.21	4,002	183,211.00	45.78	3.12
Hickleton	107	5,966.00	55.76	107	5,804.00	54.24	2.80
High Melton	107	2,891.00	27.02	107	2,461.00	23.00	17.48
Hooton Pagnell	96	4,023.00	41.91	96	3,765.00	39.22	6.86
Loversall	57	1,204.00	21.12	58	1,142.00	19.69	7.26
Moss and District	304	5,964.00	19.62	297	5,964.00	20.08	-2.29
Norton	1,367	53,474.00	39.12	1,354	52,294.00	38.62	1.30
Owston	64	1,000.00	15.63	63	800.00	12.70	23.07
Rossington	3,371	178,148.00	52.85	3,288	170,644.00	51.90	1.83
Sprotbrough and Cusworth	3,850	174,143.00	45.23	3,836	170,729.00	44.51	1.62
Stainforth	1,313	149,688.00	114.00	1,261	136,080.00	107.91	5.64
Stainton	118	2,675.00	22.67	115	2,526.00	21.97	3.19
Sykehouse	190	7,425.00	39.08	188	7,400.00	39.36	-0.71
Thorne - Moorends	4,190	474,997.00	113.36	4,063	367,855.00	90.54	25.20
Thorpe In Balne	74	1,474.00	19.92	74	1,458.00	19.70	1.12
Tickhill	2,076	58,844.00	28.34	2,079	41,951.00	20.18	40.44
Wadworth	383	17,000.00	44.39	377	16,000.00	42.44	4.59
Warmsworth	1,121	47,958.00	42.78	1,124	47,958.00	42.67	0.26
Total		2,202,391.00			2,016,571.00		

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Doncaster Council

Report

Date: 5 March 2018

To the Chair and Members of Council

CORPORATE PLAN 2018-19

Relevant Cabinet Member(s)	Wards Affected	Key Decision
Mayor Ros Jones	All	Yes

EXECUTIVE SUMMARY

1. The current Corporate Plan runs out at the end of 2017/18. An updated 2018/19 Corporate Plan is presented at **Annex 1** to provide clear direction for the work of the Council for the next year. The new Corporate Plan brings together in one document agreed priorities that are already shaping how we work. It summarises:
 - The Council's contribution over the next year to the Doncaster Growing Together (DGT) partnerships plan.
 - How the Council will ensure it has the capacity to deliver DGT transformational priorities and quality services day in, day out.

2. The Corporate Plan is framed around the 4 DGT priority themes (Working, Learning, Living and Caring) and the cross-cutting 'Connected Council' theme. The following changes have been made to ensure the Corporate Plan reflects DGT and the Council's updated Performance Management Framework (PMF):
 - The overall outcome statements in the 2017/18 Corporate Plan have been replaced by the vision statements in the agreed DGT Prospectus.
 - The 2017/18 objectives have been replaced with the DGT 'Areas of Focus'.
 - The 'How we want to make life better for residents' sections under each theme summarise the coverage of the agreed DGT indicators and additional Equalities, Diversity and Inclusion (EDI) Objectives.
 - The 'Key priorities our resources will support in 18-19' sections capture key Council deliverables for the next year.
 - The 'What the Council needs to do well' sections capture agreed service standards.
 - A summary of other key strategies/plans is included.

3. The Council has already made significant progress is modernising and integrating services whilst delivering significant budget savings. The Council is estimating having to find £17.5m of savings in 2018/19 and £44.2m for the period 2018/19 to 2020/21. The next stage of the improvement journey therefore requires an even greater focus on the things that matter most for Doncaster and its residents.

EXEMPT REPORT

4. This report is not exempt.

RECOMMENDATIONS

5. That the Chair and Members of Council:
- Consider the proposed changes to the Corporate Plan.
 - Agree the updated 2018/19 Corporate Plan.

WHAT DOES THIS MEAN FOR THE CITIZENS OF DONCASTER?

6. The Corporate Plan is the Council's key strategic document for directing its work towards achieving its purpose: to ensure Doncaster and its people thrive - ensuring value for money is at the heart of everything we do.

BACKGROUND

7. In September 2016 Full Council agreed that the Corporate Plan would be updated each year as part of the Council's annual 'Define and Deliver' improvement cycle. The Corporate Plan forms the 'Plan' phase of this cycle:

PROCESS	STAGE	IMPROVEMENT CYCLE
State of the Borough Assessment	ANALYSE	
Updating and resourcing the Corporate Plan	PLAN	
Updating Delivery Programmes, Service Plans and staff Performance & Development Reviews	DO	
Performance monitoring and reporting. Production of an Annual Report	REVIEW	

UPDATED CORPORATE PLAN 2018-19

8. In September 2017 the Council and its partners in Team Doncaster launched the DGT Plan – a new Borough Strategy for the next four years. The Corporate Plan sets out the Council's contribution to the DGT Plan – the key deliverables for 2018/19.
9. The overall outcome statements in the 2017/18 Corporate Plan have been replaced by the vision statements in the DGT Prospectus. A new vision for the 'Connected Council' theme has also been added. These changes are summarised below:

Themes	2017/18 'Outcome'	2018/19 'Vision'
Doncaster Working	Residents benefit from a thriving and resilient economy	More people are able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future
Doncaster Learning	Residents have the knowledge and skills for life, creativity and employment	Learning that prepares all young people and adults for a life that is fulfilling
Doncaster Living	Doncaster is a modern, thriving and safe place to live, work and visit	Doncaster's people live in a Borough that is vibrant and full of opportunity, where people enjoy spending time

Themes	2017/18 'Outcome'	2018/19 'Vision'
Doncaster Caring	Residents live safe, healthy, active and independent lives	A Borough that cares together for its most vulnerable residents
Connected Council	N/A	A Connected Council, ready for the future

10. The 2017/18 objectives have been replaced by the DGT 'Areas of Focus', as summarised below:

Themes	2017/18 'Objectives'	2018/19 'Areas of Focus'
Doncaster Working	<ul style="list-style-type: none"> Existing businesses and new start-ups are supported to grow and create more quality jobs Residents are supported to access job opportunities and higher wages Doncaster's social and economic assets are enhanced, supporting inclusive growth, increasing inward investment and attracting visitors 	<ul style="list-style-type: none"> Support for Doncaster businesses to flourish Better access to fulfilling work Target the Inward Investment we need
Doncaster Learning	<ul style="list-style-type: none"> All children are able to access high quality education Learning and creativity is supported through a whole person, whole life focus Residents have the skills, abilities and attributes that employers need 	<ul style="list-style-type: none"> Every child has life-changing learning experiences within and beyond school Many more great teachers work in Doncaster Schools that are good or better Learning in Doncaster prepares young people for the world of work
Doncaster Living	<ul style="list-style-type: none"> Our built and natural environment is enhanced and protected Working with our partners we will reduce crime and anti-social behaviour Residents lead more active, healthy lives The number and quality of homes in Doncaster meet housing needs 	<ul style="list-style-type: none"> The Town Centres are the beating heart of Doncaster More people can live in a good quality, affordable home Healthy & Vibrant Communities through Physical Activity and Sport Everyone takes responsibility for keeping Doncaster Clean Building on our cultural, artistic and sporting heritage
Doncaster Caring	<ul style="list-style-type: none"> Care and support will harness community strengths to help residents maximise their independence, health and well-being Children and families access the right services and support at the earliest opportunity Children have the best start in life to achieve their full potential People in urgent need or crisis are healthy and safe 	<ul style="list-style-type: none"> Children have the best start in life Vulnerable families and individuals have support from someone they trust Older people can live well and independently in their own homes
Connected Council	<ul style="list-style-type: none"> A modern, efficient and flexible workforce Modern, accessible customer interactions Operating within our resources and delivering value for money A co-ordinated whole person, whole 	[It is proposed that the existing wording is carried forward with no changes]

Themes	2017/18 'Objectives'	2018/19 'Areas of Focus'
	<p>life focus on the needs and aspirations of residents</p> <ul style="list-style-type: none"> • Building community resilience and self-reliance by connecting community assets and strengths • Working with our partners and residents to provide effective leadership and governance 	

11. During 2017/18 the Council's PMF has been refreshed, predominantly to align to the new DGT Plan. More streamlined corporate quarterly reporting now brings together the latest:

- Progress in delivering DGT Programmes
- Data for the DGT Performance Indicators and EDI Objectives
- Performance against the Service Standards
- Council financial position
- Strategic risks

12. The updated Corporate Plan now includes the DGT Performance Indicators, EDI measures and Council Service Standards.

OPTIONS CONSIDERED

13. Three main options were considered for the updated Corporate Plan:

- A Plan which focuses on the internal 'Connected Council' components – service standards and capacity to deliver DGT.
- A detailed Plan which reflects the breadth and depth of the Council PMF/Quarterly Monitoring report.
- A high level Plan which reflects the breadth of the Council PMF, picking out key milestones/achievements for the 2018-19 - but which signposts to further detail.

REASONS FOR RECOMMENDED OPTION

14. Option 'c' is the recommended option as this provides a Corporate Plan that:

- Dovetails with DGT and sets out the Council's contribution to it over the next year, without duplicating the detail of what is already happening across the DGT Programmes.
- Sets out how the Council will ensure it has the capacity to deliver its DGT transformational priorities and quality services day in, day out.

IMPACT ON THE COUNCIL'S KEY OUTCOMES

15.

Outcomes	Implications
<p>Doncaster Working: Our vision is for more people to be able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future;</p> <ul style="list-style-type: none"> • Better access to good fulfilling work • Doncaster businesses are supported to flourish • Inward Investment 	<p>The Corporate Plan is the key document for focusing the Council's attention on these priorities and ensuring it has the capacity to deliver its contribution towards them.</p>
<p>Doncaster Living: Our vision is for Doncaster's</p>	<p>The Corporate Plan is the key</p>

<p>people to live in a borough that is vibrant and full of opportunity, where people enjoy spending time;</p> <ul style="list-style-type: none"> • The town centres are the beating heart of Doncaster • More people can live in a good quality, affordable home • Healthy and Vibrant Communities through Physical Activity and Sport • Everyone takes responsibility for keeping Doncaster Clean • Building on our cultural, artistic and sporting heritage 	<p>document for focusing the Council’s attention on these priorities and ensuring it has the capacity to deliver its contribution towards them.</p>
<p>Doncaster Learning: Our vision is for learning that prepares all children, young people and adults for a life that is fulfilling;</p> <ul style="list-style-type: none"> • Every child has life-changing learning experiences within and beyond school • Many more great teachers work in Doncaster Schools that are good or better • Learning in Doncaster prepares young people for the world of work 	<p>The Corporate Plan is the key document for focusing the Council’s attention on these priorities and ensuring it has the capacity to deliver its contribution towards them.</p>
<p>Doncaster Caring: Our vision is for a borough that cares together for its most vulnerable residents;</p> <ul style="list-style-type: none"> • Children have the best start in life • Vulnerable families and individuals have support from someone they trust • Older people can live well and independently in their own homes 	<p>The Corporate Plan is the key document for focusing the Council’s attention on these priorities and ensuring it has the capacity to deliver its contribution towards them.</p>
<p>Connected Council:</p> <ul style="list-style-type: none"> • A modern, efficient and flexible workforce • Modern, accessible customer interactions • Operating within our resources and delivering value for money • A co-ordinated, whole person, whole life focus on the needs and aspirations of residents • Building community resilience and self-reliance by connecting community assets and strengths • Working with our partners and residents to provide effective leadership and governance 	<p>The Corporate Plan is the key document for focusing the Council’s attention on these priorities.</p>

RISKS AND ASSUMPTIONS

16. There is a risk that the priorities in the Corporate Plan do not drive the Council’s “battle rhythm” and resource allocation. This is mitigated by:
- New DGT Governance arrangements, including partnership boards and sub-boards with clear accountabilities, and also quality assurance arrangements.
 - An improved Council PMF, including quarterly corporate reporting which combines progress in delivering the DGT and Connected Council priorities in the Corporate Plan and the latest Council financial position.

17. As the Corporate Plan does not detail all the legal duties and objectives of the

Council, there is a risk that the performance management process will not highlight all areas of underperformance. To reduce the likelihood of this happening, Service Plans will capture Directorate objectives which may be escalated to a Corporate Plan level if appropriate.

LEGAL IMPLICATIONS [SRF 29/1/18]

18. Whilst there are no specific legal implications arising out of the report, the programmes of activity which will deliver the Corporate Plan and the related Doncaster Growing together programmes will require specific and detailed legal advice as they develop further and move towards delivery. The Corporate Plan forms part of the budgetary and policy framework and must also be approved by Full Council.

FINANCIAL IMPLICATIONS [AT 30/01/18]

19. The financial implications of the priorities and actions in the Corporate Plan are contained within the Revenue, Capital and Housing Revenue Account 2018/19 budget reports that will be considered by Council in March 2018. As specific actions and programmes of activity are developed further, more specific financial implications will be provided.

HUMAN RESOURCES IMPLICATIONS [KM 29/1/2018]

20. There are no specific HR implications arising from this report. There may be HR implications relating to specific projects which will achieve the objectives detailed in the corporate plan but they will be highlighted in the relevant reports at the appropriate time.

TECHNOLOGY IMPLICATIONS [PW 30/1/2018]

21. Technology is as ever an evolving key essential enabler to support the delivery of all services together with the outcomes and objectives outlined in the updated Corporate Plan; robust and effective ICT governance arrangements will continue to be needed to ensure the delivery of the key priorities. A four year technology plan (2017-21) aligned with the Council's 4 year Medium Term Financial Forecast has been developed to ensure the resources, expertise and capacity within services is available. This will be monitored and continuously reviewed via the Council's ICT Governance Board.

HEALTH IMPLICATIONS [VJ 01/02/2018]

22. In general 20% of what contributes to health is due to clinical care, 30% due to behavioural factors, 40% due to socio-economic factors and 10% due to the built environment. The State of the Borough assessment and Doncaster Growing Together plan are both informed by health outcomes and use health outcomes to monitor impact. The impact on a set of health outcomes are also incorporated in the council's corporate plan. This paper sets out clearly the priorities of the Corporate Plan and how resources will be deployed deliver the Doncaster Growing Together Partnership Plan.
23. The objectives and areas of focus in Doncaster Learning will support children to be ready for school, whilst Doncaster Caring and Living should support reducing social isolation, increasing physical activity and improving mental health. However,

investment alone in commissioned or provided services may be insufficient to change wider societal habits and conditions that contribute to these challenges. Where ever possible commissioners and providers of services should seek to maximise social value consider long term social, environmental and economic sustainability and resilience.

24. The individual components and programmes that underpin the Plan should understand and acknowledge the impacts on the health as they become further developed. This may require a formal Health Impact Assessment or seek advice from Public Health colleagues.

EQUALITY IMPLICATIONS [AW 29.01.18]

25. In line with the corporate approach to compliance against the Equality Act 2011, due regard must be shown across all activity within the Council. As the Corporate Plan brings together key plans and delivery programmes that are already shaping how we work a due regard statement is not required. However as the individual components and programmes that underpin the Plan become further developed, due regard statements will need to be completed and reported as and when appropriate. The Corporate Plan now includes Equalities, Diversity and Inclusion objectives which form part of the Council's quarterly monitoring process.

CONSULTATION

26. The new Corporate Plan brings together in one document agreed priorities that are already shaping how we work. Consultation on the updated document is summarised below:

- 15.01.18 - Directors Meeting
- 05.02.18 - Directors Meeting
- 08.02.18 - OSMC
- 13.02.18 - Executive Board
- 05.03.18 - Full Council

BACKGROUND PAPERS

27. None.

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Doncaster
Council

Corporate Plan 2018-19

Doncaster – growing together

Foreword by: Ros Jones, Mayor of Doncaster & Jo Miller, Chief Executive

Great things happen when people come together with a **shared ambition** to improve people's lives. In Doncaster we see this happen all the time and in recent years **we've gone from strength to strength**.

The past year alone has demonstrated Team Doncaster's determination to improve quality of life for residents - and crucially **our ability to get things done**. For example, the opening of the **National College for High Speed Rail (NCHSR)** puts Doncaster at the forefront of the UK's drive to meet the demand for highly skilled rail engineers. The implementation of the **Town Centre Masterplan** has started, including the transformation of the markets. Progress on phase 2 of the **Great Yorkshire Way** means it will open in time for the **Tour De Yorkshire** in May 2018. With the **iPort** (inland port) building out fast and growth in flights at the **airport**, Doncaster has enhanced its national reputation for connectivity and business growth. Over the next year, we will continue to make the case for a train station at the airport.

Record levels of employment and significant **house building** are providing more residents with the chance to meet their aspirations. However, overall **wage rates** are below average, reflecting an economy which has relatively more lower skilled jobs. Creating quality job opportunities and improving the ability of residents to benefit from them is now a key priority - in a nutshell - **inclusive growth**. Despite on-going and significant budget pressures, the **Council will continue to invest** in infrastructure, business support, housing, skills and developing more integrated support for residents to access employment and training. We want to forge new economic connections across the UK and beyond and with 85% of residents wanting to pursue a **Yorkshire Devolution deal**, we are edging closer to having the collective might to do this.

Doncaster schools are the fifth most improved in the country in **English and Maths** and Progress 8 measures, improving the prospects of many young people. We will continue to make the case for an **Institute of Technology** and a **University Technical College** to join the NCHSR in bridging the gap between education and work – and to provide the launch-pad for Doncaster to become a **University City**.

Our **Council purpose** is to ensure Doncaster and its people thrive, whilst ensuring value for money is at the heart of everything we do. To achieve this, we are a more **outward looking, connected Council** that is ready for the future. We are continuing to **re-design services** around the needs of residents, making more of them **available on-line** and delivering more of them in **partnership**. This has enabled the Council to minimise the impact of budget cuts on residents – although the economic climate is still tough.

Doncaster's **Children's Services** have improved significantly and are now rated '**good**', which recognises Team Doncaster's commitment to supporting vulnerable young people. We will continue to use our **Social Mobility Opportunity Area** status to help children get the best start in life, whatever their background. We are developing community strengths and assets, and putting resources nearer to communities. This includes the integration of **health and social care services** to keep people healthy, safe and enable them to be independent in their own homes for longer. The **Complex Lives Alliance** is helping people with a combination of challenges, for example homelessness, drug and alcohol misuse, and poor health.

Promoting **arts and culture** we also help to improve quality of life for residents and make the place more attractive to skilled and talented workers, visitors and investors. Progress will be made on a new **library and museum** and Doncaster has been chosen as one of only 12 places for a **Sport England Local Delivery Pilot** to support residents to have healthy, active and productive lives.

We achieved a major milestone in September 2017 with the launch of the four year **Doncaster Growing Together (DGT) partnership plan** – expressing Team Doncaster's commitment to target actions and resources on ensuring Doncaster is a thriving place to learn, work, live and care. Delivering DGT is now the Council's priority and this Corporate Plan sets out what the Council will do over the next year to turn **ambitions into actions**, whilst delivering quality services day in, day out.

Our growing track record of success shows we have the determination and talent to do this.

Overview

In September 2017 the Council and its partners in Team Doncaster launched the **Doncaster Growing Together (DGT)** plan¹ – a new Borough Strategy for the next four years. It is framed around 4 themes (Working, Learning, Living and Caring) and a small number of transformational delivery Programmes - which require collaboration from across the whole of the Council and from a wide range of public services, voluntary and community organisations and the business community.

This Corporate Plan summarises:

- The Council’s contribution over the next year to the DGT plan – including key things it will deliver.
- The overall quality of life improvements the Council will contribute to, including those relating to Equality, Diversity and Inclusion (EDI).
- How the Council will ensure it delivers quality services - as measured by service standards.

It has the same overall vision as the DGT Plan: To ensure Doncaster is a thriving place to learn, work, live and care.

This Corporate Plan forms the ‘Plan’ phase of the Council’s annual **define and deliver** improvement cycle:

- Analyse: State of the Borough Assessment²
- Plan: **Updating and resourcing the Corporate Plan**³
- Do: Updating Delivery Programmes, Service Plans and Performance & Development Reviews
- Review: Performance monitoring and reporting; producing an Annual Report.

Priority Themes

This Plan is framed around the same 4 themes as the DGT Plan, with the addition a fifth ‘Connected Council’ theme:

THEME	VISION
Doncaster Learning	Learning that prepares all young people and adults for a life that is fulfilling
Doncaster Working	More people are able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future
Doncaster Living	Doncaster’s people live in a borough that is vibrant and full of opportunity, where people enjoy spending time
Doncaster Caring	A borough that cares together for its most vulnerable residents
Connected Council	A Connected Council, ready for the future

Delivering **inclusive growth** is an essential foundation for achieving the DGT vision. This means ensuring all Doncaster people and places can participate in a strong and productive economy - and feel the benefit of doing so through higher wages and living standards. A growing economy is essential to provide the local and national tax revenues to fund public services and invest in Doncaster’s future.

¹ <http://www.doncaster.gov.uk/services/the-council-democracy/doncaster-growing-together>

² <http://www.doncaster.gov.uk/services/the-council-democracy/state-of-the-borough>

³ <http://www.doncaster.gov.uk/services/the-council-democracy/corporate-plan>

Resources

The Council continues to face the significant challenge of setting a balanced budget with reducing funding and increasing costs, whilst continuing to invest in the borough and protecting the most vulnerable in our communities. The Council is estimating having to find **£17.5m of savings in 2018/19** and £44.2m for the period 2018/19 to 2020/21.

The £44.2m budget gap arises due to **government grant reductions** of over £10m a year from 2018/19, by 2020/21. On top of the grant reductions, the Council is facing significant expenditure pressures, estimated at £14.5m in 2018/19 and increasing to over £34m by 2020/21; this includes pay and price inflation.

Despite the considerable funding reductions, **a robust and balanced revenue budget** of almost £500m is expected to be in place for 2018/19.

As well as funding high quality services for residents, the Council will **continue to invest in the future of the borough** with £128m of capital spending estimated for 2018/19 as part of an overall package of £349m of investment to 2021/22. Residents across Doncaster will benefit from investment in projects to further improve education, housing, infrastructure, retail, leisure and culture, as well as attracting investors and visitors to the borough.

Approach

With limited resources, we are shifting our focus to the **highest priority, urgent and transformational** shared **programmes** of activity. On a day to day basis, ways of working which already guide the work of many Council staff and Members now need to run through all that we do, in particular:

- **Re-designing services** in partnership with our local communities to ensure they meet changing needs and are affordable – with the support of the latest technology.
- Ensuring **services are targeted** and make a difference to those people who need them most.
- A major shift to **prevention and early intervention** to reduce the demand on services and costs.
- Harnessing **community strengths, collaboration and assets** to build community resilience.
- A **whole person, whole life focus** – which means engaging residents throughout their life on their needs and aspirations - to better focus and co-ordinate our work with partners to support them.

Performance Management

To drive the delivery of the DGT Plan, Team Doncaster has:

- Agreed **new governance arrangements** (including: partnership boards and sub-boards with clear accountabilities; quality assurance arrangements).
- Implemented a robust **Programme Management** approach to the day-to-day delivery of priorities.
- Agreed new **quality of life indicators** to measure overall success.

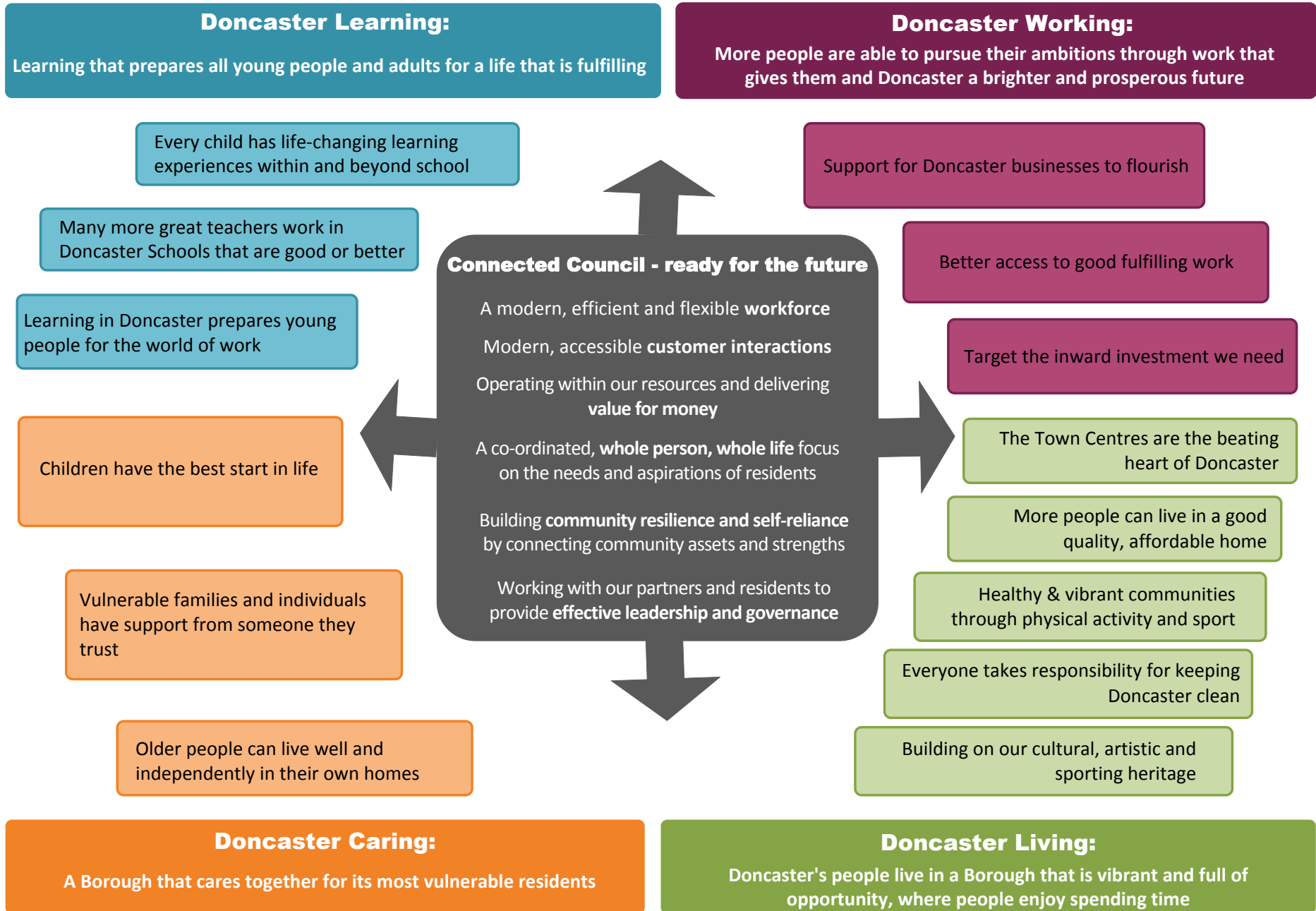
The Council's performance management framework (PMF) has also been updated to capture:

- The Council's progress in delivering the DGT Programmes (or parts of) it is responsible for.
- The DGT measures of success (outcome-based indicators) the Council's work is contributing to.
- Service Standards - reflecting what the Council needs to do well, day in, day out.

Quarterly monitoring reports to the Council's Leadership have been amended to reflect these changes.

An Annual Report is produced each year to reflect the Council's key achievements.

Corporate Plan 2018/19 – Impact & Areas for Action



Learning

Learning that prepares all young people and adults for a life that is fulfilling.

Ensuring we prepare our young people for fulfilling lives, with bold reforms that will broaden their horizons and ambitions, bringing our education system and business community together to give our young people more opportunities to flourish.

Areas for action

1. Every child has life-changing learning experiences within and beyond school.
2. Many more great teachers work in Doncaster schools that are good or better.
3. Learning in Doncaster prepares young people for the world of work.

How we want to make life better for residents

- More young people are equipped to access employment, training and higher education.
- More young people are ready for school.
- More people are learning throughout their lives and have the skills to access work.
- More young people do well in school.
- Pupil attendance and behaviour of disadvantaged young people is improved.
- Greater work readiness of all children and young people particularly for disadvantaged young people.
- More young people from BME backgrounds as well as people with a disability do well at school.

What the Council needs to do well

- Support schools and early years settings to provide good or excellent provision.
- Ensure Education, Health and Care (EHC) plans are issued quickly and effectively.
- Ensure as many children as possible gain entrance to their first choice school placement.

Key priorities that our resources will support in 18-19

We will:

- Roll out the '100 Things to Do Before You're 11' project.
- Improve materials and support for schools and young people for careers information, advice and guidance.
- Develop a new website for teacher recruitment and increasing graduate teacher placements in Doncaster.
- Provide teachers with support for quality professional development
- Develop a new model of alternative provision for young people who have high academic potential but who are disengaged from learning.
- Deliver the first year of the Social Mobility Opportunity Area action plan - include mentoring for young people from disadvantaged backgrounds, improvements to maths and literacy in primary schools and a review of post-16 education.

Key Strategies & Plans that will support this theme

- Children and Young People's Plan 2017-2021
- Early Help Strategy
- Education and Skills Commission 'One Doncaster' report
- Social Mobility Opportunity Area Action Plan

Working

More people are able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future.

Developing the economy through continued inward investment, targeting higher skilled jobs and more emphasis on supporting entrepreneurship and business growth. Ensuring local people can connect to opportunities.

Areas for action

1. Support for Doncaster businesses to flourish.
2. Better access to good, fulfilling work.
3. Target the inward investment we need.

How we want to make life better for residents

- Doncaster's economy is more productive.
- The number of businesses in Doncaster increases.
- More businesses achieve their potential to export goods and services.
- More jobs are created in the local economy.
- More people are employed in good quality jobs.
- More people are in sustained work.
- Wages increase for Doncaster residents.
- More people in Doncaster are completing good quality apprenticeships.
- Fewer people are claiming out of work benefits, including Universal Credit.
- The number of care leavers entering employment, education or training increases.
- The number of people from BME communities that take up apprenticeship opportunities in Doncaster increases.
- The gap in female employment and the female wage rate reduces within the organisations in Doncaster that we can influence.

What the Council needs to do well

- Process planning applications quickly and appropriately.
- Market Doncaster as a good place to live, work and visit.
- Encourage and support inward investment opportunities in the borough, targeting key employment sectors.

Key priorities that our resources will support in 18-19

We will:

- Develop an integrated Employment and Advancement Service.
- Improve the link between work pathways and health through a new Local Integration Board.
- Support Doncaster residents to set up their own business.
- Support target sectors, building on successful work with the rail industry.
- Support the delivery of a major events programme.
- Continue to support key employment sites at the airport.
- Deliver our major projects including:
 - The infrastructure for a new cinema (Civic & Cultural Quarter).
 - Improvements to the Waterfront site to attract inward investment.
 - A new Doncaster station forecourt - and gateway into the town.
- Progress the A630 Westmoor link to unlock housing and jobs.
- Progress a new M18 link road to support the DN7 Unity project.
- Increase the momentum for a train station at the Airport.
- Develop our proposition for a North Doncaster A1-A19 link road.
- Develop our proposition for improved Pan-Northern Connectivity.
- Support proposals for a PGA Golf Course at Rossington.
- Deliver our Highway Maintenance Programme.

Key Strategies & Plans that will support this theme

- Sheffield City Region (SCR) Inclusive Industrial Strategy.
- SCR Transport Strategy and Transport for the North Masterplan.
- Doncaster Inclusive Growth Plan; Local Plan; Urban Centre Masterplan.

Living

Doncaster's people live in a borough that is vibrant and full of opportunity, where people enjoy spending time.

Building upon our cultural, artistic and sporting heritage, we will continue to release the potential of our most creative people and bring new life and energy to our town centres. We want everyone who chooses to live in Doncaster to have a place they are proud to call home. We want to make the most of our local environment - to help improve levels of physical activity, health and happiness of everyone in the borough.

Areas for action

1. The town centres are the beating heart of Doncaster.
2. More people can live in a good quality, affordable home.
3. Healthy and vibrant communities through physical activity and sport.
4. Everyone takes responsibility for keeping Doncaster clean.
5. Building on our cultural, artistic and sporting heritage.

How we want to make life better for residents

- More homes are built and fewer people are homeless or in unsuitable accommodation.
- More people are physically active.
- More people feel safe in their community.
- Healthy life expectancy in Doncaster improves.
- The Local Plan is developed to facilitate Doncaster's economic, housing and population growth in a sustainable way.
- Our natural environment is enhanced and protected.
- Fewer children in poverty.
- People take part and enjoy great cultural experiences.
- Care leavers have a good place to live with the support they need.
- The inequalities of health outcomes of BME populations reduce.
- More people are supported to take up opportunities to get involved in community life.

What the Council needs to do well

- Support more people to recycle.
- Encourage and educate people on road safety.
- Regularly clean the streets and roads, removing litter, detritus, fly posting, graffiti and fly tips.
- Make sure we cut grass when we say we will.
- Maintain the roads to appropriate standards across the borough.
- Deal with dangerous/nuisance trees when we know about them.
- Deal with housing hazards, resolving any issues quickly.
- Encourage more people to take up NHS health checks.

Key priorities that our resources will support in 18-19

We will:

- Improve Doncaster markets.
- Invest in 'Quality Streets' to improve pavements and the appearance of our town centre.
- Deliver a new closed road cycle circuit at the Dome.
- Host the Tour De Yorkshire start/finish stages in May 2018.
- Utilise a new partnership with Sport England to pilot improvements in physical activity across the borough.
- Build and work with partners to deliver new affordable homes.
- Respond to new duties in the Homelessness Reduction Act.
- Support the development of a new town centre museum and library.

Key Strategies & Plans that will support this theme

- Doncaster Local Plan and area specific plans
- Physical Activity and Sport Strategy 2018-2028
- Housing Strategy 2015-2025
- Cultural Strategy 2016-2021
- Community Safety Strategy 2017-2021
- Director of Public Health Annual Report 2018-19

Caring

A borough that cares together for its most vulnerable residents

Supporting our most vulnerable residents, whether children, adults, disabled people, families, or older people. The emphasis here is on joining up social and health care and support and on shifting our focus to prevention and support that enables people to enjoy life with their families and communities.

Areas for action

1. Children have the best start in life.
2. Vulnerable families and individuals have support from someone they trust.
3. Older people can live well and independently in their own homes.

How we want to make life better for residents

- Fewer people are delayed from leaving hospital.
- Fewer children and adults require health and social care services and vulnerable people are safe.
- More people remain healthy and independent for longer with fewer people that are socially isolated.
- The number of preventable deaths reduces.
- People in end of life care die in a place of their choosing.

What the Council needs to do well

- Complete assessments quickly and appropriately, responding to need and keeping people safe.
- Increase the number of people who receive a direct payment.
- Support more people with disabilities into work.
- Make information about services easier to access.
- Support people to live well at home for as long as possible.
- Reduce the amount of repeat referrals, specifically for children's care.
- Support families to access free childcare entitlements.
- Support more residential homes to be rated good or better.

Key priorities that our resources will support in 18-19

We will:

- Support the partnership to deliver the Doncaster Place Plan and integrate Health and Social Care.
- Implement a dedicated 'Complex Lives' team, supporting some of the most vulnerable people in the borough.
- Continue to work with our partners to improve outcomes for children and families, focusing on prevention and early interventions.
- Develop a reformed model of housing related support to enable vulnerable people to live independently.
- Enhance integrated Intermediate Care services to maximise people's independence after periods of short term care.
- Develop a new strategy to support people with learning disabilities
- Transform Adult Day Services - with alternative opportunities for individuals to develop their independence.
- Develop new Supported Living Contracts to help people with Learning Disabilities or Mental Health issues to live independently with support.

Key Strategies & Plans that will support this theme

- Your life Doncaster Transformation Plan and Doncaster Place Plan.
- Joint Health and Well-Being Strategy; Early Help Strategy.

Connected Council

A connected council – ready for the future.

We are committed to being a Council that promotes growth and prosperity for its residents. We must ensure that services are targeted and make a difference to those people who need them most. We will continue to make the most of technology, re-designing our services so they are fit for the future and working in partnership with our local communities, voluntary, charity and faith sectors to deliver services together - as detailed in our Doncaster Growing Together Plan.

Areas for action

1. A modern, efficient and flexible workforce.
2. Modern, accessible customer interactions.
3. Operating within our resources and delivering value for money.
4. A co-ordinated, whole person, whole life focus on the needs and aspirations of residents.
5. Building community resilience and self-reliance by connecting community assets and strengths.
6. Working with our partners and residents to provide effective leadership and governance.

What the Council needs to do well

- Respond to our customers quickly, focusing on a quality customer experience.
- Process Housing and Council Tax queries quickly and appropriately.
- Ensure more people can access Council services digitally.
- Collect Council Tax and Business Rates effectively.
- Be an open and inclusive employer.
- Wherever possible spend our money locally.
- Support employees to improve productivity and reduce absence.
- Provide expert advice for all aspects of Council business.
- Secure the best Devolution Deal for Doncaster.

Key priorities that our resources will support in 18-19

We will:

- Invest in technology to improve service delivery, including an Integrated People solution to provide whole family intelligence to better serve customer needs.
- Oversee an investment management fund to support investment in service transformation and to realise longer-term savings.
- Support the organisation to be ready for the General Data Protection Regulation.
- Manage and improve the quality of data we hold and use
- Deliver the improvements identified in the Annual Governance Statement.
- Explore and develop our approach to commercialisation.
- Manage our corporate assets well.
- Develop our approach for making the most of community assets to support people who are vulnerable or at risk.
- Develop our approach to support the Voluntary, Community and Faith Sector and effective engagement with our communities.
- Deliver the outcomes in the Workforce Strategy, including publishing our Gender Pay Gap information.

Key Strategies & Plans that will support this theme

- State of the Borough Assessment.
- Workforce Strategy.
- Customer Services Strategy 2016-20.
- Information Management Strategy 2017-20.
- Data Quality Strategy 2017-20.
- Technology Strategy.
- Performance Management Framework 2018-19.
- Medium Term Financial Plan (MTFP) and Council Budget 2018-19.
- Communications and Engagement Strategy.



Doncaster Council

Report

Date: 5th March 2018

To the Chair and Members of COUNCIL

APPOINTMENT OF LOCAL RETURNING OFFICER FOR THE BARNESLEY, DONCASTER, ROTHERHAM AND SHEFFIELD COMBINED AUTHORITY ELECTION

Relevant Cabinet Member(s)	Wards Affected	Key Decision
Jane Nightingale	All	No

EXECUTIVE SUMMARY

1. To note the appointment of the Council's Chief Executive Johanna Miller, as the Local Returning Officer for the Barnsley, Doncaster, Rotherham and Sheffield Combined Authority election to be held on 3rd May 2018.

EXEMPT REPORT

2. N/A

RECOMMENDATIONS

3. To note the appointment of the Council's Chief Executive Johanna Miller, as the Local Returning Officer for the Barnsley, Doncaster, Rotherham and Sheffield Combined Authority election to be held on 3rd May 2018.

WHAT DOES THIS MEAN FOR THE CITIZENS OF DONCASTER?

4. Section 35(1) of the Representation of the People's Act 1983 requires that Councils appoint an officer for local elections. There is a requirement for Doncaster MBC to appoint a Local Returning Officer who will ensure that the upcoming Combined Authority election is delivered in Doncaster in accordance with all legal requirements.

BACKGROUND

5. Johanna Miller, the Chief Executive of Doncaster Council, has been appointed by Council to act as the Returning Officer for all elections held within Doncaster. This is compliant with the Council's duties under the Representation of the People's Act 1983 to appoint one of its officers as Returning Officer.
6. An election will be held on 3rd May 2018 to elect a Mayor for the Barnsley, Doncaster, Rotherham and Sheffield Combined Authority area. The Combined Authority has appointed its Chief Executive, Dave Smith, as Returning Officer for the election, but each voting area (Barnsley, Doncaster, Rotherham and Sheffield) are required to appoint a Local Returning Officer to ensure that the election is administered appropriately in its own area.
7. Members will recall recent issues surrounding the Combined Area and the desire of Doncaster and Barnsley Councils to join a Wider Yorkshire devolution model rather than a smaller South Yorkshire arrangement. Members will also recall the Community Poll which was held during December 2017 which overwhelmingly demonstrated that the preference of the people of Doncaster and Barnsley was also to join a Wider Yorkshire rather than the Sheffield City Region Combined Authority.
8. Following the outcome of the Community Poll, Ros Jones the Mayor of Doncaster and the Leader of Barnsley Council both wrote to Sajid Javid, the Secretary of State for Housing, Communities and Local Government, proposing that the May 2018 election be postponed and that the desire of Doncaster and Barnsley to join a Wider Yorkshire proposition be respected. Unfortunately, these discussions have not, to date, been successful and in May 2018 the Combined Authority election will take place at an estimated cost of £2,000,000.00 (Two Million Pounds). It remains the ambition of Doncaster Council and almost all of the other local authorities in Yorkshire to establish a Wider Yorkshire Combined Authority. In order to achieve this aim, Doncaster Council will need to leave the Sheffield City Region Combined Authority.

OPTIONS CONSIDERED

9. Option 1 - To appoint Johanna Miller as Local Returning Officer (Recommended).
10. Johanna Miller has been appointed by the Council as its Returning Officer for all elections in Doncaster. Ms Miller is an experienced Returning Officer and has substantial elections expertise.
11. Option 2 – Do not appoint Johanna Miller as Local Returning Officer (Not Recommended)
12. The Council has a legal duty to appoint a Local Returning Officer. Ms Miller has already been appointed by Council as its Returning Officer for all elections in Doncaster.

REASONS FOR RECOMMENDED OPTION

13. Johanna Miller has been appointed by the Council as its Returning Officer for all elections in Doncaster. Ms Miller is an experienced Returning Officer and has substantial elections expertise.

IMPACT ON THE COUNCIL'S KEY OUTCOMES

14.

	Outcomes	Implications
	<p>Doncaster Working: Our vision is for more people to be able to pursue their ambitions through work that gives them and Doncaster a brighter and prosperous future;</p> <ul style="list-style-type: none"> • Better access to good fulfilling work • Doncaster businesses are supported to flourish • Inward Investment 	None
	<p>Doncaster Living: Our vision is for Doncaster's people to live in a borough that is vibrant and full of opportunity, where people enjoy spending time;</p> <ul style="list-style-type: none"> • The town centres are the beating heart of Doncaster • More people can live in a good quality, affordable home • Healthy and Vibrant Communities through Physical Activity and Sport • Everyone takes responsibility for keeping Doncaster Clean • Building on our cultural, artistic and sporting heritage 	None
	<p>Doncaster Learning: Our vision is for learning that prepares all children, young people and adults for a life that is fulfilling;</p> <ul style="list-style-type: none"> • Every child has life-changing learning experiences within and beyond school • Many more great teachers work in Doncaster Schools that are good or better • Learning in Doncaster prepares young people for the world of work 	None

	<p>Doncaster Caring: Our vision is for a borough that cares together for its most vulnerable residents;</p> <ul style="list-style-type: none"> • Children have the best start in life • Vulnerable families and individuals have support from someone they trust • Older people can live well and independently in their own homes 	None
	<p>Connected Council:</p> <ul style="list-style-type: none"> • A modern, efficient and flexible workforce • Modern, accessible customer interactions • Operating within our resources and delivering value for money • A co-ordinated, whole person, whole life focus on the needs and aspirations of residents • Building community resilience and self-reliance by connecting community assets and strengths • Working with our partners and residents to provide effective leadership and governance 	The Council, along with its partners in the Combined Authority, are legally required to hold an election in May 2018 to elect a Mayor who will chair the Barnsley, Doncaster, Rotherham and Sheffield Combined Authority. Officers of the Council will be heavily involved during the election to ensure that the Council meets its statutory duties in respect of elections

RISKS AND ASSUMPTIONS

15. To not appoint a Local Returning Officer would place the Council in breach of its statutory duties.

LEGAL IMPLICATIONS [Officer Initials HW Date 22.2.18.]

16. Section 35(1) of the Representation of the People's Act 1983 requires a Council to appoint an officer to be the Returning Officer for local elections. The Council has previously appointed its Chief Executive, Johanna Miller, as the Returning Officer for all elections to be held in Doncaster. In order to comply with the requirement of the Combined Authorities (Mayoral Elections) Order 2017, a further appointment must be made to appoint Johanna Miller as Local Returning Officer for a Combined Authority election. This report complies with the Council's duties under that Order.

FINANCIAL IMPLICATIONS [Officer Initials AT Date 22/02/18]

17. The appointment of the Council's Chief Executive as the Local Returning Officer will not incur any additional costs as the fee that is normally payable for other elections, i.e. a General Election, is not being taken by any of the Local Returning Officers. Therefore, the time taken to complete the role of the Local Returning Officer will be met from existing budgets.

HUMAN RESOURCES IMPLICATIONS [Officer Initials CB Date 22.02.18.]

18. There are no human resources implications arising from this report.

TECHNOLOGY IMPLICATIONS [Officer Initials PW Date 22.02.18..]

19. There are no technology implications arising from this report.

HEALTH IMPLICATIONS [Officer Initials RS..Date 22.02.18...]

20. Effective political processes improve health and wellbeing. Properly appointing a returning officer will contribute to democratic accountability and improved health and wellbeing

EQUALITY IMPLICATIONS [Officer Initials : SF Date...22/02/2018]

21. There are no equality implications arising from this report.

CONSULTATION

22. The appointment of Johanna Miller as Local Returning Officer for the Combined Authority election has been discussed by both the Mayor of Doncaster and the Chief Executive of Barnsley, Doncaster, Rotherham and Sheffield Combined Authority in his role as Returning Officer for the election.

BACKGROUND PAPERS

23. Council Report - Confirmation Of Appointment Of Chief Executive, Head Of Paid Service, Returning Officer And Electoral Registration Officer 8th December 2011

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Monitoring Officer

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SHEFFIELD CITY REGION COMBINED AUTHORITY

THE AMP TECHNOLOGY CENTRE, WAVERLEY, ROTHERHAM, S60 5WG

MINUTES OF THE MEETING HELD ON 29 JANUARY 2018

PRESENT:

Councillor Chris Read, Rotherham MBC (Chair)
Councillor Tricia Gilby, Chesterfield BC (Vice Chair)

Councillor Graham Baxter MBE, North East Derbyshire DC
Councillor Julie Dore, Sheffield CC
Councillor Simon Greaves, Bassetlaw DC
Councillor Sir Steve Houghton CBE, Barnsley MBC
Mayor Ros Jones CBE, Doncaster MBC
Councillor Ann Syrett, Bolsover DC
Nigel Brewster, SCR LEP

Councillor Chris Furness, Derbyshire Dales
Ruth Adams, SCR Exec Team
Fiona Boden, SCR Exec Team
David Budd, SCR Exec Team
Peter Dale, Doncaster MBC
Steve Davenport, SYPTE
Steve Edwards, SYPTE
Andrew Frosdick, Monitoring Officer
Andrew Gates, SCR Exec Team
Jeni Harvey, SCR Exec Team
Lee Hickin, Bolsover DC
Sharon Kemp, Rotherham MBC
Mark Lynam, SCR Exec Team
John Mothersole, Sheffield CC
Keith Noyland, SYPTE
Dave Smith, SCR Exec Team
Neil Taylor, Bassetlaw DC
Diana Terris, Clerk / Barnsley MBC
Mike Thomas, SCC / SCR Exec Team
Craig Tyler, Joint Authorities Governance Unit

Apologies for absence were received from Councillor L Rose OBE, H Bowen, D Bunton, J Miller, D Swaine, E Walker and N Knowles

1 APOLOGIES

Members' apologies were noted as above.

2 ANNOUNCEMENTS

None.

3 URGENT ITEMS

4 ITEMS TO BE CONSIDERED IN THE ABSENCE OF THE PUBLIC AND PRESS

None.

5 VOTING RIGHTS FOR NON-CONSTITUENT MEMBERS

It was confirmed that voting rights could not be conferred on non-Constituent Members in respect of agenda item 12 – 'Draft SY Transport Revenue Budget' as this matter regards the Constituent Local Authorities only.

It was agreed that there were no additional agenda items for which the non-Constituent Members should not have full voting rights.

6 DECLARATIONS OF INTEREST BY INDIVIDUAL MEMBERS IN RELATION TO ANY ITEM OF BUSINESS ON THE AGENDA

As Leaders of the relevant sponsoring authorities, Mayor Jones and Cllr Houghton declared interests in the Doncaster and Barnsley schemes to be discussed at item 13.

7 REPORTS FROM AND QUESTIONS BY MEMBERS

On behalf of a member of the Public, Cllr Dore referenced the collapse of Carillion plc and asked whether the SCR has looked at the impact of the collapse on any of our own capital projects.

D Smith confirmed this matter has been appropriately investigated and actions taken where required and there are no lasting implications to the SCR.

8 RECEIPT OF PETITIONS

None.

9 PUBLIC QUESTIONS

None received.

10 MINUTES OF THE MEETING HELD ON 11TH DECEMBER 2017

RESOLVED, that the minutes of the previous meeting held on 11th December are agreed to be an accurate record.

11 REVENUE AND CAPITAL BUDGET MONITORING

A report of the Chief Finance Officer was received detailing the position of the revenue budgets and capital programme as at the end of Quarter 3 2017/18.

Regarding works being scoped to ensure the compliance, safety, security and efficiency and effectiveness of the Broad Street West asset (including addressing current issues surrounding non-compliance with the Equality Act 2010), following the transfer of Prop Co assets (and associated reserves) and in line with the full review of all CA assets, Members agreed there should be no spend against the proposed budget of up to £485k until details of the scheme have been provided and approval has been sought from and approved by the Combined Authority.

RESOLVED, that the Combined Authority:

1. Notes the contents of the paper
2. Notes the forecast drawdown on unallocated reserves of £477k to support CA/LEP activity
3. Notes the forecast revenue budget underspend of £1.2m on SYPTE activity which would reduce the call on General Fund reserves from £3m to £1.8m;
4. Notes the forecast CA/LEP capital programme underspend of between £7.1m and £17.8m against approved budget;
5. Notes the forecast underspend of £5.2m on the local transport capital programme.
6. Agrees the budget variation recommendations summarised in section 2 of the report; subject to the provision of additional information and the Combined Authority's subsequent further approval of spend in relation to the Broad Street West scheme

12 DRAFT SY TRANSPORT REVENUE BUDGET

A report was presented to provide Members with the final proposals for the South Yorkshire Transport Revenue Budget for financial year 2018/19.

It was noted the revenue budget provides the resources for the South Yorkshire Passenger Transport Executive to deliver the South Yorkshire Transport Plan, and is resourced itself through a levy on the South Yorkshire partners.

Members were advised that to meet statutory deadlines, a transport levy must be set at this meeting, however, approval of the South Yorkshire Transport capital programme has been deferred to 9th March meeting. It is proposed that the CA/LEP revenue budget and capital programme also be approved at the March meeting.

It was noted the revenue budget proposals within this paper have been developed to achieve three key objectives: 1. Support revenue budget pressures in the four South Yorkshire partner authorities by reducing the transport levy; 2. Efficiently resource SYPTE to be an effective delivery partner; and 3. Achieve South Yorkshire transport budget sustainability once the transport levy reduction reserve is exhausted.

Members were provided with details of the 4 year levy reduction plan (5% this year and 2.5% for the next 3 years).

Members were informed the budget has taken account of a number of uncertainties, including some aspects that will impact on concessionary spend (e.g. age equalisation and societal changes).

It was confirmed there are no substantial changes proposed to policy or the services delivered by SYPTE.

RESOLVED, that the Combined Authority:

1. Approves a transport levy for financial year 2018/19 of £55,759k noting that this represents a 3.0% reduction on the financial year 2017/18.
2. Notes that no immediate change in the CA's Minimum Revenue Provision policy is proposed in this report, but it may be necessary to revisit this policy later in the year due to proposed changes to the Prudential Code.
3. Notes that a refreshed medium term financial strategy for South Yorkshire transport activity will be brought back for approval in early summer.
4. Notes that the South Yorkshire transport capital programme will be presented as part of the CA group's overall capital programme on 9th March, and notes this will include the proposed details for the creation of a £3.5m capital pot (as referred to in paragraph 1.9 of the report).

13 LGF CAPITAL PROGRAMME

A paper was presented to provide Members with the CA Financial Approvals which have progressed through the Appraisal Framework.

RESOLVED. That the Combined Authority:

1. Approves an award of £1,171,371 of LGF for phase 1 of the M1 Junction 37 Economic Growth Corridor scheme, noting the conditions of award contained within the report
2. Approves the Skills Capital projects for Sheffield College, Sheffield Hallam University, the RNN Group, Chesterfield College and the National Fluid Power Centre, noting the total costs of these projects is £1,215,000 and noting the request for funding may result in a small acceleration of Skills Funding from 18/19 to 17/18.

3. Notes the projects seeking outcome based contract with drawdown of funds in 2017/2018 and approves the three projects (Doncaster Urban Centre – Enterprise Market Place, Doncaster Urban Centre - Civil and Cultural Quarter and Sepulchre Gate West Phase 2) draw down of LGF allocation in 2017/2018.
4. Approves the project changes requested for: Chesterfield Waterside, Claywheels Lane Phase 1, Harworth and Bircotes Phase 2, Strategic Testing Tools, DN7, BIF, G2G Phase 1, M1 Junction 36 Hoyland Phase 1, Upper Don Valley Flood Alleviation, St Sepulchre Gate Phase 3 and the Sustainable Transport Exemplar Programme.
5. Notes the projects in development reducing / de-committing their call on the LGF allocation: Westmoor Link Road, Waterfront East, Claywheels Lane Phase 2, Parkwood Springs and Waverley Link Road
6. Approves delegated authority to Head of Paid Service and Section 73 Officer to authorise in year spend variations specifically where a project is able to accelerate expenditure from a future financial year into the current year and where that project already has full approval and is in contract, noting the CA will be informed when these delegated approvals take place.
7. Approves delegated Authority to the Head of Paid of Service, in conjunction with the Section 73 Officer, to enter into the contractual arrangements required as a result of the above approvals.

14 DELEGATED AUTHORITY REPORT

Provided for information

15 RESOLUTION RECORD - INFRASTRUCTURE HOUSING EXECUTIVE BOARD

RESOLVED, that the decisions and recommendations contained within the report are duly endorsed.

16 RESOLUTION RECORD - SKILLS EXECUTIVE BOARD

RESOLVED, that the decisions and recommendations contained within the report are duly endorsed.

CHAIR

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**SHEFFIELD CITY REGION LOCAL ENTERPRISE PARTNERSHIP BOARD
NOTES OF MEETING HELD ON 18 DECEMBER 2017**

Board Members: Sir Nigel Knowles (Chair), Gavin Baldwin, Laura Bennett, Nigel Brewster, Councillor Julie Dore, Councillor Tricia Gilby, Alexa Greaves, Julie Kenny CBE, Neil MacDonald, Martin McKervey and Richard Stubbs

In attendance: Co-Opted Members: Chris Scholey and Professor Chris Husbands

Officers: Ruth Adams, Fiona Boden, Jayne Hampshire, Jeni Harvey, Claire James, Sharon Kemp, Helen Lazarus, Mark Lynam, John Mothersole, Luke Owen, Dave Smith, Diana Terris, Craig Tyler and Krysia Wooffinden

Apologies: Councillor Graham Baxter MBE, Professor Sir Keith Burnett, Councillor Simon Greaves, Councillor Sir Steve Houghton CBE, Mayor Ros Jones CBE, Owen Michaelson, Councillor Chris Read, Councillor Lewis Rose OBE, Councillor Ann Syrett, Huw Bowen, Councillor Michael Gordon, Jo Miller, Daniel Swaine, Neil Taylor and Simon Carr

Item	Subject	Action
1	<p>Welcome and Apologies</p> <p>The Chair welcomed everyone to the meeting.</p> <p>It was noted that as per the discussion at the previous meeting, start times for future meetings have been amended for members' convenience.</p>	
2	<p>Declarations of Interest</p> <p>Prof. Chris Husbands declared an interest in matters to be discussed at item 9, noting the SHU is one of the organisations bidding into the Skills Capital Fund.</p>	
3	<p>Notes of Last Meeting</p>	

The notes of the last meeting held on 9th November were agreed to be an accurate record.

4 Industrial Strategy White Paper

A report was received to provide a synopsis of what was included in the Government's Industrial Strategy White Paper (published 27th November 2017) and to highlight potential issues and opportunities that will need consideration as further details are given in the coming months.

Particular attention was given to the opportunities afforded by the Transforming Cities Fund, Competitive Strength in Places Fund (and its relevance to the SCR's science and innovation agenda) and the Shared Prosperities Fund.

It was acknowledged the SCR has much preparatory work to do in readiness for the various funding opportunities referenced within the White Paper

The Board considered where the LEP will be best placed to support the SCR and what form of support that may take, noting the need to also accord with the government's view of how LEPs will be 'positioned' within local Mayoral decision taking structures going forward.

It was noted the intention is to assign a LEP-lead to each substantive piece of work to steer the preparation of work ahead of funds being formally launched.

It was noted the timescales for the government's review of the roles and responsibilities of LEPs is still somewhat vague and different government departments may have a variance of options regarding the content and scope of the review.

The Board discussed how this review might feed into our own planned changes to governance structure, also the shift from 'executive boards' to 'delivery boards' and the creation if a mayoral governance model. It was also suggested there is the need to revisit how the SCR LEP is currently focussed / organised around certain sectors (e.g. advanced manufacturing, transport and logistics, science and innovation etc.) to ensure these are the right ones, noting opportunities around tourism, leisure and hospitality etc.

It was acknowledged the White Paper's inclusions are of interest to a wider array of organisations that those engaged directly with the LEP and therefore agreed the LEP might need to determine how it participates in other non-LEP-led structures to avoid duplication of efforts and confusion over leadership.

It was acknowledged there is also a need to not lose sight of any complexities that might arise from SCR LEP's geographic overlap with

other LEP areas, or where it might be prudent to progress ambitions across a wider, multi-LEP geography.

It was noted the SCR Exec Team will progress the development of a programme of work, distilled around specific White Paper related themes, and administer the appointment of LEP leads for each of these themes, with a view to having this work substantively completed before the 2018 Mayoral elections.

Action: Dave to present a further paper detailing the programme of Industrial Strategy White Paper related works to the next meeting.

It was acknowledged the holistic review of LEP / Mayoral governance structures will take place later 2018 once the Mayor is in office.

5

Business Growth

The Board was invited to contribute to the discussion around the development of a targeted Business Growth Strategy to oversee the achievement of the SCR's strategic aim to create *an international City Region with a higher number of growing businesses, creating more and better jobs through improvements in sectoral productivity driven by targeted support and investment (ref. SCR Inclusive Industrial Strategy).*

It was noted the Business Growth theme doesn't currently benefit from having a bespoke 'strategy' (unlike the SCR's other priority themes) that sits between the SCR Inclusive Industrial Strategy and discrete areas of work e.g. the Growth Hub. It was suggested such a strategy would also help to demonstrate how the Business Growth theme is central and supportive of other themes (skills, transport and infrastructure).

The Board discussed examples from elsewhere nationally where businesses have been assisted through innovative means to help them scale up or develop their growth potential.

Consideration was given to what the roles of the LEP ought to be in helping businesses grow. It was suggested one role might be to act as a conduit for bringing together manufacturers and digital innovators at a strategic level. It was also suggested the LEP may have to be different things to different people, recognising the differing requirements or large employers and small / start up employers.

It was noted this replicates to a large extent activity already underway in each local authority and there is therefore a need to determine what 'added value' the LEP provides.

Instances of recent business growth success within the SCR were cited as examples of what we can acknowledge we are good at, and what more the region could be doing to engender further success.

	<p>The Board endorsed the development of a draft Business Growth strategy, noting an expectation this will tie into and be supportive of the SCR's other strategies.</p> <p>It was noted an update report will be presented spring 2018.</p>	
<p>6</p>	<p>Annual Conversation</p> <p>A report was received informing the Board of matters arising from the Annual Conversation with Government on the activities of the Sheffield City Region (SCR) Local Enterprise Partnership (LEP) that was held on the 22nd November.</p> <p>It was noted that at this meeting, a discussion was had around the activities undertaken by the LEP over the preceding 12 months to achieve its ambitions. This highlighted how significant progress had been made by the area, in addition to a small number of actions required to continue to improve performance.</p> <p>It was noted proactive action would be taken soon to address the issue of information pertaining to the LEP being split across different websites, with the SheffieldCityRegion website being remodelled to facilitate its capability to host all relevant information.</p> <p>The Board welcomed the request for BEIS representatives to attend future SCR LEP Board meetings, noting this is established practise elsewhere.</p>	
<p>7</p>	<p>Skills & Employment Employer Engagement Campaign</p> <p>A report and presentation were received to remind the Board of the range of skills and employment projects underway (nationally and locally led) that deliver support to residents and businesses across the SCR; and to present, for consideration, the proposal to develop a single bespoke-branded 'campaign' of support for both employers and individuals seeking employment.</p> <p>In assessing this proposal, the Board gave more consideration to the ethos of the LEP, how it might best determine what it wants to achieve and how it might realise its ambitions.</p> <p>The Board questioned whether a single brand for all skills and employment projects, with a bespoke website is too much of a 'top down' solution and whether the SCR have the necessary back office systems and resources in place to respond to numerous enquiries. It was also questioned whether associated projects being led by other agencies would be compelled to adopt the single brand.</p> <p>The Board deferred a decision on whether to support the single brand proposal at this stage and requested the Skills Executive Board look at</p>	

	<p>the proposal and its likely implications in more detail ahead of the matter being brought back to a future LEP Board meeting.</p> <p>It was noted the Skills Executive Board is due to meet on 11th January.</p> <p>Action: ALL comments on the single engagement campaign proposal to be sent to Krystia by 10th January</p>	
8	<p>Governance - Expenses Policy</p> <p>A report was presented to provide a further draft of the LEP Expenses Policy</p> <p>The Board members agreed the proposed Policy and noted the process for making a claim (Annex B of the policy).</p>	
9	<p>Managing Directors' Update</p> <p>A report was received to provide members with a general update on activity being undertaken by the LEP outside of the agenda items under discussion.</p> <p>The report covered the various funding calls underway, matters within the Chancellor's budget of relevance to the LEP and thematic matters of note.</p> <p>The board discussed the current status of the SCR's Mayoral devolution process. It was noted a meeting is being arranged between the LEP Chair and DCLG ministers to discuss devolution related matters from the LEP perspective.</p>	
10	<p>Any Other Business</p>	
	<p>No further matters noted.</p>	

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SOUTH YORKSHIRE FIRE AND RESCUE AUTHORITY

22 JANUARY 2018

PRESENT: Councillor L Burgess (Chair)
Councillor A Atkin (Vice-Chair)
Councillors: S Ayris, A Buckley, M Clements, T Damms,
P Haith, C Hogarth, C Rosling-Josephs and Dr A Billings

CFO J Courtney, QFSM, DCFO M Blunden, ACFO A Johnson,
S Booth and A Jenkinson (South Yorkshire Fire & Rescue
Service)

M McCarthy, L Noble, M McCoole and I Rooth (Barnsley MBC)

M Buttery (Office of the South Yorkshire Police and Crime
Commissioner)

Apologies for absence were received from
Councillor C Ransome and Councillor J Satur

1 APOLOGIES

Apologies for absence were noted as above.

2 ANNOUNCEMENTS

Councillor Burgess congratulated CFO Courtney, on behalf of the Authority, on being awarded the Queen's Fire Service Medal in the New Year's Honours List, in recognition of the work he had undertaken during his career in the fire service both nationally and in South Yorkshire.

3 URGENT ITEMS

None.

4 ITEMS TO BE CONSIDERED IN THE ABSENCE OF THE PUBLIC AND PRESS

None.

5 DECLARATIONS OF INTEREST BY INDIVIDUAL MEMBERS IN RELATION TO ANY ITEM OF BUSINESS ON THE AGENDA

None.

6 REPORTS BY MEMBERS

Members provided a summary of the visits and meetings attended since the last Authority meeting held on 27 November 2017.

Councillor Haith encouraged Members to sign up to the United Nation's 'HeForShe' Gender Equality Campaign; she would provide Members with an online link via email.

Councillor Burgess informed Members of the opportunity to attend meetings of the Senior Management Team and Watch Managers at various SYFR fire stations, which would provide a good insight into the internal SYFR working relationships.

7 RECEIPT OF PETITIONS

None.

8 TO RECEIVE ANY QUESTIONS OR COMMUNICATIONS FROM THE PUBLIC, OR COMMUNICATIONS SUBMITTED BY THE CHAIR OR THE CLERK AND TO PASS SUCH RESOLUTIONS THEREON AS THE STANDING ORDERS PERMIT AND AS MAY BE DEEMED EXPEDIENT.

None.

9 MINUTES OF THE AUTHORITY MEETING HELD ON 27 NOVEMBER 2017

Members requested that they be provided with summary notes of the Corporate Advisory Group meetings, to ensure that Members unable to attend were updated.

The Director of Support Services stated that a decision had been made to defer the report that was scheduled to be presented at today's meeting, in relation to the approach for the Barnsley Fire Station tender and to propose the implementation of the living wage in relation to the Facilities Management procurement; the report would be presented to the Authority meeting in February 2018. The decision had been reached due to the sickness absence of the Property Manager, workloads and the requirement to appoint a lead officer at Barnsley Fire Station.

RESOLVED – That Members:-

- i) Agreed that the minutes of the Authority meeting held on 27 November 2017 be signed by the Chair as a correct record.
- ii) Be provided with summary notes of future Corporate Advisory Group meetings where Members are unable to attend.
- iii) Noted that a report would be presented to the Authority meeting in February 2018 to address:-
 - The approach for the Barnsley Fire Station tender.
 - To propose the implementation of the living wage in relation to the Facilities Management procurement.

- iv) Noted that L Noble would seek two Member volunteers to sit on the Evaluation (Interview) Panel alongside the Chair of the Audit and Governance Committee.
- v) Requested that all reports should be provided to Members in a timely manner.

10 SYFR RESPONSE TO THE GRENFELL INCIDENT

A report of the Assistant Chief Fire Officer and Director of Service Delivery was presented to outline the work undertaken by SYFR's Business Fire Safety (BFS) Department and Emergency Response since the Grenfell incident.

SYFR's BFS Department had undertaken a large proportion of work with local authorities and private owners of high rise buildings. A total of 43 local authority buildings, 44 privately owned high rise buildings and a number of NHS buildings had been inspected, and various advice had been provided. Only 7 buildings had required additional activity; the majority of issues had now been resolved. The Metis Apartments in Sheffield continued to have a prohibition order in place due to the use of the refuse shoot, in order to protect the residents within the building. A number of additional low rise building inspections had been undertaken and support had been provided to operational staff regarding the buildings to be visited.

A total of 18 freedom of information (FOI) requests had been received post Grenfell, and two high rise building fires had been encountered within South Yorkshire.

SYFR continued to push for the installation of the sprinkler system into new buildings and retrospective fitting wherever possible; SSCR funding was being targeted at those high rise buildings where vulnerable people resided. SYFR had a sprinkler position in place and continued to support the National Fire Chiefs' Council's (NFCC) Sprinkler Team. SYFR attended meetings of the National Sprinkler Safety Network, which provided an avenue to promote the sprinkler installation message further.

The NFCC had responded to a Government consultation exercise post Grenfell, with a position statement that sprinklers should be fitted in all high rise buildings for life risk purposes; it was hoped that the Grenfell incident would persuade the Government to agree to the response, although it was difficult to predict the outcome.

All enquiries would predominately be dealt with by ACFO Johnson and her team, and FOI requests would be received by SYFR's Corporate Governance Team. Checks would be made to ensure that the contact details were clearly visible on the SYFR website.

SYFR had liaised with various housing associations post the Grenfell incident, and written guidance and literature had been provided; engagement would continue.

RESOLVED – That Members:-

- i) Noted the work undertaken by SYFR Business Fire Safety Inspectors and operational crews within Emergency Response along with the ongoing support and advice that was being given to members of the public and partner agencies.
- ii) Be provided with a report to set out SYFR's implications arising from the findings identified within Dame Judith Hackitt's report.
- iii) Noted that issues or concerns would be reported to the Authority by exception.
- iv) Noted that checks would be made to SYFR's website to ensure that the contact details were clearly visible.

11 POLICE AND FIRE COLLABORATION BOARD PAPERS

A report of the Deputy Chief Fire Officer and Director of Service Development was submitted to provide Members with background information on the Policing and Crime Act 2017, together with an update on the progress being made on current areas of collaboration with South Yorkshire Police.

Councillor Burgess thanked Dr Billings for the arrangements made in organising the Collaboration Workshop, which had been extremely useful and informative.

Members would be provided with the notes from the Collaboration Workshop either via email or as part of a report to be presented to the Authority meeting in February 2018.

The SYFR and SYP teams which were currently based at the Lifewise Centre, would become a joint team to deliver community safety to the whole of South Yorkshire.

Members were reminded that the LIFE Pilot would shortly draw to a conclusion. The LIFE Team consisted of two PCSO's seconded from SYP, and two SYFR staff on a fixed term contract who would be subject to redeployment within the Service.

RESOLVED – That Members:-

- i) Noted the contents of the report.
- ii) Be provided with the notes of the Collaboration Workshop by email.

11a Police and Fire Collaboration Board Minutes of 20 December 2017

Members were presented with the minutes of the Police and Fire Collaboration Board meeting held on 20 December 2017.

Members noted that the interviews for the Joint Head of Estates position would take place in January 2018. SYP had last undertaken a review of the estates some 3 years ago and it would be reviewed again due to the recommitment to neighbourhood policing, with a view to maximising the joint assets as much as practicable.

Councillor Burgess requested that future Police and Fire Collaboration Board minutes be accompanied with a covering report.

RESOLVED – That Members:-

- i) Noted the minutes of the Police and Fire Collaboration Board held on 20 December 2017.
- ii) Agreed that the minutes of future meetings be appended to this standing report to Authority meetings.

12 APPRAISAL PROCESS FOR INDEPENDENT MEMBERS OF THE AUDIT AND GOVERNANCE COMMITTEE

A report of the Clerk to the Fire and Rescue Authority was presented to provide Members with a draft appraisal form for consideration.

The appraisal process had been agreed by Members at the Authority meeting held in January 2017, whereby Independent Members of the Audit and Governance Committee would be subject to an appraisal process after their first term of four years, with no automatic re-appointment to the second (and final) four year term.

Councillor Ayris expressed concern that a newly appointed Chair to the Audit and Governance Committee could encounter difficulties in conducting an appraisal process. He suggested that, in such circumstances, an experienced Member should conduct the appraisal process.

RESOLVED – That Members:-

- i) Considered and agreed the appraisal form at Appendix A and the process as set out in paragraphs 4 and 5 of the report.
- ii) Agreed that an opportunity be provided for an experienced Member to cover the role of Chair of the Audit and Governance Committee to conduct the appraisal process, in the event of a newly appointed Chair to the Committee.

13 VOLUNTARY SCHEME PAYS - FIREFIGHTER PENSION SCHEME

A report of the Deputy Chief Fire Officer and Director of Service Development was presented to outline the position on the annual allowance arrangements as they related to Firefighters' Pension Schemes and detailed the changes to the annual allowance arrangements that led to the need for the Authority to consider whether voluntary scheme pays arrangements should be put in place.

The 1992 Firefighters' Pension Scheme had been reviewed by the Government in approximately 2004. Due to the long term sustainability of the 1992 scheme, a new scheme had been introduced in 2006 which had subsequently been reviewed by the Government's Actuarial Department in 2013. The Firefighters' Pension Scheme had been introduced in 2015.

The Deputy Clerk informed Members that the Monitoring Officer had been consulted and was assured of the guidance provided by the LGA to assist Members in making a decision.

The Local Pension Board acted as a scrutiny board, to oversee the Firefighters' Pension Schemes and to challenge West Yorkshire Pensions who administered the scheme.

Dr Billings put forward a motion, which was seconded by Councillor Damms, that the report be referred to the Local Pension Board in the first instance. The motion was not carried.

DCFO Blunden had recently attended a LGA Tax Implications Workshop, which had reviewed the changes to the Legislation from 2015 onwards; Members would be provided with a copy of the workshop slides.

RESOLVED – That Members:-

- i) Noted the contents of the report.
- ii) Agreed that voluntary scheme pays should be put in place.

All Members voted in favour that the voluntary scheme pays proposal should be put in place, with the exception of Dr Billings who abstained from voting.

- iii) Be provided with the LGA Tax Implications Workshop slides.
- iv) That consideration be given to the timing of certain reports in order to ensure adequate deliberation by Members of the Authority.
- v) Requested that officers in drafting reports consider the inclusion of an Executive Summary. It was agreed the Clerk would work with the Chair on a new report format which captured these comments.

14 KEY ISSUES ARISING FROM THE PERFORMANCE AND SCRUTINY BOARD HELD ON 23 NOVEMBER 2017

Members were presented with a key issues paper arising from the Performance and Scrutiny Board meeting held on 23 November 2017.

Councillor Ayris requested that Members be provided with feedback on the lessons learned from the post implementation review of the Support Services Review.

RESOLVED – That Members:-

- i) Noted the key issues arising from the Performance and Scrutiny Board held on 23 November 2017.
- ii) Be provided with feedback on the lessons learned from the post implementation review of the Support Services Review.
- iii) Be informed of any issues arising from future Performance and Scrutiny Board meetings.

15 DRAFT MINUTES OF THE AUDIT AND GOVERNANCE COMMITTEE HELD ON 27 NOVEMBER 2017

RESOLVED – That Members noted the draft minutes of the Audit and Governance Committee held on 27 November 2017.

CHAIR

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SOUTH YORKSHIRE PENSIONS AUTHORITY

JOINT LOCAL PENSION BOARD

18 JANUARY 2018

PRESENT: G Boyington (Scheme Member) (Chair)

G Berrett (Employer, SYP), N Gregory (Academy Representative),
N Doolan-Hamer (Unison), P Lofts (Employer BMBC),
K Morgan (Unite), S Ross (Scheme Member) and
J Thompson (Employer, Action Housing)

Officers: G Chapman (Head of Pensions Administration),
M McCarthy (Deputy Clerk) and M McCoolle (Senior Democratic
Services Officer)

Apologies for absence were received from N Simpson, A Frosdick
and G Richards

1 WELCOME AND APOLOGIES

G Boyington welcomed N Gregory to her inaugural meeting of the Board, and introductions were made.

2 DECLARATIONS OF INTEREST

None.

3 ANNOUNCEMENTS

George Graham had recently been appointed as the substantive Fund Director, with effect from 12 February 2018. Interviews for the appointment of Head of Pensions Administration would be held on 29 January 2018.

The Joint Authorities Governance Unit (JAGU) would relocate from 18 Regent Street, into Barnsley Town Hall on 23 January 2018. It was envisaged that future Board meetings and all Joint Authority meetings would be hosted at Barnsley Town Hall; Members would be provided with parking permits in advance of the meetings. M McCarthy assured Members that the relocation would not impact upon the meeting experience.

R Winter had been appointed as the Authority's Data Protection Officer, and he would attend future Board meetings to provide support in relation to GDPR.

4 MINUTES OF THE MEETING HELD ON 5 OCTOBER 2017

Members noted that a risk matrix would be included onto the Risk Register.

M McCarthy reported that the Authority's insurance covered the Board with regard to public indemnity. He would provide Members with written confirmation and the principle of terms via email.

RESOLVED – That Members:-

- i) Noted that a risk matrix would be included onto the Risk Register.
- ii) Would be provided with written confirmation that the Authority's insurance covered the Board with regard to public indemnity, together with the principle of terms, via email.
- iii) Agreed that the minutes of the Board meeting held on 5 October 2017 be agreed as a correct record.

5 WORK PROGRAMME

The Board considered its Work Programme to October 2018.

RESOLVED – That:-

- i) Members noted that the Work Programme would be amended to indicate:-
 - i) The review of the Annual Report and Accounts to be taken to the March 2018 meeting instead of the July 2018 meeting.
 - ii) A learning and development report, to highlight the past and future training, to be presented to the Board meeting in March 2018.
- iii) Arrangements to be made to reschedule the July 2018 Board meeting to June 2018, to enable the earlier commencement of work on the Annual Governance Statement.
- iv) To ensure that R Winter, Data Protection Officer, was in attendance at the next Board meeting, following the significant changes made to GDPR.
- v) A report in relation to the Internal Audit Update to be presented to the Board meeting in March 2018.

6 BUDGET MONITORING

Members were presented with the Board's expenditure for quarter ending 31 December 2017.

RESOLVED – That Members noted the position.

7 REVIEW OF THE SCHEME'S ADMINISTRATION STRATEGY

The Board was presented with a report to consult on the review of the Pensions Administration Strategy. The proposed amendments had been accepted at the Authority meeting held today, where Members had made a suggestion that any subsequent changes arising from the consultation should be presented to the Authority meeting in March 2018, before full sign off.

The main factor behind the review of the Strategy Document had been the need to introduce the concept of monthly posting and data file submissions, along with the administration requirements for the new process. Following a review of the document as a whole, a number of new items had been introduced and other items had been revised; financial penalties had been increased to further incentivise employers to meet the requirements of the Strategy.

Members noted that, as yet, no comments had been received following a six week consultation period on the revised Strategy with Employers, which had commenced on 1 January 2018 and had been launched at the Employers Forum and via EPIC.

RESOLVED – That Members were requested to provide G Chapman with any comments on the review of the Pensions Administration Strategy.

8 BREACH REPORTING

G Chapman informed Members of the intention that from April 2018 onwards, a breach reporting log would feature as a standard agenda item to future Board meetings, to enable Members to have full oversight of the information. A draft breach reporting log would be presented to the next Board meeting.

J Thompson requested that the Work Programme be amended to reflect that the breach reporting log would become a standard agenda item.

RESOLVED – That the Board:-

- i) Received the update.
- ii) Noted that a draft breach reporting log would be presented to the next Board meeting.
- iii) Noted that the Work Programme be amended to reflect that a breach reporting log would feature as a standard agenda item to future Board meetings from April 2018 onwards.

9 GDPR UPDATE

GDPR had been referenced at the Authority meeting held today, to assure Members that a comprehensive staff training exercise would be undertaken.

The Joint Authorities Governance Unit (JAGU) would liaise with the districts to arrange the training over the next 2 to 3 months, to incorporate the Board and Authority Members, in order to avoid duplication and additional costs; to be extended to other outside bodies if considered appropriate.

Members would be provided with the information ascertained through the research on practical law, which referred to the key lines of enquiries, issues to be aware of from a governance perspective and general guidance notes.

G Boyington suggested that a training session be held regarding the governance arrangements and the Board's responsibilities as principals rather than operatives.

S Ross highlighted the requirement for the Authority to ensure that the GDPR processes were compliant, before May 2018.

G Chapman referred to the major data mapping project that was underway; retention policies would start to be reviewed. A number of Authority staff were now GDPR compliant certified. A new secure email package had been purchased, links had been made with R Winter, the Data Protection Officer and the network had been tidied up completely.

G Chapman would continue to report to each Authority meeting prior to the launch. The spring Newsletter, which would be despatched in April 2018, would cover the privacy issues.

RESOLVED – That Members:-

- i) Noted that a training session would be arranged over the next 2 to 3 months to incorporate Board and Authority Members, and the districts, to be extended to other outside bodies if considered appropriate.
- ii) To be provided with the information ascertained through the research on practical law.

10 POOLING UPDATE

A report was submitted to update the Board on the progress of pooling in the Border to Coast Pension Partnership (BCPP Ltd) and related matters.

Members noted that a report had been presented to the Authority meeting held today, in order to provide an overview of the key areas relating to BCPP Ltd; the Board would continue to be provided with updates.

RESOLVED – That Members:-

- i) Noted the report.

- ii) Considered the additional budgetary requests referred to therein:
 - i) A one off increase of £75k requested for implementation set up costs, per partner Authority.
 - ii) An ongoing share of the £30k budget proposed for the Joint Committee amounting to £2,500 per partner Authority.

11 RISK REGISTER

The Board considered the Authority's Risk Register, noting that the scoring matrix and direction of travel arrows would be included.

RESOLVED – That Members:-

- i) Noted the Risk Register.
- ii) Noted that the Risk Register would be amended to include the scoring matrix and direction of travel arrows.

12 ANY OTHER BUSINESS

G Boyington highlighted that the March 2018 Board meeting would mark the conclusion of Members' third year in post.

Members had indicated a desire to continue in the role, with the exception of G Boyington. Contact would be made with the Trades Unions and the employers, to seek confirmation for the nominated representatives to continue on the Board, or to otherwise make nominations.

J Thompson and G Berrett requested that an email be sent to their respective employers to indicate that they were keen to continue in their role on the Board, if their employer deemed it appropriate.

RESOLVED – That Members noted that contact would be made with the Trades Unions and the employers, to seek confirmation for the nominated representatives to continue on the Board, or to otherwise make nominations.

CHAIR

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SOUTH YORKSHIRE PENSIONS AUTHORITY

18 JANUARY 2018

PRESENT: Councillor S Ellis (Chair)
Councillor R Wraith (Vice-Chair)
Councillors: S Cox, S Durant, K Harpham, T Hussain,
K Richardson, A Sangar, I Saunders, Z Sykes and K Wyatt

Trade Unions: N Doolan-Hamer (Unison) and D Patterson
(UNITE)

Officers: S Barrett (Interim Fund Director), G Chapman (Head
of Pensions Administration), B Clarkson (Head of Finance),
M McCarthy (Deputy Clerk), I Rooth (Head of Financial
Services, BMBC) and A Shirt (Senior Democratic Services
Officer)

Observer: G Graham

Apologies for absence were received from Councillor
J Mounsey, G Warwick, A Frosdick, N Copley and G Richards

1 APOLOGIES

Apologies for absence were noted as above.

2 ANNOUNCEMENTS

Councillor Ellis welcomed the Authority's newly appointed Fund Director, George Graham to the meeting as an observer.

3 URGENT ITEMS

None.

4 ITEMS TO BE CONSIDERED IN THE ABSENCE OF THE PUBLIC AND PRESS.

Councillor Ellis commented that several pooling reports of the BCPP Joint Committee included on today's agenda had been excluded from the public and press at their recent meeting.

It had been deemed appropriate for Members to consider the exempt pooling reports at today's meeting in the private session of the meeting. Advice would be obtained to address whether or not, future reports of the BCPP Joint Committee should be considered by the Authority in private or otherwise.

RESOLVED – That item 18 'Confidential Pooling Update' be considered in the absence of the public and press.

5 DECLARATIONS OF INTEREST.

None.

6 MINUTES OF THE AUTHORITY MEETING HELD ON 30 NOVEMBER 2017

RESOLVED – That the minutes of the Authority meeting held on 30 November 2017 be agreed and signed by the Chair as a correct record.

7 WORK PROGRAMME

The Authority considered its Work Programme.

RESOLVED – That the Work Programme be noted.

8 SECTION 41 FEEDBACK FROM DISTRICT COUNCILS

Councillor Ellis reported that there had been recent communications with the District Councils in relation to pooling in the Border to Coast Pension Partnership (BCPP Ltd) and other related matters.

9 TREASURY MANAGEMENT STRATEGY REVISION: COUNTERPARTY LIMITS

A report was submitted to seek Members' approval of the changes to the Treasury Management Strategy followed by the Authority. The changes were limited to the levels of cash that the Authority can deposit with counterparties, including Money Market Funds and Local Authorities. It was noted that the need to hold higher levels of cash than previously, arises from changes in the benchmark allocation i.e. reducing exposure to equities pending reinvestment in alternative investments and real assets.

RESOLVED – That the Authority:-

- i) Agreed the changes to the Annual Investment Strategy: The current limit for counterparties with a short term credit rating of F1 or better, the Money Market Funds and Local Authorities be changed to £40m. This £40m limit should also apply to HSBC and Lloyds (the Authority's principal bankers) but with the ability to increase this to £50m in exceptional circumstances. This level at which use of the DMO facility be reported to the Board be increased to £50m.
- ii) Keeps the above under review.

10 REVENUE ESTIMATES 2018/19

A report of the Treasurer was submitted, following various consultations to formally confirm the draft budget proposals that had been considered by the Authority on 30 November 2017. The report had been revised to take account of the National Employers pay offer made on 5 December 2017, which had not yet been agreed.

Members noted that the provisional pay offer for 2018/19 had been built into the figures presented at the November meeting and had resulted in an increase of £46,200 (£8,981,300 against £8,935,100).

B Clarkson informed Members that external costs incurred by the Authority in respect of pooling would be presented to Members in further detail at the March Authority meeting.

RESOLVED – That the Authority formally confirmed the budget proposals and approved the budget of £8,981,300 for 2018/19.

11 THE GENERAL DATA PROTECTION REGULATION (GDPR)

A report was presented to update Members on the work being undertaken by the Authority to prepare for the implementation of the General Data Protection Regulation (GDPR) on 25th May 2018.

A table within the report provided Members with a summary of the work undertaken to date by the Authority, noting that a GDPR Project Group had been created and was being led by the IT Manager. The use of Egress secure email was now being rolled out by the Authority to enhance the security of data received and shared.

Members requested that their congratulations be conveyed to the IT Manager and Assistant IT Manager, who had successfully passed their exams to become certified General Data Protection Regulation Practitioners.

Members noted that a further progress update report would be presented at the March 2018 Authority meeting.

M McCarthy reported that Local Pension Board Members' had requested at their last meeting for arrangements to be made for a GDPR training session.

After discussion, it was agreed that, if Authority Members felt there was a specific need for GDPR training in their role as a Pensions Authority Member, arrangements could be made to tailor a training session for Members and Trade Union representatives at a later date.

RESOLVED – That Members:-

- i) Noted the report.
- ii) Requested that their congratulations be conveyed to the IT Manager and Assistant IT Manager for their recent examination successes.

12 REVIEW OF THE AUTHORITY'S ADMINISTRATION STRATEGY

A report of the Head of Pensions Administration was presented to provide Members with an opportunity to comment on the proposed amendments to the Pensions Administration Strategy document.

Members noted that there had been a number of factors behind the review of the Strategy Document, the main one being the need to introduce into the Strategy the concept of monthly posting and data file submissions along with the administration requirements for the new process. An opportunity had also been taken to review the document as a whole and introduce some new items whilst revising others.

Financial penalties had been increased to further incentivise employers to meet the requirements of the Strategy.

A six week consultation period on the revised Strategy had commenced on 1st January 2018 to allow Employers to offer comments and suggestions for inclusion. Following the closure of the Employer consultation period on 12th February 2018, the final document would be presented for ratification at the March meeting of the Authority.

RESOLVED – That Members approve the proposed amendments to the Pensions Administration Strategy document as detailed by the highlighted text in the draft document attached to the report.

13 SCHEME MEMBERS' ANNUAL FUND MEETING

A report of the Communications Manager was presented to report on the Scheme Members' Annual Fund Meeting held on 19 October 2017 at The Source Academy, Meadowhall, Sheffield. A total of 77 members had attended the meeting consisting of:-

- Pensioners – 56
- Contributors – 11
- Deferred Members – 4
- Councillors – 6

Members noted that a full recording of the meeting was available to view at:
<http://www.youtube.com/SYPensions>

Councillor Sanger requested that a brief summary of the key presentations be included in future reports.

RESOLVED – That Members noted the contents of the report and requested that a brief summary of the key presentations be included in future reports.

14 COMPLIANCE WITH THE PRINCIPLES FOR INVESTMENT GOVERNANCE: SELF-ASSESSMENT

A report of the Clerk was submitted to update Members on the CIPFA Code of Practice on public sector pensions finance knowledge and skills and the requirements for the self-assessment against the Principles for Investment Governance (formerly Myners' Principles).

In October 2011, Members had adopted a system of self-assessment and had agreed to use a template to gauge compliance. Upon Members' confirmation to the self-assessment process, assessment forms would be despatched to Members, to be completed and returned before the end of March 2018.

RESOLVED – That:-

- i) The Authority noted the content of the report.
- ii) Members confirmed their commitment to the self-assessment process.

iii) Members agreed to any development needs arising from the results.

15 PARTNER FUND DIRECTOR PROPOSALS

A report of the Clerk was submitted requesting Members to consider a report and proposal by the Governance Sub Group of BCPP, to be presented to the Joint Committee on 16 January 2018. The report of the Governance Sub Group recommended appointing two remunerated directors to the Board from the partner fund elected Members that comprise the membership of the Joint Committee. Any elected Members selected from the Joint Committee would stand down from that Committee and be replaced by their 'alternative' Fund representative.

S Barrett and Councillor Ellis reported upon the outcome of deliberations on this matter at the BCPP Joint Committee held on 16 January 2018.

Members were informed that all the recommendations set out in report had been agreed, albeit that a ballot should take place later in the year to allow potential candidates time to consider their position.

Councillor Ellis thanked Section 41 Members' and Trade Union Representatives for their advice on this matter, prior to the BCPP Joint Committee held on 16 January 2018.

RESOLVED – That Members noted the report.

16 POOLING UPDATE

A report of the Interim Fund Director was submitted to update Members on the progress of pooling in the Border to Coast Pension Partnership (BCPP Ltd) and related matters.

Members noted the updates provided within the report and were asked to consider two additional budgetary requests from BCPP.

The first request related to an increase of £75k per partner Authority for BCPP set up costs. The second request related to a proposal to create a budget for the Joint Committee to cover expected costs including secretarial services and external advice which may be required from time to time. £30,000 had been proposed for the period from July 2018 to March 2019. It was proposed that this be met from partner funds equally at £2,500 each.

RESOLVED – That Members noted the report and considered the additional budgetary requests referred to in the report:-

1. A one off increase of £75k requested for implementation set up costs, per partner Authority; and
2. An ongoing share of the £30k budget proposed for the Joint Committee amounting to £2,500 per partner Authority.

17 EXCLUSION OF THE PUBLIC AND PRESS

RESOLVED – That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items of business on the grounds that they involve the likely disclosure of exempt information as defined in paragraph 3 of Part 1 of Schedule 12A of the Act and the public interest not to disclose information outweighs the public interest in disclosing it.

18 CONFIDENTIAL POOLING UPDATE

A report of the Interim Fund Director was submitted to update Members on some of the confidential papers considered by the BCPP Joint Committee held on 16 January 2018. Members were also reminded that SYPA had agreed to act as the Administering Authority for BCPP Ltd. The latter being an admitted body for their staff with access to the LGPS. This was subject to all partner funds signing a 'guarantee' acceptable to SYPA to share any subsequent deficit arising; should that be needed. The 'guarantee' document had been drafted and would be circulated shortly to partner funds.

RESOLVED – That Members:-

- i) Noted the report; and
- ii) Noted that shareholder approval would be sought by BCPP Ltd for agreement of the operating budget and pension scheme arrangements for BCPP Ltd.

CHAIR



SOUTH YORKSHIRE POLICE AND CRIME PANEL

**OFFICES OF THE SOUTH YORKSHIRE JOINT AUTHORITIES, 18 REGENT STREET,
BARNSELY, S70 2HG**

15 DECEMBER 2017

PRESENT: Councillor A Khayum (Sheffield City Council) (Chair)

Councillor S Sansome (Rotherham MBC) (Vice-Chair)

Councillors: B Cutts (Rotherham MBC), D Griffin (Barnsley MBC), D Hughes (Doncaster MBC), J Otten (Sheffield City Council) and S Wilkinson (Doncaster MBC)

Independent Co-opted Members: Mr A Carter and Mr S Chu

Dr A Billings (South Yorkshire Police and Crime Commissioner), M Buttery (Office of the South Yorkshire Police and Crime Commissioner), M Clements (Office of the South Yorkshire Police and Crime Commissioner) and F Topliss (Office of the South Yorkshire Police and Crime Commissioner)

Officers: D Cutting, M McCarthy, L Noble and A Shirt (Barnsley MBC)

Apologies for absence were received from Councillor J Dunn, Councillor B Johnson, Councillor R Sixsmith MBE and S Parkin (OPCC)

1 **APOLOGIES FOR ABSENCE**

Apologies for absence were noted as above.

2 **ANNOUNCEMENTS**

None.

3 **URGENT ITEMS**

None.

4 **ITEMS TO BE CONSIDERED IN THE ABSENCE OF THE PUBLIC AND PRESS**

None.

5 DECLARATIONS OF INTEREST BY INDIVIDUAL MEMBERS IN RELATION TO ANY ITEM OF BUSINESS ON THE AGENDA

None.

6 PUBLIC QUESTIONS:-

7 TO THE POLICE AND CRIME COMMISSIONER

There were no written public questions to the Police and Crime Commissioner.

8 TO THE POLICE AND CRIME PANEL

There were no written questions to the Police and Crime Panel.

9 QUESTIONS FROM POLICE AND CRIME PANEL MEMBERS TO THE POLICE AND CRIME COMMISSIONER

In accordance with Procedure Rule 11 (General Questions from Members of the Panel), the following question had been submitted and the response provided from the Police and Crime Commissioner:-

Questions from Councillor Sansome

1. **How will SYP ensure standard practice across the South Yorkshire force when dealing with mental health crisis situations? We have heard there are different responses to similar situations across South Yorkshire in relation to section 136 detentions.**

Response

South Yorkshire has recently appointed Superintendent Dan Thorpe as the Strategic Mental Health Lead, who was the Metropolitan Police Service Mental Health Lead for a number of years, supporting the then National Police Chiefs' Council (NPCC) Lead for Mental Health, Commander Christine Jones, who helped develop the Mental Health Crisis Care Concordat.

Levels of support for people detained under S136 of the Mental Health Act can vary across SY because the three mental health trusts (SWYFT, RDASH, SHSC) offer different support. In some areas, for example, places of safety have been suddenly closed and officers have had to find alternatives, which causes delay. This is despite S140 of the Act placing a duty on Clinical Commissioning Groups/Local Health Boards to give notice to local social services saying what emergency arrangements are in force in cases of emergency.

However, SYP have been working hard with NHS colleagues from across the County to continually improve aspects of mental health care provision, including getting access to the most appropriate service at the right time, which includes a MH crisis response.

South Yorkshire Police introduced a Strategic Mental Health Partnership Board, which has been operating now for nearly two years. The meeting sits bi-monthly to help SYP to work closely with strategic health partners in the interests of those affected by mental ill health. As an example the Board has kept those aged under 18 years, who are detained under S136 Mental Health Act, out of police cells (mandatory since 11 December 2017). Over the last 2 years, no under 18's have been taken to a police cell. The board has also been working to achieve 24/7 Mental Health Crisis support for police officers through Single Points of Access or Triage services, which are now in place across the County.

Superintendent Thorpe is revising the Strategic Mental Health Partnership Board and has recently met the Chief Executive of RDASH MH Trust – Kathryn Singh - who has agreed to joint chair the Board. This is important when increasing MH demands are placing pressure on both police and a range of NHS services. Kathryn and Dan are currently reviewing the priorities of the Board and how this could link in with existing Countywide NHS work streams. As an example, one of the priorities of the Board is to examine existing Mental Health Crisis pathways, including the pathway for S136 and to create a Countywide Health Based Place of Safety specification that will introduce a consistent service across the County, something Supt Thorpe achieved in London across 10 Mental Health Trusts and 32 Local Authorities.

If preventing a mental health crisis is a central goal of mental health services; preventative services must be in place across the urgent care pathway and within the community to prevent a crisis occurring.

With S136 demand increasing by 33% over the last year, there is a collective need to understand this demand and work collectively on early interventions, identifying those who are high intensity users of service and creating joint management plans to better support these individuals and reduce demand. This will become a priority for the board in 2018.

Supt Thorpe has also introduced a SYP wide Mental Health Escalation Log, which enables police officers to escalate incidents and issues which have not gone well, or which identify areas for improvement concerning mental health crisis incidents. This provides a valuable countywide overview, which can be broken down into District/Trust areas. The log is regularly shared with strategic partners so that collectively SYP and the NHS can identify trends, repeated issues, which may influence how services are commissioned in the future.

- 2. Do you think the changes to the PACA will mean that people with mental health issues receive a poorer response when in crisis? For example we have been informed it sometimes takes a long time to transport someone to a mental health hospital or section 136 suite if the police and ambulance service are reluctant to help?**

Response

A person experiencing a mental health crisis should receive the best possible care at the earliest possible point. The legal changes introduced to S135/S136 Mental Health Act via the Police and Crime Act 2017, are intended to improve immediate

service responses to people who need urgent help with their mental health, particularly in cases where police officers are the first to respond. However, it has been acknowledged by SYP, that health partners are under considerable strain and pressure to deliver various crisis services whilst seeing increasing demand with corresponding challenges around budgets.

The changes to the MHA are varied and may present both opportunities and consequences. For example, the application of S136 has now been widened in respect of where the power can be exercised. This will assist officers from the British Transport Police who regularly respond to people in MH crisis attempting to commit suicide on railway tracks. These are private places and prior to 11 December 2017, BTP officers were unable to exercise their powers under S136.

So whilst there are a number of areas where this power can now be utilised, an unintended consequence could be that we see a sharp or continual rise of S136 demand which may have a knock on effect as to the capability of the NHS to cope with this potential increase.

Another example of how this will improve the response, relates to the use of police cells, which can now only be used in exceptional circumstances. As such, it will be unlawful for police cells to be used unless the circumstances are compliant with the stipulated regulations which are very specific. Consequently, this will result in more adults being taken to health-based places of safety rather than a police cell. However, Mental Health Based Places of Safety within the county often experience challenges around resourcing or being able to manage more than one patient at a time, meaning officers and health partners may need to find urgent alternatives, which may just be the nearest Emergency Department.

That said, the overarching aim is to improve the response to those in need of a crisis response and the legislation has been produced with this in mind.

In respect of transport, Yorkshire Ambulance Service (YAS), (as all Ambulance Services within the UK), are commissioned to provide a transportation service for all individuals detained under S136. They are required to transport them to the nearest, suitable and available health based place of safety. As previously alluded to, SYP do recognise the pressures on colleagues from YAS, who are frequently unable to provide an ambulance to support such requests. Recent analysis of S136 transportation methods in Doncaster evidenced that around 60% of cases were transported by ambulance and the remaining 40% were transported by police vehicle.

In all cases in South Yorkshire, If someone is detained under S136 Mental Health Act, they will have to be transported to the nearest place of safety. If an ambulance is unable to support SYP due to a lack of resources, then SYP will transport the patient. The challenge is the availability of resourcing which is often outpaced by demand, rather than a reluctance to support someone in need of help.

3. How will the police force support incidents of aggression or crime within mental health wards?

Response

Whilst working in the Metropolitan Police Service, Superintendent Thorpe helped to introduce the National Mental Health Restraint Expert Reference Group. This was chaired by Lord Carlile of Berriew CBE QC. In January 2017, the first Memorandum of Understanding regarding the Police use of restraint in Mental Health & Learning Disability Settings was published. Prior to this, there was no clear national position regarding when the police can be asked to attend mental health and learning disability settings and for what reasons

Health providers have a duty to undertake, implement and review risk assessments for all the services they provide. The police do not have specific powers to restrain a patient for the purposes of medical treatment regardless of whether the treatment is in the patient's best interests. In situations where the police are called for emergency assistance, the circumstances should be assessed on its merits.

The risks associated with restraint are significant. SYP officers should not be called to undertake restrictive practices connected to purely clinical interventions (e.g. taking fluid samples, administering injections/medication) unless exceptional factors apply.

SYP will support colleagues in health services with incidents where:

- There is an immediate risk to life and limb;
- There is an immediate risk of harm;
- Serious damage to property;
- Offensive Weapons are involved;
- Hostages

No assumption should be made by the police that any incident involving any patient will always be a matter for healthcare staff alone; or that offences committed by a patient cannot or should not be investigated or prosecuted.

10 MINUTES OF THE PREVIOUS MEETING HELD ON 29 SEPTEMBER 2017

The following matters arising were noted:-

- The Deputy Chief Constable had confirmed that the increase in non-emergency calls back in 2014 related to the 101 service in Sheffield which meant that calls for South Yorkshire Police and Sheffield City Council came through the 101 service.
- The Commissioner confirmed that representatives from his office had been present at all recent meetings of the Sheffield Safer and Sustainable Communities Partnership Board. Mr Carter confirmed that he had not questioned the PCC's or OPCC's commitment to partnership working.
- Following the last meeting, the Panel's Rules of Procedure had been updated and published on the Panel's website.

- Panel Members had been provided with details regarding how many individuals viewed the webcasts of Panel meetings.

Councillor Hughes asked if he could be provided with an update regarding the concerns he had outlined at the September meeting relating to Neighbourhood Policing.

The Commissioner replied that activity had taken place within Councillor Hughes' Ward area; he was of the understanding that information had been sent to Councillor Hughes.

Councillor Hughes commented that he had not received any information from the Commissioner. The Commissioner stated that he would re-issue the information to Councillor Hughes.

Councillor Khayum asked if the Panel could receive a progress update report in the New Year from the Chief Constable in respect of Neighbourhood Policing.

The Commissioner acknowledged Councillor Khayum's request.

RESOLVED –

- i) That the minutes of the Police and Crime Panel held on 29 September 2017 be agreed and signed by the Chair as a correct record.
- ii) That the Commissioner re-issues information to Councillor Hughes regarding Neighbourhood Policing operations taking place within his Ward area.
- iii) That a progress update report on Neighbourhood Policing be presented at a future Panel meeting.

11 MINUTES OF THE CONFIRMATION HEARING HELD ON 9 NOVEMBER 2017

RESOLVED – That the minutes of the Police and Crime Panel Confirmation Hearing held on 9 November 2017 be agreed and signed by the Chair as a correct record.

12 PUBLIC ENGAGEMENT ACTIVITY OF THE POLICE AND CRIME COMMISSIONER

A report was presented to provide Members with information on how the Police and Crime Commissioner (PCC) had undertaken his engagement activity over the last 12 months and where engagement would be focused in the coming year.

Councillor Sansome noted that the engagement activity of the Force was reported to the OPCC and monitored at the Trust and Confidence Steering Group. He asked who was leading in this area following the departure of the Assistant Police and Crime Commissioner.

F Topliss replied that the engagement activity of the Force was led by Assistant Chief Constable David Hartley, who was assisted by F Topliss from the OPCC.

Councillor Sansome asked if further details could be provided regarding the joint approach taking place across several Forces in relation to Cyber Crime.

The Commissioner informed the Panel that South Yorkshire was in a seven Force collaboration which would have an intensive focus on Cyber Crime. Operations would not only take place regionally, but nationally and internationally. Members of the public could report Cyber Crimes to the Force via the 101 telephone number or to Action Fraud.

Councillor Griffin commented that he was of the understanding that the Force had recently issued a survey on police confidence. He asked how this survey had been advertised to encourage members of the public to become engaged and respond.

F Topliss replied that the survey had been conducted to obtain a baseline assessment of trust and confidence in South Yorkshire Police. The survey had been undertaken in harder to reach areas, which included rural and minority communities.

The high-level findings of the survey would be presented to the PCC before Christmas and then presented to the Trust and Confidence Steering Group in January 2018. Further details regarding the outcome of the survey would be presented to the Panel in the New Year, following analysis of the results.

Mr Chu asked whether there was any specific consultation taking place regarding the 2018/19 level of Council Tax precept.

The Commissioner replied that he was currently awaiting the Government's announcement regarding the 2018/19 Police Grant and flexibility around the precept. He added that consultation would take place at various levels. The Commissioner had recently visited the four district Council Leaders to inform them of the likely financial position and Council Tax precept. Conversations with the public had previously taken place, and would commence again shortly following the Government's Police Grant announcement.

Councillor Sansome asked how much engagement was carried out with minority communities. Additionally, who was the OPCC engaging with and in which areas?

The Commissioner replied that the Force have a number of ethnic minority Independent Advisory Group (IAGs) established which meet in each district and a countywide LGBT Independent Advisory Group. The Commissioner confirmed that he also had a Countywide Independent Panel, which was currently in the process of being re-constituted.

Councillor Wilkinson asked if engagement work was undertaken with vulnerable people within communities.

The Commissioner replied that he did try to consult widely with individuals with learning difficulties and disabilities, some of whom sat on the IAGs.

RESOLVED – That Members of the Police and Crime Panel:-

- i) Noted the contents of the report and the PCC's commitment to engagement activity and commented upon any matters arising.
- ii) Noted that F Topliss would provide Panel Members with contact details for Action Fraud.
- iii) Noted that the results of the confidence in policing survey would be presented at a future Panel meeting.

13 ATLAS COURT UPDATE

A report was presented to provide Members of the Police and Crime Panel with information on the Review of Atlas Court.

Councillor Sansome asked if the public had been involved or informed of the review of the 101 system, as a way of increasing public confidence around the system.

The Commissioner reported that public confidence around the service was not as good as he would like it to be. He added that there would be a large amount of publicity when SmartContact was implemented by the Force in March 2018.

M Buttery added that the Commissioner had also informed the Force at recent Public Accountability Boards that they needed to involve the public with regards to progressing the review and manage expectations where there were dips in performance because of the implementation of new systems at a particular time.

Furthermore, at the Force Strategic Change Board, the Commissioner had stated that he wished to see public participation in the design of services.

Mr Chu asked how satisfied the Commissioner was with the Force in managing and understanding public demand for police resources, as highlighted in the HMICFRS report.

The Commissioner stated that he was satisfied with the work which was currently being undertaken by the Force to understand demand for police resources. The situation had much improved in twelve months from when the HMICFRS report had been published.

Mr Chu observed that the average 101 response time since 2015 had been around 1 minute 10 seconds. However, from the information provided to Members on contact centre performance, the current average wait time in the last eight weeks had indicated a wait time of much longer than in 2015; indicating that performance was getting much worse, not better. He asked if the Commissioner could provide the Panel with details regarding his arrangements for holding the Chief Constable to account in this area.

The Commissioner replied that he had also noted the average wait time had increased recently. He added that there was currently misconception from the public around call wait times which, he anticipated would be dispelled once SmartContact had been implemented.

In relation to the proposed future visit to Atlas Court, Mr Carter suggested that the timing of the visit be brought forward from May 2018 to allow all Members of the Panel the opportunity to view the new IT equipment in operation, prior to the local municipal elections, and any (potential) changes in the Panel's membership. However, he recognised that the visit had to coincide with the new system being in place and so this may not be possible.

The Commissioner noted Mr Carter's request.

Councillor Sansome asked if information could be provided at the February Panel meeting setting out progress on each objective for the review of Atlas Court / Contact Management and a timescale when each objective would be achieved.

The Commissioner acknowledged Councillor Sansome's request.

Councillor Griffin asked how satisfied the Commissioner was that the new Connect System would be able to accurately record property details for all of the properties where crimes are committed. He added that, from conversations with farmers located in rural areas, South Yorkshire Police seemed to be struggling to find farm properties.

M Buttery agreed to follow-up Councillor Griffin's concerns, adding that improvements in the Gazetter may come with the new systems.

RESOLVED – That Police and Crime Panel Members:-

- i) Noted the contents of the report and commented upon any matters arising.
- ii) Noted that the Commissioner had agreed to provide information at the February 2018 Panel meeting setting out progress on each objective and a timescale when each objective of the Review of Atlas Court / Contact Management would be achieved.
- iii) Noted that M Buttery would follow-up Councillor Griffin's concerns as outlined above.

14 HER MAJESTY'S INSPECTORATE OF CONSTABULARY, FIRE AND RESCUE SERVICES (HMICFRS) UPDATE

A report was presented to provide Members of the Police and Crime Panel with an overview of the statutory responsibilities of the PCC in relation to Her Majesty's Inspectorate of Constabulary, Fire and Rescue Services (HMICFRS) inspections and provide an update on the recent inspection activity within South Yorkshire Police.

Members were reminded that, in 2016, HMICFRS assessed the Force as 'requires improvement'. The latest SYP Police PEEL Efficiency report assessed that the Force still needed to focus on the same areas at the time of the 2017 inspection with an overall assessment as 'requires improvement' but made reference to the

fact that favourable progress was being made. The Commissioner highlighted that the Force had been assessed as 'good' in the latest PEEL Legitimacy report.

The Commissioner provided the Panel with the assurances that he would be addressing and following-up each of the HMICFRS recommendations in a number of ways. Firstly, the recommendations would be discussed publicly at the Public Accountability Board and secondly, with the Force's Senior Leadership Group.

Councillor Sansome noted that a response to the Efficiency report was being prepared in line with the PCC's statutory responsibility. He asked if Panel Members could be provided with a copy of the response.

The Commissioner acknowledged Councillor Sansome's request. He added that a media statement had been published setting out his response to the HMICFRS Efficiency Inspection Report.

Councillor Sansome asked if the Panel could receive an annual update report in 12 months' time setting out the Force's progress with regards to how the Commissioner is holding the Force to account in respect of its performance in relation to the Mental Health Strategy.

Furthermore, Councillor Sansome noted that North Wales Police Force had been asked to examine how it trains its officers after an incident where a 24 year old individual had harmed himself. He asked how the Commissioner was holding the Chief Constable to account in the area of training required to ensure officers are competent to deal with people suffering from mental health issues.

The Commissioner acknowledged Councillor Sansome's requests. He added that information regarding officer training would also be contained within the report.

The Panel noted that the Chief Constable and Commissioner had previously stated that they would be making arrangements in the New Year to visit each district council to meet with elected Members to provide them with further information on the revised Neighbourhood Policing model. Panel Members asked that they be informed of the dates.

The Commissioner acknowledged the request.

Councillor Hughes asked if he could be provided with details regarding the timescale for bringing neighbourhood policing back into the communities of South Yorkshire. Additionally, when would Neighbourhood Policing Officers be on patrol within communities?

The Commissioner tasked F Topliss to provide Councillor Hughes with the details of his Local Inspector who could then provide him with further details regarding his Local Neighbourhood Policing Team.

RESOLVED – That Members of the Police and Crime Panel:-

- i) Noted the contents of the report and commented upon any matters arising.

- ii) Noted that the Commissioner had agreed to provide Panel Members with a copy of his response to the PEEL Efficiency report which was being prepared in line with his statutory responsibilities.
- iii) Noted that the Commissioner had agreed to provide the Panel with an annual update report in 12 months' time setting out the Force's progress with regards to how the Commissioner is holding the Force to account in respect of its performance with the Mental Health Strategy.
- iv) Noted that Panel Members would be provided with dates etc. when the Chief Constable and Commissioner would be visiting each district council to meet with elected Members to update them on the revised Neighbourhood Policing model.
- v) Noted that the Commissioner had tasked F Topliss to provide Councillor Hughes with the details of his Local Inspector.

15 BUDGET MONITORING REPORT: : 2017/18 SEPTEMBER MONTH END

A report was submitted to inform Members of the Police and Crime Panel of the financial position for 2017/18 as at 30 September 2017.

The year-end position forecast was that revenue expenditure would be £0.02m less than budget. The reasons for the spending variations were noted by Members.

In relation to capital expenditure Members noted that spending was forecast at £12.9m compared to a capital programme of £13.2m.

Councillor Sansome asked what assurances the Commissioner could give the Panel around the impact on performance and morale within the Force, given the amount of overtime being worked by Officers.

The Commissioner replied that he was concerned about the size of the overtime bill, and had discussed this with the Chief Constable. He outlined that some of the overtime related to policing football matches and some related to historic overtime (EDL Marches). Helping the Chief Constable to understand whether problems had been met through the use of overtime, when actually the Force should have been utilising the workforce itself, and whether there should be more officers, rather than making up the difference with overtime, were discussions that were currently taking place with the Chief Constable.

Councillor Sansome noted that the costs of medical retirements were expected to exceed budget by £0.72m. He asked how this budget would be managed and why it was expected to exceed budget.

The Commissioner replied that the Chief Constable had taken a personal interest in this area to understand why it was expected to exceed budget and to try and reduce this cost. He was confident that, moving forward medical retirements would be better managed.

The Commissioner provided the Panel with narrative on the additional legal costs and approved financial assistance costs.

RESOLVED – That Members of the Police and Crime Panel noted the report on the financial position for 2017/18 as at 30 September 2017.

16 RISK MANAGEMENT ARRANGEMENTS

A report was presented to provide Members of the Police and Crime Panel with an overview of the Commissioner's risk management arrangements.

Following discussion, Members felt that it would be useful to understand what its role was in relation to scrutinising the Commissioner's risk management arrangements.

L Noble agreed to conduct research to understand what scrutiny role other Police and Crime Panels performed in overseeing their Commissioner's risk management arrangements.

RESOLVED –

- i) That Members of the Police and Crime Panel noted the contents of the report and commented upon any matters arising.
- ii) Noted that L Noble had agreed to conduct research to understand what scrutiny role other Police and Crime Panels performed in overseeing their Commissioners risk management arrangements.

17 ANNUAL POLICE & CRIME PANELS CONFERENCE, 6 NOVEMBER 2017

A report was presented to provide Members with a summary of the issues considered at the Sixth Annual Police and Crime Panels' Conference held in Coventry on 6th November 2017.

The Conference had generated several issues which the Panel were asked to consider and make recommendations on to further strengthen the Panel's role.

Mr Chu asked if other Panels decided not to participate in the Regional Network and Special Interest Group, would the costs increase for South Yorkshire.

L Noble agreed to investigate and report back to the Panel.

RESOLVED – That Members of the Police and Crime Panel:-

- i) Agreed to utilise £500 (per annum) from the Grant allocation to pay for Frontline Consulting to facilitate the establishment of a Regional Network.
- ii) Agreed to utilise £200 (per annum) from the Grant allocation to pay for the LGA to provide some administrative support around the establishment of a Special Interest Group (National Association of Police and Crime Panels).

- iii) Noted that L Noble had agreed to investigate the issue regarding increasing costs, if not all Panels decided to participate in the Regional Network and Special Interest Group.
- iv) Agreed to give consideration as to how links could be strengthened with the four District Community Safety Partnerships at a political level.
- v) Agreed to give consideration to making a request for the Chair of the Panel to attend one SY Leaders' meeting per annum to provide an update on the Panel's activities.
- vi) Agreed to consider supporting the ongoing work to strengthen the links with the four District Overview and Scrutiny Committees.
- vii) Agreed to consider holding an additional (informal) Panel meeting around April / May (giving a total of 7) for Members to look back over the previous year, and plan for the coming year.
- viii) Agreed to consider the establishment of a Budget Working Group, as set out in paragraph 22.
- ix) Agreed to consider a Lead Member approach as set out in paragraph 23, when time allows.

18 SOUTH YORKSHIRE POLICE AND CRIME PLAN PRIORITIES AND REQUIREMENTS - THEME: PROTECTING VULNERABLE PEOPLE (PVP)

Members considered a Force performance report that was submitted to the PCC's Public Accountability Board (PAB) held on 27 November 2017, which focused on the theme 'Protecting Vulnerable People'.

Councillor Sansome recalled that it had been reported at the recent PAB meeting that Professor Drew intended to re-visit SYP's performance regarding the handling of CSE. He asked how the Panel would be involved and notified of the findings of that review.

The Commissioner commented that he did not feel there was a need for Professor Drew to re-visit at this stage. He was confident that the Force were now addressing those areas identified.

Councillor Sansome referred to the Victim Care Strategy which was reported at the last PAB meeting, where a figure of 1300 offences was mentioned within South Yorkshire. He asked if the Commissioner was able to provide a breakdown of domestic abuse offences by area, by offence, and how many prosecutions had been pursued.

The Commissioner acknowledged Councillor Sansome's request for information.

Furthermore, Councillor Sansome asked if the Commissioner could provide the Panel with information on the number of domestic abuse reports which were

outstanding, and how he held the Force to account in terms of progressing these reports in a timely manner.

The Commissioner replied that he held the Chief Constable to account in this area at the PAB to understand how the Force were dealing with domestic abuse and responding to it.

In relation to rape and sexual offences, Councillor Sansome stated that he was pleased to note that the Force were performing above the national average for conviction rates in this area. He asked if the Commissioner could provide information on the convictions by district and how the Commissioner was liaising with the Force on any re-distribution of staffing to deal with the increase in this area.

The Commissioner acknowledged Councillor Sansome's request. The Commissioner assured the Panel that discussions in relation to rape and sexual offences did take place at the PAB, along with debate and discussion with the Force on a regular basis.

Councillor Sansome stated that a number of other issues had arisen at PAB on 27th November. Specifically, there had been reports that had identified the failings in the national helicopter service responding to thousands of incidents. He asked if the Commissioner could provide the Panel with assurances that flying hours had not, and would not, be reduced and replaced by drones.

The Commissioner replied that there were no proposals to replace the helicopter service with drones. Additionally, South Yorkshire was part of the National Police Air Service (NPAS). In a recent HMICFRS report regarding the air service, it had been suggested that, following the move to the national scheme, the capacity of those services had diminished and not increased. HMICFRS were asking questions regarding the number of bases, helicopters and fixed-wing aircraft that are available. The Commissioner was expecting to see a growth bid in this provision moving forward.

Councillor Sansome stated that it had been reported recently that the Police fear scores of convictions may face challenges because of the suspected manipulation of forensic test results at a private laboratory in Manchester. He asked if the Commissioner had been provided with assurances around any cases within South Yorkshire.

The Commissioner replied that discussions had taken place with the Force regarding this issue. Furthermore, South Yorkshire Police along with other Forces were having to prioritise the re-testing of samples and results.

Councillor Wilkinson asked of the 8,209 incidents relating to missing person reports, how many were from children in looked after care, how many were **not** from children in looked after care, how many of those reports were repeat, and how this was split across the four districts in South Yorkshire.

The Commissioner confirmed that in the last year there had been missing person reports relating to 4,700 adults, 5,800 children and 4,200 looked after children.

Demand work was currently being undertaken by the Force in relation to missing persons.

Mr Chu suggested that, outside of the Commissioner's small grants programme, the Commissioner may wish to commission charities to undertake some of the missing persons work.

The Commissioner replied that the voluntary sector did undertake some missing persons work and that, the Force may have to consider using them more in the future due to the high levels of demand in this area.

RESOLVED – That Members of the Police and Crime Panel:-

- i) Noted the report.
- ii) Raised issues in respect of the PCC's role in holding the Force to account for delivery of the Police and Crime Plan.
- iii) Noted that the Commissioner had agreed to provide Panel Members with a breakdown of domestic abuse offences by area, by offence and how many prosecutions had been pursued.
- iv) Noted that the Commissioner had agreed to provide Panel Members with information on the number of domestic abuse reports which were outstanding.
- v) Noted that the Commissioner had agreed to provide Panel Members with information on rape and sexual offences convictions by District.

19 COMPLAINTS UPDATE & EXTENSION OF NON-SERIOUS COMPLAINTS PROCESS

A report was presented to provide the Panel with a regular update on complaints made against the Police and Crime Commissioner (PCC) during the period 1st April 2017 to 15th December 2017. This included any complaints 'inherited' from the previous host Authority which were outstanding at the 1st April 2017.

Secondly, the report referred the Panel's attention to the Government's proposed extension to the Panel's complaints handling powers regarding complaints about the PCC's conduct (i.e. non policy complaints).

RESOLVED – That Members of the Police and Crime Panel:-

- i) Noted the complaints received.
- ii) Agreed to receive a half-yearly report.
- iii) Noted and consider how it would accommodate the revised complaints powers and duties.
- iv) Considered the additional duties being added to the Complaints Panel's Terms of Reference.

20 LEARNING AND DEVELOPMENT 2017/18

A report was submitted to provide Members of the Panel with a progress update on the Learning and Development Schedule for 2017/18.

Members were informed that the PCC's Budget Familiarisation Session would be held on 29 January 2018; further details had been circulated via email.

RESOLVED – That Members of the Police and Crime Panel:-

- i) Noted the outcome of the discussions with Members held to date.
- ii) Provided comments on the priorities for learning and development.

21 PCC DECISIONS

A report was presented to provide Members of the Police and Crime Panel with information on decisions taken by the Police and Crime Commissioner (PCC).

RESOLVED – That Members of the Police and Crime Panel noted the contents of the report.

22 WORK PROGRAMME

Members noted that the Work Programme would be updated to reflect the issues raised at today's meeting.

RESOLVED – That Members of the Police and Crime Panel noted that the Work Programme would be updated to reflect the issues raised at today's meeting.

23 DATE AND TIME OF THE NEXT MEETING

The next meeting of the Panel will be held on Friday 2 February 2018, 10:00 am at the Offices of the Joint Authorities, 18 Regent Street, Barnsley, S70 2HG (unless stated otherwise).

CHAIR



SOUTH YORKSHIRE POLICE AND CRIME PANEL

**OFFICES OF THE SOUTH YORKSHIRE JOINT AUTHORITIES, 18 REGENT STREET,
BARNSELY, S70 2HG**

2 FEBRUARY 2018

PRESENT: Councillor S Sansome (Rotherham MBC) (Chair)

Councillors: J Dunn (Sheffield City Council), D Hughes (Doncaster MBC), J Otten (Sheffield City Council) and S Wilkinson (Doncaster MBC)

Independent Co-opted Members: Mr A Carter and Mr S Chu

Dr A Billings (South Yorkshire Police and Crime Commissioner), M Clements (Office of the South Yorkshire Police and Crime Commissioner), E Redfearn (Office of the South Yorkshire Police and Crime Commissioner) and F Topliss (Office of the South Yorkshire Police and Crime Commissioner)

Officers: D Cutting, M McCarthy, L Noble and A Shirt (Barnsley MBC)

Apologies for absence were received from:
Councillor A Khayum (Sheffield City Council), Councillor B Cutts (Rotherham MBC), Councillor D Griffin (Barnsley MBC), Councillor B Johnson (Sheffield City Council), Councillor R Sixsmith MBE (Barnsley MBC), M Buttery (Office of the South Yorkshire Police and Crime Commissioner) and S Parkin (Office of the South Yorkshire Police and Crime Commissioner)

1 **APOLOGIES FOR ABSENCE**

Apologies for absence were noted as above.

The Panel wished Councillors Khayum and Sixsmith MBE well in their recovery from long term illnesses.

2 **ANNOUNCEMENTS**

None.

3 **URGENT ITEMS**

None.

4 **ITEMS TO BE CONSIDERED IN THE ABSENCE OF THE PUBLIC AND PRESS**

None.

5 DECLARATIONS OF INTEREST BY INDIVIDUAL MEMBERS IN RELATION TO ANY ITEM OF BUSINESS ON THE AGENDA

None.

6 PROPOSED COUNCIL TAX PRECEPT FOR 2018/19

A report was submitted to notify the Police and Crime Panel of the South Yorkshire Police and Crime Commissioner's ("the Commissioner") proposed Council Tax precept for 2018/19.

The Commissioner reported that the provisional 2018/19 Police Finance Settlement had been announced on 19 December 2017. The Government's grant funding had been maintained at 2017/18 levels with the expectation that, should each PCC raise their precept by the maximum allowed, along with the new Council Tax base, there would be an increase in funding for each Police force.

The draft Council Tax referendum principles for 2018/19 would allow PCCs to increase Band D properties by up to £12 for the year. For South Yorkshire, this represented an increase of 7.6% and would produce a Band D Council Tax of £170.16. 70% of South Yorkshire properties are Band A and Band B, equating to annual increases of £8.00 and £9.33 respectively.

This would generate Council Tax income of £61m (including estimated collection fund surplus of £1.8m) for 2018/19, an increase of approximately £5.2m.

M Clements outlined the proposed budget for 2018/19, including the anticipated effect of inflation of £4.9m (£2.5m for the full 2% pay award), £1.3m cost pressures, £1.9m growth pressures, costs of transitioning to a new policing model and the continued cost of historic 'Legacy' issues relating to the Hillsborough disaster and child sexual exploitation (CSE). It was highlighted that the proposed increase in Precept and use of £7.3m reserves would be required to balance the 2018/19 budget.

There was estimated to be additional, non-recurrent costs of £2.0m for transitioning to the new Target Operating Model (TOM), Local Policing Review, Crime Review and Atlas Court Review. The extensive change programme would embed in 2018/19 and was predicated by SYP to facilitate significant improvements in both efficiency and effectiveness, which would be a catalyst to budget savings from 2019/20.

All the cost pressures, including Legacy costs totalled £17m. The Force had identified savings plans totalling approximately £6m (2.5%) for 2018/19, to off-set some of those costs. These included non-pay savings, additional income, review of establishment control processes and review of shift patterns and associated allowances.

It was highlighted that there was a significant risk and key pressures associated with Legacy costs. The outcomes of any Home Office Special Grant Funding

applications could affect the level and adequacy of the reserves in future years. In preparing the budget it had been assumed, under the current rules, that the maximum entitlement of the Special Grant would be given to South Yorkshire.

With the maximum grant entitlement there would be a £7.1m shortfall to fund within the 2018/19 budget.

The Commissioner and Force would be meeting with representatives from the Home Office on 13 February to follow-up on written correspondence to the Home Secretary around key pressures / Legacy issues and Special Grant Funding.

Members noted that the level of reserves would be kept under review as part of the medium term resources planning and the monitoring of risks.

Councillor Dunn asked if the Force was successful in its application for Home Office Special Grant Funding, what the timescales would be for receiving this funding, and whether this had affected the way the budget had been formulated.

The Commissioner provided a detailed response relating to the complexities around Home Office Special Grant Funding.

M Clements added that there was generally a time delay between incurring the Legacy costs and grant funding being received from the Home Office. It was confirmed that Legacy costs were monitored closely and the funding matched with the expenditure in the accounts.

Councillor Dunn commented that it was very important for the Commissioner to continue working closely with the four local authorities and their respective Community Safety Teams.

The Commissioner agreed that working with the four local authorities and other partners was essential. All were being asked to do the same amount of work with fewer resources. It was important for the Force to understand where demand was arising; this could not be done without the help of partners.

Councillor Dunn asked, in terms of the proposed budget, if there would be any reduction in the number of visible Police Officers patrolling neighbourhoods.

The Commissioner replied that, over recent years Police Officer numbers had reduced by 500 to 2,400 Officers in South Yorkshire. Currently, there was no opportunity to grow this budget and restore the number of Officers. The Commissioner commented that he would be pressing the Chief Constable to become much smarter in the ways the Force engages with members of the public and local Councillors.

Mr Chu referred to the proposed budget for 2018/19. He asked if further details could be provided regarding the 'Non Recurrent Budget Adjustment' and the '2017/18 Unachieved Savings'.

M Clements replied that the 'Non Recurrent Budget Adjustment' related to additional support to the Force, which had been reflected in the current financial

year. This was non-recurrent in its nature and had been adjusted in the base budget. The 'Unachieved Savings' related to budget pressures in 2017/18 and would be resourced in the new financial year.

The Commissioner added that the Force had graded its confidence in achieving savings proposals for 2018/19 as 'high', 'medium' and 'low'. This, caused him some anxiety given previous failures to meet proposed savings. He added that he would be holding the Force to account in this area to ensure that they effectively programme manage the delivery of the savings identified.

Councillor Otten asked if the Commissioner was aware that Police Officers who were using the new Connect system were working on paperwork at the end of their shifts and thus, working overtime. He asked if this practice was affecting the overtime budget.

The Commissioner replied that officers and staff had received training on the new Connect system via a phased approach. There had been some issues with the system and work was ongoing to resolve these. The Commissioner added that he was not aware of any significant problems that impacted on the level of overtime and, regular discussions with the Chief Constable did take place regarding the Force's overtime budget.

Councillor Wilkinson asked what plans were in place for the future if the expected savings were not achieved and all reserves were used in 2018/19. If there were additional Legacy claims received during any year, what effect would this have on Police Officer numbers, operations and cash flow.

The Commissioner replied that he had repeated in conversations to the Force that, if they did not make savings, they could not fall back on reserves, because reserves would eventually run out. The Commissioner added that he wished to avoid having to make cuts on Police Officer numbers, however, savings needed to be identified each year by the Force.

Councillor Sansome asked if the Commissioner could provide detail on the amount of efficiency savings which had been identified.

The Commissioner replied that the savings plans totalled approximately £6m for 2018/19. He also added that he would provide Members with a report considered at the January 2018 Public Accountability Board which set out the proposed areas where savings would be made by the Force in 2018/19.

Councillor Sansome informed the Commissioner that the Panel would like to establish a Budget Working Group consisting of one Member per District, plus one Independent Member and appropriate support from the OPCC to help Panel Members to monitor the budget throughout the year and consider the precept proposals.

The Commissioner replied that he would try to support the Panel's request. He reminded the Panel that it was his responsibility to hold the Force to account in this area and for the Panel to hold himself to account, and this was noted.

F Topliss outlined the consultation undertaken on behalf of the Commissioner throughout the year regarding the 2018/19 budget proposals. It was noted that intense public consultation had been carried out in January 2018 in each district to ask if members of the public would be willing to pay the extra £12 or equivalent. Across most of the districts the feedback was positive, however, residents in Barnsley were more reluctant to pay the additional cost.

Councillor Dunn asked if the consultation would be extended to allow members of the public to provide feedback throughout the year when the budget had been set to ask if they feel they are getting value for money.

F Topliss confirmed that consultation already takes place throughout the year and this would continue. During 2018/19 specific questions would be asked around neighbourhood policing and whether people feel safer in their communities.

Councillor Dunn added that Elected Members could also help provide feedback through their locality working.

Councillor Dunn asked what action the Commissioner was taking to address the considerable budget pressures on the Force and the local authorities regarding increasing operational costs for policing football matches.

The Commissioner replied that there was no specific formula in place to help recover the costs of policing of football matches. It was explained that the fee for policing football matches was negotiated by the Force with each football club.

The Commissioner referred to an application made to the Supreme Court for permission to appeal against the judgement made in the Court of Appeal relating to the charging for special policing services between Ipswich Town Football Club v Suffolk Constabulary. He added that, if Suffolk Constabulary lost the case, this could have consequences for every Police Force in the country. The implications may impact on those forces who had previously charged for on-street policing of football matches and crowd management at major entertainment events in the last 5 years, with fees being refunded to football clubs etc. by police forces and local authorities. This would add further pressures on the budget.

Further details regarding the Court Case would be circulated to Panel Members.

Councillor Sansome asked if the Commissioner could confirm that there would be no reduction in partnership grants given to the four local Community Safety Partnerships.

The Commissioner replied that he was currently in the process of examining the grants given to various partners. He added that there would be some reductions, however, negotiations were currently taking place.

D Cutting provided Members with guidance, as set out in Schedule 5 of the Police, Reform and Social Responsibility Act 2011, regarding the steps to be taken if the Panel decided to veto the proposed precept.

RESOLVED – That Members of the Police and Crime Panel:-

- i) Voted unanimously on the recommendation within the report and agreed the proposed annual increase in Council Tax for 2018/19 of £12 for a Band D property (a 7.6% increase) to £170.16. For Band A properties this equated to an annual increase of £8.00, to £113.44 and for Band B properties an increase of £9.33, to £132.35 be approved.
- ii) Noted that the Commissioner had agreed to provide Members with a copy of a report considered at the January 2018 Public Accountability Board which set out the proposed areas where savings would be made by the Force in 2018/19.
- iii) Noted that the Commissioner would try to accommodate Members' request to support a Budget Working Group.
- iv) Noted that the Commissioner would provide Members with further details regarding the Supreme Court Appeal between Ipswich Town Football Club v Suffolk Constabulary.

7 PUBLIC QUESTIONS:-

8 TO THE POLICE AND CRIME COMMISSIONER

There were no written public questions to the Police and Crime Commissioner.

9 TO THE POLICE AND CRIME PANEL

There were no written questions to the Police and Crime Panel.

10 QUESTIONS FROM POLICE AND CRIME PANEL MEMBERS TO THE POLICE AND CRIME COMMISSIONER

In accordance with Procedure Rule 11 (General Questions from Members of the Panel), the following question had been submitted and the response from the Police and Crime Commissioner:-

Received from Councillor Otten

Do you believe it is a good use of police resources to intervene in peaceful protests regarding the felling of trees in Sheffield?

Response

The police have no reason to "intervene" in peaceful protests as long as they remain peaceful and within the law.

However, it is my understanding that the tree-felling contractors have employed a company (SIA) to remove protestors where they have been dismantling safety zones and trespassing within them (breaches of a High Court injunction).

In these circumstances it is prudent that the police are deployed on stand-by, not least so that they can de-escalate a situation, if possible.

Supplementary Question from Councillor Otten

Councillor Otten asked if the Commissioner was aware that the Police had successfully de-escalated tree protests in Sheffield. Additionally, was the Commissioner satisfied that the Police are sufficiently aware of their duty to protect protestors from inappropriate use of force by the security company employed by the contractor.

Response

The Commissioner commented that this was a very difficult area for the Police and that this situation had been ongoing for a considerable length of time.

He added that the Police have a responsibility to ensure peaceful protests can happen; problems arose when protests became un-peaceful and the Police have to intervene. The Police also have an equal responsibility to ensure that the contractor could implement the policy of Sheffield City Council in relation to tree felling and highway maintenance.

The Commissioner stated that his anxieties had increased more recently, due to the sheer numbers of people protesting, this had also been shared with Sheffield City Council.

The Commissioner understood that there was currently a pause in the works taking place. He hoped this would allow Sheffield City Council and its contractors to think about its policy and include dialogue with the peaceful protestors and the Police. The Commissioner concluded that, it was in everyone's interest to have a reasonable solution.

11 MINUTES OF THE PREVIOUS MEETING HELD ON 15 DECEMBER 2017

Mr Chu requested that his suggestion set out in Minute 18 be amended to read:

'Mr Chu suggested that, outside of the small grants programme, the Commissioner may wish to commission charities to undertake some of the missing person work'.

The following matters arising were noted:-

- L Noble reported that enquires had been made with West Yorkshire Police and Crime Panel to understand what scrutiny role their Panel performed in overseeing their Commissioner's risk management arrangements. It was confirmed that the Panel did not receive regular reports on risk management, nor did they receive a risk register. Instead, they requested that the Chair of the OPCC / Force Joint Audit Committee attends the Panel on an annual basis to speak about the work of the Committee.

After discussion, the Panel requested that the Chair of the South Yorkshire Joint Independent Audit Committee attends the June 2018 Panel meeting to update the Panel on the work of the Committee.

- With regards to the establishment of a Regional Network, work was progressing to formulate the terms of reference, agree a meeting venue and membership of the Network. The annual fee for being part of the Regional Network would be £500 per panel.
- In relation to the establishment of a Special Interest Group (National Association of Police and Crime Panels), work was progressing to formulate the terms of reference and how the Group would operate. Another Panel had raised the issue of funding the Special Interest Group from the Home Office grant and whether this was possible given the rules around use of Grant monies for lobbying / influencing. Confirmation from the Home Office was currently awaited.

Councillor Hughes referred to Minute 15, he asked the Commissioner if it would be beneficial to have less Force overtime and more Police Officers employed in the communities of South Yorkshire.

The Commissioner replied that Police Officer overtime did concern him and that he had discussed this with the Chief Constable. If overtime was used correctly, there could be savings for the Force. The overtime bill would be kept under review by the Commissioner.

Councillor Sansome referred to the 'Decision Log', noting that the actions in relation to Minute 18 from the Panel meeting held on 15 December 2017, were still outstanding. He asked the Commissioner when information would be provided to the Panel.

The Commissioner replied that the Force was currently compiling the figures; once this process was complete he would make what information he could available to Panel Members.

RESOLVED –

- i) That the minutes of the Police and Crime Panel held on 15 December 2017 be agreed and signed by the Chair as a correct record, subject to the above amendment requested by Mr Chu.
- ii) To request the Chair of the South Yorkshire Joint Independent Audit Committee attends the June 2018 Panel meeting to update the Panel on the work of the Committee.

12 SOUTH YORKSHIRE POLICE AND CRIME PLAN REFRESH 2018 / 2019

A report of the Police and Crime Commissioner was presented setting out a draft refresh of the Police and Crime Plan for 2017 to 2021 ('Plan') in accordance with the requirement set out in Chapter 3, paragraph 5 of the Police Reform and Social Responsibility Act 2011.

The Commissioner made the Panel aware that neither the overall aim nor the priorities in the Plan had changed since last year. Emphasis had changed in some of the outcomes to reflect new and evolving policing and crime demands identified through consultation. In the refreshed Plan, South Yorkshire Police and partners had been asked to work towards four key outcomes.

Councillor Sansome asked if Equality Analysis had been completed under the Equality Act in respect of the Police and Crime Plan.

E Redfearn replied that when the Police and Crime Plan had been prepared, the OPCC did consult with a wide variety of interested parties including the public and various community groups across South Yorkshire. It was confirmed that the OPCC did not formally carry out an impact assessment using the equality checklist. However, all the relevant points individuals had provided were taken into consideration.

Councillor Otten asked that sex workers be added to the key strands of vulnerability set out within the Plan. The Panel supported Councillor Otten's request.

The Commissioner acknowledged Councillor Otten's request.

Mr Carter suggested that further detail around the role of the Police and Crime Panel be added at page 16. E Redfearn commented that page 5 of the Plan had more detail around the work of the Panel, however, the Commissioner agreed to re-visit the wording set out at page 16.

RESOLVED – That Members of the Police and Crime Panel:-

- i) Received the PCC's Draft Police and Crime Plan 2017 to 2021 – Refresh 2018/19; and
- ii) Agreed to provide comments to the Panel's Support Officer by 8 February 2018.
- iii) Requested that sex workers be added to the key strands of vulnerability.
- iv) Requested that further detail around the role of the Police and Crime Panel be added at page 16.

13 ATLAS COURT UPDATE

A report of the Police and Crime Commissioner was presented to provide Members of the Police and Crime Panel with an update on progress with SYP's review of Atlas Court.

A table within the report provided Panel Members with an update on the Force's progress in relation to each objective, including timescales for completion.

Councillor Wilkinson queried if the Sheffield City Council 101 and Out of Hours Service had been routed through to South Yorkshire Police. She asked what effect

this had on the waiting times for 101 and how many calls to 101 were for Sheffield City Council and how many were for the Police.

The Commissioner stated that he was unable to answer the latter part of Councillor Wilkinson's question, however, he could confirm that the contract for Sheffield City Council 101 and Out of Hours Service had been returned to SCC and therefore, it should reduce demand on the 101 service.

Mr Chu asked for assurances from the Commissioner that he would be holding the Force to account in terms of implementing each of the objectives.

The Commissioner replied that there was a significant amount of activity taking place around the implementation of Smart Contact, which he would be monitoring very closely. The timescales for the Force achieving the objectives were scheduled for completion by spring/summer 2018.

Mr Chu requested that a progress update report with regards to the review of Atlas Court / Contact Management Objectives be presented to the June 2018 Panel meeting.

The request was acknowledged by the Commissioner.

RESOLVED – That Members of the Police and Crime Panel:-

- i) Noted the contents of the report.
- ii) Requested that an update report on progress with regards to the review of Atlas Court / Contact Management Objectives be presented at the June 2018 Panel meeting.

14 PCC DECISIONS

A report was presented to provide Members of the Police and Crime Panel with information on decisions taken by the Police and Crime Commissioner (PCC).

RESOLVED – That Members of the Police and Crime Panel noted the contents of the report.

15 WORK PROGRAMME

Members considered the 2018/19 Work Programme.

L Noble reminded Members that, at the last meeting of the Panel, it was suggested that an additional (Member only) meeting be convened prior to the Annual Meeting, to look back at the year and start planning for the year ahead.

Members agreed that an additional Panel meeting be held in April 2018, possibly at the conclusion of the Panel meeting scheduled for 20 April 2018.

RESOLVED –

- i) That Members of the Police and Crime Panel noted the contents of the 2018/19 Work Programme.
- ii) That Members' availability be sought for an additional (Member only) Panel meeting to be held in April 2018 (possibly after the conclusion of the Panel meeting scheduled for 20 April 2018).

16 2018/19 POLICE & CRIME PANEL MEETINGS

A report was submitted to set out a schedule of meeting dates for the Police and Crime Panel in 2018/19.

Members were informed that future meetings of the Panel would be held at Barnsley Town Hall.

RESOLVED – That Members of the Police and Crime Panel:-

- i) Considered and approved the 2018/19 dates as set out below:-

Meeting Date	Pre-meeting for Members	Panel meeting
Friday 20 April 2018	9:30 am	10:00 am
Monday 4 June 2018	12:30 pm	1:00 pm
Monday 2 July 2018	12:30 pm	1:00 pm
Monday 3 September 2018	12:30 pm	1:00 pm
Monday 3 December 2018	12:30 pm	1:00 pm
Monday 4 February 2019	12:30 pm	1:00 pm
Monday 1 April 2019	12:30 pm	1:00 pm

- ii) Agreed to hold additional / extraordinary meetings / training events as and when appropriate / necessary.

17 DATE AND TIME OF THE NEXT MEETING

The next meeting of the Panel will be held on Friday 20 April 2018, 10:00 am, at the Town Hall, Church Street, Barnsley, S70 2TA.

CHAIR

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